

# MASSACHUSETTS INSTITUTE OF TECHNOLOGY



## REPORT ON THE AUDIT OF FEDERAL FINANCIAL ASSISTANCE PROGRAMS IN ACCORDANCE WITH THE **Uniform Guidance**

FOR THE YEAR ENDED JUNE 30, 2018



**MASSACHUSETTS INSTITUTE OF TECHNOLOGY**  
**Report on the Audit of Federal Financial Assistance Programs**  
**in Accordance with the Uniform Guidance**  
**For the Year Ended June 30, 2018**

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**SECTION I**

**FINANCIAL REPORTS**

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## **Report of Independent Auditors**

To the Members of the Corporation of the  
Massachusetts Institute of Technology:

### **Report on the Consolidated Financial Statements**

We have audited the accompanying consolidated financial statements of the Massachusetts Institute of Technology and its subsidiaries (the "Institute"), which comprise the consolidated statements of financial position as of June 30, 2018 and 2017, and the related consolidated statement of activities for the year ended June 30, 2018, and statements of cash flows for the years ended June 30, 2018 and 2017, and the related notes to the financial statements.

### ***Management's Responsibility for the Consolidated Financial Statements***

Management is responsible for the preparation and fair presentation of the consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditors' Responsibility***

Our responsibility is to express an opinion on the consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on our judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, we consider internal control relevant to the Institute's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Institute's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### ***Opinion***

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of the Massachusetts Institute of Technology and its subsidiaries as of June 30, 2018 and 2017 and the changes in their net assets for the year ended June 30, 2018 and their cash

flows for the years ended June 30, 2018 and 2017 in accordance with accounting principles generally accepted in the United States of America.

***Other Matters***

We previously audited the consolidated statement of financial position as of June 30, 2017, and the related consolidated statements of activities and of cash flows for the year then ended (not presented herein), and in our report dated September 8, 2017, we expressed an unmodified opinion on those consolidated financial statements. In our opinion, the information set forth in the accompanying summarized financial information as of June 30, 2017 and for the year then ended, is consistent, in all material respects, with the audited consolidated financial statements from which it has been derived.

***Other Information***

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The accompanying schedule of expenditures of federal awards for the year ended June 30, 2018 is presented for purposes of additional analysis as required by Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance) and is not a required part of the consolidated financial statements. The information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated, in all material respects, in relation to the consolidated financial statements taken as a whole.

***Other Reporting Required by Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated September 14, 2018 on our consideration the Institute's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters for the year ended June 30, 2018. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on the effectiveness of internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Institute's internal control over financial reporting and compliance.



Boston, Massachusetts  
September 14, 2018



# Massachusetts Institute of Technology

## Consolidated Statements of Financial Position

at June 30, 2018 and 2017

(in thousands of dollars)

|   | 2018                 | 2017                 |
|---|----------------------|----------------------|
| <b>Assets</b>   |                      |                      |
| Cash .....  | \$ 428,030           | \$ 399,825           |
| Accounts receivable, net .....  | 233,068              | 225,648              |
| Pledges receivable, net, at fair value .....  | 560,142              | 533,227              |
| Contracts in progress, principally US government .....  | 98,921               | 82,334               |
| Deferred charges, inventories, and other assets .....   | 169,566              | 155,754              |
| Student notes receivable, net .....   | 30,481               | 37,021               |
| Investments, at fair value .....  | 20,743,773           | 19,045,347           |
| Net asset position - retiree welfare plan .....   | 124,686              | 52,986               |
| Land, buildings, and equipment (at cost of \$5,409,653 for June 2018; \$4,990,128 for June 2017), net of accumulated depreciation ..... | 3,684,377            | 3,397,070            |
| <b>Total assets</b> .....   | <b>\$ 26,073,044</b> | <b>\$ 23,929,212</b> |
| <b>Liabilities and Net Assets</b>   |                      |                      |
| <b>Liabilities:</b>   |                      |                      |
| Accounts payable, accruals, and other liabilities .....   | \$ 486,962           | \$ 457,514           |
| Liabilities due under life income fund agreements, at fair value .....  | 187,449              | 154,470              |
| Deferred revenue and other credits .....  | 121,464              | 126,531              |
| Advance payments .....  | 449,230              | 426,562              |
| Borrowings, net of unamortized issuance costs .....   | 3,259,389            | 3,287,545            |
| Government advances for student loans .....   | 23,711               | 30,015               |
| Net liability position - defined benefit pension plan .....   | 28,058               | 321,517              |
| <b>Total liabilities</b> .....  | <b>4,556,263</b>     | <b>4,804,154</b>     |
| <b>Net Assets:</b>  |                      |                      |
| Unrestricted .....  | 8,799,838            | 7,667,379            |
| Temporarily restricted .....  | 9,158,017            | 8,037,426            |
| Permanently restricted .....  | 3,558,926            | 3,420,253            |
| <b>Total net assets</b> .....   | <b>21,516,781</b>    | <b>19,125,058</b>    |
| <b>Total liabilities and net assets</b> .....   | <b>\$ 26,073,044</b> | <b>\$ 23,929,212</b> |

The accompanying notes are an integral part of the consolidated financial statements.

# Massachusetts Institute of Technology

## Consolidated Statement of Activities

for the year ended June 30, 2018

(with summarized financial information for the year ended June 30, 2017)

| <i>(in thousands of dollars)</i>   | 2018                |                        |                        | Total                |                      |
|--|---------------------|------------------------|------------------------|----------------------|----------------------|
|  | Unrestricted        | Temporarily Restricted | Permanently Restricted | 2018                 | 2017                 |
| <b>Operating Activities</b>  |                     |                        |                        |                      |                      |
| <b>Operating Revenues</b>  |                     |                        |                        |                      |                      |
| Tuition and similar revenues, net of discount of \$347,039 in 2018 and \$318,610 in 2017 . . . | \$ 353,721          | \$ -                   | \$ -                   | \$ 353,721           | \$ 361,476           |
| Research revenues:   |                     |                        |                        |                      |                      |
| Campus . . . . .   | 681,809             | -                      | -                      | 681,809              | 706,939              |
| Lincoln . . . . .  | 981,293             | -                      | -                      | 981,293              | 969,257              |
| SMART . . . . .  | 42,183              | -                      | -                      | 42,183               | 33,284               |
| Total research revenues . . . . .  | 1,705,285           | -                      | -                      | 1,705,285            | 1,709,480            |
| Gifts and bequests for current use . . . . .   | 220,220             | -                      | -                      | 220,220              | 187,524              |
| Fees and services . . . . .  | 210,298             | -                      | -                      | 210,298              | 168,266              |
| Other programs . . . . .   | 76,926              | -                      | -                      | 76,926               | 82,141               |
| Support from investments:  |                     |                        |                        |                      |                      |
| Endowment . . . . .  | 663,203             | -                      | -                      | 663,203              | 628,669              |
| Other investments . . . . .  | 168,447             | -                      | -                      | 168,447              | 158,358              |
| Total support from investments . . . . .   | 831,650             | -                      | -                      | 831,650              | 787,027              |
| Auxiliary enterprises . . . . .  | 131,841             | -                      | -                      | 131,841              | 127,720              |
| Net asset reclassifications and transfers . . . .  | 96,701              | -                      | -                      | 96,701               | 128,154              |
| Total operating revenues . . . . .   | \$ 3,626,642        | \$ -                   | \$ -                   | \$ 3,626,642         | \$ 3,551,788         |
| <b>Operating Expenses</b>  |                     |                        |                        |                      |                      |
| Salaries and wages . . . . .   | \$ 1,471,513        | \$ -                   | \$ -                   | \$ 1,471,513         | \$ 1,415,024         |
| Employee benefits . . . . .  | 335,735             | -                      | -                      | 335,735              | 337,030              |
| Supplies and services . . . . .  | 1,097,347           | -                      | -                      | 1,097,347            | 1,058,683            |
| Subrecipient agreements . . . . .  | 148,006             | -                      | -                      | 148,006              | 139,159              |
| Utilities, rent, and repairs . . . . .   | 225,897             | -                      | -                      | 225,897              | 213,978              |
| Depreciation . . . . .   | 178,630             | -                      | -                      | 178,630              | 168,809              |
| Interest expense . . . . .   | 120,749             | -                      | -                      | 120,749              | 131,341              |
| Total operating expenses . . . . .   | 3,577,877           | -                      | -                      | 3,577,877            | 3,464,024            |
| Results of operations . . . . .  | \$ 48,765           | \$ -                   | \$ -                   | \$ 48,765            | \$ 87,764            |
| <b>Non-Operating Activities</b>  |                     |                        |                        |                      |                      |
| Pledge revenue . . . . .   | \$ -                | \$ 146,720             | \$ 40,408              | \$ 187,128           | \$ 287,245           |
| Gifts and bequests . . . . .   | -                   | -                      | 64,320                 | 64,320               | 98,746               |
| Investment income . . . . .  | 1,852               | 1,786                  | 197                    | 3,835                | 3,743                |
| Net gain on investments . . . . .  | 970,980             | 1,397,266              | 17,356                 | 2,385,602            | 2,185,920            |
| Distribution of accumulated investment gains   | (240,472)           | (426,574)              | -                      | (667,046)            | (640,877)            |
| Other changes . . . . .  | 62,242              | 12,073                 | 7,760                  | 82,075               | 45,406               |
| Postretirement plan changes other than net periodic benefit cost . . . . .                     | 383,745             | -                      | -                      | 383,745              | 256,184              |
| Net asset reclassifications and transfers . . . .  | (94,653)            | (10,680)               | 8,632                  | (96,701)             | (128,154)            |
| Total non-operating activities . . . . .   | 1,083,694           | 1,120,591              | 138,673                | 2,342,958            | 2,108,213            |
| Increase in net assets . . . . .   | 1,132,459           | 1,120,591              | 138,673                | 2,391,723            | 2,195,977            |
| Net assets at the beginning of the year . . . .  | 7,667,379           | 8,037,426              | 3,420,253              | 19,125,058           | 16,929,081           |
| <b>Net assets at the end of the year . . . . .</b>   | <b>\$ 8,799,838</b> | <b>\$ 9,158,017</b>    | <b>\$ 3,558,926</b>    | <b>\$ 21,516,781</b> | <b>\$ 19,125,058</b> |

The accompanying notes are an integral part of the consolidated financial statements.

# Massachusetts Institute of Technology

## Consolidated Statements of Cash Flows

for the years ended June 30, 2018 and 2017

| <i>(in thousands of dollars)</i>   | 2018                     | 2017                     |
|--|--------------------------|--------------------------|
| <b>Cash Flow from Operating Activities</b>   |                          |                          |
| Increase in net assets . . . . .   | \$ 2,391,723             | \$ 2,195,977             |
| Adjustments to reconcile change in net assets to net cash used in operating activities:      |                          |                          |
| Net gain on investments . . . . .  | (2,385,602)              | (2,185,920)              |
| Change in retirement plan asset, net of accrued benefit liability . . . . .                  | (365,159)                | (227,498)                |
| Depreciation . . . . .   | 178,630                  | 168,809                  |
| Net gain on life income funds . . . . .  | (23,386)                 | (29,824)                 |
| Amortization of bond premiums and discounts and other adjustments . . . . .                  | 3,176                    | 5,577                    |
| Change in operating assets and liabilities:  |                          |                          |
| Pledges receivable . . . . .   | (26,915)                 | 75,838                   |
| Accounts receivable . . . . .  | (7,420)                  | (24,636)                 |
| Contracts in progress . . . . .  | (16,587)                 | (1,531)                  |
| Deferred charges, inventories, and other assets . . . . .                                    | (13,812)                 | (19,689)                 |
| Accounts payable, accruals, and other liabilities, excluding building and equipment accruals | 45,377                   | (83,509)                 |
| Liabilities due under life income fund agreements . . . . .                                  | 49,138                   | 23,676                   |
| Deferred revenue and other credits . . . . .   | (5,067)                  | (9,895)                  |
| Advance payments . . . . .   | 22,668                   | (8,658)                  |
| Reclassify donated securities . . . . .  | (10,147)                 | (5,979)                  |
| Reclassify investment income . . . . .   | (3,835)                  | (3,743)                  |
| Reclassify contributions restricted for long-term investment . . . . .                       | (195,538)                | (347,570)                |
| Net cash used in operating activities . . . . .  | <u>(362,756)</u>         | <u>(478,575)</u>         |
| <b>Cash Flow from Investing Activities</b>   |                          |                          |
| Purchase of land, buildings, and equipment . . . . .   | (486,413)                | (473,134)                |
| Purchases of investments . . . . .   | (32,952,998)             | (32,028,007)             |
| Proceeds from sale of investments . . . . .  | 33,663,560               | 32,186,808               |
| Student notes issued . . . . .   | (5,439)                  | (6,736)                  |
| Collections from student notes . . . . .   | 11,694                   | 11,838                   |
| Net cash provided by (used in) investing activities . . . . .                                | <u>230,404</u>           | <u>(309,231)</u>         |
| <b>Cash Flow from Financing Activities</b>   |                          |                          |
| Contributions restricted for long-term investment . . . . .                                  | 195,538                  | 347,570                  |
| Payments to beneficiaries of life income funds . . . . .                                     | (16,159)                 | (14,422)                 |
| Proceeds from sale of donated securities restricted for endowment . . . . .                  | 10,147                   | 5,980                    |
| Increase in investment income for restricted purposes . . . . .                              | 3,835                    | 3,743                    |
| Proceeds from borrowings . . . . .   | -                        | 500,000                  |
| Repayment of borrowings . . . . .  | (26,500)                 | (98,090)                 |
| Decrease in government advances for student loans . . . . .                                  | (6,304)                  | (6,158)                  |
| Net cash provided by financing activities . . . . .  | <u>160,557</u>           | <u>738,623</u>           |
| Net increase (decrease) in cash . . . . .  | 28,205                   | (49,183)                 |
| Cash at the beginning of the year . . . . .  | 399,825                  | 449,008                  |
| <b>Cash at the end of the year . . . . .</b>   | <b><u>\$ 428,030</u></b> | <b><u>\$ 399,825</u></b> |

The accompanying notes are an integral part of the consolidated financial statements.

# Notes to Consolidated Financial Statements

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## A. Accounting Policies

### Basis of Presentation

The accompanying financial statements have been prepared in accordance with generally accepted accounting principles (GAAP) in the United States of America. The consolidated financial statements (financial statements) include MIT and its wholly owned subsidiaries.

Net assets, revenues, expenses, and gains and losses are classified into three categories based on the existence or absence of donor-imposed restrictions. The categories are permanently restricted, temporarily restricted, and unrestricted net assets. Unconditional promises to give (pledges) are recorded as receivables and revenues within the appropriate net asset category.

Permanently restricted net assets include gifts, pledges, trusts and remainder interests, and income and gains that are required by donors to be permanently retained. Pledges, trusts, and remainder interests are reported at their estimated fair values.

Temporarily restricted net assets include gifts, pledges, trusts and remainder interests, and income and gains that can be expended but for which restrictions have not yet been met. Such restrictions include purpose restrictions where donors have specified the purpose for which the net assets are to be spent, or time restrictions imposed by donors or implied by the nature of the gift (e.g., capital projects, pledges to be paid in the future, life income funds), or by interpretations of law (net gains on permanently restricted gifts that have not been appropriated for spending). Gifts specified for the acquisition or construction of long-lived assets are reported as temporarily restricted net assets until the monies are expended and the long-lived assets (i.e., buildings) are put into use, at which point they are reclassified to unrestricted net assets. Net unrealized losses on permanently restricted endowment funds for which the book value exceeds

market value are recorded as a reduction to unrestricted net assets.

Unrestricted net assets are all the remaining net assets of MIT. Donor-restricted gifts and distributed restricted endowment income for which the restriction is met within the same year of gift or distribution are reported as unrestricted revenue. Gifts of long-lived assets are reported as unrestricted revenue.

Net asset reclassifications and transfers consist primarily of payments on unrestricted pledges and use of building funds in accordance with donor restrictions for buildings put into use during the year. Expirations of temporary restrictions on net assets, release of permanent restrictions by a donor, and change of restrictions imposed by donors are also reported as reclassifications of net assets among unrestricted, temporarily restricted, and permanently restricted net assets.

MIT administers its various funds, including endowments, funds functioning as endowments, school or departmental funds, and related accumulated gains in accordance with the principles of fund accounting. Gifts are recorded in fund accounts and investment income is distributed to funds annually. Income distributed to funds may be a combination of capital appreciation and yield pursuant to MIT's total return investment and spending policies. Each year, the Executive Committee of the Corporation approves the rates of distribution of investment return to funds from MIT's investment pools. See Note J for further information on income distributed to funds.

MIT's operations include tuition, research revenues, unrestricted gifts and bequests for current use, fees and services, other programs, support from investments, auxiliary enterprises, net asset reclassifications and transfers, and operating expenditures. Results of operations are displayed in the Consolidated Statement of Activities.

## A. Accounting Policies (continued)

### Tax Status

MIT is a nonprofit organization that is tax-exempt under Section 501(c)(3) of the Internal Revenue Code, originally recognized in October 1926, with the most recent affirmation letter dated September 2017.

On December 22, 2017, the Tax Cuts and Jobs Act (the "Act") was enacted. The Act impacts the Institute in several ways, including the addition of excise taxes on executive compensation and net investment income, as well as new rules for calculating unrelated business taxable income. The overall impact of the Act remains uncertain until further regulatory guidance is issued to assist the Institute in calculating tax liabilities.

US GAAP requires MIT to evaluate tax positions taken by the Institute and recognize a tax liability (or asset) if the Institute has taken an uncertain position that more likely than not, would not be sustained upon examination by the IRS. MIT has analyzed the tax positions taken and has concluded that as of June 30, 2018, there are no significant uncertain positions taken or expected to be taken, apart from those impacted by the Act. The Institute continues to evaluate the impact of the Act on current and future tax positions.

### Cash

Certain cash balances, totaling \$97.8 million and \$68.9 million at June 30, 2018 and 2017, respectively, are restricted for use under certain sponsored research agreements or are held on behalf of a related party.

The Institute had approximately \$418.5 million and \$390.2 million at June 30, 2018 and 2017, respectively, of its cash accounts with a single institution. The Institute has not experienced any losses associated with deposits at this institution.

### Advance Payments

Amounts received by MIT from the US government, corporations, industrial sources, foundations, and other non-MIT sponsors under the terms of agreements that generally require the exchange of assets, rights, or privileges between MIT and the sponsor are recorded as advance payments. Revenue is recognized as MIT fulfills the terms of the agreements.

### Land, Buildings, and Equipment

Land, buildings, and equipment are shown at cost when purchased, or at fair value as of the date of a gift when received as a gift, net of accumulated depreciation. When expended, costs associated with the construction of new facilities are shown as construction in progress until such projects are completed and put into use. Depreciation is computed on a straight-line basis over the estimated useful lives of 25 to 50 years for buildings, 3 to 25 years for equipment, and 4 to 6 years for software.

Fully depreciated assets were removed from the financial statements in the amount of \$46.2 million and \$50.9 million during 2018 and 2017, respectively. Land, buildings, and equipment at June 30, 2018 and 2017, are shown in Table 1 below.

|   | 2018                | 2017                |
|---|---------------------|---------------------|
| Land . . . . .                                      | \$ 107,557          | \$ 93,407           |
| Land improvements . . . . .                         | 73,815              | 72,773              |
| Educational buildings . . . . .                     | 4,127,736           | 3,986,375           |
| Equipment . . . . .                                 | 306,364             | 292,087             |
| Software . . . . .                                  | 68,328              | 61,730              |
| Total . . . . .                                     | 4,683,800           | 4,506,372           |
| Less: accumulated depreciation                      | (1,725,276)         | (1,593,058)         |
| Construction in progress . . . . .                  | 723,249             | 479,865             |
| Software projects in progress . . . . .             | 2,604               | 3,891               |
| <b>Net land, buildings, and equipment . . . . .</b> | <b>\$ 3,684,377</b> | <b>\$ 3,397,070</b> |

Depreciation expense was \$178.6 million in 2018 and \$168.8 million in 2017. Net interest expense of \$22.1 million and \$10.6 million was capitalized during 2018 and 2017, respectively, in connection with MIT's construction projects.

## A. Accounting Policies (continued)

### Tuition and Student Support

Tuition and similar revenues, shown in Table 2 below, include tuition and fees for degree programs as well as tuition and fees for executive and continuing education programs at MIT.

**Table 2. Tuition and Similar Revenues**

| <i>(in thousands of dollars)</i>                      | 2018                     | 2017                     |
|---|--------------------------|--------------------------|
| Undergraduate and graduate programs . . . . .         | \$ 638,083               | \$ 617,368               |
| Executive and continuing education programs . . . . . | 62,677                   | 62,718                   |
| Total . . . . .                                       | <u>700,760</u>           | <u>680,086</u>           |
| Less: tuition discount . . . . .                      | <u>(347,039)</u>         | <u>(318,610)</u>         |
| <b>Net tuition and similar revenues</b> . . . . .     | <b><u>\$ 353,721</u></b> | <b><u>\$ 361,476</u></b> |

Tuition support is awarded to undergraduate students by MIT based on need. Graduate students are provided with tuition support in connection with research assistance, teaching assistance, and fellowship appointments. Tuition support from MIT sources is displayed as tuition discount. Total student

support granted to students was \$594.6 million and \$555.3 million in 2018 and 2017, respectively. Of that amount, \$175.0 million in 2018 and \$169.0 million in 2017 was aid from sponsors. Components of student support are detailed in Table 3 below.

**Table 3. Student Support**

| <i>(in thousands of dollars)</i>    | 2018                     |                          |                             | 2017                     |                          |                             |
|-------------------------------------|--------------------------|--------------------------|-----------------------------|--------------------------|--------------------------|-----------------------------|
|                                     | Institute<br>Sources     | External<br>Sponsors     | Total<br>Student<br>Support | Institute<br>Sources     | External<br>Sponsors     | Total<br>Student<br>Support |
| Undergraduate tuition support . . . | \$ 120,352               | \$ 17,584                | \$ 137,936                  | \$ 108,930               | \$ 18,002                | \$ 126,932                  |
| Graduate tuition support. . . . .   | 226,687                  | 61,747                   | 288,434                     | 209,680                  | 60,609                   | 270,289                     |
| Fellowship stipends . . . . .       | 26,199                   | 16,110                   | 42,309                      | 23,344                   | 16,174                   | 39,518                      |
| Student employment . . . . .        | 46,329                   | 79,555                   | 125,884                     | 44,301                   | 74,227                   | 118,528                     |
| <b>Total</b> . . . . .              | <b><u>\$ 419,567</u></b> | <b><u>\$ 174,996</u></b> | <b><u>\$ 594,563</u></b>    | <b><u>\$ 386,255</u></b> | <b><u>\$ 169,012</u></b> | <b><u>\$ 555,267</u></b>    |

## A. Accounting Policies (continued)

### Sponsored Research

Direct and indirect categories of research revenues are shown in Table 4 below.

| <i>(in thousands of dollars)</i>    | 2018                | 2017                |
|-------------------------------------|---------------------|---------------------|
| Direct:                             |                     |                     |
| Campus . . . . .                    | \$ 519,977          | \$ 508,677          |
| Lincoln. . . . .                    | 940,798             | 926,871             |
| SMART . . . . .                     | 41,988              | 32,981              |
| <b>Total direct. . . . .</b>        | <b>1,502,763</b>    | <b>1,468,529</b>    |
| Indirect:                           |                     |                     |
| Campus . . . . .                    | \$ 161,832          | \$ 198,262          |
| Lincoln. . . . .                    | 40,495              | 42,386              |
| SMART . . . . .                     | 195                 | 303                 |
| <b>Total indirect . . . . .</b>     | <b>202,522</b>      | <b>240,951</b>      |
| <b>Total research revenues. . .</b> | <b>\$ 1,705,285</b> | <b>\$ 1,709,480</b> |

Revenue associated with contracts and grants is recognized as related costs are incurred. The capital costs of buildings and equipment are depreciated over their estimated life cycle, and the sponsored research recovery allowance for depreciation is treated as indirect research revenue. MIT has recorded reimbursement of indirect costs relating to sponsored research at negotiated fixed billing rates. The revenue generated by the negotiated rates is adjusted each fiscal year to reflect any variance between the negotiated fixed rates and rates based on actual cost. The actual cost rate is audited by the Defense Contract Audit Agency (DCAA) and a final fixed-rate agreement is signed by the US government and MIT. The variance between the negotiated fixed rate and the final audited rate results in a carryforward (over- or under-recovery). The carryforward is included in the calculation of negotiated fixed billing rates in future years. Any adjustment in the rate is charged or credited to unrestricted net assets.

### Gifts and Pledges

Gifts and pledges are recognized when received. Gifts of securities are recorded at their fair value at the date of contribution. Donated securities received totaled \$66.8 million and \$39.3 million in

2018 and 2017, respectively, and are shown separately in the Consolidated Statements of Cash Flows. Gifts of equipment received from manufacturers and other donors are put into use and recorded by MIT at fair value. Gifts of equipment totaled \$2.2 million in 2018 and less than \$0.1 million in 2017. Pledges in the amount of \$560.1 million and \$533.2 million were recorded as receivables at June 30, 2018 and 2017, respectively, with the revenue assigned to the appropriate classification of restriction. Pledges consist of unconditional written promises to contribute to MIT in the future and are recorded after discounting the future cash flows to the present value.

MIT records items of collections as gifts at nominal value. They are received for educational purposes and most are displayed throughout MIT. In general, collections are not disposed of for financial gain or otherwise encumbered in any manner.

### Life Income Funds

MIT's life income fund agreements with donors consist primarily of irrevocable charitable gift annuities, pooled income funds, and charitable remainder trusts for which MIT serves as trustee. Assets are invested and payments are made to donors and other beneficiaries in accordance with the respective agreements. MIT records the assets that are associated with each life income fund at fair value and records as liabilities the present value of the estimated future payments at current interest rates to be made to the donors and beneficiaries under these agreements. Life income fund assets are included within investments on the Consolidated Statements of Financial Position. A rollforward of liabilities due under life income fund agreements is presented in Table 5 below.

| <i>(in thousands of dollars)</i>                   | 2018              | 2017              |
|--|-------------------|-------------------|
| Balance at the beginning of the year. . .          | \$ 154,470        | \$ 145,216        |
| Addition for new gifts . . . . .                   | 28,768            | 8,122             |
| Termination and payments to beneficiaries. . . . . | (17,782)          | (19,671)          |
| Net investment and actuarial gain. . .             | 21,993            | 20,803            |
| <b>Balance at end of the year . . . . .</b>        | <b>\$ 187,449</b> | <b>\$ 154,470</b> |

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## A. Accounting Policies (continued)

### Accounts Payable, Accruals, and Other Liabilities

MIT's accounts payable, accruals, and other liabilities totaled \$487.0 million and \$457.5 million at June 30, 2018 and 2017, respectively. These totals included accrued vacation of \$88.4 million at June 30, 2018, and \$88.2 million at June 30, 2017.

### Recently Adopted Accounting Standards

On July 1, 2016, the Institute early adopted new guidance related to how *Not-for-Profit Entities that are a General or Limited Partner Should Consolidate a For-Profit Limited Partnership or Similar Entity*, which impacts consolidation for not-for-profit entities. As a result of adopting this guidance, certain previously consolidated limited liability investment entities are no longer consolidated.

On July 1, 2016, the Institute early adopted new guidance related to *Recognition and Measurement of Financial Assets and Financial Liabilities*. The guidance eliminates the requirement to disclose the fair value of our outstanding debt. The Institute has evaluated the impact of the guidance on the financial statements and accompanying notes and has removed the fair value reference previously included in Note F.

### Non-Cash Items

Non-cash transactions excluded from the Consolidated Statements of Cash Flows include (\$13.9) million and \$12.3 million of accrued liabilities related to plant and equipment purchases for 2018 and 2017, respectively. The (\$13.9) million excluded in fiscal 2018 was driven by over-accruing for plant and equipment purchases in fiscal 2017.

### Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

### Subsequent Events

MIT has evaluated subsequent events through September 14, 2018, the date on which the financial statements were issued. There were no subsequent events that occurred after the balance sheet date that have a material impact on MIT's financial statements.

### Summarized Information

The Consolidated Statement of Activities and the certain Notes to the Consolidated Financial Statements include certain prior year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with MIT's financial statements for the year ended June 30, 2017, from which the summarized information was derived.



## A. Accounting Policies (continued)

### Cash Flow Revisions

MIT has revised the Consolidated Statement of Cash Flows for the year ended June 30, 2017, to correct the classification of \$254.8 million of cash receipts which are restricted for long-term investment. This amount was primarily attributable to an endowed pledge payment of \$175.9 million from one donor. The Institute has concluded that these receipts should have been classified as a cash inflow from financing activities, rather than from operating activities, in accordance with Accounting Standards Codification (ASC) 230, Statement of Cash Flows. The Consolidated Statement of Cash Flows for the year ended June 30, 2017 has been corrected to reflect this and other immaterial revisions between cash flow categories.

These revisions have no impact on the amounts disclosed in MIT's Statement of Activities or Statement of Financial Position, or on the net change in cash and cash balances shown in the Consolidated Statement of Cash Flows, all of which were accurately stated. Additionally, the Institute has evaluated the impact of these misclassifications and concluded that they are not material, individually or in the aggregate, to the previously reported June 30, 2017 financial statements.

The following exhibit shows the impact of the revisions to correct these classification errors in the 2017 Consolidated Statement of Cash Flows.

| <b>Consolidated Statement of Cash Flows — Revisions</b>                     |                           |                    |                          |
|---|---------------------------|--------------------|--------------------------|
| <i>to the year ended June 30, 2017</i>                                      |                           |                    |                          |
| <i>(in thousands of dollars)</i>  | As Previously<br>Reported | Adjustment         | As Revised               |
| <b>Cash Flow from Operating Activities</b>                                  |                           |                    |                          |
| Amortization of bond premiums and discounts and other adjustments . . . . . | \$ 13,294                 | \$ (7,717)         | \$ 5,577                 |
| Liabilities due under life income fund agreements . . . . .                 | 9,254                     | 14,422             | 23,676                   |
| Reclassify contributions restricted for long-term investment . . . . .      | (92,767)                  | (254,803)          | (347,570)                |
| All other operating activities . . . . .                                    | (160,258)                 | -                  | (160,258)                |
| Net cash used in operating activities . . . . .                             | <u>(230,477)</u>          | <u>(248,098)</u>   | <u>(478,575)</u>         |
| <b>Cash Flow from Investing Activities</b>                                  |                           |                    |                          |
| Student notes issued . . . . .  | (14,453)                  | 7,717              | (6,736)                  |
| All other investing activities . . . . .                                    | (302,495)                 | -                  | (302,495)                |
| Net cash used in investing activities . . . . .                             | <u>(316,948)</u>          | <u>7,717</u>       | <u>(309,231)</u>         |
| <b>Cash Flow from Financing Activities</b>                                  |                           |                    |                          |
| Contributions restricted for long-term investment . . . . .                 | 92,767                    | 254,803            | 347,570                  |
| Payments to beneficiaries of life income funds . . . . .                    | -                         | (14,422)           | (14,422)                 |
| All other financing activities . . . . .                                    | 405,475                   | -                  | 405,475                  |
| Net cash provided by financing activities . . . . .                         | <u>498,242</u>            | <u>240,381</u>     | <u>738,623</u>           |
| Net decrease in cash . . . . .  | (49,183)                  | -                  | (49,183)                 |
| Cash at the beginning of the year . . . . .                                 | 449,008                   | -                  | 449,008                  |
| <b>Cash at the end of the year . . . . .</b>                                | <b><u>\$ 399,825</u></b>  | <b><u>\$ -</u></b> | <b><u>\$ 399,825</u></b> |

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## B. Investments

Investments are presented at fair value in accordance with GAAP. MIT performs ongoing due diligence to determine that the fair value of investments is reasonable. In particular, to ensure that the valuation techniques for investments that are categorized within the fair value hierarchy are fair, consistent, and verifiable, MIT has established a Valuation Committee (“the Committee”) that oversees the valuation processes and procedures and ensures that the policies are fair and consistently applied. The Committee is responsible for conducting annual reviews of the valuation policies, evaluating the overall fairness and consistent application of the valuation policies, and performing specific reviews of certain reported valuations. The Committee performs due diligence over the external managers and, based on this review, substantiates the use of net asset value (NAV) as a practical expedient for estimates of fair value of its investments in externally managed funds. The Committee is comprised of senior personnel with members who are independent of investment functions. The Committee meets biannually, or more frequently as needed. Members of the Committee report annually to MIT’s Risk and Audit Committee. The methods described in this note may produce a fair value that may not be indicative of net realizable value or reflective of future fair values. While MIT believes its valuation methods are appropriate and consistent with those of other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different estimate of fair value at the reporting date.

Exchange and over-the-counter investment transactions are accounted for on the trade date. External fund investment transactions are accounted for on the settle date. Dividend income is recorded on the ex-dividend date. Interest and real estate income is recorded on the accrual basis of accounting. Realized gains and losses are recorded by MIT using the average cost method. For external funds, the realized gains and losses are recognized subsequent to the return of all capital invested.

MIT may enter into short sales whereby it sells securities that may or may not be owned by MIT in anticipation of a decline in the price of such securities or in order to hedge portfolio positions. Cash collateral and certain securities owned by MIT may be held at counterparty brokers to collateralize these positions and are included in investments on the Consolidated Statements of Financial Position.

MIT values its investments at fair value on the Consolidated Statements of Financial Positions in accordance with the

principles of accounting standards that establish a hierarchy of valuation inputs based on the extent to which the inputs are observable in the marketplace. Observable inputs reflect market data obtained from sources independent of the reporting entity. Unobservable inputs reflect the entity’s own assumptions about how market participants would value an asset or liability based on the best information available. Valuation techniques used to measure fair value must maximize the use of observable inputs and minimize the use of unobservable inputs. MIT follows a fair value hierarchy based on three levels of inputs, of which the first two are considered observable and the last is unobservable.

The following describes the hierarchy of inputs used to measure fair value and the primary valuation methodologies used by MIT for financial instruments measured at fair value on a recurring basis. The three levels of inputs are as follows:

- Level 1 – Valuations based upon observable inputs that reflect quoted prices in active markets for identical assets and liabilities.
- Level 2 – Valuations based upon: (i) quoted market prices for similar assets or liabilities in active markets; (ii) quoted prices for identical or similar assets or liabilities in markets that are not active; or (iii) other significant market-based inputs, which are observable, either directly or indirectly.
- Level 3 – Valuations based upon unobservable inputs that are significant to the overall fair value measurements.

Investments managed by external managers in fund structures are not readily marketable and are reported at fair value utilizing the most current information provided by the external manager, subject to assessments that the information is representative of fair value and in consideration of any factors deemed pertinent to the fair value measurement. These investments are shown in the NAV column of Table 6.

A financial instrument’s categorization within the valuation hierarchy is based upon the lowest level of input that is significant to the fair value measurement. Market information is considered when determining the proper categorization of the investment’s fair value measurement within the fair valuation hierarchy.

Cash and cash equivalents include cash, money market funds, repurchase agreements, and negotiable certificates of deposit and are valued at cost, which approximates fair value. Instruments listed or traded on a securities exchange are valued at the last quoted price on the primary exchange where the securities are traded.

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## B. Investments (continued)

Investments in non-exchange-traded debt are primarily valued using independent pricing sources that use broker quotes or models using observable market inputs. Investments managed by external managers include investments in (i) absolute return; (ii) domestic, foreign, and private equity; (iii) real estate; and (iv) real asset commingled funds. The fair value of securities held in external investment funds that do not have readily determinable fair values are determined by the external managers based upon industry-standard valuation approaches that require varying degrees of judgment, taking into consideration, among other things, the cost of the securities, valuations, and transactions of comparable public companies, the securities' estimated future cash flow streams, and the prices of recent significant placements of securities of the same issuer. Using these valuations, most of these external managers calculate MIT's capital account or NAV in accordance with, or in a manner consistent with, GAAP's fair value principles.

As a practical expedient, MIT is permitted under GAAP to estimate the fair value of its investments with external managers using the external managers' reported NAV without further adjustment, unless MIT expects to sell the investment at a value other than NAV or the NAV is not calculated in accordance with GAAP.

Level 3 investments are valued by MIT based upon valuation information received from the relevant entity, which may include last trade information, third-party appraisals of real estate, or valuations prepared in connection with the administration of an employee stock ownership plan. MIT may also utilize industry standard valuation techniques, including discounted cash flow models. The significant unobservable inputs used in the fair value measurements of MIT's direct investments may include their cost of capital and equity and industry risk premiums. Significant increases or decreases in these inputs in isolation may result in a significantly lower or higher fair value measurement, respectively. Split-interest agreements are generally valued at the present value of the future distributions expected to be received over the term of the agreement.

Over-the-counter positions, such as interest rate and total return swaps, credit default swaps, options, exchange agreements, and interest rate cap and floor agreements, are valued using broker quotes or models using market-observable inputs. Because the swaps and other over-the-counter derivative instruments have inputs that can usually be corroborated by observable market

data, they are generally classified within Level 2. Exchange traded derivatives, such as futures and options, are generally classified within Level 1.

MIT, through some of its direct and indirect subsidiaries, leverages certain real estate investments to optimize the use of invested capital in support of the Institute's mission. The liabilities associated with these financings are presented, on a net basis, with the investment balances on the associated real estate asset found in Table 6. The liabilities associated with real estate investments were \$768.6 million and \$777.3 million in fiscal years 2018 and 2017, respectively. MIT's subsidiaries are separate legal entities, whose assets and credit are not available to satisfy the liabilities of MIT as a stand-alone entity. Also, the liabilities of MIT's subsidiaries do not constitute obligations of MIT as a stand-alone entity.

All net realized and unrealized gains and losses relating to financial instruments held by MIT shown in Table 6 are reflected in the Consolidated Statement of Activities. Cumulative unrealized gains related to Level 3 investments totaled \$1,812.1 million and \$1,716.2 million as of June 30, 2018 and 2017, respectively. The net change in unrealized gains (losses) related to Level 3 investments held by MIT at June 30, 2018, and June 30, 2017, are disclosed in Table 7.

Certain investments in real estate, equities, and private investments may be subject to restrictions that: (i) limit MIT's ability to withdraw capital after such investment; and (ii) may limit the amount that may be withdrawn as of a given redemption date. Most absolute return, domestic equity, and foreign equity commingled funds limit withdrawals to monthly, quarterly, or other periods, and may require notice periods. In addition, certain of these funds are able to designate a portion of the investments as illiquid in "side-pockets," and these funds may not be available for withdrawal until liquidated by the investing fund. Generally, MIT has no discretion as to withdrawal with respect to its investments in private equity and real estate funds. Distributions are made when sales of assets are made within these funds and the investment cycle for these funds can be as long as 15 to 20 years. These restrictions may limit MIT's ability to respond quickly to changes in market conditions. MIT does have various sources of liquidity at its disposal, including cash, cash equivalents, marketable debt and equity securities, and lines of credit.

## B. Investments (continued)

Table 6 presents MIT's investments at fair value as of June 30, 2018 and 2017, respectively, grouped by the valuation hierarchy as defined earlier in this note.

| <i>(in thousands of dollars)</i>           | Level 1             | Level 2             | Level 3             | NAV                  | Total Fair Value     |
|--|---------------------|---------------------|---------------------|----------------------|----------------------|
| <b>Fiscal Year 2018</b>                    |                     |                     |                     |                      |                      |
| Cash and cash equivalents . . . . .        | \$ 1,354,618        | \$ -                | \$ -                | \$ -                 | \$ 1,354,618         |
| US Treasury . . . . .                      | 1,159,000           | -                   | -                   | -                    | 1,159,000            |
| US government agency . . . . .             | 554                 | 68,332              | -                   | -                    | 68,886               |
| Domestic bonds . . . . .                   | 19,612              | 795,566             | 120,096             | -                    | 935,274              |
| Foreign bonds . . . . .                    | 2,106               | 95,154              | -                   | -                    | 97,260               |
| Common equity:                             |                     |                     |                     |                      |                      |
| Long domestic . . . . .                    | 53,262              | -                   | 202,840             | -                    | 256,102              |
| Long foreign . . . . .                     | 170,023             | 215                 | -                   | -                    | 170,238              |
| Equity:**                                  |                     |                     |                     |                      |                      |
| Absolute return . . . . .                  | -                   | -                   | -                   | 1,948,154            | 1,948,154            |
| Domestic . . . . .                         | -                   | -                   | -                   | 2,335,421            | 2,335,421            |
| Foreign . . . . .                          | -                   | -                   | -                   | 4,426,017            | 4,426,017            |
| Private . . . . .                          | -                   | -                   | -                   | 4,020,787            | 4,020,787            |
| Real estate* . . . . .                     | 49,308              | -                   | 2,385,683           | 729,463              | 3,164,454            |
| Real assets** . . . . .                    | -                   | -                   | 184                 | 687,581              | 687,765              |
| Split-interest agreements . . . . .        | -                   | -                   | 156,494             | -                    | 156,494              |
| Other . . . . .                            | -                   | 200                 | 4,216               | -                    | 4,416                |
| Derivatives . . . . .                      | (193)               | (40,920)            | -                   | -                    | (41,113)             |
| <b>Investments, at fair value. . . . .</b> | <b>\$ 2,808,290</b> | <b>\$ 918,547</b>   | <b>\$ 2,869,513</b> | <b>\$ 14,147,423</b> | <b>\$ 20,743,773</b> |
| <b>Fiscal Year 2017</b>                    |                     |                     |                     |                      |                      |
| Cash and cash equivalents . . . . .        | \$ 1,289,440        | \$ -                | \$ -                | \$ -                 | \$ 1,289,440         |
| US Treasury . . . . .                      | 983,110             | -                   | -                   | -                    | 983,110              |
| US government agency . . . . .             | -                   | 68,972              | -                   | -                    | 68,972               |
| Domestic bonds . . . . .                   | 11,085              | 827,798             | 112,325             | -                    | 951,208              |
| Foreign bonds . . . . .                    | 21                  | 218,676             | -                   | -                    | 218,697              |
| Common equity:                             |                     |                     |                     |                      |                      |
| Long domestic . . . . .                    | 122,824             | -                   | 199,643             | -                    | 322,467              |
| Long foreign . . . . .                     | 522,712             | 934                 | -                   | -                    | 523,646              |
| Equity:**                                  |                     |                     |                     |                      |                      |
| Absolute return . . . . .                  | -                   | -                   | -                   | 1,948,414            | 1,948,414            |
| Domestic . . . . .                         | -                   | -                   | -                   | 1,860,682            | 1,860,682            |
| Foreign . . . . .                          | -                   | -                   | -                   | 3,939,887            | 3,939,887            |
| Private . . . . .                          | -                   | -                   | -                   | 3,352,743            | 3,352,743            |
| Real estate* . . . . .                     | 8,885               | -                   | 2,094,523           | 711,635              | 2,815,043            |
| Real assets** . . . . .                    | -                   | -                   | 205                 | 667,986              | 668,191              |
| Split-interest agreements . . . . .        | -                   | -                   | 142,499             | -                    | 142,499              |
| Other . . . . .                            | 2,796               | 200                 | 3,881               | -                    | 6,877                |
| Derivatives . . . . .                      | 32                  | (46,561)            | -                   | -                    | (46,529)             |
| <b>Investments, at fair value. . . . .</b> | <b>\$ 2,940,905</b> | <b>\$ 1,070,019</b> | <b>\$ 2,553,076</b> | <b>\$ 12,481,347</b> | <b>\$ 19,045,347</b> |

\* Real estate includes direct investments and investments held through commingled vehicles.

\*\* Real assets and equity categories include commingled vehicles that invest in these types of investments.

## B. Investments (continued)

Table 7 below is a rollforward of the investments classified by MIT within Level 3 of the fair value hierarchy defined earlier in this note at June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>  | Fair Value<br>Beginning | Realized<br>Gains<br>(Losses) | Unrealized<br>Gains<br>(Losses) | Purchases         | Sales               | Other<br>Changes<br>and<br>Transfers* | Fair Value<br>Ending |
|---|-------------------------|-------------------------------|---------------------------------|-------------------|---------------------|---------------------------------------|----------------------|
| <b>Fiscal Year 2018</b>   |                         |                               |                                 |                   |                     |                                       |                      |
| Domestic bonds . . . . .  | \$ 112,325              | \$ -                          | \$ -                            | \$ 15,123         | \$ (7,352)          | \$ -                                  | \$ 120,096           |
| Common equity:  |                         |                               |                                 |                   |                     |                                       |                      |
| Long domestic . . . . .   | 199,643                 | 7,525                         | 3,008                           | 6,084             | (13,420)            | -                                     | 202,840              |
| Short domestic . . . . .  | -                       | -                             | -                               | 43                | (43)                | -                                     | -                    |
| Real estate . . . . .   | 2,094,523               | 179,169                       | 122,784                         | 182,674           | (193,467)           | -                                     | 2,385,683            |
| Real assets . . . . .   | 205                     | -                             | (21)                            | -                 | -                   | -                                     | 184                  |
| Split-interest agreements . .   | 142,499                 | 169                           | 14,391                          | 163               | (728)               | -                                     | 156,494              |
| Other . . . . .   | 3,881                   | -                             | (76)                            | 772               | (361)               | -                                     | 4,216                |
| <b>Investments, at fair value . . .</b>   | <b>\$ 2,553,076</b>     | <b>\$ 186,863</b>             | <b>\$ 140,086</b>               | <b>\$ 204,859</b> | <b>\$ (215,371)</b> | <b>\$ -</b>                           | <b>\$ 2,869,513</b>  |
| <b>Fiscal Year 2017</b>   |                         |                               |                                 |                   |                     |                                       |                      |
| Domestic bonds . . . . .  | \$ 104,048              | \$ -                          | \$ -                            | \$ 16,306         | \$ (8,029)          | \$ -                                  | \$ 112,325           |
| Common equity:  |                         |                               |                                 |                   |                     |                                       |                      |
| Long domestic . . . . .   | 95,120                  | 601                           | 104,736                         | 5,927             | (6,741)             | -                                     | 199,643              |
| Short domestic . . . . .  | -                       | -                             | -                               | -                 | -                   | -                                     | -                    |
| Real estate . . . . .   | 2,005,145               | 14,320                        | 244,061                         | 170,833           | (52,611)            | (287,225)                             | 2,094,523            |
| Real assets . . . . .   | 275                     | -                             | (70)                            | -                 | -                   | -                                     | 205                  |
| Split-interest agreements . .   | 126,832                 | 1,120                         | 7,135                           | 11,308            | (3,896)             | -                                     | 142,499              |
| Other . . . . .   | 2,809                   | -                             | 60                              | 1,012             | -                   | -                                     | 3,881                |
| <b>Investments, at fair value . . .</b>   | <b>\$ 2,334,229</b>     | <b>\$ 16,041</b>              | <b>\$ 355,922</b>               | <b>\$ 205,386</b> | <b>\$ (71,277)</b>  | <b>\$ (287,225)</b>                   | <b>\$ 2,553,076</b>  |
| <i>*Other Changes and Transfers include cash received and paid related to the real estate financings described earlier in this footnote. There were no transfers in or out of Level 3 for fiscal years 2018 and 2017.</i> |                         |                               |                                 |                   |                     |                                       |                      |

Table 8 below sets forth a summary of valuation techniques and quantitative information utilized in determining the fair value of MIT's Level 3 investments as of June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>  | Fair Value at<br>June 30, 2018 | Fair Value at<br>June 30, 2017 | Valuation Technique  | Unobservable<br>Input | 2018<br>Rates | 2017<br>Rates |
|---|--------------------------------|--------------------------------|----------------------|-----------------------|---------------|---------------|
| Real estate . . . . .   | \$ 2,385,683                   | \$ 2,094,523                   | Discounted cash flow | Discount rate         | 5.0-8.0%      | 4.5-8.5%      |
|   |                                |                                | Capitalization rate  | Capitalization rate   | 4.5-7.3%      | 4.5-7.0%      |
| Equity securities . . . . .   | 183,169                        | 180,654                        | Discounted cash flow | Discount rate         | 12.5%         | 13.2%         |
| Split-interest agreements   | 119,260                        | 105,581                        | Net present value    | Discount rate         | 3.7%          | 2.65-4.5%     |
| Real assets . . . . .   | 184                            | 205                            | Discounted cash flow | Discount              | 25.0%         | 25.0%         |
| Other illiquid assets . . . . .   | 650                            | 882                            | Varies               | Varies                | Varies        | Varies        |
| <b>Total assets . . . . .</b>   | <b>\$ 2,688,946</b>            | <b>\$ 2,381,845</b>            |                      |                       |               |               |
| <i>Certain Level 3 assets totaling \$180,567 and \$171,231 as of June 30, 2018 and June 30, 2017, respectively, have been valued using unadjusted third-party quotations and thus have been excluded from this table.</i> |                                |                                |                      |                       |               |               |

## B. Investments (continued)

Details on the current redemption terms and restrictions by asset class and type of investment are provided in Table 9 below.

| Asset Class<br><i>(in thousands of dollars)</i> | 2018                 |                      | 2017                 |                      | Redemption Terms   | Redemption Restrictions   |
|---|----------------------|----------------------|----------------------|----------------------|--|---|
|   | Unfunded Commitments | Fair Value           | Unfunded Commitments | Fair Value           |  |   |
| Equity:   |                      |                      |                      |                      |  |   |
| Absolute return . . . .                         | \$ 209,572           | \$ 1,948,154         | \$ 153,487           | \$ 1,948,414         | Redemption terms range from 45 days with 1 month's notice to closed-end funds not available for redemption                                     | Lock-up provisions range from none to not available for redemption                              |
| Domestic . . . . .                              | 6,173                | 2,335,421            | 1,790                | 1,860,682            | Redemption terms range from 2 months with 1 month's notice to 25 months with 30 days' notice and closed-end funds not available for redemption | Lock-up provisions range from none to 60 months; certain funds are not available for redemption |
| Foreign . . . . .                               | 20,000               | 4,426,017            | 36,200               | 3,939,887            | Redemption terms range from daily with 10 days' notice to 38 months with 6 months' notice and closed-end funds not available for redemption    | Lock-up provisions range from none to 58 months   |
| Private . . . . .                               | 1,658,030            | 4,020,787            | 1,517,659            | 3,352,743            | Closed-end funds not available for redemption  | Closed-end funds not available for redemption   |
| Real estate . . . . .                           | 605,483              | 729,463              | 563,739              | 711,635              | Closed-end funds not available for redemption  | Closed-end funds not available for redemption   |
| Real assets . . . . .                           | 133,174              | 687,581              | 102,689              | 667,986              | Redemption terms range from 1 month with 7 days' notice to closed-end funds not available for redemption                                       | Lock-up provisions range from none to not available for redemption                              |
| <b>Total . . . . .</b>                          | <b>\$ 2,632,432</b>  | <b>\$ 14,147,423</b> | <b>\$ 2,375,564</b>  | <b>\$ 12,481,347</b> |  |   |

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## C. Derivative Financial Instruments and Collateral

MIT maintains an interest rate swap agreement to manage the interest cost and risk associated with a portion of its variable rate debt, described in Note F. Under the agreement, MIT pays a fixed rate of 4.91 percent and receives a payment indexed to the Securities Industry and Financial Market Association (SIFMA) index on a notional amount of \$125.0 million. At June 30, 2018, the swap agreement had a fair value of (\$38.0) million and at June 30, 2017, had a fair value of (\$47.1) million. This swap had a total net gain for 2018 of \$9.1 million and a total net gain of \$16.3 million for 2017. The notional amount of this derivative is not recorded on MIT's Consolidated Statements of Financial Position.

For its investment management, MIT uses a variety of financial instruments with off-balance-sheet risk involving contractual or optional commitments for future settlement. MIT uses these instruments primarily to manage its exposure to extreme market events and fluctuations in asset classes or currencies. Instruments utilized include futures, total return and credit default swaps, and interest rate cap and swaption agreements. The futures are exchange-traded, and the swap, swaptions, and cap agreements are executed over the counter.

Total return swaps involve commitments to pay interest in exchange for a market-linked return based on notional amounts. To the extent the total return of the security or index underlying the transaction exceeds or falls short of the offsetting interest rate obligation, MIT will respectively receive a payment from or make a payment to the counterparty.

MIT's portfolio of interest rate caps and swaptions is designed for protection from significant increases in interest rates. An interest rate swaption is an option to enter into an interest rate swap agreement on pre-set terms at a future date. The purchaser and seller of the swaption agree on the expiration date, option type,

exercise style, the terms of the underlying swap, and the type of settlement. As the expiration date approaches, the swaption holder can either notify the seller of its intention to exercise or let the option expire. An interest rate cap places a ceiling on a floating rate of interest on a specified notional principal amount for a specific term. The buyer of the cap uses the cap contract to limit its maximum interest rate exposure. If the buyer's floating rate rises above the cap strike, the cap contract provides for payments from the seller to the buyer of the cap for the difference between the floating rate and the cap strike. If the floating rate remains below the cap strike, no payments are required. The cap buyer is required to pay an upfront fee or premium for the cap. The cap premium charged by the seller depends upon the market's assessment of the probability that rates will move through the cap strike over the time horizon of the deal. The payoff is expected to occur in extreme market conditions that would negatively impact MIT's other assets.

Derivatives held by limited partnerships and commingled investment vehicles pose no off-balance-sheet risk to MIT due to the limited liability structure of these investments. To manage the counterparty credit exposure of MIT's direct off-balance-sheet financial instruments, MIT requires collateral to the maximum extent possible under normal trading practices. Collateral is moved on a daily basis as required by fluctuations in the market. The collateral is generally in the form of debt obligations issued by the US Treasury or cash. In the event of counterparty default, MIT has the right to use the collateral to offset the loss associated with the replacement of the agreements. MIT enters into arrangements only with counterparties believed to be creditworthy. On June 30, 2018, cash collateral and certain securities owned by MIT were held at counterparty brokers to collateralize these positions and are included in investments in the Consolidated Statements of Financial Position.

## C. Derivative Financial Instruments and Collateral (continued)

Table 10 summarizes the notional exposure and net ending fair value relative to the financial instruments with off-balance-sheet risk as of June 30, 2018 and 2017 related to MIT's investment management.

| <i>(in thousands of dollars)</i>  | Notional Exposure   |                     | Net Ending Fair Value * | Net Gain (Loss)**  |
|---|---------------------|---------------------|-------------------------|--------------------|
|   | Long                | Short               |                         |                    |
| <b>Fiscal Year 2018</b>   |                     |                     |                         |                    |
| Fixed income instruments:   |                     |                     |                         |                    |
| Fixed income futures . . . . .  | \$ 4,000            | \$ (29,200)         | \$ (193)                | \$ -               |
| Options on interest rate exchange agreements . . . . .  | 949,000             | -                   | 1,086                   | (730)              |
| Equity options . . . . .  | 134                 | -                   | -                       | (11)               |
| <b>Total fixed income instruments . . . . .</b>   | <b>953,134</b>      | <b>(29,200)</b>     | <b>893</b>              | <b>(741)</b>       |
| Commodity and index instruments:  |                     |                     |                         |                    |
| Equity index swaps. . . . .   | -                   | (194,583)           | (7,293)                 | 14,642             |
| Index options . . . . .   | 95,000              | -                   | 3,353                   | (210)              |
| <b>Total commodity and index instruments . . . . .</b>  | <b>95,000</b>       | <b>(194,583)</b>    | <b>(3,940)</b>          | <b>14,432</b>      |
| Credit instruments . . . . .  | -                   | (12,750)            | (92)                    | (332)              |
| <b>2018 Total . . . . .</b>   | <b>\$ 1,048,134</b> | <b>\$ (236,533)</b> | <b>\$ (3,139)</b>       | <b>\$ 13,359</b>   |
| <b>Fiscal Year 2017</b>   |                     |                     |                         |                    |
| Fixed income instruments:   |                     |                     |                         |                    |
| Fixed income futures . . . . .  | \$ 1,900            | \$ (9,200)          | \$ 32                   | \$ -               |
| Options on interest rate exchange agreements . . . . .  | 1,039,000           | -                   | 1,818                   | (139)              |
| Equity options . . . . .  | 134                 | -                   | 11                      | -                  |
| <b>Total fixed income instruments . . . . .</b>   | <b>1,041,034</b>    | <b>(9,200)</b>      | <b>1,861</b>            | <b>(139)</b>       |
| Commodity and index instruments:  |                     |                     |                         |                    |
| Equity index swaps. . . . .   | -                   | (79,332)            | 744                     | (32,183)           |
| <b>Total commodity and index instruments . . . . .</b>  | <b>-</b>            | <b>(79,332)</b>     | <b>744</b>              | <b>(32,183)</b>    |
| Credit instruments . . . . .  | -                   | (76,119)            | (2,032)                 | (973)              |
| <b>2017 Total . . . . .</b>   | <b>\$ 1,041,034</b> | <b>\$ (164,651)</b> | <b>\$ 573</b>           | <b>\$ (33,295)</b> |
| * <i>The fair value of all derivative financial instruments is reflected in investments at fair value in the Consolidated Statements of Financial Position.</i>                     |                     |                     |                         |                    |
| ** <i>Net gain (loss) from the derivative financial instruments is located in the non-operating section as net gain on investments in the Consolidated Statement of Activities.</i> |                     |                     |                         |                    |



## C. Derivative Financial Instruments and Collateral (continued)

Table 11 below provides further details related to MIT's credit instruments and summarizes the notional amounts and fair value of the purchased credit derivatives, classified by the expiration terms and the external credit ratings of the reference obligations at June 30, 2018 and 2017.

The act of entering into a credit default swap contract is often referred to as "buying protection" or "selling protection" on an underlying reference obligation. The buyer is obligated to make premium payments to the seller over the term of the contract in return for a contingent payment upon the occurrence of a credit event with respect to the underlying obligation. The seller bears the obligation to "protect" the buyer in the event of default of the underlying issuer. Upon this event, the cash payment that the buyer receives is equal to the clearing price established by an auction of credit default swap claims, which is designed to approximate the recovery value of an unsecured claim on the issuer in default. The swap will last for a predetermined amount of time, typically five years. Upon termination of the swap, the buyer is no longer obligated to make any premium payments, and there is no other exchange of capital.

Counterparty risk may be partially or completely mitigated through master netting agreements included within an International Swaps and Derivatives Association, Inc. ("ISDA") Master Agreement between MIT and each of its counterparties. The ISDA Master Agreement allows MIT to offset with the counterparty certain derivative instruments' payables and/or receivables with collateral held with/from each counterparty. To the extent amounts due from the counterparties are not fully collateralized, contractually or otherwise, there is the risk of loss from counterparty non-performance.

Maximum risk of loss from counterparty credit risk on over-the-counter derivatives is generally the aggregate unrealized appreciation in excess of any collateral pledged by the counterparty. ISDA Master Agreements allow MIT or the counterparties to an over-the-counter derivative to terminate the contract prior to maturity in the event either party fails to meet the terms in the ISDA Master Agreements. This would cause an accelerated payment of net liability, if owed to the counterparty.

**Table 11. Credit Derivative Instruments**

|                                       | Purchased Protection        |                        |                             |
|---------------------------------------|-----------------------------|------------------------|-----------------------------|
|                                       | Purchased Notional Amounts* | Purchased Fair Value** | < 5 Years Years to Maturity |
| <i>(in thousands of dollars)</i>      |                             |                        |                             |
| <b>Fiscal Year 2018</b>               |                             |                        |                             |
| Credit rating on underlying or index: |                             |                        |                             |
| A- to AAA . . . . .                   | \$ 2,250                    | \$ (49)                | \$ 2,250                    |
| BBB- to BBB+ . . . . .                | 5,500                       | (2)                    | 5,500                       |
| Non-rated . . . . .                   | 5,000                       | (41)                   | 5,000                       |
| <b>2018 Total</b> . . . . .           | <b>\$ 12,750</b>            | <b>\$ (92)</b>         | <b>\$ 12,750</b>            |
| <b>Fiscal Year 2017</b>               |                             |                        |                             |
| Credit rating on underlying or index: |                             |                        |                             |
| A- to AAA . . . . .                   | \$ 25,000                   | \$ 474                 | \$ 25,000                   |
| BBB- to BBB+ . . . . .                | 51,119                      | 1,558                  | 51,119                      |
| Non-rated . . . . .                   | -                           | -                      | -                           |
| <b>2017 Total</b> . . . . .           | <b>\$ 76,119</b>            | <b>\$ 2,032</b>        | <b>\$ 76,119</b>            |

\* All instruments included in these amounts have maturity less than 5 years.

\*\* The fair value of all credit derivative instruments is reflected in investments, at fair value, in the Consolidated Statements of Financial Position.

## C. Derivative Financial Instruments and Collateral (continued)

Tables 12 and 13 below summarize the effect that the offsetting of recognized assets and liabilities could have in the Consolidated Statements of Financial Position.

**Table 12. Offsetting of Financial and Derivative Assets and Liabilities**

| <i>(in thousands of dollars)</i>             | 2018             |   |                    | 2017              |   |                    |
|--|------------------|---|--------------------|-------------------|---|--------------------|
|  | Gross Amount     | Cash/Treasury Collateral Posted/ (Received) | Net Amount         | Gross Amount      | Cash/Treasury Collateral Posted/ (Received) | Net Amount         |
| <b>Assets</b>                                |                  |   |                    |                   |   |                    |
| Counterparty A.....                          | \$ 391           | \$ (405)                                    | \$ (14)            | \$ 720            | \$ (880)                                    | \$ (160)           |
| Counterparty B.....                          | 25,402           | (25,916)                                    | (514)              | 27,000            | (27,663)                                    | (663)              |
| Counterparty C.....                          | 15,000           | (15,273)                                    | (273)              | -                 | -   | -                  |
| Counterparty D.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty E.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty F.....                          | 58,584           | (59,772)                                    | (1,188)            | -                 | -   | -                  |
| Counterparty G.....                          | 36,383           | (37,185)                                    | (802)              | 18,528            | (18,916)                                    | (388)              |
| Counterparty H.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty I.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty J.....                          | 3,353            | (3,330)                                     | 23                 | -                 | -   | -                  |
| Counterparty K.....                          | -                | -   | -                  | 1,843             | 7,183                                       | 9,026              |
| <b>Total assets.....</b>                     | <u>139,113</u>   | <u>(141,881)</u>                            | <u>(2,768)</u>     | <u>48,091</u>     | <u>(40,276)</u>                             | <u>7,815</u>       |
| <b>Liabilities</b>                           |                  |   |                    |                   |   |                    |
| Counterparty A.....                          | (32)             | 50  | 18                 | (59)              | 60  | 1                  |
| Counterparty B.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty C.....                          | -                | 60  | 60                 | (527)             | 550   | 23                 |
| Counterparty D.....                          | -                | -   | -                  | (1,052)           | 1,091                                       | 39                 |
| Counterparty E.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty F.....                          | -                | -   | -                  | -                 | -   | -                  |
| Counterparty G.....                          | -                | -   | -                  | (33)              | 60  | 27                 |
| Counterparty H.....                          | (37,974)         | -   | (37,974)           | (47,103)          | -   | (47,103)           |
| Counterparty I.....                          | (49)             | -   | (49)               | (6)               | -   | (6)                |
| Counterparty J.....                          | (11)             | 40  | 29                 | (355)             | 340   | (15)               |
| Counterparty K.....                          | (6,598)          | 806   | (5,792)            | -                 | -   | -                  |
| <b>Total liabilities.....</b>                | <u>(44,664)</u>  | <u>956</u>                                  | <u>(43,708)</u>    | <u>(49,135)</u>   | <u>2,101</u>                                | <u>(47,034)</u>    |
| <b>Total assets and liabilities, net ...</b> | <u>\$ 94,449</u> | <u>\$ (140,925)</u>                         | <u>\$ (46,476)</u> | <u>\$ (1,044)</u> | <u>\$ (38,175)</u>                          | <u>\$ (39,219)</u> |

Table 13 below reconciles the net recognized assets and liabilities, as shown in Table 12, to derivative financial instruments as shown in Table 6.

**Table 13. Reconciliation of Financial and Derivative Assets and Liabilities**

| <i>(in thousands of dollars)</i> | 2018             | 2017              |
|----------------------------------|------------------|-------------------|
| Derivatives from Table 6.....    | \$ (41,113)      | \$ (46,529)       |
| Repurchase agreements.....       | 135,369          | 45,528            |
| Fixed income futures.....        | 193              | (32)              |
| Equity options.....              | -                | (11)              |
| <b>Total.....</b>                | <u>\$ 94,449</u> | <u>\$ (1,044)</u> |

## D. Pledges Receivable

Table 14 below shows the time periods in which pledges receivable at June 30, 2018 and 2017 are expected to be realized.

| <i>(in thousands of dollars)</i>                  | 2018              | 2017              |
|---|-------------------|-------------------|
| In one year or less . . . . .                     | \$ 276,883        | \$ 239,548        |
| Between one year and five years . .               | 264,333           | 266,586           |
| More than five years . . . . .                    | 80,931            | 86,103            |
| Less: allowance for unfulfilled pledges . . . . . | (62,005)          | (59,010)          |
| <b>Pledges receivable, net. . . . .</b>           | <b>\$ 560,142</b> | <b>\$ 533,227</b> |

A review of pledges is periodically made with regard to collectability. As a result, the allowance for unfulfilled pledges is adjusted, and some pledges have been cancelled and are no longer recorded in the financial statements.

Pledges are discounted in the amount of \$80.7 million and \$64.6 million in 2018 and 2017, respectively. The pledge discount rate

ranges from fiscal year 2019 at 2.48 percent to fiscal year 2044 at 3.81 percent. MIT has gross conditional pledges, not recorded, for the promotion of education and research of \$100.6 million and \$80.6 million in 2018 and 2017, respectively.

Pledges receivable are classified as Level 3 under the valuation hierarchy described in Note B.

Table 15 below is a rollforward of the pledges receivable at June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>                    | 2018              | 2017              |
|---|-------------------|-------------------|
| Balance at beginning of the year. .                 | \$ 533,227        | \$ 609,065        |
| New pledges . . . . .                               | 206,146           | 320,750           |
| Pledge payments received . . . . .                  | (160,213)         | (363,083)         |
| Change in pledge discount . . . . .                 | (16,023)          | (41,915)          |
| Change in reserve for unfulfilled pledges . . . . . | (2,995)           | 8,410             |
| <b>Balance at the end of the year. . .</b>          | <b>\$ 560,142</b> | <b>\$ 533,227</b> |

## E. Student Notes Receivable

Table 16 below details the components of student notes receivable at June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>                   | 2018             | 2017             |
|--|------------------|------------------|
| Institute-funded student notes receivable. . . . . | \$ 12,258        | \$ 12,540        |
| Perkins student notes receivable. . . . .          | 21,223           | 27,481           |
| Total student notes receivable . . . . .           | 33,481           | 40,021           |
| Less: allowance for doubtful accounts . . . . .    | (3,000)          | (3,000)          |
| <b>Student notes receivable, net. . . . .</b>      | <b>\$ 30,481</b> | <b>\$ 37,021</b> |

Under federal law, the authority for schools to make new Perkins Loans ended on September 30, 2017, and final disbursements were permitted through June 30, 2018. Perkins student notes receivable were funded by the US government and by MIT. Those funds advanced by the US government for this program are ultimately refundable to the US government and are classified as liabilities in US government advances for student loans in the Consolidated Statements of Financial Position. Due to the nature and terms of the student loans, which are subject to significant restrictions, it is not feasible to determine the fair value of such loans.

### Allowance for Credit Losses

Management regularly assesses the adequacy of the allowance for credit losses by performing ongoing evaluations of the student loan portfolio, including such factors as the differing economic risks associated with each loan category, the financial condition of specific borrowers, the economic environment in which the borrowers operate, the level of delinquent loans, the value of any collateral, and, where applicable, the existence of any guarantees or indemnifications. MIT's Perkins Loans receivable represents the amounts due from current and former students under the Federal Perkins Loan Program. Loans disbursed under the Federal Perkins Loan Program are able to be assigned to the US government in certain non-repayment situations. In these situations, the federal portion of the loan balance is guaranteed.

## F. Net Borrowings

MIT's outstanding borrowings at June 30, 2018 and 2017, are shown in Table 17 below.

| <i>(in thousands of dollars / due dates are calendar based / par values as of 2018)</i> | 2018                       | 2017                       |
|---|----------------------------|----------------------------|
| <b>Educational plant</b>  |                            |                            |
| Massachusetts Development Finance Agency (MassDevelopment)                              |                            |                            |
| Series I, 5.20%, due 2028, par value \$30,000 . . . . .                                 | \$ 30,548                  | \$ 30,606                  |
| Series J-1, variable rate, due 2032, par value \$125,000 . . . . .                      | 125,000                    | 125,000                    |
| Series J-2, variable rate, due 2032, par value \$125,000 . . . . .                      | 125,000                    | 125,000                    |
| Series K, 5.5%, due 2022-2032, par value \$177,000 . . . . .                            | 184,512                    | 211,590                    |
| Series L, 5.0%-5.25%, due 2018-2033, par value \$141,670 . . . . .                      | 148,200                    | 148,950                    |
| Series M, 5.25%, due 2019-2030, par value \$102,325 . . . . .                           | 108,041                    | 108,866                    |
| <b>Total MassDevelopment</b>  | <u>721,301</u>             | <u>750,012</u>             |
| Medium Term Notes Series A, 7.125% due 2026, par value \$17,415 . . . . .               | 17,382                     | 17,379                     |
| Medium Term Notes Series A, 7.25%, due 2096, par value \$45,604 . . . . .               | 45,463                     | 45,459                     |
| Taxable Bonds, Series B, 5.60%, due 2111, par value \$750,000* . . . . .                | 747,113                    | 747,082                    |
| Taxable Bonds, Series C, 4.678%, due 2114, par value \$550,000* . . . . .               | 550,000                    | 550,000                    |
| Taxable Bonds, Series D, 2.051-3.959%, due 2019-2038, par value \$522,410 . .           | 522,410                    | 522,410                    |
| Taxable Bonds, Series E, 3.885%, due 2116, par value \$500,000* . . . . .               | 500,000                    | 500,000                    |
| Notes payable to bank, variable rate, due 2020 . . . . .                                | 113,034                    | 113,033                    |
| <b>Total Taxable</b>  | <u>2,495,402</u>           | <u>2,495,363</u>           |
| <b>Total educational plant</b>  | <u>3,216,703</u>           | <u>3,245,375</u>           |
| <b>Other</b>  |                            |                            |
| Notes payable to bank, variable rate, due 2020 . . . . .                                | 63,476                     | 63,476                     |
| <b>Total borrowings</b>   | <u>3,280,179</u>           | <u>3,308,851</u>           |
| Unamortized bond issuance costs . . . . .   | (20,790)                   | (21,306)                   |
| <b>Total borrowings net of unamortized debt issuance cost</b>                           | <u><u>\$ 3,259,389</u></u> | <u><u>\$ 3,287,545</u></u> |

*\* The proceeds of recent taxable bonds were in the process of being invested in physical assets in 2017 and 2018, with unused balances held as investments.*

## F. Net Borrowings (continued)

The aggregate amounts of debt payments and sinking fund requirements for each of the next five fiscal years are shown in Table 18 below.

| 2019 ..... | \$ | 26,000 |
|------------|----|--------|
| 2020 ..... |    | 77,030 |
| 2021 ..... |    | 11,180 |
| 2022 ..... |    | 11,765 |
| 2023 ..... |    | 55,500 |

MIT maintains a line of credit with a major financial institution for an aggregate commitment of \$500.0 million. As of June 30, 2018, \$323.5 million was available under this line of credit (see "Notes payable" on Table 17). The line of credit expires on March 31, 2020.

Cash paid for interest on long-term debt in 2018 and 2017 was \$146.8 million and \$137.7 million, respectively.

Variable interest rates at June 30, 2018, are shown in Table 19 below.

|                                     | Amount     | Rate  |
|-------------------------------------|------------|-------|
| MassDevelopment Series J-1. . . . . | \$ 125,000 | 1.45% |
| MassDevelopment Series J-2. . . . . | 125,000    | 1.40% |
| Notes payable to bank. . . . .      | 176,509    | 2.51% |

In the event that MIT receives notice of any optional tender on its Series J-1 and Series J-2 variable-rate bonds, or if these bonds become subject to mandatory tender, the purchase price of the bonds will be paid from the remarketing of such bonds. However, if the remarketing proceeds are insufficient, MIT will be obligated to purchase the bonds tendered at 100 percent of par on the tender date.

## G. Commitments and Contingencies

### Federal Government Funding

MIT receives funding or reimbursement from federal agencies for sponsored research under government grants and contracts. These grants and contracts provide for reimbursement of indirect costs based on rates negotiated with the Office of Naval Research (ONR), MIT's cognizant federal agency. MIT's indirect cost reimbursements are based on fixed rates with carryforward of under- or over-recoveries. At June 30, 2018 and 2017, MIT recorded a net over-recovery of \$41.2 million and \$15.4 million, respectively.

The DCAA is responsible for auditing indirect charges to grants and contracts in support of ONR's negotiating responsibility. MIT has final audited rates through 2009. MIT's 2018 research revenues of \$1,705.3 million include reimbursement of indirect costs of \$202.5 million, which includes the adjustment for the variance between the indirect cost income determined by the fixed rates and actual costs for 2018. It also includes reductions resulting from prior-year audits, contributing to the drop in indirect cost revenue experienced in fiscal 2018. In 2017, research revenues were \$1,709.5 million, which included reimbursement of indirect costs of \$241.0 million.

### Leases

At June 30, 2018, there were no capital lease obligations. MIT has commitments under certain operating (rental) leases. Rent expense incurred under operating lease obligations was \$47.5 million and \$44.0 million in 2018 and 2017, respectively.

Future minimum payments under operating leases are shown in Table 20 below.

|            |    |        |
|------------|----|--------|
| 2019 ..... | \$ | 48,462 |
| 2020 ..... |    | 46,632 |
| 2021 ..... |    | 44,838 |
| 2022 ..... |    | 39,302 |
| 2023 ..... |    | 37,246 |

### Investments

As of June 30, 2018, \$13.5 million of investments were pledged as collateral to various suppliers and government agencies.

### Future Construction

At June 30, 2018, MIT had contractual obligations of approximately \$490.7 million in connection with educational plant construction projects. It is expected that the resources to satisfy these commitments will be provided from unexpended plant funds, anticipated gifts, bond proceeds, and unrestricted funds.

MIT has also made commitments related to the development of its commercial real estate holdings in Kendall Square and to the enhancement of its east campus gateway. At June 30, 2018,

**G. Commitments and Contingencies (continued)**

these commitments included approximately \$371.7 million of contractual obligations related to the Kendall Square Initiative. In addition, MIT and the federal government have entered into an agreement whereby MIT will construct a new transportation center on four of the 14 acres of federally owned land located at the John Volpe National Transportation Systems Center site in Kendall Square in exchange for the fee, interest to, and the right to redevelop the adjacent ten acres of land. The exchange will be executed upon completion of the construction of the new facility. MIT is committed to investing \$750.0 million in the exchange phase of the project.

**Related Entities**

MIT has entered into agreements, including collaborations with

third-party not-for-profit and for-profit entities, for education, research, and technology transfers. Some of these agreements involve funding from foreign governments. These agreements subject MIT to greater financial risk than do its normal operations. In the opinion of management, the likelihood of realization of increased financial risks by MIT under these agreements is remote.

**General**

MIT is subject to certain other legal proceedings and claims that arise in the normal course of operations. In the opinion of management, the ultimate outcome of these actions will not have a material effect on MIT’s financial position.

**H. Functional Expense Classification**

MIT’s expenditures on a functional basis are shown in Table 21 below.

|  | 2018                       | 2017                       |
|--|----------------------------|----------------------------|
| General and administrative . . . . .           | \$ 848,230                 | \$ 865,337                 |
| Instruction and unsponsored research . . . . . | 1,029,050                  | 928,448                    |
| Sponsored research . . . . .                   | 1,523,543                  | 1,498,790                  |
| Auxiliary enterprises . . . . .                | 159,736                    | 154,289                    |
| Operation of Alumni Association . . . . .      | 17,318                     | 17,160                     |
| <b>Total operating expenses . . . . .</b>      | <b><u>\$ 3,577,877</u></b> | <b><u>\$ 3,464,024</u></b> |

## I. Retirement Benefits

MIT offers a defined benefit pension plan and a defined contribution plan to its employees. The plans cover substantially all MIT employees.

MIT also offers a retiree welfare benefit plan (certain healthcare and life insurance benefits) for retired employees. Substantially all MIT employees may become eligible for those benefits if they reach a qualifying retirement age while working for MIT. The healthcare component of the welfare plan is paid for in part by retirees, their covered dependents, and beneficiaries. Benefits are provided through various insurance companies whose charges are based either on the claims and administrative expenses paid during the year or annual insured premiums. The life insurance component of the welfare plan includes basic life insurance and supplemental life insurance. The basic life insurance plan is non-contributory and covers the retiree only. The supplemental life insurance plan is paid for by the retiree. MIT maintains a trust to pay for the retiree welfare benefit plan.

MIT contributes to the defined benefit pension plan amounts that are actuarially determined to provide the retirement plan with sufficient assets to meet future benefit requirements. There were no designated contributions to the defined benefit pension plan for 2018 and 2017. MIT also designated contributions of \$6.5 million and \$17.1 million to the retiree welfare benefit plan in 2018 and 2017, respectively. The current healthcare cost trend

rate decreased from 5.5 percent in 2017 to 5.0 percent in 2018.

For the defined contribution plan, the amount contributed and expenses recognized during 2018 and 2017 were \$60.7 million and \$58.6 million, respectively.

For purposes of calculating net periodic benefit cost, plan amendments for the defined benefit pension plan are amortized on a straight-line basis over the average future service of active participants at the date of the amendment. Plan amendments to the retiree welfare benefit plan are amortized on a straight-line basis over the average future service to full eligibility of active participants at the date of amendment.

Cumulative gains and losses (including changes in assumptions) in excess of 10 percent of the greater of the projected benefit obligation or the market-related value of assets for both the defined benefit pension plan and the retiree welfare benefit plan are amortized over the average future service of active participants. The annual amortization shall not be less than the total amount of unrecognized gains and losses up to \$1.0 million.

### Components of Net Periodic Benefit Cost

Table 22 below summarizes the components of net periodic benefit cost recognized in operating activity and other amounts recognized in non-operating activity in unrestricted net assets for the years ended June 30, 2018 and 2017.

**Table 22. Components of Net Periodic Benefit Cost**

| <i>(in thousands of dollars)</i>  | Defined Benefit Pension Plan |                     | Retiree Welfare Benefit Plan |                    |
|---|------------------------------|---------------------|------------------------------|--------------------|
|   | 2018                         | 2017                | 2018                         | 2017               |
| <b>Components of net periodic benefit cost recognized in operating activity:</b>      |                              |                     |                              |                    |
| Service cost . . . . .  | \$ 109,366                   | \$ 106,097          | \$ 27,153                    | \$ 27,963          |
| Interest cost . . . . .   | 162,917                      | 155,368             | 24,205                       | 24,060             |
| Expected return on plan assets . . . . .  | (277,597)                    | (262,479)           | (41,010)                     | (37,558)           |
| Amortization of net actuarial loss (gain) . . . . .                                   | 23,610                       | 33,183              | (1,000)                      | 1,000              |
| Amortization of prior service cost (credit) . . . . .                                 | 285                          | 953                 | (2,801)                      | (2,801)            |
| <b>Net periodic benefit cost recognized in operating activity . . .</b>               | <b>18,581</b>                | <b>33,122</b>       | <b>6,547</b>                 | <b>12,664</b>      |
| <b>Other amounts recognized in non-operating activity in unrestricted net assets:</b> |                              |                     |                              |                    |
| Current year actuarial gain . . . . .   | (288,146)                    | (140,569)           | (75,505)                     | (83,280)           |
| Amortization of actuarial (loss) gain . . . . .                                       | (23,610)                     | (33,183)            | 1,000                        | (1,000)            |
| Amortization of prior service (cost) credit . . . . .                                 | (285)                        | (953)               | 2,801                        | 2,801              |
| <b>Total other amounts recognized in non-operating activity . .</b>                   | <b>(312,041)</b>             | <b>(174,705)</b>    | <b>(71,704)</b>              | <b>(81,479)</b>    |
| <b>Total recognized . . . . .</b>   | <b>\$ (293,460)</b>          | <b>\$ (141,583)</b> | <b>\$ (65,157)</b>           | <b>\$ (68,815)</b> |

The estimated net actuarial loss and prior service cost for the defined benefit pension plan that will be amortized from unrestricted net assets into net periodic benefit cost during the next fiscal year are \$4.2 million and \$0.3 million, respectively.

The estimated net actuarial gain and prior service credit for the retiree welfare benefit plan that will be amortized from unrestricted net assets into net periodic benefit cost during the next fiscal year are \$1.0 million and \$2.8 million, respectively.

## I. Retirement Benefits (continued)

Cumulative amounts recognized as non-operating changes in unrestricted net assets are summarized in Table 23 below for the years ended June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>                                      | Defined Benefit Pension Plan |                   | Retiree Welfare Benefit Plan |                    |
|---|------------------------------|-------------------|------------------------------|--------------------|
|   | 2018                         | 2017              | 2018                         | 2017               |
| Amounts recognized in unrestricted net assets consist of:             |                              |                   |                              |                    |
| Net actuarial loss (gain) . . . . .                                   | \$ 299,253                   | \$ 611,010        | \$ (119,271)                 | \$ (44,766)        |
| Prior service cost (credit) . . . . .                                 | 2,848                        | 3,132             | (5,012)                      | (7,813)            |
| <b>Total cumulative amounts recognized in unrestricted net assets</b> | <b>\$ 302,101</b>            | <b>\$ 614,142</b> | <b>\$ (124,283)</b>          | <b>\$ (52,579)</b> |

### Benefit Obligations and Fair Value of Assets

Table 24 below summarizes the benefit obligations, plan assets, and amounts recognized in the Consolidated Statements of Financial Position for MIT's retirement benefit plans. MIT uses a June 30 measurement date for its defined benefit pension plan and retiree welfare benefit plan.

| <i>(in thousands of dollars)</i>  | Defined Benefit Pension Plan |                     | Retiree Welfare Benefit Plan |                  |
|---|------------------------------|---------------------|------------------------------|------------------|
|   | 2018                         | 2017                | 2018                         | 2017             |
| Change in projected benefit obligations:  |                              |                     |                              |                  |
| Projected benefit obligations at beginning of year                                  | \$ 3,921,738                 | \$ 3,795,334        | \$ 570,512                   | \$ 582,084       |
| Service cost . . . . .  | 109,366                      | 106,097             | 27,153                       | 27,963           |
| Interest cost . . . . .   | 162,917                      | 155,368             | 24,205                       | 24,060           |
| Retiree contributions . . . . .   | -                            | -                   | 6,858                        | 6,192            |
| Net benefit payments, transfers, and other expenses                                 | (150,456)                    | (140,253)           | (31,223)                     | (31,710)         |
| Employer Group Waiver Plan (EGWP) reimbursement                                     | -                            | -                   | 6,094                        | 5,701            |
| Assumption changes and actuarial net loss (gain)                                    | (112,353)                    | 5,192               | (36,957)                     | (43,778)         |
| <b>Projected benefit obligations at end of the year</b>                             | <b>3,931,212</b>             | <b>3,921,738</b>    | <b>566,642</b>               | <b>570,512</b>   |
| Change in plan assets:  |                              |                     |                              |                  |
| Fair value of plan assets at beginning of the year                                  | 3,600,221                    | 3,332,233           | 623,498                      | 549,156          |
| Actual return on plan assets . . . . .  | 453,389                      | 408,241             | 79,558                       | 77,059           |
| Employer contributions . . . . .  | -                            | -                   | 6,543                        | 17,100           |
| Employer Group Waiver Plan (EGWP) reimbursement                                     | -                            | -                   | 6,094                        | 5,701            |
| Retiree contributions . . . . .   | -                            | -                   | 6,858                        | 6,192            |
| Net benefit payments, transfers, and other expenses                                 | (150,456)                    | (140,253)           | (31,223)                     | (31,710)         |
| <b>Fair value of plan assets at end of the year</b>                                 | <b>3,903,154</b>             | <b>3,600,221</b>    | <b>691,328</b>               | <b>623,498</b>   |
| <b>(Unfunded) funded status at end of the year</b>                                  | <b>(28,058)</b>              | <b>(321,517)</b>    | <b>124,686</b>               | <b>52,986</b>    |
| Amounts recognized in the Consolidated Statements of Financial Position consist of: |                              |                     |                              |                  |
| <b>Net (liabilities) assets . . . . .</b>   | <b>\$ (28,058)</b>           | <b>\$ (321,517)</b> | <b>\$ 124,686</b>            | <b>\$ 52,986</b> |



## I. Retirement Benefits (continued)

The projected benefit obligation for the defined benefit pension plan, as shown in Table 24, was \$3,931.2 million as of fiscal year-end 2018, up \$9.5 million from a year earlier. Another measure of the plan's liabilities is the accumulated benefit obligation. While the projected benefit obligation factors in future salary increases, the accumulated benefit obligation does not. The accumulated benefit obligation of MIT's defined benefit pension plan was \$3,766.6 million and \$3,740.2 million as of June 30, 2018 and 2017, respectively.

MIT provides retiree drug coverage through an Employer Group Waiver Plan (EGWP). Under EGWP, the cost of drug coverage is offset through direct federal subsidies, brand-name drug discounts, and reinsurance reimbursements.

### Assumptions for Financial Parameters and Healthcare Trend Rates

Table 25 below summarizes assumptions and healthcare trend rates. The expected long-term rate of return assumption represents the expected average rate of earnings on the funds invested or to be invested to provide for the benefits included in the benefit obligation. The long-term rate of return assumption is determined based on a number of factors, including historical market index returns, the anticipated long-term asset allocation of the plans, historical plan return data, plan expenses, and the potential to outperform market index returns.

| <i>(in thousands of dollars)</i>  | Defined Benefit Pension Plan |       | Retiree Welfare Benefit Plan |       |
|---|------------------------------|-------|------------------------------|-------|
|   | 2018                         | 2017  | 2018                         | 2017  |
| <b>Assumptions used to determine benefit obligation as of June 30:</b>                      |                              |       |                              |       |
| Discount rate . . . . .   | 4.38%                        | 4.12% | 4.44%                        | 4.14% |
| Rate of compensation increase* . . . . .  | 4.00%                        | 4.00% |                              |       |
| <b>Assumptions used to determine net periodic benefit cost for the year ended June 30:</b>  |                              |       |                              |       |
| Discount rate . . . . .   | 4.12%                        | 4.06% | 4.14%                        | 4.03% |
| Expected long-term return on plan assets . . . . .  | 8.00%                        | 8.00% | 7.00%                        | 7.00% |
| Rate of compensation increase* . . . . .  | 4.00%                        | 4.00% |                              |       |
| <b>Assumed healthcare cost trend rates:</b>   |                              |       |                              |       |
| Healthcare cost trend rate assumed for next year . . . . .                                  |                              |       | 5.00%                        | 5.50% |
| Rate to which the cost trend rate is assumed to decline (the ultimate trend rate) . . . . . |                              |       | 4.75%                        | 4.75% |
| Year the rate reaches the ultimate trend rate . . . . .                                     |                              |       | 2021                         | 2021  |

\* The average rate of salary increase is assumed to be 4.00% for 2019, and thereafter.

As an indicator of sensitivity, a one percentage point change in the assumed healthcare cost trend rate would affect 2018's retiree welfare plan as shown in Table 26 below.

| <i>(in thousands of dollars)</i>   | 1% Point Increase | 1% Point Decrease |
|--|-------------------|-------------------|
| Effect on 2018 postretirement service and interest cost . . . . .        | \$ 9,725          | \$ (7,701)        |
| Effect on postretirement benefit obligation as of June 30, 2018. . . . . | 80,787            | (66,696)          |

### Plan Investments

The investment objectives for the assets of the plans are to minimize expected funding contributions and to meet or exceed the rate of return assumed for plan funding purposes over the long term. The nature and duration of benefit obligations, along with assumptions concerning asset class returns and return correlations, are considered when determining an appropriate asset allocation to achieve the investment objectives.

Investment policies and strategies governing the assets of the plans are designed to achieve investment objectives within prudent risk parameters. Risk management practices include the use of external investment managers, the maintenance of a portfolio diversified by asset class, investment approach, security holdings, and the maintenance of sufficient liquidity to meet benefit obligations as they come due.

## I. Retirement Benefits (continued)

Tables 27A and 27B present investments at fair value of MIT's defined benefit pension plan and retiree welfare benefit plan, which are included in plan net assets/(liabilities) as of June 30, 2018 and 2017, grouped by the valuation hierarchy detailed in Note B. The investment values in these tables exclude certain items included in the assets and liabilities shown in Table 24. There were no transfers in and out of Level 1 and Level 2 fair value measurements in 2018 and 2017.

**Table 27A. Defined Benefit Pension Plan Investments**

| <i>(in thousands of dollars)</i>        | Level 1           | Level 2          | Level 3       | NAV                 | Total Fair Value    |
|---|-------------------|------------------|---------------|---------------------|---------------------|
| <b>Fiscal Year 2018</b>                 |                   |                  |               |                     |                     |
| Cash and cash equivalents . . . . .     | \$ 164,469        | \$ -             | \$ -          | \$ -                | \$ 164,469          |
| US Treasury . . . . .                   | 356,637           | -                | -             | -                   | 356,637             |
| US government agency . . . . .          | -                 | 4,777            | -             | -                   | 4,777               |
| Domestic bonds . . . . .                | -                 | 45,059           | -             | -                   | 45,059              |
| Foreign bonds . . . . .                 | -                 | -                | -             | -                   | -                   |
| Common equity:                          |                   |                  |               |                     |                     |
| Long domestic . . . . .                 | 842               | -                | 74            | -                   | 916                 |
| Long foreign . . . . .                  | 18,374            | -                | -             | -                   | 18,374              |
| Equity:*                                |                   |                  |               |                     |                     |
| Absolute return . . . . .               | -                 | -                | -             | 417,100             | 417,100             |
| Domestic . . . . .                      | -                 | -                | -             | 562,843             | 562,843             |
| Foreign . . . . .                       | -                 | -                | -             | 1,113,636           | 1,113,636           |
| Private . . . . .                       | -                 | -                | -             | 885,679             | 885,679             |
| Real estate* . . . . .                  | 16,016            | -                | -             | 213,012             | 229,028             |
| Real assets* . . . . .                  | -                 | -                | -             | 95,182              | 95,182              |
| Other . . . . .                         | -                 | -                | 433           | -                   | 433                 |
| Derivatives . . . . .                   | (90)              | 817              | -             | -                   | 727                 |
| <b>Total plan investments . . . . .</b> | <b>\$ 556,248</b> | <b>\$ 50,653</b> | <b>\$ 507</b> | <b>\$ 3,287,452</b> | <b>\$ 3,894,860</b> |
| <b>Fiscal Year 2017</b>                 |                   |                  |               |                     |                     |
| Cash and cash equivalents . . . . .     | \$ 256,999        | \$ -             | \$ -          | \$ -                | \$ 256,999          |
| US Treasury . . . . .                   | 352,736           | -                | -             | -                   | 352,736             |
| US government agency . . . . .          | -                 | 6,351            | -             | -                   | 6,351               |
| Domestic bonds . . . . .                | -                 | 45,598           | -             | -                   | 45,598              |
| Foreign bonds . . . . .                 | -                 | 6,120            | -             | -                   | 6,120               |
| Common equity:                          |                   |                  |               |                     |                     |
| Long domestic . . . . .                 | 1,769             | -                | 74            | -                   | 1,843               |
| Long foreign . . . . .                  | 88,625            | -                | -             | -                   | 88,625              |
| Equity:*                                |                   |                  |               |                     |                     |
| Absolute return . . . . .               | -                 | -                | -             | 375,354             | 375,354             |
| Domestic . . . . .                      | -                 | -                | -             | 494,196             | 494,196             |
| Foreign . . . . .                       | -                 | -                | -             | 909,020             | 909,020             |
| Private . . . . .                       | -                 | -                | -             | 719,867             | 719,867             |
| Real estate* . . . . .                  | 2,037             | -                | -             | 220,914             | 222,951             |
| Real assets* . . . . .                  | -                 | -                | -             | 106,646             | 106,646             |
| Other . . . . .                         | 5,220             | -                | 433           | -                   | 5,653               |
| Derivatives . . . . .                   | 19                | 202              | -             | -                   | 221                 |
| <b>Total plan investments . . . . .</b> | <b>\$ 707,405</b> | <b>\$ 58,271</b> | <b>\$ 507</b> | <b>\$ 2,825,997</b> | <b>\$ 3,592,180</b> |

\* Equity, real estate, and real assets categories include commingled vehicles that invest in these types of investments.

## I. Retirement Benefits (continued)

**Table 27B. Retiree Welfare Benefit Plan Investments**

| <i>(in thousands of dollars)</i>        | Level 1          | Level 2          | Level 3     | NAV               | Total Fair Value  |
|---|------------------|------------------|-------------|-------------------|-------------------|
| <b>Fiscal Year 2018</b>                 |                  |                  |             |                   |                   |
| Cash and cash equivalents . . . . .     | \$ 47,225        | \$ -             | \$ -        | \$ -              | \$ 47,225         |
| Domestic bonds . . . . .                | -                | 76,615           | -           | -                 | 76,615            |
| Foreign bonds . . . . .                 | -                | -                | -           | -                 | -                 |
| Common equity:                          |                  |                  |             |                   |                   |
| Long domestic . . . . .                 | 142              | -                | -           | -                 | 142               |
| Long foreign . . . . .                  | 3,017            | -                | -           | -                 | 3,017             |
| Equity:*                                |                  |                  |             |                   |                   |
| Absolute return . . . . .               | -                | -                | -           | 61,430            | 61,430            |
| Domestic . . . . .                      | -                | -                | -           | 103,724           | 103,724           |
| Foreign . . . . .                       | -                | -                | -           | 255,605           | 255,605           |
| Private . . . . .                       | -                | -                | -           | 104,799           | 104,799           |
| Real estate* . . . . .                  | 1,615            | -                | -           | 23,377            | 24,992            |
| Real assets* . . . . .                  | -                | -                | -           | 9,635             | 9,635             |
| Other . . . . .                         | -                | -                | -           | -                 | -                 |
| Derivatives . . . . .                   | -                | 206              | -           | -                 | 206               |
| <b>Total plan investments . . . . .</b> | <b>\$ 51,999</b> | <b>\$ 76,821</b> | <b>\$ -</b> | <b>\$ 558,570</b> | <b>\$ 687,390</b> |
| <b>Fiscal Year 2017</b>                 |                  |                  |             |                   |                   |
| Cash and cash equivalents . . . . .     | \$ 73,779        | \$ -             | \$ -        | \$ -              | \$ 73,779         |
| Domestic bonds . . . . .                | -                | 76,842           | -           | -                 | 76,842            |
| Foreign bonds . . . . .                 | -                | 437              | -           | -                 | 437               |
| Common equity:                          |                  |                  |             |                   |                   |
| Long domestic . . . . .                 | 275              | -                | -           | -                 | 275               |
| Long foreign . . . . .                  | 10,783           | -                | -           | -                 | 10,783            |
| Equity:*                                |                  |                  |             |                   |                   |
| Absolute return . . . . .               | -                | -                | -           | 52,616            | 52,616            |
| Domestic . . . . .                      | -                | -                | -           | 93,018            | 93,018            |
| Foreign . . . . .                       | -                | -                | -           | 212,104           | 212,104           |
| Private . . . . .                       | -                | -                | -           | 73,644            | 73,644            |
| Real estate* . . . . .                  | 278              | -                | -           | 21,381            | 21,659            |
| Real assets* . . . . .                  | -                | -                | -           | 7,211             | 7,211             |
| Other . . . . .                         | 373              | -                | -           | -                 | 373               |
| Derivatives . . . . .                   | -                | 15               | -           | -                 | 15                |
| <b>Total plan investments . . . . .</b> | <b>\$ 85,488</b> | <b>\$ 77,294</b> | <b>\$ -</b> | <b>\$ 459,974</b> | <b>\$ 622,756</b> |

\* Equity, real estate, and real assets categories include commingled vehicles that invest in these types of investments.

## I. Retirement Benefits (continued)

Table 28 below is a rollforward of the investments classified by MIT's defined benefit pension plan within Level 3 of the fair value hierarchy defined in Note B as of June 30, 2018 and 2017.

| <i>(in thousands of dollars)</i>    | Fair Value<br>Beginning | Realized<br>Losses | Unrealized<br>Gains<br>(Losses) | Purchases   | Sales       | Transfers   | Fair Value<br>Ending |
|-------------------------------------|-------------------------|--------------------|---------------------------------|-------------|-------------|-------------|----------------------|
| <b>Defined Benefit Pension Plan</b> |                         |                    |                                 |             |             |             |                      |
| <b>Fiscal Year 2018</b>             |                         |                    |                                 |             |             |             |                      |
| Common equity:                      |                         |                    |                                 |             |             |             |                      |
| Long domestic . . . . .             | \$ 74                   | \$ -               | \$ -                            | \$ -        | \$ -        | \$ -        | \$ 74                |
| Other . . . . .                     | 433                     | (430)              | 430                             | -           | -           | -           | 433                  |
| <b>Total . . . . .</b>              | <b>\$ 507</b>           | <b>\$ (430)</b>    | <b>\$ 430</b>                   | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 507</b>        |
| <b>Fiscal Year 2017</b>             |                         |                    |                                 |             |             |             |                      |
| Common equity:                      |                         |                    |                                 |             |             |             |                      |
| Long domestic . . . . .             | \$ 53                   | \$ -               | \$ 21                           | \$ -        | \$ -        | \$ -        | \$ 74                |
| Other . . . . .                     | 589                     | -                  | (156)                           | -           | -           | -           | 433                  |
| <b>Total . . . . .</b>              | <b>\$ 642</b>           | <b>\$ -</b>        | <b>\$ (135)</b>                 | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 507</b>        |

## I. Retirement Benefits (continued)

The plans have made investments in various long-lived partnerships, and in other cases have entered into contractual arrangements that may limit their ability to initiate redemptions due to notice periods, lock-ups, and gates. Details on estimated remaining term and current redemption terms and restrictions by asset class and type of investment for both the defined benefit pension plan and retiree welfare benefit plan are provided in Table 29 below as of June 30, 2018 and 2017.

**Table 29. Unfunded Commitments**

| <i>(in thousands of dollars)</i>    | 2018                 |                     | 2017                 |                     | Redemption Terms  | Redemption Restrictions  |
|-------------------------------------|----------------------|---------------------|----------------------|---------------------|---|--|
|                                     | Unfunded Commitments | Fair Value          | Unfunded Commitments | Fair Value          |   |  |
| <b>Defined Benefit Pension Plan</b> |                      |                     |                      |                     |   |  |
| Equity:                             |                      |                     |                      |                     |   |  |
| Absolute return . . .               | \$ 47,844            | \$ 417,100          | \$ 41,983            | \$ 375,354          | Redemption terms range from 97 days with 1 month's notice to closed-end funds not available for redemption                | Lock-up provisions range from none to not available for redemption                         |
| Domestic . . . . .                  | 403                  | 562,843             | 403                  | 494,196             | Redemption terms range from 4 months with 30 days' notice to closed-end funds not available for redemption                | Lock-up provisions range from none to not available for redemption                         |
| Foreign . . . . .                   | 41,705               | 1,113,636           | 54,781               | 909,020             | Redemption terms range from 45 days with 1 month's notice to 25 months with 3 months' notice not available for redemption | Lock-up provisions range from none to 32 months  |
| Private . . . . .                   | 323,032              | 885,679             | 289,447              | 719,867             | Closed-end funds not available for redemption   | Closed-end funds not available for redemption  |
| Real estate . . . . .               | 158,085              | 213,012             | 140,114              | 220,914             | Closed-end funds not available for redemption   | Closed-end funds not available for redemption  |
| Real assets . . . . .               | 31,118               | 95,182              | 25,265               | 106,646             | Redemption terms range from 8 months with 45 days' notice for 1 fund to closed-end funds not available for redemption     | Closed-end funds not available for redemption except for 1 fund with no lock-up provisions |
| <b>Total . . . . .</b>              | <b>\$ 602,187</b>    | <b>\$ 3,287,452</b> | <b>\$ 551,993</b>    | <b>\$ 2,825,997</b> |   |  |
| <b>Retiree Welfare Benefit Plan</b> |                      |                     |                      |                     |   |  |
| Equity:                             |                      |                     |                      |                     |   |  |
| Absolute return . . .               | \$ 6,052             | \$ 61,430           | \$ 4,589             | \$ 52,616           | Redemption terms range from 97 days with 1 month's notice to closed-end funds not available for redemption                | Lock-up provisions range from none to not available for redemption                         |
| Domestic . . . . .                  | 45                   | 103,724             | 44                   | 93,018              | Redemption terms range from 4 months with 30 days' notice to closed-end funds not available for redemption                | Lock-up provisions range from none to not available for redemption                         |
| Foreign . . . . .                   | 6,295                | 255,605             | 8,269                | 212,104             | Redemption terms range from 4 months with 30 days' notice to 25 months with 3 months' notice                              | Lock-up provisions range from none to 32 months  |
| Private . . . . .                   | 50,681               | 104,799             | 43,592               | 73,644              | Closed-end funds not available for redemption   | Closed-end funds not available for redemption  |
| Real estate . . . . .               | 22,747               | 23,377              | 18,182               | 21,381              | Closed-end funds not available for redemption   | Closed-end funds not available for redemption  |
| Real assets . . . . .               | 5,131                | 9,635               | 3,721                | 7,211               | Closed-end funds not available for redemption   | Closed-end funds not available for redemption  |
| <b>Total . . . . .</b>              | <b>\$ 90,951</b>     | <b>\$ 558,570</b>   | <b>\$ 78,397</b>     | <b>\$ 459,974</b>   |   |  |

## I. Retirement Benefits (continued)

Target allocations and weighted-average asset allocations of the investment portfolios for MIT's defined benefit pension plan and retiree welfare benefit plan at June 30, 2018 and 2017 are shown in Table 30 below.

|                                     | Defined Benefit Pension Plan |             |             | Retiree Welfare Benefit Plan |             |             |
|-------------------------------------|------------------------------|-------------|-------------|------------------------------|-------------|-------------|
|                                     | 2018 Target Allocation       | 2018        | 2017        | 2018 Target Allocation       | 2018        | 2017        |
| Cash and cash equivalents . . . . . | 0-10%                        | 4%          | 7%          | 0-10%                        | 7%          | 12%         |
| Fixed income . . . . .              | 3-13%                        | 11%         | 11%         | 10-20%                       | 11%         | 12%         |
| Equities . . . . .                  | 33.5-83.5%                   | 66%         | 62%         | 40.5-86.5%                   | 68%         | 63%         |
| Marketable alternatives . . . . .   | 7.5-17.5%                    | 11%         | 11%         | 10-20%                       | 9%          | 9%          |
| Real assets . . . . .               | 1-11%                        | 2%          | 3%          | 0-10%                        | 1%          | 1%          |
| Real estate . . . . .               | 2.5-12.5%                    | 6%          | 6%          | 0-10%                        | 4%          | 3%          |
| <b>Total</b> . . . . .              |                              | <b>100%</b> | <b>100%</b> |                              | <b>100%</b> | <b>100%</b> |

### Expected Future Benefit Payments

In fiscal 2019, MIT does not expect to contribute to its defined benefit pension plan, but expects to contribute \$0.7 million to the retiree welfare benefit plan. With the exception of the expected return on assets assumption, these contributions have been estimated based on the same assumptions used to measure MIT's benefit obligations at June 30, 2018. These contributions assume a 7.75 percent and 7.50 percent expected return on assets

for the defined benefit pension plan and retiree welfare benefit plan, respectively.

Table 31 below reflects total expected benefit payments for the defined benefit pension plan and retiree welfare benefit plan over the next ten years. These payments have been estimated based on the same assumptions used to measure MIT's benefit obligations at June 30, 2018.

|                     | Pension Benefits | Other Benefits* |
|---------------------|------------------|-----------------|
| 2019 . . . . .      | \$ 152,947       | \$ 25,324       |
| 2020 . . . . .      | 166,952          | 28,238          |
| 2021 . . . . .      | 175,246          | 29,950          |
| 2022 . . . . .      | 183,342          | 31,578          |
| 2023 . . . . .      | 191,867          | 33,100          |
| 2024-2028 . . . . . | 1,082,776        | 189,076         |

\* "Other Benefits" reflects the total net benefits expected to be paid from the plans (e.g., gross benefit reimbursement offset by retiree contributions).

## J. Components of Net Assets and Endowment

Table 32 below presents the composition of net assets as of June 30, 2018. The amounts listed in the unrestricted category under endowment funds are those gifts and other funds received over the years that MIT designated as funds functioning as

endowment and invested with the endowment funds. A large component of temporarily restricted net assets in other invested funds is pledges, the majority of which will be reclassified to unrestricted net assets when cash is received.

| <i>(in thousands of dollars)</i>            | 2018                |                        |                        |                      | 2017 Total<br><i>(Summarized)</i> |
|---|---------------------|------------------------|------------------------|----------------------|-----------------------------------|
|   | Unrestricted        | Temporarily Restricted | Permanently Restricted | Total                |                                   |
| <b>Endowment Funds</b>                      |                     |                        |                        |                      |                                   |
| General purpose . . . . .                   | \$ 1,060,947        | \$ 1,316,955           | \$ 270,557             | \$ 2,648,459         | \$ 2,386,938                      |
| Departments and research . . . . .          | 733,963             | 1,361,866              | 884,127                | 2,979,956            | 2,708,197                         |
| Library . . . . .                           | 13,767              | 33,975                 | 22,784                 | 70,526               | 63,743                            |
| Salaries and wages . . . . .                | 638,694             | 3,173,587              | 794,890                | 4,607,171            | 4,204,787                         |
| Graduate general . . . . .                  | 102,010             | 183,137                | 90,437                 | 375,584              | 374,802                           |
| Graduate departments . . . . .              | 181,410             | 471,550                | 337,382                | 990,342              | 882,098                           |
| Undergraduate . . . . .                     | 262,909             | 1,363,074              | 402,993                | 2,028,976            | 1,849,985                         |
| Prizes . . . . .                            | 9,963               | 40,066                 | 20,977                 | 71,006               | 65,196                            |
| Miscellaneous . . . . .                     | 1,372,794           | 358,077                | 467,244                | 2,198,115            | 1,901,178                         |
| Investment income held for distribution     | 429,892             | -                      | -                      | 429,892              | 395,559                           |
| Endowment funds before pledges . . . . .    | 4,806,349           | 8,302,287              | 3,291,391              | 16,400,027           | 14,832,483                        |
| Pledges . . . . .                           | -                   | -                      | 129,405                | 129,405              | 135,500                           |
| <b>Total endowment funds . . . . .</b>      | <b>4,806,349</b>    | <b>8,302,287</b>       | <b>3,420,796</b>       | <b>16,529,432</b>    | <b>14,967,983</b>                 |
| <b>Other Invested Funds</b>                 |                     |                        |                        |                      |                                   |
| Student loan funds . . . . .                | 19,403              | -                      | 18,940                 | 38,343               | 38,614                            |
| Building funds . . . . .                    | 80,564              | 58,934                 | -                      | 139,498              | 96,869                            |
| Designated purposes:                        |                     |                        |                        |                      |                                   |
| Departments and research . . . . .          | 401,794             | -                      | -                      | 401,794              | 382,603                           |
| Other purposes . . . . .                    | 353,171             | 13,953                 | -                      | 367,124              | 368,814                           |
| Life income funds and donor advised funds   | 9,919               | 53,703                 | 119,190                | 182,812              | 150,560                           |
| Pledges . . . . .                           | -                   | 430,737                | -                      | 430,737              | 397,727                           |
| Other funds available for current expenses  | 2,374,456           | 298,403                | -                      | 2,672,859            | 1,954,077                         |
| Funds expended for educational plant . . .  | 754,182             | -                      | -                      | 754,182              | 767,811                           |
| <b>Total other invested funds . . . . .</b> | <b>3,993,489</b>    | <b>855,730</b>         | <b>138,130</b>         | <b>4,987,349</b>     | <b>4,157,075</b>                  |
| <b>Total net assets . . . . .</b>           | <b>\$ 8,799,838</b> | <b>\$ 9,158,017</b>    | <b>\$ 3,558,926</b>    | <b>\$ 21,516,781</b> | <b>\$ 19,125,058</b>              |

## J. Components of Net Assets and Endowment (continued)

MIT's endowment consists of approximately 4,000 individual funds established for a variety of purposes and includes both donor-restricted endowment funds and funds that function as endowment, as shown in Table 33 below. As required by GAAP, net assets associated with endowment funds, including funds designated to function as endowments, are classified and reported based on the existence or absence of donor-imposed restrictions.

The Executive Committee has interpreted the Massachusetts-enacted version of the Uniform Prudent Management of Institutional Funds Act (UPMIFA) as allowing MIT to appropriate for expenditure or accumulate so much of an endowment fund as MIT determines is prudent for the uses, benefits, purposes, and duration for which the endowment fund is established, subject to the intent of the donor as expressed in the gift instrument. Unless stated otherwise in the gift instrument, the assets in an endowment fund shall be donor-restricted assets until appropriated for expenditure by the Executive Committee. As a result of this interpretation, MIT has not changed the way permanently restricted net assets are classified. (See Note A for further information on net asset classification.) The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until

those amounts are appropriated for expenditure in a manner consistent with the standard of prudence prescribed by UPMIFA. In accordance with UPMIFA, the Executive Committee considers the following factors in making a determination to appropriate or accumulate endowment funds:

- i. the duration and preservation of the fund
- ii. the purposes of MIT and the endowment fund
- iii. general economic conditions
- iv. the possible effects of inflation and deflation
- v. the expected total return from income and the appreciation of investments
- vi. other resources of MIT
- vii. the investment policies of MIT

### Underwater Endowment Funds

From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the value of the initial and subsequent donor gift amounts (underwater). When underwater endowment funds exist, they are classified as a reduction of unrestricted net assets. There were no underwater endowment funds reported in unrestricted net assets as of June 30, 2018, and June 30, 2017.

**Table 33. Endowment Net Asset Composition by Type of Fund**

| <i>(in thousands of dollars)</i>           | Unrestricted        | Temporarily<br>Restricted | Permanently<br>Restricted | Total             |
|--|---------------------|---------------------------|---------------------------|-------------------|
| <b>Fiscal Year 2018</b>                    |                     |                           |                           |                   |
| Donor-restricted endowment funds . . . . . | \$ -                | \$ 8,302,287              | \$ 3,420,796              | \$ 11,723,083     |
| Board-designated endowment funds . . . . . | 4,806,349           | -                         | -                         | 4,806,349         |
| <b>Total endowment funds . . . . .</b>     | <b>\$ 4,806,349</b> | <b>8,302,287</b>          | <b>3,420,796</b>          | <b>16,529,432</b> |
| <b>Fiscal Year 2017</b>                    |                     |                           |                           |                   |
| Donor-restricted endowment funds . . . . . | \$ 395              | \$ 7,318,465              | \$ 3,294,069              | \$ 10,612,929     |
| Board-designated endowment funds . . . . . | 4,355,054           | -                         | -                         | 4,355,054         |
| <b>Total endowment funds . . . . .</b>     | <b>\$ 4,355,449</b> | <b>7,318,465</b>          | <b>3,294,069</b>          | <b>14,967,983</b> |



## J. Components of Net Assets and Endowment (continued)

Table 34 below reflects changes in unrestricted, temporarily restricted, and permanently restricted endowment net assets for fiscal year 2018 and 2017, respectively.

| <b>Table 34. Changes in Endowment Net Assets</b>   |                            |                            |                            |                             |
|--|----------------------------|----------------------------|----------------------------|-----------------------------|
| <i>(in thousands of dollars)</i>   | Unrestricted               | Temporarily Restricted     | Permanently Restricted     | Total                       |
| <b>Fiscal Year 2018</b>  |                            |                            |                            |                             |
| Endowment net assets, July 1, 2017 . . . . .   | \$ 4,355,449               | \$ 7,318,465               | \$ 3,294,069               | \$ 14,967,983               |
| Investment return:   |                            |                            |                            |                             |
| Investment income. . . . .   | 18,829                     | 40,951                     | 12,864                     | 72,644                      |
| Net appreciation (realized and unrealized) . . . . .   | <u>599,861</u>             | <u>1,397,233</u>           | <u>17,356</u>              | <u>2,014,450</u>            |
| Total investment return . . . . .  | 618,690                    | 1,438,184                  | 30,220                     | 2,087,094                   |
| Contributions . . . . .  | -                          | 843                        | 119,567                    | 120,410                     |
| Appropriation of endowment assets for expenditure. . .   | (196,908)                  | (456,323)                  | (9,972)                    | (663,203)                   |
| Other changes:   |                            |                            |                            |                             |
| Underwater gain adjustment . . . . .   | -                          | -                          | -                          | -                           |
| Net asset reclassifications and transfers to create board-designated endowment funds . . . . . | <u>29,118</u>              | <u>1,118</u>               | <u>(13,088)</u>            | <u>17,148</u>               |
| <b>Endowment net assets, June 30, 2018. . . . .</b>  | <b><u>\$ 4,806,349</u></b> | <b><u>\$ 8,302,287</u></b> | <b><u>\$ 3,420,796</u></b> | <b><u>\$ 16,529,432</u></b> |
| <b>Fiscal Year 2017</b>  |                            |                            |                            |                             |
| Endowment net assets, July 1, 2016 . . . . .   | \$ 3,961,216               | \$ 6,511,079               | \$ 2,960,741               | \$ 13,433,036               |
| Investment return:   |                            |                            |                            |                             |
| Investment income. . . . .   | 15,522                     | 32,678                     | 17,275                     | 65,475                      |
| Net appreciation (realized and unrealized) . . . . .   | <u>525,183</u>             | <u>1,199,048</u>           | <u>109,389</u>             | <u>1,833,620</u>            |
| Total investment return . . . . .  | 540,705                    | 1,231,726                  | 126,664                    | 1,899,095                   |
| Contributions . . . . .  | -                          | -                          | 319,718                    | 319,718                     |
| Appropriation of endowment assets for expenditure. . .   | (187,982)                  | (425,999)                  | (14,688)                   | (628,669)                   |
| Other changes:   |                            |                            |                            |                             |
| Underwater gain adjustment . . . . .   | 395                        | (395)                      | -                          | -                           |
| Net asset reclassifications and transfers to create board-designated endowment funds . . . . . | <u>41,115</u>              | <u>2,054</u>               | <u>(98,366)</u>            | <u>(55,197)</u>             |
| <b>Endowment net assets, June 30, 2017. . . . .</b>  | <b><u>\$ 4,355,449</u></b> | <b><u>\$ 7,318,465</u></b> | <b><u>\$ 3,294,069</u></b> | <b><u>\$ 14,967,983</u></b> |

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## J. Components of Net Assets and Endowment (continued)

### Endowment Investment and Spending Policies

MIT's investment policy is based on the primary goal of maximizing return relative to appropriate risk such that performance exceeds appropriate benchmark returns at the total pool, asset class, and individual manager levels. To achieve its long-term rate-of-return objectives, MIT relies on a total return strategy in which investment returns are realized through both capital appreciation (realized and unrealized gains) and current yield (interest and dividends). MIT targets a diversified asset allocation that places greater emphasis on equity-based investments to achieve its long-term objectives within prudent risk constraints.

The Institute's primary investment pool, Pool A, is principally for endowment and funds functioning as endowment. The effective spending rate on pooled endowed funds was 4.5

percent, or 4.9 percent on a three-year-average basis, in fiscal 2018. Pool A operates as a mutual fund with units purchased and redeemed based on the previous month's unit market value. Certain endowed assets are also maintained in separately invested funds. MIT has adopted spending policies designed to provide a predictable stream of funding to programs supported by its investments while maintaining the purchasing power of assets. For pooled investments, the Executive Committee of the Corporation votes to distribute funds for operational support from general investments. In accordance with MIT's spending policy, these distributions are funded from both investment income and market appreciation. The distribution rates were \$74.88 and \$72.20 per Pool A unit as of June 30, 2018 and 2017, respectively. For separately invested endowment funds, only the annual investment income generated is distributed for spending.

**SECTION II**

**SCHEDULE OF EXPENDITURES OF FEDERAL  
AWARDS**

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**Massachusetts Institute of Technology**  
**Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2018**

| Federal Grantor/ Pass Through Grantor/<br>Program Title    | Federal<br>CFDA<br>Number | Total \$ Amount<br>Expended | \$ Amount Passed to<br>Subrecipients |
|--|---------------------------|-----------------------------|--------------------------------------|
| <b>Research and Development Cluster</b>                    |                           |                             |                                      |
| U.S. Department of Defense:                                | 12                        |                             |                                      |
| Air Force  |                           | \$ 302,801,518              | \$ 31,239,344                        |
| Army   |                           | 70,624,283                  | 5,951,342                            |
| Classified   |                           | 181,335,931                 | 24,043,887                           |
| Defense Advance Research Project Agency                    |                           | 40,137,072                  | 6,594,823                            |
| Missile Defense Agency                                     |                           | 73,627,842                  | 4,021,858                            |
| National Security Agency                                   |                           | 7,792,522                   | 215,319                              |
| Navy   |                           | 82,876,528                  | 7,692,904                            |
| Other DOD  |                           | 203,753,933                 | 11,260,746                           |
| Passthrough  |                           | 36,827,392                  | 222,642                              |
| Total Department of Defense                                |                           | \$ 999,777,021              | \$ 91,242,865                        |
| U.S. Department of Commerce                                | 11                        | \$ 10,089,547               | \$ 526,984                           |
| U.S. Department of Commerce - Passthrough                  | 11                        | 272,446                     | -                                    |
| U.S. Department of Energy                                  | 81                        | 61,241,308                  | 3,879,472                            |
| U.S. Department of Energy - Passthrough                    | 81                        | 13,451,021                  | 10,349                               |
| U.S. Department of Health and Human Services               | 93                        | 113,505,615                 | 13,410,343                           |
| U.S. Department of Health and Human Services - Passthrough | 93                        | 19,685,219                  | (565)                                |
| U.S. Department of Homeland Security                       | 97                        | 28,287,007                  | 586,578                              |
| U.S. Department of Homeland Security - Passthrough         | 97                        | 483,671                     | -                                    |
| U.S. Department of Transportation                          | 20                        | 29,693,738                  | 1,833,930                            |
| U.S. Department of Transportation - Passthrough            | 20                        | 357,471                     | -                                    |
| Miscellaneous Federal Government                           | Various                   | 7,006,982                   | 450,586                              |
| Miscellaneous Federal Government - Passthrough             | Various                   | 1,120,769                   | -                                    |
| National Aeronautics & Space Administration                | 43                        | 50,086,508                  | 6,674,218                            |
| National Aeronautics & Space Administration - Passthrough  | 43                        | 11,780,726                  | 659,373                              |
| National Science Foundation                                | 47                        | 81,406,863                  | 9,198,801                            |
| National Science Foundation - Passthrough                  | 47                        | 15,350,701                  | -                                    |
| Total Research and Development Cluster                     | Appendix A                | \$ 1,443,596,613            | \$ 128,472,934                       |

The accompanying notes are an integral part of this schedule.

**Massachusetts Institute of Technology**  
**Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2018**  
**Continued**

| Federal Grantor/ Pass Through Grantor/<br>Program Title                   | Federal<br>CFDA<br>Number | Total \$ Amount<br>Expended | \$ Amount Passed to<br>Subrecipients |
|---|---------------------------|-----------------------------|--------------------------------------|
| <b>Student Financial Assistance Cluster Expenditures</b>                  |                           |                             |                                      |
| U.S. Department of Education:   |                           |                             |                                      |
| Grants:   |                           |                             |                                      |
| Pell  | 84.063                    | \$ 3,710,248                |                                      |
| Federal Supplemental Educational Opportunity                              | 84.007                    | 1,875,059                   |                                      |
| Federal Work Study  | 84.033                    | 1,790,154                   |                                      |
| Federal Perkins Loan:   | 84.038                    |                             |                                      |
| New Loans   |                           | 486,592                     |                                      |
| Balance Outstanding at June 30, 2017                                      |                           | 27,480,344                  |                                      |
| Loan Administrative Cost Allowance  |                           | 239,042                     |                                      |
| William D. Ford Federal Direct Loan Program:                              | 84.268                    |                             |                                      |
| Direct Subsidized and Unsubsidized Loans                                  |                           | 9,334,106                   |                                      |
| Direct Plus Loan for Parents and for Graduate or<br>Professional Students |                           | 9,557,182                   |                                      |
| Total Student Financial Assistance Cluster Expenditures                   |                           | <u>\$ 54,472,727</u>        |                                      |
| <b>Highway Planning and Construction Cluster</b>                          |                           |                             |                                      |
| U.S. Department of Transportation - Passthrough                           | 20.205                    | \$ 99,010                   | \$ -                                 |
| Total Highway Planning and Construction Cluster                           | Appendix A-4              | <u>\$ 99,010</u>            | <u>\$ -</u>                          |
| <b>Other Federal Expenditures:</b>  |                           |                             |                                      |
| U.S. Department of Commerce   | Appendix B                | \$ 46,702                   | \$ 9,446                             |
| U.S. Department of Commerce - Passthrough                                 | Appendix C                | 34,499                      | -                                    |
| U.S. Department of Defense  | Appendix B                | 26,200                      | -                                    |
| U.S. Department of Defense - Passthrough                                  | Appendix C                | 4,161,615                   | -                                    |
| U.S. Department of Energy   | Appendix B                | 353,439                     | -                                    |
| U.S. Department of Energy - Passthrough                                   | Appendix C                | 69,047                      | -                                    |
| U.S. Department of Health and Human Services - Passthrough                | Appendix C                | 15,584                      | -                                    |
| U.S. Department of Homeland Security                                      | Appendix B                | 324,458                     | -                                    |
| U.S. Department of Transportation   | Appendix B                | 33,338                      | -                                    |
| Miscellaneous Federal Government  | Appendix B                | 1,312,654                   | 130,604                              |
| Miscellaneous Federal Government - Passthrough                            | Appendix C                | 268,719                     | -                                    |
| National Aeronautics & Space Administration                               | Appendix B                | 2,211,994                   | 7,660                                |
| National Aeronautics & Space Administration - Passthrough                 | Appendix C                | 697,220                     | -                                    |
| Total Other Federal Expenditures  |                           | <u>\$ 9,555,469</u>         | <u>\$ 147,710</u>                    |
| Total Federal Expenditures  |                           | <u>\$ 1,507,723,819</u>     | <u>\$ 128,620,644</u>                |

The accompanying notes are an integral part of this schedule.

# Massachusetts Institute of Technology

## Notes to Schedule of Expenditures of Federal Awards

### For the Year Ended June 30, 2018

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#### 1. Basis of Presentation

The accompanying schedule of expenditures of federal awards including appendices A, B and C (the "Schedule") summarize the expenditures of the Massachusetts Institute of Technology (the "Institute") under programs of the federal government for the year ended June 30, 2018.

Because the Schedule presents only a selected portion of the activities of the Institute, it is not intended to and does not present the financial position, changes in net assets and cash flows of the Institute. The accompanying appendices A, B, and C provide detail on the federal awards expended by the Institute.

For purposes of the Schedule, federal awards include all grants, contracts and similar agreements entered into directly between the Institute and agencies and departments of the federal government and all subawards to the Institute by nonfederal organizations pursuant to federal grants, contracts and similar agreements. The information in this schedule is presented in accordance with the provisions of the Office of Management and Budget's *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Therefore, certain amounts presented in the Schedule may differ from amounts presented in, or used in preparation of, the consolidated financial statements. CFDA and pass-through numbers are provided when available. Negative amounts represent adjustments to amounts reported in prior years in the normal course of business.

#### 2. Summary of Significant Accounting Policies for Federal Expenditures

Expenditures for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*, Federal Acquisition Regulation and OMB's Uniform Guidance. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general Institute activities (facilities and administrative costs) which are allocated to awards under negotiated formulas commonly referred to as facilities and administrative rates.

The Institute applies its predetermined approved facilities and administrative rate when charging indirect costs to federal awards rather than the 10% de minimis cost rate as described in Section 200.414 of the Uniform Guidance.

The Institute receives funding from federal government agencies for sponsored research under government grants and contracts. These grants and contracts provide for reimbursement of indirect costs based on rates negotiated with the Office of Naval Research (ONR), the Institute's cognizant federal agency. The Institute's indirect cost reimbursements are based on fixed rates with carryforward of under or over recoveries.

The Defense Contract Audit Agency (DCAA) is responsible for auditing indirect charges to grants and contracts. The Institute has final audited rates through 2012 and negotiated fixed rates for indirect costs through the 2020 fiscal year.

#### 3. Federal Student Loan Programs

The Federal Perkins Loan Program (CFDA #84.038) is administered directly by the Institute and balances and transactions relating to this program are included in the Institute's consolidated

**Massachusetts Institute of Technology**  
**Notes to Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2018**

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**3. Federal Student Loan Programs - Continued**

financial statements. The balance of loans outstanding for this program at June 30, 2018 is \$21,222,793.

The William D. Ford Federal Direct Loan Programs (CFDA #84.268) are not administered by the Institute and balances and transactions relating to these programs are not included in the Institute's consolidated financial statements.

**4. Lincoln Laboratory**

. Lincoln Laboratory, designated as a Federally Funded Research and Development Center (FFRDC), is a mission oriented, multidisciplinary laboratory. The Director of Lincoln Laboratory reports to MIT's Vice President of Research. The Laboratory is directly integrated into the Institute as part of its research laboratory system and Lincoln's reporting relationship with the Institute is like that of any other MIT research laboratory. The Laboratory is charged with responsibility for producing contractual research products and services. MIT establishes policy for, and provides guidance to, the Laboratory and performs administrative and service functions in support of the operations of the Laboratory.



**Appendix A**  
**Massachusetts Institute of Technology**  
**Schedule of Expenditures of Federal Awards - Worksheet**  
**Federal Research Support**  
**FY 18 Expenditures**

| <u>Sponsor</u>                           | <u>Campus Direct</u><br>(Appendix A-1) | <u>Lincoln Direct</u><br>(Appendix A-2) | <u>Lincoln Passthrough</u><br>(Appendix A-2) | <u>Campus Passthrough</u><br>(Appendix A-3) | <u>Total</u>            |
|--|--|---|--|---|-------------------------|
| Department of Defense:                   |  |   |  |   |                         |
| Air Force                                | \$ 23,427,759                          | \$ 279,373,759                          | \$ 185,905                                   | \$ 15,206,178                               | \$ 318,193,601          |
| Army                                     | 24,896,811                             | 45,727,472                              | 1,282,715                                    | 5,669,246                                   | 77,576,244              |
| Classified                               | -                                      | 181,335,931                             | 268  | -   | 181,336,199             |
| Defense Advanced Research Project Agency | 15,444,976                             | 24,692,096                              | -  | 6,559,955                                   | 46,697,027              |
| Missile Defense Agency                   | -                                      | 73,627,842                              | 19,010                                       | -   | 73,646,852              |
| National Security Agency                 | -                                      | 7,792,522                               | -  | -   | 7,792,522               |
| Navy                                     | 23,242,851                             | 59,633,677                              | 75,506                                       | 5,515,918                                   | 88,467,952              |
| Other Department of Defense              | 3,115,330                              | 200,638,603                             | 43,104                                       | 2,269,587                                   | 206,066,624             |
| Total Department of Defense              | 90,127,727                             | 872,821,902                             | 1,606,508                                    | 35,220,884                                  | 999,777,021             |
| Department of Commerce                   | 3,137,972                              | 6,951,575                               | 270,405                                      | 2,041                                       | 10,361,993              |
| Department of Energy                     | 59,633,399                             | 1,607,909                               | 56,763                                       | 13,394,258                                  | 74,692,329              |
| Department of Health & Human Services    | 113,505,615                            | -                                       | 633,693                                      | 19,051,526                                  | 133,190,834             |
| Department of Homeland Security          | 342,033                                | 27,944,974                              | 165,761                                      | 317,910                                     | 28,770,678              |
| Department of Transportation             | 3,834,370                              | 25,859,368                              | -  | 357,471                                     | 30,051,209              |
| Miscellaneous Federal Government:        |  |   |  |   |                         |
| Department of Agriculture                | 194,613                                | -                                       | -  | -   | 194,613                 |
| Department of Education                  | 281,119                                | -                                       | -  | -   | 281,119                 |
| Department of Interior                   | 57,581                                 | -                                       | -  | 250,037                                     | 307,618                 |
| Other                                    | 2,206,650                              | 4,267,019                               | -  | 870,732                                     | 7,344,401               |
| Total Miscellaneous Federal Government   | 2,739,963                              | 4,267,019                               | -  | 1,120,769                                   | 8,127,751               |
| Nat'l Aeronautics & Space Administration | 23,630,520                             | 26,455,988                              | 2,305,555                                    | 9,475,171                                   | 61,867,234              |
| National Science Foundation              | 81,406,863                             | -                                       | 256,239                                      | 15,094,462                                  | 96,757,564              |
| <b>Total Federal Sponsors</b>            | <b>\$ 378,358,462</b>                  | <b>\$ 965,908,735</b>                   | <b>\$ 5,294,924</b>                          | <b>\$ 94,034,492</b>                        | <b>\$ 1,443,596,613</b> |

Note for Appendices A-1, A-3, B and C details:

- Contracts without CFDA numbers were shown as "RD" in the CFDA# column for Research & Development and "U00" for Non-R&D.

- Amounts less than 50 cents appear as zero due to rounding.

**Appendix A1**  
**Massachusetts Institute of Technology**  
**Federal Research Support - On Campus**  
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| Federal Agency               | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|------------------------------|----------------------------|---|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF DEFENSE</b> |                            |   |        |                 |                                   |
| <b>Air Force</b>             |                            |   |        |                 |                                   |
| Air Force                    | FA2386-17-1-4661           | Development of tele-operated quadrupedal robotic platform for disaster response                                       | 12.630 | 339,588         | -                                 |
| Air Force                    | FA8650-14-C-2472           | Computational Aircraft Prototype Syntheses (CAPS)   | 12.RD  | 748,242         | 321,007                           |
| Air Force                    | FA8650-15-C-7564           | ClearScope: Transparent multi-level inter-process and intra-process information scoping                               | 12.RD  | 1,638,603       | 765,391                           |
| Air Force                    | FA8650-16-1-7641           | Integrated Magneto-optical Devices for On-Chip Photonic Systems   | 12.910 | -2,683          | -                                 |
| Air Force                    | FA8650-17-1-7713           | Visible Integrated Photonics Enhanced Reality (VIPER)   | 12.910 | 156,663         | -                                 |
| Air Force                    | FA8650-17-C-9113           | Nanoscale X-ray Tomosynthesis for Rapid Assessment of IC Dice (NXT-RAID)  | 12.RD  | 1,739,951       | 523,042                           |
| Air Force                    | FA8650-18-2-7838           | Foundations of Scalable Non-Convex Optimization   | 12.910 | 16,856          | -                                 |
| Air Force                    | FA8750-14-2-0004           | A General-Purpose Probabilistic Programming Platform with Effective Stochastic Interference                           | 12.300 | 760,654         | 76,068                            |
| Air Force                    | FA8750-14-2-0242           | CLIO: A Digital Code Assistant for Big Code Era   | 12.300 | 656,396         | -                                 |
| Air Force                    | FA8750-15-2-0272           | Julia: A Fresh Approach to Technical Computing and Data Processing  | 12.910 | 747,040         | -                                 |
| Air Force                    | FA8750-16-2-0141           | Development of a Wide-Bandgap Programmable Nanophotonic Processor   | 12.300 | 76,211          | -                                 |
| Air Force                    | FA8750-17-2-0019           | Bayesian Nonparametric Models for Quantifying Uncertainty and Adapting Model Complexity                               | 12.300 | 598             | -                                 |
| Air Force                    | FA8750-17-2-0126           | Human Data Interaction Project  | 12.300 | 713,704         | 426,301                           |
| Air Force                    | FA8750-17-C-0229           | Genetic circuit design for extreme environments enabled by models extracted from petabyte-scale perturbation analyses | 12.RD  | 789,072         | 185,763                           |
| Air Force                    | FA8750-17-C-0239           | BayesDB for Data-Centric Scientific Discovery   | 12.RD  | 715,436         | -                                 |
| Air Force                    | FA9453-16-C-0018           | Quantifying Uncertainty in Velocity Models and Travel-Time Predictions for Local and Regional Monitoring Networks     | 12.RD  | 161,389         | 71,397                            |
| Air Force                    | FA9453-18-2-0017           | Remote-epitaxy of multijunction solar cells on graphene coated III-V substrates                                       | 12.114 | 34,794          | -                                 |
| Air Force                    | FA9550-12-1-0259           | Thin Film Self-Assembly of Globular Protein-Polymer Diblock Copolymers for Nanostructured Biofunctional Materials     | 12.800 | 46,906          | -                                 |
| Air Force                    | FA9550-12-1-0313           | Fluid SLAM and the Robotic Reconstruction of Localized Atmospheric Phenomena  | 12.800 | -5,082          | -                                 |
| Air Force                    | FA9550-12-1-0499           | Advanced Photonics: Science, Technologies and Applications  | 12.800 | 385,901         | -                                 |
| Air Force                    | FA9550-13-1-0193           | Quantum Optics in Diamond Nanophotonic Chips  | 12.800 | -8,565          | -                                 |
| Air Force                    | FA9550-14-1-0031           | Categorical approach to agent interaction   | 12.800 | 166,274         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| Air Force      | FA9550-14-1-0035           | Advanced Quantum Material - A New Frontier for Ultracold Atoms  | 12.800 | 2,489,895       | 1,632,421                         |
| Air Force      | FA9550-14-1-0052           | Optimal Measurements for Scalable Quantum Technologies  | 12.800 | 1,809,302       | 717,217                           |
| Air Force      | FA9550-14-1-0060           | (BRI FY14) Theory-based Engineering of Biomolecular Circuits in Living Cells  | 12.800 | 885,800         | 245,339                           |
| Air Force      | FA9550-14-1-0192           | Constraining ICME Magnetic Field Orientations using Low Frequency Radio Polarimetric Observations                             | 12.800 | 151,317         | 30,027                            |
| Air Force      | FA9550-14-1-0226           | Design and Synthesis of Polymers for Electrooptical Applications  | 12.800 | 20,909          | -                                 |
| Air Force      | FA9550-14-1-0255           | Isolated Soft-X-ray Attosecond Pulse Generation Using Synthesized Strong-Field Infrared Pulses                                | 12.800 | 76,227          | 34,543                            |
| Air Force      | FA9550-14-1-0292           | Synthesis and Self-Assembly of Tri- and Tetra-block Bottlebrush Copolymers  | 12.800 | -1,930          | -                                 |
| Air Force      | FA9550-14-1-0399           | Dynamic Data-Driven Motion Planning and Control for Pervasive Situational Awareness Application Systems                       | 12.800 | 255,381         | 176,281                           |
| Air Force      | FA9550-14-1-0403           | Network Coding for Strong Consistency Semantics in Distributed Shared Memory Networks   | 12.800 | 207,465         | -                                 |
| Air Force      | FA9550-15-1-0038           | (MURI 14)-A unified mathematical and algorithmic framework for managing multiple information sources of multi-physics systems | 12.800 | 1,398,211       | 835,692                           |
| Air Force      | FA9550-15-1-0046           | Toward a Phenomenological Theory of Transport Phenomena in Molten Sulfide Systems   | 12.800 | 34,723          | -                                 |
| Air Force      | FA9550-15-1-0058           | VOLUME MODE TRAVELING WAVE TUBE AMPLIFIER   | 12.800 | 142,739         | -                                 |
| Air Force      | FA9550-15-1-0072           | Gradient based optimization and control of chaotic multidisciplinary systems via Least Squares Shadowing adjoint method       | 12.800 | 27,929          | -                                 |
| Air Force      | FA9550-15-1-0135           | Molecular Tuning of Interfacial Electrocatalysis  | 12.800 | 78,318          | -                                 |
| Air Force      | FA9550-15-1-0276           | Topology Optimization, Fabrication Adaptivity, and Model-Data Assimilation of Novel Photonic Materials                        | 12.800 | 302,104         | -                                 |
| Air Force      | FA9550-15-1-0310           | Phase-change on Nanoporous Graphene for Advanced Thermal Management   | 12.800 | 117,491         | -                                 |
| Air Force      | FA9550-15-1-0473           | Novel optical techniques for investigating cellular and vascular biophysics   | 12.800 | 95,994          | 61,661                            |
| Air Force      | FA9550-15-1-0514           | FATE: Foldable and Adaptive Two-Dimensional Electronics   | 12.800 | 1,529,457       | 460,537                           |
| Air Force      | FA9550-16-1-0012           | Bayesian Program Learning and Concept Induction   | 12.800 | 172,306         | -                                 |
| Air Force      | FA9550-16-1-0108           | Dynamic Data Driven Methods for Self-aware Aerospace Vehicles   | 12.800 | 354,258         | 183,674                           |
| Air Force      | FA9550-16-1-0208           | Automated Discovery of Important Chemical Reactions   | 12.800 | 51,299          | -                                 |
| Air Force      | FA9550-16-1-0214           | (YIP) The Hybrid Discontinuous Galerkin Method for Implicit Large Eddy Simulations of Manetohydrodynamic Flows                | 12.800 | 61,624          | -                                 |
| Air Force      | FA9550-16-1-0228           | Energy-Efficient High-Performance Computer Vision Systems   | 12.800 | 149,715         | -                                 |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended   | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-------------------|-----------------------------------|
| Air Force      | FA9550-16-1-0231           | Complementing dynamical equations with data in adaptive reduced-order subspaces  | 12.800 | 111,627           | -                                 |
| Air Force      | FA9550-16-1-0244           | Instrumentation for Vacuum Nano-Electronic Devices High Current & Long Life Cathodes/Ion Sources   | 12.800 | 51,620            | -                                 |
| Air Force      | FA9550-16-1-0273           | Fluoro-Hydrogenated Ionic Liquids (FHIL) for High-Performance Electrospay Propulsion   | 12.800 | 95,935            | -                                 |
| Air Force      | FA9550-16-1-0324           | Quantum Gas Microscopy of Strongly Correlated Fermions   | 12.800 | 167,487           | -                                 |
| Air Force      | FA9550-16-1-0382           | Quantum Optoelectronics and Plasmonics with Novel Van der Waals Heterostructures   | 12.800 | 186,465           | -                                 |
| Air Force      | FA9550-16-1-0391           | High-Speed Quantum Communications using Silicon Photonics  | 12.800 | 178,652           | -                                 |
| Air Force      | FA9550-16-1-0427           | Uncovering and controlling the mechanisms of surface chemical and electrochemical stability on perovskite oxides                             | 12.800 | 132,008           | -                                 |
| Air Force      | FA9550-17-1-0058           | Pixel matrices and other compositional analyses of interconnected systems  | 12.800 | 226,612           | -                                 |
| Air Force      | FA9550-17-1-0081           | The Marvin Minsky Institute for Society of Mind Theory   | 12.800 | 320,725           | -                                 |
| Air Force      | FA9550-17-1-0114           | The DDDAS Design of Programmable Mechanical Metamaterials  | 12.800 | 86,522            | 61,027                            |
| Air Force      | FA9550-17-1-0136           | Life-like Self-assembly through Dissipative Adaptation   | 12.800 | 271,766           | -                                 |
| Air Force      | FA9550-17-1-0165           | Learning to Plan in Hybrid Spaces  | 12.800 | 250,805           | -                                 |
| Air Force      | FA9550-17-1-0192           | Spontaneous Computation in Chemical Systems  | 12.800 | 50,509            | -                                 |
| Air Force      | FA9550-17-1-0288           | DNA-Programmed Epitaxy of Nanoparticle Superlattices   | 12.800 | 154,901           | -                                 |
| Air Force      | FA9550-17-1-0316           | High-resolution methods for passive geolocation and navigation   | 12.800 | 45,688            | -                                 |
| Air Force      | FA9550-17-1-0362           | User Interaction for Teaming with Autonomous Systems   | 12.800 | 157,247           | -                                 |
| Air Force      | FA9550-17-1-0383           | DURIP grant proposal Laser system for entangled-state generation in large atomic ensembles for measurements below the standard quantum limit | 12.800 | 327,575           | -                                 |
| Air Force      | FA9550-18-1-0023           | Coupling in Uncertain Multi-physics Systems  | 12.800 | 191,833           | -                                 |
| Air Force      | FA9550-18-1-0080           | Remote Sensing of Coronal Mass Ejections using Widefield Low Frequency Imaging Arrays  | 12.800 | 79,578            | -                                 |
| Air Force      | FA9550-18-1-0341           | Low Bandgap, Highly Polarizable, and Intrinsically Conductive Polymers   | 12.800 | 51,722            | -                                 |
| <b>Army</b>    |                            | <b>Total for Air Force</b>   |        | <b>23,427,759</b> | <b>6,807,389</b>                  |
| Army           | W15QKN-15-1-0001           | Environmentally Adaptive Off-Board Acoustic Sensing Concept for the Rapidly Changing Arctic Ocean  | 12.RD  | -7,701            | -                                 |
| Army           | W31P4Q-16-1-0001           | Monolithic terahertz (THz) and long-wave infrared (LWIR) quantum cascade laser (QCL) frequency combs for threat detection                    | 12.910 | 827,899           | 298,665                           |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| Army           | W81XWH-13-1-0151           | Nano-siRNA Particles and Combination Therapies for Ovarian Tumor Targeting  | 12.420 | 737,880         | -                                 |
| Army           | W81XWH-14-1-0240           | Extracellular Matrix Biomarkers for Diagnosis, Prognosis, Imaging and Targeting   | 12.420 | 1,078,342       | 226,678                           |
| Army           | W81XWH-14-1-0544           | Cartilage-Penetrating Chondrogenic Nanoparticles for Early Post-Traumatic Osteoarthritis Therapy  | 12.420 | 225,260         | -                                 |
| Army           | W81XWH-14-C-0111           | Prosthetic Knee-Angle-Foot System with Biomechatronic Sensing, Control and Power Generation   | 12.RD  | 718,221         | -                                 |
| Army           | W81XWH-15-1-0095           | OC140365 Investigate the role of obesity in ovarian cancer initiation and progression   | 12.420 | 2,825           | -                                 |
| Army           | W81XWH-15-1-0365           | The Therapeutic Effect of the Antitumor Drug 11beta and Related Molecules on Polycystic Kidney Disease                                    | 12.420 | 279,618         | -                                 |
| Army           | W81XWH-16-1-0452           | Tumor Immunotherapy by Gene-circuit Recruited Immunomodulatory Systems (TIGRIS) for Prostate Cancer                                       | 12.RD  | 167,399         | -                                 |
| Army           | W81XWH-16-1-0565           | Engineer Synthetic Tumor Recruited Immuno-Cellular Therapy (STRICT)   | 12.RD  | 267,767         | -                                 |
| Army           | W81XWH-16-1-0671           | Targeting MCL-1 with Unique Peptide Inhibitors Delivered Intracellularly Using a Novel Nanoparticle Formulation                           | 12.420 | 162,400         | -                                 |
| Army           | W81XWH-17-1-0159           | Synthetic Tumor Recruited Immuno-Cellular Therapy (STRICT) for Lung Cancer  | 12.420 | 124,586         | -                                 |
| Army           | W81XWH-17-1-0182           | Adhesion-dependent regulation of mutant K-Ras protein levels in lung cancer LC160614  | 12.420 | 105,669         | -                                 |
| Army           | W81XWH-17-1-0185           | Analysis of toxicant induced translational control through codon-usage bias in lung cancer  | 12.420 | 157,244         | -                                 |
| Army           | W81XWH-17-1-0427           | Connecting Mechanical to Biomechanical Performance of Prosthetic Feet to Design Customized Passive Devices that Provide Improved Mobility | 12.420 | 79,072          | -                                 |
| Army           | W81XWH-17-1-0669           | Heritably immunizing white-footed mice against tick-borne disease   | 12.420 | 33,513          | -                                 |
| Army           | W911NF-10-1-0059           | New Treatments for Stress-induced Dysregulation of Circuits Regulating Reward, Fear and Habit Learning                                    | 12.431 | -35,197         | -                                 |
| Army           | W911NF-11-1-0202           | Optical-Transition Clocks With Microfabricated Frequency Combs For Performance Beyond the Standard Quantum Limit                          | 12.431 | -425            | -                                 |
| Army           | W911NF-11-1-0281           | Biologically Patterned Amyloid Scaffolds for Multifunctional and Multiscale Materials   | 12.431 | 190,847         | -                                 |
| Army           | W911NF-11-1-0400           | Multi-Qubit Enhanced Sensing and Metrology  | 12.431 | 600,461         | 377,872                           |
| Army           | W911NF-12-2-0039           | Barrier-Immune Organ: Microphysiology, Microenvironment Engineered Tissue Construct Systems (BIO-MIMETICS)                                | 12.431 | 1,994,248       | 123,247                           |
| Army           | W911NF-13-1-0189           | Strongly Correlated Quantum Gases of Atoms and Dipolar Molecules  | 12.431 | 71,404          | -                                 |
| Army           | W911NF-13-D-0001, T.O. 1   | ISN 3 FY'13 funding   | 12.RD  | 705,183         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| Army           | W911NF-13-D-0001, T.O. 2   | ISN 3 FY'13 funding  | 12.431 | 1,118,136       | 92,038                            |
| Army           | W911NF-13-D-0001, T.O. 3   | ISN 3 FY'13 funding  | 12.431 | 929,157         | -                                 |
| Army           | W911NF-13-D-0001, T.O. 4   | ISN 3 FY'13 funding  | 12.431 | 540,833         | -                                 |
| Army           | W911NF-13-D-0001, T.O. 5   | ISN 3 FY'13 funding  | 12.431 | 572,897         | -                                 |
| Army           | W911NF-13-D-0001, T.O. 8   | ISN 3 FY'13 funding  | 12.431 | 745,591         | 2,960                             |
| Army           | W911NF-13-D-0001, T.O. 9   | ISN 3 FY'13 funding  | 12.431 | 745,681         | 529,073                           |
| Army           | W911NF-14-1-0037           | Probing the Effects of Topography on Bedrock Fracture in the Shallow Subsurface  | 12.431 | -4,328          | -                                 |
| Army           | W911NF-14-1-0344           | Novel states of light and matter mediated by collective Rydberg excitations  | 12.431 | 226,519         | 95,607                            |
| Army           | W911NF-14-1-0433           | A Belief-Space Approach to Integrated Intelligence- Research Area 10.3: Intelligent Networks   | 12.431 | 38,469          | -                                 |
| Army           | W911NF-14-1-0539           | Design of Stable Nanocrystalline Alloys in Compound-Forming Systems  | 12.431 | -646            | -                                 |
| Army           | W911NF-14-2-0071           | Terahertz Nitride Sources (TNS)  | 12.431 | 129,669         | -                                 |
| Army           | W911NF-15-1-0128           | Realizing Novel Phases of Materials with Light-Matter Interaction  | 12.431 | 68,810          | -                                 |
| Army           | W911NF-15-1-0164           | 11.2/1.3.2 A variational method for the extraction of intermittently unstable time-dependent modes directly from system observables        | 12.431 | 29,608          | -                                 |
| Army           | W911NF-15-1-0166           | Managing Uncertainty: Principles For Robust And Dexterous Continuum Mechanics  | 12.431 | 243,048         | 42,880                            |
| Army           | W911NF-15-1-0183           | MoD Molecules on Demand  | 12.431 | 92,903          | -                                 |
| Army           | W911NF-15-1-0196           | Explaining and Exploiting the Resistive Force Theory - Toward optimal, flexible, locomotor designs: Research Area 1.3.1                    | 12.431 | 121,127         | -                                 |
| Army           | W911NF-15-1-0249           | Foundations of Statistical Methods for the Control of Far-from-equilibrium Driven Systems  | 12.431 | 70,230          | -                                 |
| Army           | W911NF-15-1-0598           | Toward Accurate Models of Wet Granular Media in Nature: Research Area 9.2  | 12.431 | -18,712         | -11,995                           |
| Army           | W911NF-16-1-0034           | Coupled Synthesis, Transport, and Magnetization Studies to Detect New Topological Phases   | 12.431 | 205,245         | -                                 |
| Army           | W911NF-16-1-0440           | Research Area 2.1: Fluid-Driven Sediment Transport: A first-principles approach joining geological observations and granular-fluid physics | 12.431 | 214,761         | -                                 |
| Army           | W911NF-16-1-0551           | Foundations of Scalable Statistical Learning   | 12.431 | 533,102         | -                                 |
| Army           | W911NF-16-1-0568           | Assembling Assemblers with Functional Digital Materials  | 12.431 | 372,617         | -                                 |
| Army           | W911NF-16-2-0023           | Automated System for Knowledge-based Continuous Organic Synthesis (ASKCOS)   | 12.910 | 2,466,975       | 238,716                           |
| Army           | W911NF-16-2-0176           | A Systems Approach for Managing the Health of Force  | 12.431 | 344,017         | 206,267                           |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| Army           | W911NF-16-2-0192           | Superdetectors: Unlocking the Potential of NonEquilibrium Superconductivity at the Nanoscale                                    | 12.910 | 350,711         | 133,673                           |
| Army           | W911NF-17-1-0068           | Smooth Modeling of Flows on Graphs  | 12.431 | 175,244         | -                                 |
| Army           | W911NF-17-1-0174           | Physical Properties of Materials: Exotic Physical Properties of Electronically Coupled Two-Dimensional Metal-Organic Frameworks | 12.431 | 218,757         | -                                 |
| Army           | W911NF-17-1-0223           | Improved Ceramic Manufacturability With Electric Field Assisted Sintering: Developing Underlying Principles                     | 12.431 | 82,778          | -                                 |
| Army           | W911NF-17-1-0268           | Ultrapure Reactive Ion Etching for Scalable Nanofabrication of Carbon-Based Semiconductor Quantum Devices                       | 12.431 | 253,905         | -                                 |
| Army           | W911NF-17-1-0433           | New Frameworks for Quantum Algorithms   | 12.431 | 146,358         | -                                 |
| Army           | W911NF-17-1-0435           | High-Quality Tunable Graphene Plasmonic Metamaterials   | 12.431 | 28,604          | -                                 |
| Army           | W911NF-17-1-0508           | 10.1.2:10.1.1: Low Latency Wireless Networks for Mission Critical Communications  | 12.431 | 32,487          | -                                 |
| Army           | W911NF-17-1-0521           | Polymer Chemistry: Uniform chiral polymers by IEG: synthesis and assembly   | 12.431 | 91,578          | -                                 |
| Army           | W911NF-17-1-0527           | Quantum Machine Learning  | 12.431 | 158,823         | -                                 |
| Army           | W911NF-17-2-0043           | An Osseointegrated Transfemoral Prosthesis Offering Long-Term Bi-Directional Efferent-Afferent Neural Transmission              | 12.910 | 817,117         | 462,589                           |
| Army           | W911NF-17-2-0077           | Programming seed cells to grow and differentiate into defined patterns  | 12.431 | 1,089,378       | -                                 |
| Army           | W911NF-17-2-0098           | FACETS: Fabrication of Autonomously Constructed Engineered Three-dimensional Shapes   | 12.431 | 948,616         | 369,278                           |
| Army           | W911NF-18-1-0063           | Research Area 10.3: Reliability and robustness for fast Bayesian inference of complex data                                      | 12.431 | 87,199          | -                                 |
| Army           | W911NF-18-1-0116           | Improving Qubit Performance with Advanced, Novel, & Emerging Materials and Architectures  | 12.431 | 77,167          | -                                 |
| Army           | W911NF-18-1-0118           | Rheological Interaction Physics of Wheeled Locomotion in Soft Substrates for Improved Mobility: MIT Component                   | 12.431 | 5,067           | -                                 |
| Army           | W911NF-18-2-0048           | ISN 4 Collaborative Agreement Core 6.1 Funding  | 12.431 | 1,510,825       | -                                 |
| Army           | W911NF-18-2-0055           | Synthetic Routes to Graphamid and Graphylene by High Pressure Control of In-Plane Polymerization and Activation Volume          | 12.431 | 24,840          | -                                 |
| Army           | W912DW-17-P-0088           | Standardization of Polymeric Sampling for Measuring Feeely Dissolved Organic Contaminant Concentrations in Sediment Porewater   | 12.RD  | 47,180          | -                                 |
| Army           | W912HQ-14-C-0028           | Integrated Passive Sampler-Food Web Modeling Framework for Monitoring Remedy Effectiveness                                      | 12.RD  | 119,223         | -                                 |

**Appendix A1**  
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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended   | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-------------------|-----------------------------------|
| Army           | W912HQ-14-C-0034           | Combining Mass Balance Modeling with Passive Sampling at Contaminated Sediment Sites to Evaluate Continuing Inputs and Food Web Responses to Remedial Actions | 12.RD  | 201,712           | -                                 |
| Army           | W912HZ-17-2-0027           | Carbon Nanotube Sensors to Enable Real-Time Distributed Sensing of Contaminates in Water  | 12.630 | 157,013           | -                                 |
|                |                            | <b>Total for Army</b>   |        | <b>24,896,811</b> | <b>3,187,549</b>                  |
| <b>DARPA</b>   |                            |   |        |                   |                                   |
| DARPA          | HR0011-11-C-0100           | Memory System with Monolithic CMOS Photonic Networks for High-Performance, Energy-efficient Embedded Manycore Machines  | 12.RD  | 103,874           | 117,837                           |
| DARPA          | HR0011-12-2-0007           | Ebrium Silicon Photonic Integrated Oscillator and RADAR (ESPION)  | 12.910 | 3,813             | -                                 |
| DARPA          | HR0011-15-2-0012           | MEMS Deuterium Ionizers for Compact Neutron Sources   | 12.910 | 242,137           | -                                 |
| DARPA          | HR0011-15-2-0033           | Technology to Genetically Engineer Otherwise Intractable Bacteria to Manipulate Microbiomes   | 12.910 | 490,281           | 539,318                           |
| DARPA          | HR0011-15-2-0047           | Computer-Synthesized Protocols for Resilient Networking   | 12.910 | 457,368           | 190,385                           |
| DARPA          | HR0011-15-C-0056           | Chip-Scale Electronic - Photonic Synthesizer (CS-EPS)   | 12.RD  | 1,947,518         | 282,347                           |
| DARPA          | HR0011-15-C-0084           | The MIT-Broad Foundry: TA2  | 12.RD  | 6,930,928         | 3,621,591                         |
| DARPA          | HR0011-15-C-0091           | ROBUST: Robust Operation of Bacterial Universes with Synthetic-biology Technologies   | 12.910 | 989,468           | 485,465                           |
| DARPA          | HR0011-15-C-0155           | MAGnetic Neural EXcitation (MAGNEX)   | 12.RD  | 756,021           | 279,638                           |
| DARPA          | HR0011-16-2-0041           | Supporting DARPA Matrix Program via Ab Initio Simulation of Thermoelectric Transport  | 12.910 | 289,068           | -                                 |
| DARPA          | HR0011-16-C-0030           | Principles, Limits, and Methods for Computational Periscopy   | 12.RD  | 1,336,574         | 192,327                           |
| DARPA          | HR00111720029              | Large-scale, Reconfigurable and Multifunctional 2.5-D Conformal Optics  | 12.910 | 837,002           | 329,228                           |
| DARPA          | HR00111720061              | 2D material based layer transfer for maximizing maganeteolectric coupling   | 12.910 | 457,709           | 168,344                           |
| DARPA          | HR00111820007              | Morphing Morphogenesis  | 12.910 | 172,955           | -                                 |
| DARPA          | HR0011-18-3-0006           | Revolutionizing Computing Systems through Dense and Fine-grained Monolithic 3D Integration  | 12.RD  | 2,496             | -                                 |
| DARPA          | HR001118C0018              | The Hardware Security Compiler: A Rapid-Development Workflow with End-to-End Formal Verification  | 12.RD  | 436,823           | 66,898                            |
| DARPA          | N66001-16-C-4007           | Demonstration of On-Demand Continuous Flow Manufacturing of Pharmaceuticals   | 12.910 | -9,058            | -                                 |
|                |                            | <b>Total for DARPA</b>  |        | <b>15,444,976</b> | <b>6,273,377</b>                  |



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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| <b>Navy</b>    |                            |   |        |                 |                                   |
| Navy           | N00014-04-1-0543           | Collaborative Human-Computer Decision Making for Command and Control Resource Allocation  | 12.300 | 0               | -                                 |
| Navy           | N00014-09-1-1051           | SMART Adaptive Reliable Teams for Persistent Surveillance (SMARTS)  | 12.300 | 8,190           | 9,815                             |
| Navy           | N00014-11-1-0657           | A New Environmentally Sound Technology for Metals Extraction: a Technical Feasibility Study of Rare-Earth Metal Production by Molten Oxide Electrolysis | 12.300 | -5,829          | -                                 |
| Navy           | N00014-11-1-0688           | Nonparametric Bayesian Models to Represent Knowledge and Uncertainty for Decentralized Planning   | 12.300 | 646,216         | 194,730                           |
| Navy           | N00014-12-1-0071           | Prospective Human-Guided Teleautonomy for Agile Mobility and Dexterous Manipulation   | 12.300 | 101,516         | -31                               |
| Navy           | N00014-12-1-0521           | A New Technology for Metals Extraction: High-temperature electrolysis of Molten Sulfide/Oxide Electrolysis for Molybdenum and Rhenium Extraction        | 12.300 | -716            | -                                 |
| Navy           | N00014-12-1-0915           | Ultra-High Performance ADCs in GaN  | 12.300 | 60,662          | -                                 |
| Navy           | N00014-12-1-0959           | Low Dimensionality Transistors for High Performance Electronics   | 12.300 | 10              | -                                 |
| Navy           | N00014-12-1-0999           | Decentralized online optimization in multi-agent systems in dynamic and uncertain environments  | 12.300 | -5,671          | -                                 |
| Navy           | N00014-12-1-1000           | persistent Decentralized Online Tasks (pDOT): An Online Optimization Approach to Multi-Agent Persistent Monitoring in Uncertain Environments            | 12.300 | -35,009         | -34,719                           |
| Navy           | N00014-13-1-0403           | THIS GRANT IS BEING CONTINUED UNDER N00014-16-1-2122, Inversion, uncertainties, and multiple scattering in synthetic aperture radar/sonar               | 12.300 | -19             | -                                 |
| Navy           | N00014-13-1-0424           | Ultra-High-Throughput Design and Optimization of Sense-and-Actuate Circuits in Marine and Soil Bacteria   | 12.300 | -797            | -                                 |
| Navy           | N00014-13-1-0647           | Biologically Inspired Engineering of Underwater Adhesives with Synthetic Biology  | 12.300 | 6,363           | -                                 |
| Navy           | N00014-13-1-0878           | METANORM- A Multidisciplinary Approach to the Analysis and Evaluation of Norms and Models of Governance for Cyberspace                                  | 12.300 | 52,138          | 9,248                             |
| Navy           | N00014-14-1-0006           | Defeating Code Resue Attacks Using Minimal Hardware Modifications   | 12.300 | 182,841         | -                                 |
| Navy           | N00014-14-1-0062           | Hurricane Outflow Criticality: Observational Tests and Effect on Hurricane Structure and Intensity  | 12.300 | 4,301           | -                                 |
| Navy           | N00014-14-1-0073           | Practical, Fast, and Approximate Algorithms for Discrete Optimization Problems  | 12.300 | 4,870           | -                                 |
| Navy           | N00014-14-1-0166           | ESRDC - DESIGNING AND POWERING THE FUTURE FLEET   | 12.300 | 97,689          | -                                 |
| Navy           | N00014-14-1-0191           | A Unified Approach to Passive and Active Ocean Acoustic Waveguide Remote Sensing  | 12.300 | -305            | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| Navy           | N00014-14-1-0349           | Hybrid Graphene-Silicon Photonic Devices for Signal Processing and Imaging  | 12.300 | -1,480          | -                                 |
| Navy           | N00014-14-1-0476           | Long-duration Environmentally-adaptive Autonomous Rigorous Naval Systems (LEARNS)                                 | 12.300 | 205,716         | -                                 |
| Navy           | N00014-14-1-0486           | Active Perception, Representation and Estimation for Large-Scale Long-Horizon Domains                             | 12.300 | 451             | -                                 |
| Navy           | N00014-14-1-0619           | Harnessing Extraordinary Surface and Bulk Properties of Graphene-Polymer Nanocomposite for Advanced Naval Coating | 12.300 | -4,445          | -                                 |
| Navy           | N00014-14-1-0725           | Bayesian Nonlinear Assimilation of Eulerian and Lagrangian Coastal Flow Data                                      | 12.300 | 10,378          | -                                 |
| Navy           | N00014-14-1-0804           | Quantum Spin Gyroscope  | 12.300 | 0               | -                                 |
| Navy           | N00014-15-1-2083           | Online Optimization and Learning under Uncertainty  | 12.300 | 216,368         | -                                 |
| Navy           | N00014-15-1-2213           | Multi-Objective COLREGS-Based Collision Avoidance for Unmanned Marine Vehicles                                    | 12.300 | 57,737          | -                                 |
| Navy           | N00014-15-1-2227           | Multi-objective Optimization and Mixed-Horizon Decision-Making for Autonomous Vehicles                            | 12.300 | 65,579          | -                                 |
| Navy           | N00014-15-1-2342           | Rigorous Modeling and Computation for Sparse Multivariate Statistical Problems                                    | 12.300 | 190,456         | -                                 |
| Navy           | N00014-15-1-2381           | A probabilistic framework for the reduced-order modeling of rare events in water waves and mechanical systems     | 12.300 | 168,374         | -                                 |
| Navy           | N00014-15-1-2460           | Computational Wave Hydromechanics in Support of Model Tests in The MASK Wave Basin                                | 12.300 | 119,570         | -                                 |
| Navy           | N00014-15-1-2483           | Surface Structure Enhanced Microchannels for Two-Phase Thermal Management   | 12.300 | 120,062         | -                                 |
| Navy           | N00014-15-1-2597           | Seamless Multi-scale Forecasting: Hybridizable Unstructured-mesh Modeling and Conservative Two-Way Nesting        | 12.300 | 165,399         | -                                 |
| Navy           | N00014-15-1-2616           | Northern Arabian Sea Circulation - autonomous research: Optimal Planning Systems (NASCar-OPS)                     | 12.300 | 120,868         | -                                 |
| Navy           | N00014-15-1-2622           | Investigating flow features near abrupt topography in the Mariana Basin   | 12.300 | 52,566          | 39,115                            |
| Navy           | N00014-15-1-2626           | High-Order Multi-Resolution Multi-Dynamics Modeling for FLEAT   | 12.300 | 83,216          | -                                 |
| Navy           | N00014-15-1-2694           | Direct Measurement and Modeling of Glass Under Shock Loading  | 12.300 | 177,577         | -                                 |
| Navy           | N00014-15-1-2751           | Design and Metrology Support for Evaluation of High Power Fault Protection Apparatus                              | 12.300 | 1               | -                                 |
| Navy           | N00014-15-1-2763           | USING BIO-INSPIRED MATERIAL CROSSLINK DYNAMICS TO ENGINEER ENERGY-DISSIPATIVE POLYMER MECHANICS                   | 12.300 | 173,100         | -                                 |
| Navy           | N00014-16-1-2081           | Rapid Assessment of the Acoustic Environment in the Changing Arctic   | 12.300 | 43,011          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| Navy           | N00014-16-1-2090           | Time-Resolved Measurement of Physical and Chemical Evolution of Energetic Materials Under Dynamic Shock Loading  | 12.300 | 130,024         | -                                 |
| Navy           | N00014-16-1-2122           | THIS GRANT IS BEING CONTINUED UNDER N00014-16-1-2122, Inversion, uncertainties, and multiple scattering in synthetic aperture radar/sonar  | 12.300 | 28,450          | -                                 |
| Navy           | N00014-16-1-2141           | Design and Operation of Efficient and Secure Navigation Networks   | 12.300 | 436,825         | -                                 |
| Navy           | N00014-16-1-2144           | NEPTUNE Pilot Proposal   | 12.300 | 474,633         | -                                 |
| Navy           | N00014-16-1-2181           | Computer-Aided Engineering for Nucleic Acid-Based Nanotechnology   | 12.300 | 12,908          | -                                 |
| Navy           | N00014-16-1-2200           | 4D Modeling of Underwater Acoustics in the Estuarine Environment Using Direct Simulations on HPC Platforms   | 12.300 | 151,508         | -                                 |
| Navy           | N00014-16-1-2226           | Quantum Spin Gyroscope   | 12.300 | 19,197          | -                                 |
| Navy           | N00014-16-1-2230           | Low Dimensionality Transistors for High Performance Electronics  | 12.300 | 1,861           | -                                 |
| Navy           | N00014-16-1-2333           | Merger of Structure and Material for Materials By Design: Comparative Bottom-up Analysis and Manufacturing of Hierarchical Materials   | 12.300 | 334,426         | -                                 |
| Navy           | N00014-16-1-2388           | Next-generation Genetic Devices: Model-guided Discovery and Optimization of Navy-relevant Cell-based Sensors   | 12.300 | 640,390         | 519,787                           |
| Navy           | N00014-16-1-2432           | Synthesis Genome for Novel Oxides: accelerating realization of advanced materials  | 12.300 | 270,177         | -                                 |
| Navy           | N00014-16-1-2450           | Long-term monitoring of deep-ocean Near Inertial Wave activity and surface sea-ice cover in the Arctic Ocean using PDS-CPIES   | 12.300 | 121,887         | -                                 |
| Navy           | N00014-16-1-2506           | High-throughput Assembly and Characterization Tools for Structural DNA Nanotechnology  | 12.300 | 2,590           | -                                 |
| Navy           | N00014-16-1-2509           | Synthetic Biology for Advanced Functional Materials  | 12.300 | 686,517         | -                                 |
| Navy           | N00014-16-1-2587           | An array of Pop-up Data Shuttle, Current and Pressure recording Inverted Echo Sounders (PDS-CPIES) for monitoring deep-sea, near-inertial currents and surface-ice cover in the Arctic Ocean | 12.300 | -309            | -                                 |
| Navy           | N00014-16-1-2628           | Resource Constrained Cooperative Underwater Localization and Mapping   | 12.300 | 170,695         | -                                 |
| Navy           | N00014-16-1-2657           | Investigation of Emerging Quantum Materials and Topological Order  | 12.300 | 300,987         | -                                 |
| Navy           | N00014-16-1-2783           | Ultra-High-Throughput Design and Optimization of Sense-and-Actuate Circuits in Marine and Soil Bacteria  | 12.300 | 116,666         | -                                 |
| Navy           | N00014-16-1-2786           | Decentralized online optimization in multi-agent systems in dynamic and uncertain environments   | 12.300 | -10,757         | -                                 |
| Navy           | N00014-16-1-2787           | persistent Decentralized Online Tasks (pDOT): An Online Optimization Approach to Multi-Agent Persistent Monitoring in Uncertain Environments   | 12.300 | 166,374         | 133,431                           |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| Navy           | N00014-16-1-2815           | Quantum simulators with ultracold atoms - mapping out possibilities for new materials   | 12.300 | 611,118         | -                                 |
| Navy           | N00014-16-1-2945           | Incorporating Distributed Systems in Early-Stage Set-Based Design of Navy Ships   | 12.300 | 113,048         | -                                 |
| Navy           | N00014-16-1-2953           | DNA Origami Scaffolds for Single-particle Cryo-Electron Microscopy of Viral RNA   | 12.300 | 320,957         | 72,281                            |
| Navy           | N00014-16-1-2998           | Lagrangian-based analysis of Kuroshio flow induced transport in the South-China Sea   | 12.300 | 13,880          | -                                 |
| Navy           | N00014-16-1-3031           | Stability of Floating Bodies in a Stochastic Seastate   | 12.300 | 114,886         | -                                 |
| Navy           | N00014-16-1-3105           | Understanding Dynamic Stability of Advanced Ships in Steep Waves by Direct Fully-Nonlinear Computations   | 12.300 | 144,940         | -                                 |
| Navy           | N00014-16-1-3116           | Mapping the spatio-temporal dynamics of perception in the human brain   | 12.300 | 628,911         | -                                 |
| Navy           | N00014-16-1-3141           | Laser systems for ultracold atoms and molecules   | 12.300 | 93,569          | -                                 |
| Navy           | N00014-16-1-3163           | A New Paradigm for Analysis of Complex, Networked, Social and Engineering Systems   | 12.300 | 367,923         | -                                 |
| Navy           | N00014-16-1-3181           | Smart Sea Skin: Flexible Multi-sensing System to Probe Marine Organism-Surface Interactions   | 12.300 | 287,086         | -                                 |
| Navy           | N00014-17-1-2068           | DURIP: X-ray Microscope for 4D in-situ Quantitative Tomography of Game-changing Nanoengineered Structural Advanced Composites for Sea-based Aviation and Other Applications | 12.300 | 1,016,543       | -                                 |
| Navy           | N00014-17-1-2072           | Context and Task-aware Active Perception for Multiagent Systems   | 12.300 | 519,932         | 154,086                           |
| Navy           | N00014-17-1-2077           | Simulation-Based Classification for Structural Health Monitoring: A Parametrized Component Model-Order-Reduction Approach   | 12.300 | 118,278         | -                                 |
| Navy           | N00014-17-1-2089           | Structures, Mechanisms & Statistics of Air-Entraining Free-Surface Turbulent Flows  | 12.300 | 174,503         | -                                 |
| Navy           | N00014-17-1-2139           | Nanostitched Composites with Improved Interlaminar and Intralaminar Strengths for Advanced Airframes in Sea-based Aviation - Bridge Proposal                                | 12.300 | 105,835         | -                                 |
| Navy           | N00014-17-1-2147           | Statistical Learning Theory of Complex Causal Models  | 12.300 | 353,585         | -                                 |
| Navy           | N00014-17-1-2177           | Optimization Over Combinatorial Optimization Polytopes  | 12.300 | 196,660         | -                                 |
| Navy           | N00014-17-1-2186           | Observational Benchmarks for BSION project  | 12.300 | 139,739         | -                                 |
| Navy           | N00014-17-1-2194           | Fast, Exact, and Approximate Algorithms in Network and Combinatorial Optimization   | 12.300 | 133,261         | -                                 |
| Navy           | N00014-17-1-2197           | A Unified Approach to Passive and Active Ocean Acoustic Waveguide Remote Sensing  | 12.300 | 603,466         | -                                 |
| Navy           | N00014-17-1-2236           | Tera-Scale, Energy-Efficient Wireline Communication Using Dielectric Waveguide  | 12.300 | 34,206          | -                                 |
| Navy           | N00014-17-1-2253           | Experiments with Trapped Neutral Atoms  | 12.300 | 57,998          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| Navy           | N00014-17-1-2254           | Optical-transition atomic clock beyond the standard quantum limit  | 12.300 | 249,365         | -                                 |
| Navy           | N00014-17-1-2257           | Topologically Protected Quantum States in Superfluid Fermi Gases   | 12.300 | 298,768         | -                                 |
| Navy           | N00014-17-1-2320           | Computational Design of Sophisticated Materials with Innovative Functions and Energetic Efficiency   | 12.300 | 384,700         | -                                 |
| Navy           | N00014-17-1-2363           | A Micro-Raman Thermography System for High Spatial Resolution Thermal Characterization of Microelectronic Devices and their Thermal Management Solutions | 12.300 | 22,015          | -                                 |
| Navy           | N00014-17-1-2379           | A System for Efficient and Accurate Network Navigation   | 12.300 | 19,846          | -                                 |
| Navy           | N00014-17-1-2474           | Environmentally Adaptive Acoustic Communication and Navigation in the new Arctic   | 12.300 | 244,009         | -                                 |
| Navy           | N00014-17-1-2570           | Aquaticus: A Collaborative Human-Machine Robotic Competition   | 12.RD  | 307,235         | -                                 |
| Navy           | N00014-17-1-2585           | Terahertz Transmission Over Dielectric Waveguide for High Speed Communication  | 12.300 | 39,856          | -                                 |
| Navy           | N00014-17-1-2598           | Inference And Dynamics On Networks   | 12.300 | 59,767          | -                                 |
| Navy           | N00014-17-1-2609           | Hierarchical Nanoscale Materials Programmed using Structured DNA Nanoparticles   | 12.300 | 155,478         | -                                 |
| Navy           | N00014-17-1-2670           | Vision-based Agile Autonomous Navigation in Contested Environments using High-Performance Embedded Computing   | 12.300 | 156,396         | -                                 |
| Navy           | N00014-17-1-2706           | Glass under shock loading: Novel measurements at National Laboratory facilities.   | 12.300 | 34,634          | -                                 |
| Navy           | N00014-17-1-2744           | Strong-field Interactions of Single-cycle Mid-infrared Pulses with Solids and Gases  | 12.300 | 412             | -                                 |
| Navy           | N00014-17-1-2790           | Algorithmic Tractability and Computational Limits in High-Dimensional Linear Regression  | 12.300 | 121,762         | -                                 |
| Navy           | N00014-17-1-2791           | High-Dimensional Causal Prediction   | 12.300 | 163,178         | -                                 |
| Navy           | N00014-17-1-2883           | Complex Two-Dimensional Materials for Emergent Electronics   | 12.300 | 170,641         | -                                 |
| Navy           | N00014-17-1-2920           | Multi-Sensing Multi-Active Nanocomposite Coating for Quantitatively Characterizing Fouling-Surface Interactions and Controlled Fouling Release           | 12.300 | 154,556         | -                                 |
| Navy           | N00014-17-1-2956           | Computer-aided design of functional transition metal complexes   | 12.300 | 40,408          | -                                 |
| Navy           | N00014-17-1-2959           | Machine Learning Enabled Wall Modeling for LES of Turbulent Boundary Layers including Laminar Precursors   | 12.300 | 53,200          | -                                 |
| Navy           | N00014-17-1-2977           | Bridging the Nano-Macro gap for 3D Optical/Multi-functional Metamaterials  | 12.300 | 97,071          | -                                 |
| Navy           | N00014-17-1-2985           | Support Vector Machine Learning in Marine Hydrodynamic   | 12.300 | 109,492         | -                                 |
| Navy           | N00014-18-1-2066           | Optical Breakdown Acoustic Sources for Broadband Underwater Sensing  | 12.300 | 86,254          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| Navy           | N00014-18-1-2079           | Extended Formulations for Advanced Mixed Integer Convex Optimization   | 12.300 | 30,967          | -                                 |
| Navy           | N00014-18-1-2085           | ONR Graduate Traineeship Special Research Award in Ocean Acoustics Program for Daniel Michael Duane                            | 12.300 | 35,063          | -                                 |
| Navy           | N00014-18-1-2122           | Online Optimization and Learning in a Complex Environment  | 12.300 | 180             | -                                 |
| Navy           | N00014-18-1-2177           | Fin-based Structures for Increasing Linearity in GaN Transistors   | 12.300 | 32,578          | -                                 |
| Navy           | N00014-18-1-2187           | Design and Metrology Support for High Power Fault Testing Systems  | 12.300 | 13,546          | -                                 |
| Navy           | N00014-18-1-2210           | Mathematical Certification of Mission Success Robustness for Multi-Agent Dynamic Group Action Models with Imperfect Perception | 12.300 | 18,888          | -                                 |
| Navy           | N00014-18-1-2258           | Epitaxial Growth of Structural Proteins into Hierarchical Mesostuctured Materials  | 12.300 | 31,392          | -                                 |
| Navy           | N00014-18-1-2284           | Tracking hydrogen: A multi-scale experimental-computational study of hydrogen influence on dislocations, plasticity, damage    | 12.300 | 5,172           | -                                 |
| Navy           | N00014-18-1-2290           | DNA Synthesizer for the Development of New Modalities for DNA Nanostructures   | 12.300 | 101,981         | -                                 |
| Navy           | N00014-18-1-2298           | Combinatorial Statistical Inference with Mathematical Optimization   | 12.300 | 8,902           | -                                 |
| Navy           | N00014-18-1-2458           | Numerical Superintensity of Tropical Cyclones: A Unique Challenge in Atmospheric Modeling                                      | 12.300 | 36,912          | -                                 |
| Navy           | N00173-13-2-C009           | Stochastic Forcing for Environmental Error and Probabilistic Estimation  | 12.300 | 15,100          | -                                 |
| Navy           | N00189-14-C-Z082           | Engineering Support for the Interagency Correlator   | 12.RD  | 101,687         | -                                 |
| Navy           | N00244-17-1-0011           | Assessing Vulnerabilities in Model-Centric Acquisition Programs Using Cause-Effect Mapping                                     | 12.300 | 62,462          | -                                 |
| Navy           | N66001-11-C-4147           | Compact, On-Demand Continuous Flow Manufacturing of Pharmaceuticals  | 12.RD  | 39,799          | -                                 |
| Navy           | N66001-13-C-4025           | INSCyT 2: Phase II Parent  | 12.RD  | 3,006,418       | 517,622                           |
| Navy           | N66001-14-2-4058           | Synthetic polymer xenoproteins   | 12.910 | 953,660         | -                                 |
| Navy           | N66001-15-1-4022           | Field Emission Arrays for Dynamic Pattern Generation   | 12.910 | 10              | -                                 |
| Navy           | N66001-15-C-4030           | Multi-Scale Representation and Translation for Complex, Heterogeneous Materials  | 12.RD  | 375,071         | -                                 |
| Navy           | N66001-16-1-4038           | Enhancing Lifetime and Performance of Field Emitter Array Cathodes   | 12.910 | 329,738         | -                                 |
| Navy           | N66001-16-C-4005           | Pharmacy on Demand Phase III: Compact, On-Demand Continuous Flow Manufacturing of Pharmaceuticals                              | 12.RD  | -17,543         | -                                 |
| Navy           | N66001-16-C-4039           | Novel Millimeter Wave Klystron Amplifier   | 12.RD  | 384,187         | 85,012                            |
| Navy           | N66001-17-1-4039           | The Promise of Diversity: Geometry, Probability, Optimization and Machine Learning   | 12.910 | 107,114         | -                                 |

**Appendix A1**  
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| Federal Agency                         | Government Contract Number | Master Project Name  | CFDA # | Amount Expended   | \$ Amount Passed to Subrecipients |
|--|----------------------------|--|--------|-------------------|-----------------------------------|
| Navy                                   | N66001-17-2-4054           | Daisy drive systems for the precise alteration of local populations  | 12.910 | 1,329,107         | 232,700                           |
| Navy                                   | N68936-16-P-0688           | Human-Automation Interaction to Support Multiple Platform Mission Planning   | 12.RD  | -14,908           | -                                 |
| <b>Total for Navy</b>                  |                            |  |        | <b>23,242,851</b> | <b>1,933,078</b>                  |
| <b>Other DOD</b>                       |                            |  |        |                   |                                   |
| NSA                                    | H98230-14-C-1424           | Supercloud: a Unified Approach to Compute, Big Data, Database and Enterprise Clouds  | 12.RD  | 85,172            | -                                 |
| Other DOD                              | HDTRA1-13-1-0001           | Evaluation of Radiation-Induced Photonic Defects in Si, Ge, Chalcogenides and Polymers   | 12.351 | 117,687           | 44,090                            |
| Other DOD                              | HDTRA1-13-1-0038           | Nucleopore Membrane Mimics As Selective Filters for Biological Agents  | 12.351 | 724,957           | -                                 |
| Other DOD                              | HDTRA1-14-1-0007           | Engineered Autonomous Distributed Circuits for Adaptive Threat Elimination   | 12.351 | 416,567           | -                                 |
| Other DOD                              | HDTRA1-14-1-0057           | Radiation Effects in III-V MOSFETs for sub-10 nm CMOS  | 12.351 | 248,360           | 83,179                            |
| Other DOD                              | HDTRA1-15-1-0040           | Development of Synthetic Probiotics to Detect and Eliminate Biothreat Agents   | 12.351 | 374,553           | -                                 |
| Other DOD                              | HDTRA1-15-1-0050           | Deciphering Novel Mechanisms of Antimicrobial Resistance with Massively Parallel Combinatorial Genetics                                | 12.351 | 340,881           | -                                 |
| Other DOD                              | HDTRA1-15-1-0051           | Gene Duplication and Amplification in the Evolution of Antimicrobial Resistance: Clinical Significance and Diagnostic Potential        | 12.351 | 474,169           | -                                 |
| Other DOD                              | HDTRA1-15-1-0060           | Understanding radiation damage mechanisms in MEMS/NEMS through combined optomechanical interrogation and micro-analysis (PerD-Topic 8) | 12.351 | 192,902           | 80,683                            |
| Other DOD                              | HDTRA1-16-1-0038           | Using Coacervates to Maximize Enzymatic Activity at Interfaces for Heavy Metal Detection   | 12.351 | 140,081           | -                                 |
| <b>Total for Other DOD</b>             |                            |  |        | <b>3,115,330</b>  | <b>207,951</b>                    |
| <b>TOTAL for Department of Defense</b> |                            |  |        | <b>90,127,727</b> | <b>18,409,345</b>                 |

**Appendix A1  
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| Federal Agency                          | Government Contract Number | Master Project Name   | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|---|----------------------------|---|--------|------------------|-----------------------------------|
| <b>DEPARTMENT OF COMMERCE</b>           |                            |   |        |                  |                                   |
| DOC                                     | 60NANB15D361               | Focusing mirrors for novel neutron imaging instruments  | 11.609 | 120,372          | -                                 |
| DOC                                     | 70NANB16H164               | Measurement Standards to Enable Predictive Synthetic Biology  | 11.609 | 185,550          | -                                 |
| DOC                                     | 70NANB16H227N              | Smart Grid in a Room (SGRS)   | 11.619 | 24,786           | -                                 |
| DOC                                     | 70NANB17H177               | Situational Awareness For Emergencies Through Network-Enabled Technologies (SafeT-N)  | 11.609 | 101,666          | -                                 |
| DOC                                     | NA14OAR4170077             | 2014 Parent Account: Sea Grant College Program  | 11.417 | 1,843,580        | 526,984                           |
| DOC                                     | NA14OAR4310132             | Deposition of Atmospheric Organic Carbon: New Constraints on the Reactive Carbon Budget                                     | 11.431 | 60,722           | -                                 |
| DOC                                     | NA16OAR4310112             | Influence of atmospheric ageing on fire-derived carbonaceous particles: laboratory studies and modeling in support of FIREX | 11.431 | 66,899           | -                                 |
| DOC                                     | NA16OAR4310177             | Exploring linkages between AMOC and ITCZ variability  | 11.431 | 129,605          | -                                 |
| DOC                                     | NA18OAR4170105             | 2018 Omnibus: Sea Grant College Program   | 11.417 | 604,792          | -                                 |
| <b>Total for Department of Commerce</b> |                            |   |        | <b>3,137,972</b> | <b>526,984</b>                    |
| <b>TOTAL for Department of Commerce</b> |                            |   |        | <b>3,137,972</b> | <b>526,984</b>                    |



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| Federal Agency              | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|-----------------------------|----------------------------|---|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF ENERGY</b> |                            |   |        |                 |                                   |
| DOE                         | 4F-30121                   | Technologies and Concepts to Reduce the US Dependence on Imported Petroleum and Emission of Greenhouse Warming Pollutants | 81.RD  | 20,983          | -                                 |
| DOE                         | DE-AR0000433               | Engineering high yield pathways for methane activation and conversion to liquid fuels                                     | 81.135 | -31,184         | 979                               |
| DOE                         | DE-AR0000471               | Full Spectrum Stacked Solar-Thermal and PV Receiver   | 81.135 | 145,865         | -6,500                            |
| DOE                         | DE-AR0000611               | Sustainable Travel Incentives with Prediction, Optimization, and Personalization(TRIPOD)                                  | 81.135 | 1,861,901       | 195,046                           |
| DOE                         | DE-AR0000625               | INTEGRATED MICRO-OPTICAL CONCENTRATOR PHOTOVOLTAICS WITH LATERAL MULTIUNCTION CELLS                                       | 81.135 | 1,067,192       | 74,832                            |
| DOE                         | DE-AR0000632               | Wafer-Level Integrated Concentrating Photovoltaics  | 81.135 | 139,295         | -                                 |
| DOE                         | DE-AR0000713               | Generating Realistic Information for Development of Distribution and Transmission Algorithms                              | 81.135 | 252,830         | 127,115                           |
| DOE                         | DE-AR0000847               | Seamless Hybrid-integrated Interconnect Network (SHINE)   | 81.135 | 299,857         | 154,110                           |
| DOE                         | DE-EE0007531               | Improving Tolerance of Yeast to Lignocellulose-Derived Feedstocks and Products  | 81.087 | 440,114         | -                                 |
| DOE                         | DE-EE0007535               | Low Cost (CAPEX and variable): Tool design for cell and module fabrication with thin, free-standing silicon wafers        | 81.087 | 550,157         | -                                 |
| DOE                         | DE-EE0007662               | Modeling Photovoltaics Innovation and Deployment Dynamics   | 81.117 | 395,612         | -                                 |
| DOE                         | DE-EE0007810               | Self-assembling rechargeable Li batteries from alkali and alkaline-earth halides  | 81.086 | 341,772         | 80,761                            |
| DOE                         | DE-EE0007982               | Rapid Construction of Validated Chemistry Models for Advanced Biofuels  | 81.087 | 169,619         | 23,278                            |
| DOE                         | DE-EE0008151               | Two-dimensional material based layer transfer (2DLT) for low-cost, high-throughput, high-efficiency solar cells           | 81.087 | 207,092         | -                                 |
| DOE                         | DE-EI0003030               | Dynamics of Energy Use in China   | 81.089 | 245,518         | -                                 |
| DOE                         | DE-EM0004484               | NRI: Extra Robotic Limbs for Body Support in Kneeling and Crouching Works   | 81.104 | 155,125         | -                                 |
| DOE                         | DE-FC02-08ER54966          | Center for the Study of Microturbulence   | 81.049 | 129,595         | -                                 |
| DOE                         | DE-FC02-93ER54186          | D&T Parent  | 81.049 | 417,778         | -                                 |
| DOE                         | DE-FC02-93ER54186          | Fusion Development and Technology - Parent  | 81.049 | 704,155         | -                                 |
| DOE                         | DE-FC02-99ER54512          | Alcator C-Mod   | 81.049 | 1,097,346       | -                                 |
| DOE                         | DE-FE0013999               | Fate of Methane emitted from dissociating marine hydrates: Modeling, Laboratory and Field constraints                     | 81.RD  | 58,621          | 31,131                            |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| DOE            | DE-FE0026109               | Self-Regulating Surface Chemistry for More Robust Highly Durable Solid Oxide Fuel Cell Cathodes                 | 81.089 | 111,447         | -                                 |
| DOE            | DE-FE0026489               | Electrochemically-Mediated AmineRegeneration In CO2 Scrubbing Processes   | 81.089 | 101,675         | -                                 |
| DOE            | DE-FG02-00ER15087          | Revealing Nanoscale Energy Flow Using Ultrafast Terahertz to X-Ray Beams  | 81.049 | 92,520          | 39,904                            |
| DOE            | DE-FG02-02ER45977          | Fundamental Studies on Heat Conduction in Polymers  | 81.049 | 139,254         | -                                 |
| DOE            | DE-FG02-02ER45977          | Spectrally-tunable far-field thermal radiation extraction   | 81.049 | -3,857          | -                                 |
| DOE            | DE-FG02-03ER46076          | Strongly Correlated Electronic Systems: Local Moments and Conduction Electrons (Renewal)                        | 81.049 | 223,810         | -                                 |
| DOE            | DE-FG02-03ER54700          | Physics of High Energy Plasmas  | 81.049 | 330,420         | -                                 |
| DOE            | DE-FG02-07ER46454          | PROBING EXCITONS IN CONFINED ENVIRONMENTS USING PHOTON-RESOLVED METHODS   | 81.049 | 264,856         | -                                 |
| DOE            | DE-FG02-07ER46474          | Bimolecular Interactions in Organic Semiconductors: Hot charge, Hot excitons, Efficiency Droop, and Instability | 81.049 | 261,944         | -                                 |
| DOE            | DE-FG02-08ER46488          | Materials Exhibiting Biomimetic Carbon Fixation and Self-Repair: Theory and Experiment                          | 81.049 | 110,230         | -                                 |
| DOE            | DE-FG02-08ER46488          | Self Assembly and Self-Repair of Novel Photovoltaic Complexes: Synthetic Analogs of Natural Processes           | 81.049 | -753            | -                                 |
| DOE            | DE-FG02-08ER46514          | Novel Temperature Limited Tunneling Spectroscopy of Quantum Hall Systems  | 81.049 | 115,265         | -                                 |
| DOE            | DE-FG02-08ER46521          | Ultrafast Electronic and Structural Dynamics in Complex Materials   | 81.049 | 39,834          | -                                 |
| DOE            | DE-FG02-08ER46521          | Ultrafast Electronic and Structural Dynamics in Quantum Materials   | 81.049 | 310,230         | -                                 |
| DOE            | DE-FG02-86ER13564          | Metathesis Polymerization by Well-defined Molybdenum and Tungsten Alkylidene Complexes                          | 81.049 | 151,959         | -                                 |
| DOE            | DE-FG02-87ER13671          | Spectroscopic and Dynamical Studies of Highly Energized Small Polyatomic Molecules                              | 81.049 | 161,257         | -                                 |
| DOE            | DE-FG02-91ER54109          | THEORETICAL RESEARCH IN ADVANCED PHYSICS AND TECHNOLOGY   | 81.049 | 949,989         | -                                 |
| DOE            | DE-FG02-91ER54109          | Theoretical Research in Advanced Physics and Technology (Renewal/Continuation of 6931788)                       | 81.049 | 294,526         | -                                 |
| DOE            | DE-FG02-94ER40818          | Laboratory for Nuclear Science (Nuclear Physics)  | 81.049 | -698,057        | -                                 |
| DOE            | DE-FG02-94ER40818          | RESEARCH IN NUCLEAR PHYSICS, TASK J - MEDIUM ENERGY NUCLEAR PHYSICS   | 81.049 | 3,418,005       | -                                 |
| DOE            | DE-FG02-94ER54235          | APTE Parent   | 81.049 | 208,588         | -                                 |
| DOE            | DE-FG02-94ER61937          | An Integrated Framework for Climate Change Assessment   | 81.049 | 1,022,756       | -                                 |
| DOE            | DE-FG02-96ER45571          | First Principles Determination of Structure, Thermodynamics, and Transport in Metals and Oxides                 | 81.049 | 11,585          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| DOE            | DE-FG02-97ER14760          | COLLABORATIVE RESEARCH: EVOLUTION OF PORE STRUCTURE AND PERMEABILITY OF ROCKS UNDER HYDROTHERMAL CONDITIONS  | 81.049 | 301,010         | -                                 |
| DOE            | DE-FG02-99ER15004          | Physics of Channelization: Theory, Experiment, and Observation   | 81.049 | 126,177         | -                                 |
| DOE            | DE-FG02-99ER54525          | PROPAGATION AND DAMPING OF HIGH HARMONIC FAST WAVES AND ELECTRON CYCLOTRON WAVES IN THE NSTX-U-DEVICE  | 81.049 | 119,643         | -                                 |
| DOE            | DE-FG02-99ER54563          | Fast Particle Wave Interaction and Alfvén Eigenmodes in the JET Tokamak Plasma   | 81.049 | 173,302         | -                                 |
| DOE            | DE-NA0002726               | Explorations of Inertial-Confinement Fusion, High-Energy-Density Physics, and Laboratory Astrophysics  | 81.112 | 174,272         | -                                 |
| DOE            | DE-NA0002788               | Uncooled Chipscale Mid-infrared Photothermal Sensor for Ultra-sensitive Chemical Detection   | 81.113 | 165,354         | 31,133                            |
| DOE            | DE-NA0002949               | STUDYING HYDRODYNAMICS, KINETIC/MULTI-ION EFFECTS, AND CHARGED-PARTICLE STOPPING IN HED PLASMAS AND ICF IMPLOSIONS AT OMEGA, OMEGA-EP AND AT THE NIF                 | 81.112 | 405,613         | -                                 |
| DOE            | DE-NA0003539               | HEDP EXPLORATIONS OF KINETIC PHYSICS, PLASMA STOPPING POWER, HOHLRAUM FIELDS AND NUCLEAR ASTROPHYSICS  | 81.112 | 132,234         | -                                 |
| DOE            | DE-NE0008268               | Extraction of Uranium from Seawater: Design and Testing of a Symbiotic System  | 81.121 | 46,461          | -                                 |
| DOE            | DE-NE0008270               | Integral Full Core Multi-Physics PWR Benchmark with Measured Data  | 81.121 | 70,330          | -                                 |
| DOE            | DE-NE0008285               | Integrated FHR Technology Development: Tritium Management, Materials Testing, Salt Chemistry Control, Thermal-Hydraulics and Neutronics with Associated Benchmarking | 81.121 | 348,397         | 234,656                           |
| DOE            | DE-NE0008285-001           | Integrated FHR Technology Development: Tritium Management, Materials Testing, Salt Chemistry Control, Thermal-Hydraulics and Neutronics with Associated Benchmarking | 81.121 | 413,683         | 204,744                           |
| DOE            | DE-NE0008413               | Multilayer Composite Fuel Cladding for LWR Performance Enhancement and Severe Accident Tolerance   | 81.121 | 247,589         | 153,488                           |
| DOE            | DE-NE0008416               | Development of Accident Tolerant Fuel Options for Near Term Applications   | 81.121 | 933,061         | 440,906                           |
| DOE            | DE-NE0008502               | FY 2016 Scientific Infrastructure Support for Consolidated Innovative Nuclear Research   | 81.121 | 50,410          | -                                 |
| DOE            | DE-NE0008509               | University Reactor Upgrades Infrastructure Support for the MITR Research Reactor's Nuclear Instrumentation   | 81.121 | 205,855         | -                                 |
| DOE            | DE-NE0008578               | MULTI-GROUP TRANSPORT CROSS SECTION & DIFFUSION COEFFICIENT GENERATION FOR DETERMINISTIC REACTOR MODELS USING MONTE CAROL CALCULATIONS.                              | 81.121 | 186,706         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| DOE            | DE-NE0008671               | Establishing MIT's experimental capabilities for LWR thermal-hydraulics investigations                        | 81.121 | 219,725         | -                    | -                                    |
| DOE            | DE-NE0008693               | Determination of Critical Heat Flux and Leidenfrost Temperature on Candidate Accident Tolerant Fuel Materials | 81.121 | 91,793          | -                    | -                                    |
| DOE            | DE-SC0001088               | Center for Excitonics - Main Operating Account for Deposits & Distributions                                   | 81.049 | 3,097,132       | 320,110              | 320,110                              |
| DOE            | DE-SC0001299               | Solid-State Solar-Thermal Energy Conversion Center (S3TEC)  | 81.049 | 3,585,858       | 782,730              | 782,730                              |
| DOE            | DE-SC0002626               | Electrochemically-Driven Phase Transitions in Battery Storage Compounds                                       | 81.049 | 144,017         | -                    | -                                    |
| DOE            | DE-SC0002633               | SISGR: Chemomechanics of Far-From Equilibrium Interfaces  | 81.049 | 828,106         | -                    | -                                    |
| DOE            | DE-SC0006937               | Electronic and Ionic Conductors from Ordered Microporous Materials  | 81.049 | -12,557         | -6                   | -6                                   |
| DOE            | DE-SC0007106               | Engineered Protein Nanostructures for Advanced Functional Materials   | 81.049 | 227,485         | -                    | -                                    |
| DOE            | DE-SC0007106               | Thermodynamics of Self-Assembly in Globular Protein-Polymer Conjugates  | 81.049 | 71,741          | -                    | -                                    |
| DOE            | DE-SC0007883               | Nonlinear and 3D MHD  | 81.049 | 203,307         | -                    | -                                    |
| DOE            | DE-SC0008059               | Graphene Membranes with Tunable Nanometer-Scale Pores   | 81.049 | -18             | -                    | -                                    |
| DOE            | DE-SC0008737               | Partnership for Edge Physics Simulation   | 81.049 | 39,178          | -                    | -                                    |
| DOE            | DE-SC0008739               | Unconventional Metals in Strongly Correlated Systems  | 81.049 | 121,020         | -                    | -                                    |
| DOE            | DE-SC0008740               | Development of a Polarized 3He Ion Source for RHIC  | 81.049 | 179,182         | -                    | -                                    |
| DOE            | DE-SC0008741               | High Intensity Polarized Electron Gun   | 81.049 | 116,543         | -                    | -                                    |
| DOE            | DE-SC0008743               | Assembling Resuable Genetic Modules for Efficient Biofuel Production from Marine Macroalgae                   | 81.049 | 295,071         | 271,901              | 271,901                              |
| DOE            | DE-SC0008744               | Optimizing oil production in oleaginous yeast by cell-wide measurements and genome-based models.              | 81.049 | 843,273         | 332,911              | 332,911                              |
| DOE            | DE-SC0008766               | Computing Properties of Hadrons, Nuclei and Nuclear Matter from Quantum Chromodynamics                        | 81.049 | -30,013         | -                    | -                                    |
| DOE            | DE-SC0008923               | CAP3: A Computer Aided Performance Programming Platform   | 81.049 | 63,702          | -                    | -                                    |
| DOE            | DE-SC0009297               | DiaMonD: An Integrated Multifaceted Approach to Mathematics at the Interfaces of Data, Models, and Decisions  | 81.049 | 500,384         | -                    | -                                    |
| DOE            | DE-SC0009833               | Development of an accelerator-based diagnostic for plasma-facing surfaces in magnetic confinement devices     | 81.049 | 119,801         | -                    | -                                    |
| DOE            | DE-SC0010428               | Biomimetic Templated Self-Assembly of Light Harvesting Nanostructures   | 81.049 | 88,376          | -                    | -                                    |
| DOE            | DE-SC0010492               | Control and Extension of High Performance Scenarios to Long Pulse   | 81.049 | 519,737         | -                    | -                                    |
| DOE            | DE-SC0010495               | From Quarks to the Cosmos: Ab initio studies in nuclear physics   | 81.049 | 151,423         | -                    | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| DOE            | DE-SC0010497               | Glueonic Excitations in Mesons  | 81.049 | 121,087         | -                                 |
| DOE            | DE-SC0010526               | Predictive Theory of Topological States of Matter   | 81.049 | 94,908          | -                                 |
| DOE            | DE-SC0010538               | Imaging Interfacial Electric Fields on Ultrafast Timescales   | 81.049 | 129,014         | -                                 |
| DOE            | DE-SC0010720               | Development of long-pulse heating and current drive actuators and operational techniques compatible with a high-Z divertor and first wall | 81.049 | 59,075          | -                                 |
| DOE            | DE-SC0010795               | Mesoscale Mechanochemistry of 2D Crystal Growth   | 81.049 | 16,444          | -                                 |
| DOE            | DE-SC0011088               | MIT Relativistic Heavy Ion Group  | 81.049 | 1,403,577       | -                                 |
| DOE            | DE-SC0011090               | FY2017-2019 Task R-Theoretical Nuclear  | 81.049 | 874,157         | -                                 |
| DOE            | DE-SC0011091               | Neutrino Physics – Task W   | 81.049 | 480,685         | -                                 |
| DOE            | DE-SC0011755               | AMS Operations  | 81.049 | 3,771,590       | -                                 |
| DOE            | DE-SC0011848               | AMS Research  | 81.049 | 2,080,667       | -                                 |
| DOE            | DE-SC0011939               | Task A: Particle Physics Collaboration  | 81.049 | 1,039,917       | -                                 |
| DOE            | DE-SC0011970               | LEPTON QUARK STUDIES, TASK F SUMMARY, FY 2015-17  | 81.049 | 127,743         | -                                 |
| DOE            | DE-SC0012071               | Support of US Burning Plasma Organization   | 81.049 | 187,193         | -                                 |
| DOE            | DE-SC0012071               | USBPO Support   | 81.049 | 0               | -                                 |
| DOE            | DE-SC0012371               | Interface-Driven Chiral Magnetism in Ultrathin Metallic Ferromagnets: Towards Skyrmion Spintronics  | 81.049 | -37,039         | -                                 |
| DOE            | DE-SC0012469               | Preservation of Alcator C-Mod data and support of ITER research through ITPA participation  | 81.049 | 367,564         | -                                 |
| DOE            | DE-SC0012470               | MDSplus Development and Support   | 81.049 | 149,742         | -                                 |
| DOE            | DE-SC0012470               | MDSPlus Development and Support 2017-20   | 81.049 | 370,881         | -                                 |
| DOE            | DE-SC0012555               | Systems Biology Towards a Continuous Platform for Biofuels Production   | 81.049 | 257,345         | 76,388                            |
| DOE            | DE-SC0012567               | Task C: Theoretical High Energy Physics   | 81.049 | 703,842         | -                                 |
| DOE            | DE-SC0012567               | Theoretical High Energy Physics   | 81.049 | 19,515          | -                                 |
| DOE            | DE-SC0013307               | The Catalytic Reduction of Dinitrogen Under Mild Conditions   | 81.049 | 177,623         | -                                 |
| DOE            | DE-SC0013499               | Compact, low-cost, light-weight, superconducting, ironless cyclotrons for hadron radiotherapy   | 81.049 | 180,397         | -                                 |
| DOE            | DE-SC0013905               | Study of Heavy Flavor Mesons and Flavor-Tagged Jets with the CMS Detector   | 81.049 | 142,656         | -                                 |
| DOE            | DE-SC0013999               | Confronting Dark Matter with the Multiwavelength Sky  | 81.049 | 127,191         | -                                 |
| DOE            | DE-SC0014176               | Tunable Oxygen Reduction Electrocatalysis by Phenazine-Modified Carbons   | 81.049 | 155,167         | -                                 |
| DOE            | DE-SC0014204               | Whole-program Adaptive Error Detection and Mitigation   | 81.049 | 296,813         | -                                 |
| DOE            | DE-SC0014229               | Phase Contrast Imaging for Wendelstein 7-X  | 81.049 | 211,469         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| DOE            | DE-SC0014251               | Gas-Puff-Imaging for Diagnosis of Boundary and SOL Physics in W7-X  | 81.049 | 207,409         | -                                 |
| DOE            | DE-SC0014264               | MIT Plasma Science and Fusion Center Magnetic Confinement Fusion Experiment Research and Related Activities   | 81.049 | 7,639,265       | -                                 |
| DOE            | DE-SC0014901               | Computer-Aided Construction of Chemical Kinetic Models  | 81.049 | 72,290          | -                                 |
| DOE            | DE-SC0015566               | High Frequency, High Gradient Accelerator Research  | 81.049 | 393,994         | -                                 |
| DOE            | DE-SC0016154               | Measurement of Helicons and Parametric Decay Waves in DIII-D with Phase Contrast Imaging  | 81.049 | 212,585         | -                                 |
| DOE            | DE-SC0016214               | Molecular Understanding of Bifunctional Solid Lewis Acid Zeolites for the C-C Coupling of Alpha Keto Acids  | 81.049 | 116,171         | -                                 |
| DOE            | DE-SC0016215               | Magnetic Reconnection in Strongly-Magnetized, Weakly-Collisional Plasmas: Onset, Turbulence, and Energy-Partition in 3D, Plasmoid-Dominated Regimes | 81.049 | 169,010         | -                                 |
| DOE            | DE-SC0016285               | AMS THERMAL COOLING SYSTEM  | 81.049 | 411,742         | -                                 |
| DOE            | DE-SC0016408               | Control of the Plasma-Material Interface for Long Pulse Optimization in EAST and KSTAR  | 81.049 | 92,295          | -                                 |
| DOE            | DE-SC0016409               | Disruption Prediction and Avoidance in High Beta Long Pulse KSTAR Plasmas   | 81.049 | 512,151         | -                                 |
| DOE            | DE-SC0017381               | Electron Temperature Fluctuation and n-T Phase Angle Measurements for Validation of Gyrokinetic Transport Models at ASDEX Upgrade                   | 81.049 | 132,299         | 56,368                            |
| DOE            | DE-SC0018090               | Center for Integrated Simulation of Fusion Relevant RF Actuators  | 81.049 | 528,777         | 190,806                           |
| DOE            | DE-SC0018091               | Microparticle Supersonic Impact: A Testbed for the Exploration of Metals under Extreme Conditions   | 81.049 | 173,625         | -                                 |
| DOE            | DE-SC0018094               | Nonequilibrium Properties of Driven Electrochemical Interfaces  | 81.049 | 30,619          | -                                 |
| DOE            | DE-SC0018095               | Development of an Ultrahigh-bandwidth Phase Contrast Imaging System for detection to Electron scale turbulence and Gigahertz Radiofrequency Waves   | 81.049 | 67,175          | -                                 |
| DOE            | DE-SC0018096               | Simultaneous mitigation of density and energy errors in approximate DFT for transition metal chemistry  | 81.049 | 67,606          | -                                 |
| DOE            | DE-SC0018097               | Interrogating protein-protein association through spectroscopic studies of model membranes  | 81.049 | 178,911         | -                                 |
| DOE            | DE-SC0018121               | Computing the Properties of Matter with Leadership Computing Resources  | 81.049 | 425,807         | -                                 |
| DOE            | DE-SC0018229               | BATES RESEARCH & ENGINEERING CENTER, TASK L, 3 YEAR FY 2017-19  | 81.049 | 1,978,646       | -                                 |
| DOE            | DE-SC0018235               | Fundamental studies of thermal and electrical transport in microporous metal-organic frameworks   | 81.049 | 176,229         | -                                 |
| DOE            | DE-SC0018357               | Nonequilibrium Physics of Multiphase Flow in Porous Media: Wettability and Disorder   | 81.049 | 81,931          | -                                 |

**Appendix A1**  
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| Federal Agency                        | Government Contract Number | Master Project Name  | CFDA # | Amount Expended   | \$ Amount Passed to Subrecipients |
|---------------------------------------|----------------------------|--|--------|-------------------|-----------------------------------|
| DOE                                   | DE-SC0018652               | Quantum simulation: From spin models to gauge-gravity correspondence   | 81.049 | 63,523            | -                                 |
| DOE                                   | PO #629763                 | US CMS Common Operations   | 81.RD  | 54,036            | -                                 |
| DOE                                   | PO 101633                  | Investigation of Nucleate Boiling Suppression in Annular Flow using Advanced Imaging Diagnostics and CFD Simulations | 81.RD  | 182,649           | 62,681                            |
| DOE                                   | PO 563385-REVISION 9       | US CMS DAQ Subsystem   | 81.RD  | 246,451           | -                                 |
| DOE                                   | PO-606667                  | US CMS HCAL Subsystem  | 81.RD  | 57,893            | -                                 |
| <b>Total for Department of Energy</b> |                            |  |        | <b>59,633,399</b> | <b>3,879,472</b>                  |
| <b>TOTAL for Department of Energy</b> |                            |  |        | <b>59,633,399</b> | <b>3,879,472</b>                  |

**Appendix A1  
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FY 2018 Expenditures**

| Federal Agency                                   | Government Contract Number        | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|--|-----------------------------------|---|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b> |                                   |   |        |                 |                                   |
| <b>Other HHS</b>                                 |                                   |   |        |                 |                                   |
| HHS  | 5-U01-FD005291-03                 | Integrated approach to determine equivalence in complex drug mixtures   | 93.103 | 37,353          | -                                 |
| HHS  | HHSF223201310210C                 | A Systematic Approach to Addressing Intentional Adulteration of FDA-regulated Food and Drug Products and Ingredients Emanating from the Global Supply Chain | 93.103 | -18,463         | -                                 |
| HHS  | HHSP233201500054C                 | Web Accessibility Initiative (WAI) Core   | 93.RD  | 376,379         | -                                 |
| HHS  | HHSP233201500054C/DUNS #001425594 | Web Accessibility Initiative (WAI) Core   | 93.RD  | 148,735         | -                                 |
| <b>Total for Other HHS</b>                       |                                   |   |        | <b>544,005</b>  | <b>-</b>                          |
| <b>NIH</b>                                       |                                   |   |        |                 |                                   |
| NIH  | 1 K99 GM126277-01                 | Non-cleaved Electro-Mechanical Expansion (NEME) technology for super-resolution imaging of biological samples with conventional optical microscopes         | 93.859 | 2,360           | -                                 |
| NIH  | 1-DP1-AT009925-02                 | Neural Circuit Mechanisms of Social Homeostasis in Individuals and Supraorganismal Social Groups  | 93.213 | 53,816          | -                                 |
| NIH  | 1-DP2-AG044279-01                 | Early Warning Indicators of Tipping Points in Biological Systems  | 93.310 | 335,782         | -                                 |
| NIH  | 1DP2A1136597-01                   | Developing powerful daisy drive systems for the precise alteration of local populations   | 93.310 | 309,061         | -                                 |
| NIH  | 1-DP2-CA1195769-01                | Imaging Transcription with Single Molecule Resolution in Live Mammalian Cells   | 93.310 | 237,091         | -                                 |
| NIH  | 1-DP2-DK102256-01                 | A Novel Strategy for Combating Obesity: Reprogramming Neural Circuits   | 93.847 | 629,805         | -                                 |
| NIH  | 1DP2ES027992                      | Proteome-Driven Holistic Reconstruction of Organ-Wide Multi-Scale Networks  | 93.310 | 441,602         | -                                 |
| NIH  | 1-DP2-GM119162-01                 | Continuous Directed Evolution of Biomolecules in Human Cells for Medical Research   | 93.310 | 798,927         | -                                 |
| NIH  | 1DP2GM119419                      | "Bottom-up" Profiling of Interacting Cellular Systems   | 93.310 | 154,970         | -                                 |
| NIH  | 1DP2GM128200-01                   | Nanometer distance assay to uncover protein dynamics  | 93.859 | 159,939         | -                                 |
| NIH  | 1-F30-HD093358-01 REVISED         | Chemically Modified Peptide Agents for Next-Generation Conjugate Therapies to Treat Duchenne Muscular Dystrophy   | 93.865 | 38,883          | -                                 |
| NIH  | 1-F31-CA228241-01 REVISED         | Genetic identification of novel mTORC1 regulators and homeostatic signaling mechanisms  | 93.398 | 15,948          | -                                 |
| NIH  | 1F31DK113665-01A1                 | Leucine Sensing by the mTORC1 Pathway in the Liver - PDF Cangelosi  | 93.847 | 15,948          | -                                 |



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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 1-F31-GM121093-01A1        | Elucidating the mechanism of leucine sensing by Sestrin2 upstream of mTORC1   | 93.859 | 42,096          | -                                 |
| NIH            | 1F32AI136459-01            | Characterizing spatio-temporal changes in immune cell landscapes of multiple sclerosis patients in response to B cell depletion with Ocrelizumab                        | 93.855 | 28,787          | -                                 |
| NIH            | 1-F32-DE027877-01A1        | Environmentally-responsive, layer-by-layer coatings for the on-demand delivery of therapeutic growth factors and antibiotics to repair craniomaxillofacial bone defects | 93.121 | 5,216           | -                                 |
| NIH            | 1-F32-GM123596-02          | Solving the E. coli Class Ia Ribonucleotide Reductase a/b Interface Structure by Magnetic Resonance   | 93.859 | 55,214          | -                                 |
| NIH            | 1-F32-GM126645-01          | Structurally Deformed Phosphorus Catalysis for Amidation, Hydroamination, and Olefin Metathesis Reaction  | 93.859 | 7,077           | -                                 |
| NIH            | 1-F32-MH115441-01          | Development of Line-Scan Temporal Focusing for fast structural imaging of synapse assembly/disassembly in vivo  | 93.242 | 29,741          | -                                 |
| NIH            | 1-G20-OD020259-01          | Developing and Improving Institutional Animal Resources (G20)   | 93.351 | 497,670         | -                                 |
| NIH            | 1K08MH116135-01            | Determining optimal parameters for dynamic cholinergic modulation of associative learning   | 93.242 | 47,952          | -                                 |
| NIH            | 1-K99-CA207866-02          | Investigating the role of the extracellular matrix in metastasis and chemo-resistance   | 93.398 | 93,952          | -                                 |
| NIH            | 1K99CA218679-01A1          | Metabolic Constraints on Cancer Cell Proliferation  | 93.398 | 34,156          | -                                 |
| NIH            | 1-K99-DA045103-01          | Defining the role of cortical circuit dynamics in learning and addiction  | 93.279 | 16,543          | -                                 |
| NIH            | 1-P01-HD061315-01A1        | Maternal and Child Health in Poor Countries: Evidence from Randomized Evaluations   | 93.865 | -15,867         | -15,867                           |
| NIH            | 1-P42-ES027707-01          | Science and Engineering for Sensors, Mechanisms, and Biomarkers of Exposures  | 93.143 | 547,570         | -                                 |
| NIH            | 1-R01-CA178636-01          | Intraoperative real time breast cancer margin assessment with nonlinear microscopy  | 93.394 | -1,519          | -                                 |
| NIH            | 1-R01-CA206218-01A1        | Reprogramming the tumor microenvironment via self-amplified RNA (Safer) circuits  | 93.396 | 364,389         | -                                 |
| NIH            | 1-R01-CA207029-01A1        | RNA circuits for cell state determination in mammalian cells in vitro and in vivo   | 93.394 | 133,360         | 133,360                           |
| NIH            | 1-R01-CA218094-01A1        | Deep learning based antibody design using high-throughput affinity testing of synthetic sequences   | 93.394 | 2,263           | -                                 |
| NIH            | 1-R01-CA220468-01          | Organic nanoparticles for dual MRI-guided therapeutic selection and ovarian cancer drug delivery  | 93.394 | 29,014          | 7,269                             |
| NIH            | 1-R01-DA038642-01A1        | Molecular imaging of dopaminergic signaling in rodent brain   | 93.279 | 34,231          | -                                 |
| NIH            | 1-R01-DA045549-01          | High-Performance Imaging Through Scattering Living Tissue   | 93.279 | 135,199         | -                                 |
| NIH            | 1-R01-EB024531-01          | Computational Design, Fabrication, and Evaluation of Optimized Patient-Specific Transistibial Prosthetic Sockets  | 93.286 | 33,349          | -                                 |

**Appendix A1**  
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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number  | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|-----------------------------|--|--------|-----------------|-----------------------------------|
| NIH            | 1-R01-EB024591-01           | Synthetic Genetic Controller Circuits to Reprogram Cell Fate   | 93.286 | 249,947         | -                                 |
| NIH            | 1R01EY028219-01A1           | Astrocyte-neuron interactions in visual cortex circuits  | 93.867 | 62,336          | -                                 |
| NIH            | 1-R01-GM104948-01           | Redesigning General Anesthesia   | 93.310 | 107,142         | -                                 |
| NIH            | 1R01GM125646-01             | Investigating RhoA GTPase regulation in sculpting tissues  | 93.859 | 46,012          | -                                 |
| NIH            | 1-R01-HD067312-01           | Using Cognitive Neuroscience to Predict Dyslexia among Kindergarten Children                                       | 93.865 | 5               | -                                 |
| NIH            | 1R01HL121386-01A1 REVISED   | Characterizing Mechanisms of Sickle Cell Crisis via Dynamic Optical Assay  | 93.839 | 11,811          | -                                 |
| NIH            | 1-R01-HL121386-01A1 REVISED | Characterizing Mechanisms of Sickle Cell Crisis via Dynamic Optical Assay  | 93.839 | 192,676         | 192,676                           |
| NIH            | 1-R01-HL140471-01           | Investigating the role of H2A.Z dynamics in regulating cardiac lineage commitment                                  | 93.837 | 291,594         | -                                 |
| NIH            | 1-R01-MH111872-01           | Multi-Site Non-Invasive Magneto-thermal Excitation and Inhibition of Deep Brain Structures                         | 93.242 | 475,447         | 428,006                           |
| NIH            | 1-R01-MH112694-01           | Simultaneous multiplexed in situ fluorescence imaging of neuronal proteins and messenger RNAs                      | 93.242 | 81,438          | 67,763                            |
| NIH            | 1-R01-MH114031-01           | RNA Scaffolds for Cell Specific Multiplexed Neural Observation   | 93.242 | 143,960         | -                                 |
| NIH            | 1-R01-MH115037-01           | Elucidating neural substrates that mediate autism-like behaviors   | 93.242 | 26,055          | -                                 |
| NIH            | 1-R01-NS089076-01A1         | Epigenetic pathology and therapy in Huntington's disease   | 93.853 | 411,677         | 277,966                           |
| NIH            | 1-R01-NS106031-01           | A dendritic mechanism for cholinergic neuromodulation of cortical function   | 93.853 | 41,681          | -                                 |
| NIH            | 1-R13-EB025722-01           | Symposium: Materials Design for Neural Interfaces  | 93.286 | 7,809           | -                                 |
| NIH            | 1-R13-GM125315-01           | U.S.-Canada Winter School on Biomolecular Solid State NMR  | 93.859 | 10,000          | -                                 |
| NIH            | 1-R21-AI126465-01           | Siderophore-based antibiotics: consequences for the microbiota and bacterial pathogens                             | 93.855 | 41,385          | 41,385                            |
| NIH            | 1-R21-EB018924-01A1         | Liquid-helium-free persistent-mode HTS magnets for NMR and MRI applications  | 93.286 | 3,144           | -                                 |
| NIH            | 1-R21-EB022729-01A1         | Multifunctional fibers for high-throughput microfluidics   | 93.286 | 105,338         | -                                 |
| NIH            | 1-R21-EY025863-02           | Post-natal development of high-level visual representation in primates   | 93.867 | 310,051         | -                                 |
| NIH            | 1-R24-MH106075-01           | Vascular Interfaces for Brain Imaging and Stimulation  | 93.242 | 834             | -                                 |
| NIH            | 1-R24-MH109081-01           | Toward functional molecular neuroimaging using vasoactive probes in human subjects.                                | 93.242 | 210,880         | -                                 |
| NIH            | 1R33CA223904-01             | Advanced development and validation of microdevices for high-throughput in situ drug sensitivity testing in tumors | 93.394 | 17,397          | -                                 |
| NIH            | 1-R34-HL125859-02 REVISED   | Entrainment-based mechanical ventilation to improve patient-ventilator synchrony                                   | 93.837 | 9,007           | -                                 |
| NIH            | 1R35ES028374-02             | Protein Kinase Signaling in the Genotoxic Stress Response  | 93.113 | 204,964         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 1-R35-GM126982-01          | Metalloenzyme structure, function and assembly  | 93.859 | 20,851          | -                                 |
| NIH            | 1-R56-HL127258-01          | Central mechanisms of respiratory adaptation to mechanical ventilation  | 93.837 | 66,914          | -                                 |
| NIH            | 1-RF1-AG047661-01          | Examination of neural circuits underlying mood disorders in Alzheimer's disease                                   | 93.866 | 469,907         | -                                 |
| NIH            | 1-RF1-AG048029-01          | Alzheimer's Disease Risk Genes in Human Microglia and Neurons Derived from iPSCs                                  | 93.866 | 821,486         | 116,273                           |
| NIH            | 1-RF1-AG054012-01          | Cell type specific epigenetic analysis to understand complex mechanisms underlying Alzheimer's disease phenotypes | 93.866 | 871,050         | -                                 |
| NIH            | 1-RF1-AG054321-01          | Demystifying Microglia in Aging and Alzheimer's Disease   | 93.866 | 961,327         | 286,140                           |
| NIH            | 1-RF1-AG058504-01 REVISED  | Solid State NMR Studies of Amyloid Proteins   | 93.866 | 603,885         | -                                 |
| NIH            | 1-U01CA202177-01           | Quantitative analyses of tumor cell extravasation   | 93.396 | -1,156          | -                                 |
| NIH            | 1-U01CA202177-02           | Quantitative analyses of tumor cell extravasation   | 93.396 | 66,463          | 33,347                            |
| NIH            | 1U01CA214381-01A1          | Development of Physiologic Tissue Models to Assess Tumor Explant Response to Immune Checkpoint Blockade           | 93.396 | 172,054         | 17,775                            |
| NIH            | 1U01CA215798-01            | Systems approaches to understanding the relationships between genotype, signaling, and therapeutic efficacy       | 93.396 | 621,923         | 542,051                           |
| NIH            | 1-U01-MH106018-01          | Novel technologies for nontoxic transsynaptic tracing   | 93.242 | -59,862         | -                                 |
| NIH            | 1-U01-MH-109129-01         | Anterograde monosynaptic tracing - Restricted Parent  | 93.242 | -71             | -71                               |
| NIH            | 1-U01-MH114819-01          | A Molecular and Cellular Atlas of the Marmoset Brain  | 93.242 | 864,600         | 415,166                           |
| NIH            | 1-U01-NS090473-01          | Cortical circuits and information flow during memory-guided perceptual decisions                                  | 93.853 | 169,122         | -                                 |
| NIH            | 1-U01-NS103470-01          | Genetically-targeted hemodynamic functional imaging   | 93.853 | 632,592         | -                                 |
| NIH            | 1-U19-AI131135-01          | 3D Models of Engineered Human iPS Cells to Investigate Neurotropic Virus Infections                               | 93.855 | 1,139,995       | 704,834                           |
| NIH            | 1U24TR001951-01            | Translational Center of Tissue Chip Technologies for quantitative characterization of Microphysiological Systems  | 93.350 | 412,849         | 17,740                            |
| NIH            | 1-U54-CA210180             | MIT/Mayo Physical Sciences Center for Drug Delivery and Efficacy in Brain Tumors                                  | 93.397 | 5,460           | 5,460                             |
| NIH            | 1-U54-CA210180-01          | MIT/Mayo Physical Sciences Center for Drug Delivery and Efficacy in Brain Tumors                                  | 93.397 | 930,792         | 742,457                           |
| NIH            | 1-U54-CA217377-01          | Quantitative and functional characterization of therapeutic resistance in cancer (PARENT)                         | 93.397 | 1,747,950       | 541,307                           |
| NIH            | 1-UG3-TR002186-01          | Cartilage-Bone-Synovium MPS: Musculoskeletal Disease Biology in Space   | 93.350 | 220,648         | 43,103                            |
| NIH            | 2-P01-CA026731-35A1        | Endogenous Nitrite Carcinogenesis In Man  | 93.393 | 498,010         | -                                 |
| NIH            | 2-P30-CA014051             | Cancer Center Support (Core) Grant – (Parent)   | 93.397 | 516,393         | -                                 |
| NIH            | 2-P30-CA014051-47          | Cancer Center Support (Core) Grant – (Parent)   | 93.397 | 90,484          | -                                 |

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| Federal Agency | Government Contract Number    | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|-------------------------------|--|--------|-----------------|-----------------------------------|
| NIH            | 2-P41-EB015871-31             | MIT Laser Biomedical Research Center   | 93.286 | 632,919         | 91,993                            |
| NIH            | 2-R01-AI016892-39             | AAA+ proteolytic machines  | 93.855 | 112,232         | -                                 |
| NIH            | 2-R01-EB002804-27             | High Field DNP and EPR in Biological Systems   | 93.286 | 9,095           | -                                 |
| NIH            | 2-R01-EB003151-35A1           | Solid State NMR Studies of Peptides and Proteins                                     | 93.286 | 0               | -                                 |
| NIH            | 2-R01-EB006365-06A2           | Microchip Drug Delivery System   | 93.286 | -925            | -925                              |
| NIH            | 2-R01-EY011289-29A1           | Novel Diagnostics With Optical Coherence Tomography                                  | 93.867 | 150,538         | 150,538                           |
| NIH            | 2-R01-EY014970-11A1           | The role of inferior temporal cortex in core visual object recognition               | 93.867 | 88,811          | -                                 |
| NIH            | 2-R01-GM034277-33             | Regulation of mRNA Processing  | 93.859 | 6,597           | -                                 |
| NIH            | 2-R01-GM059426-17             | Catalytic Stereoselective Olefin Metathesis Reactions                                | 93.859 | 246,978         | 246,978                           |
| NIH            | 2R01GM066976-14A1             | Structures and lipid interactions of curvature-inducing membrane peptides by NMR     | 93.859 | 122,508         | -                                 |
| NIH            | 2-R01-GM074825-10A1           | Synthesis and Study of Complex Natural Products                                      | 93.859 | 14,587          | 9,351                             |
| NIH            | 2-R01-GM082209-05A1           | Computational Design of Inhibitor Specificity  | 93.859 | 205,724         | 205,724                           |
| NIH            | 2-R56-AG015339-16A1           | Function of Mammalian SIRT1 in Aging   | 93.866 | 49,927          | -                                 |
| NIH            | 2-T32-GM008334-29             | Interdepartmental Biotechnology Training Program                                     | 93.859 | 732,198         | -                                 |
| NIH            | 2-T32-GM087237-09             | Graduate Training in Computational and Systems Biology                               | 93.859 | 271,559         | -                                 |
| NIH            | 3 T32 GM007484-40S1           | Integrative Neuronal Systems-Year 40   | 93.859 | 267,573         | -                                 |
| NIH            | 3-F32-EB019262-02S1           | Aligned Carbon Nanotube-Based Chemical Sensors with Highly Improved Sensitivity      | 93.286 | -2              | -                                 |
| NIH            | 3-F32-GM110897-02S1           | Hybrid organometallic_carbon nanotube films for enhanced chemiresistive sensors      | 93.859 | 32,788          | -                                 |
| NIH            | 3-F32-GM112197-03S1 - REVISED | Direct Synthesis of 1_2_Benzisoxazoles Via Palladium Catalysis                       | 93.859 | 1,447           | -                                 |
| NIH            | 3-F32-GM113311-02S1           | Asymmetric Construction of Benzylic Stereocenters via Reductive Copper Catalysis     | 93.859 | -1,397          | -                                 |
| NIH            | 3-F32-GM120852-01S1 REVISED   | The Continuous-Flow Synthesis of Ni-Precatalysts for High-Throughput Experimentation | 93.859 | 5,749           | -                                 |
| NIH            | 3-R01-DC016607-01A1S1         | The neural architecture of pragmatic processing                                      | 93.173 | 119             | -                                 |
| NIH            | 3-R01-EY023037-05S1           | Behavioral Consequences and cellular substrates of plasticity in visual cortex       | 93.867 | 79,228          | -                                 |
| NIH            | 3-R01-GM088204-06S1           | Solid-state NMR of the influenza M2 protein in lipid bilayers                        | 93.859 | 119,800         | -                                 |
| NIH            | 3-R01-GM097241-05S1           | Inhibition of Prokaryote-Specific Saccharide Biosynthesis in Microbial Pathogens     | 93.859 | 54,637          | -                                 |
| NIH            | 3-R01-GM097241-06             | Inhibition of Prokaryote-Specific Saccharide Biosynthesis in Microbial Pathogens     | 93.859 | 226,134         | -                                 |
| NIH            | 3-R01-GM110535-04S1           | Cysteine Arylation   | 93.859 | 165,000         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NIH            | 3-R33-AI100190-04S1        | MMDx: A rapid multiplexed matrix code diagnostic for real time epidemiology        | 93.855 | 93,765          | -                                 |
| NIH            | 3-U01CA202177-03S1         | Quantitative analyses of tumor cell extravasation                                  | 93.396 | 158,239         | -                                 |
| NIH            | 3-U01-HG007037-03S1        | Integrated Genome Discovery at Single Base Pair Resolution                         | 93.172 | -928            | -                                 |
| NIH            | 4-K00-CAZ12227-03          | Imaging Cancer Angiogenesis with Acoustic Angiography Ultrasound                   | 93.398 | 29,944          | -                                 |
| NIH            | 4-P01-CA042063-30          | Characterization of Pathways Controlling Cancer at the Level of Gene Regulation    | 93.393 | 102,279         | -                                 |
| NIH            | 4-P30-EY002621-39          | Core - Vision Processes  | 93.867 | -69,058         | 6,204                             |
| NIH            | 4-P41-EB015871-30          | MIT Laser Biomedical Research Center (P41-RR02594)                                 | 93.286 | 26,483          | -                                 |
| NIH            | 4-P50-GM098792-04          | MIT Center for Integrative Synthetic Biology                                       | 93.859 | 37,476          | -                                 |
| NIH            | 4-R00-AG050749-03          | Quantitation and biochemical characterization of autophagy's role in aging         | 93.866 | 221,357         | -                                 |
| NIH            | 4-R01-AG011119-24          | Function of SIRT1 in Growth and Reproduction                                       | 93.866 | 100,980         | -                                 |
| NIH            | 4-R01-AR060331-05          | Cartilage Repair Using Self Assembling Peptide Scaffolds                           | 93.846 | 430,376         | 420,353                           |
| NIH            | 4-R01-CA096504-14          | Engineered Antibody EGFR Antagonist Cancer Therapeutics                            | 93.395 | 172,759         | 115,018                           |
| NIH            | 4-R01-CA172164-04          | Targeting immunosuppression blockade to T cells for cancer immunotherapy           | 93.395 | 324,759         | -                                 |
| NIH            | 4-R01-CA174795-04          | Localizing Immunotherapy to Improve Therapeutic Index                              | 93.395 | 266,548         | -                                 |
| NIH            | 4-R01-CA178636-04          | Intraoperative real time breast cancer margin assessment with nonlinear microscopy | 93.394 | 21,868          | 28,886                            |
| NIH            | 4-R01-DC000117-37          | Hearing Aid Research   | 93.173 | 60,190          | -                                 |
| NIH            | 4-R01-EB001965-13          | High Magnetic Field, Time Domain Magnetic Resonance Spectrometers                  | 93.286 | 156,347         | -                                 |
| NIH            | 4-R01-EB017755-04          | Mechanistic analysis of transport through the mucus barrier                        | 93.286 | 24,014          | -                                 |
| NIH            | 4R01EB017755-04 REVISED    | Mechanistic analysis of transport through the mucus barrier                        | 93.286 | 162,343         | -                                 |
| NIH            | 4-R01-ES015818-09          | Mechanism of Eukaryotic Environmental Mutagenesis                                  | 93.113 | 118,639         | -                                 |
| NIH            | 4-R01-EY017921-09          | Neural mechanisms mediating visual search  | 93.867 | -5,848          | -                                 |
| NIH            | 4-R01-EY020517-06          | Project Prakash: Development of Object Perception After Late Sight Onset           | 93.867 | 322,665         | -                                 |
| NIH            | 4-R01-EY023037-04          | Behavioral consequences and cellular substrates of plasticity in visual cortex     | 93.867 | 36,092          | -                                 |
| NIH            | 4-R01-EY023173-05          | High-throughput robotic analysis of integrated neuronal phenotypes                 | 93.867 | 603,713         | 348,927                           |
| NIH            | 4-R01-GM024663-39          | Genetic Analysis of Nematode Egg Laying and Co-regulated Behavioral Systems        | 93.859 | 32,520          | -                                 |

**Appendix A1**  
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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 4-R01-GM081393-08          | MEIII2_Y_Me_Fe_Mn_Cluster Assembly and Maintenance in Ribonucleotide Reductase    | 93.859 | 167,796         | -                                 |
| NIH            | 4-R01-GM084477-09          | Molecular Genetics of Innate Immunity in C. elegans                               | 93.859 | -20,422         | -                                 |
| NIH            | 4-R01-GM094303-05          | Functional Consequences of Ribosome Heterogeneity                                 | 93.859 | 16,044          | -                                 |
| NIH            | 4-R01-GM101420-04 REVISED  | High throughput microfluidic intracellular delivery platform                      | 93.859 | 11,331          | -                                 |
| NIH            | 4-R01-GM102311-04          | Cooperation and Cheating in the Evolution of Antibiotic Resistance in Bacteria    | 93.859 | -1,117          | -                                 |
| NIH            | 4-R01-GM104948-05          | Redesigning General Anesthesia  | 93.310 | 209,470         | 71,891                            |
| NIH            | 4-R01-MH060379-15          | Ensemble activity in rat corticostriatal circuits during habit learning           | 93.242 | -2,217          | -                                 |
| NIH            | 4-R01-MH065252-15          | Neural Basis of Categories  | 93.242 | 180,052         | -                                 |
| NIH            | 4-R01-MH096914-05          | Impairments of Theory of Mind disrupt patterns of brain activity                  | 93.242 | 105,618         | -                                 |
| NIH            | 4-R01-MH097104-05          | Shank3 in Synaptic Function and Autism  | 93.242 | -3,331          | -                                 |
| NIH            | 4-R01-MH103160-04          | Hypermagnetic engineered proteins for functional neuroimaging                     | 93.242 | -2,784          | -                                 |
| NIH            | 4-T32-GM007287-42          | Pre-Doctoral Training in Biological Sciences                                      | 93.859 | -27,798         | -                                 |
| NIH            | 4-T32-GM007484-40          | Integrative Neuronal Systems-Year 40  | 93.859 | 7,850           | -                                 |
| NIH            | 4-T32-OD010978-29          | Biomedical Research Training for Veterinary Scientists                            | 93.351 | -308            | -                                 |
| NIH            | 4-U01-CA164337-05          | GI Tract Dysbiosis and Breast Cancer  | 93.396 | 323,620         | 114,480                           |
| NIH            | 5 K99 GM118907-02          | Effects of Host Metabolic Variation on Antibiotic Susceptibility                  | 93.859 | 80,770          | -                                 |
| NIH            | 5 P01 HD061315-05          | Maternal and Child Health in Poor Countries: Evidence from Randomized Evaluations | 93.865 | 118,172         | 222,902                           |
| NIH            | 5 P42 ES027707-02          | Science and Engineering for Sensors, Mechanisms, and Biomarkers of Exposures      | 93.143 | 215,592         | -                                 |
| NIH            | 5_R01-DE013023-15R         | Novel Polymers for Tissue Engineering   | 93.121 | -1,048          | -                                 |
| NIH            | 5-DP1-HD091947-03          | How Does the Functional Organization of the Human Brain Arise in Development?     | 93.865 | 977,601         | 239,494                           |
| NIH            | 5-DP1-NS087724-02          | Millisecond-Timescale Whole-Brain Neural Activity Mapping in Health and Disease   | 93.310 | -3,508          | -                                 |
| NIH            | 5-DP1-NS087724-03          | Millisecond-Timescale Whole-Brain Neural Activity Mapping in Health and Disease   | 93.310 | -52,886         | -                                 |
| NIH            | 5-DP1-NS087724-05          | Millisecond-Timescale Whole-Brain Neural Activity Mapping in Health and Disease   | 93.310 | 510,256         | -                                 |
| NIH            | 5-DP5-OD019815-04          | Adapter-Layer RTK Signaling: Basic Understanding & Targeted Drug Resistance       | 93.310 | 86,893          | -                                 |
| NIH            | 5-F31-AR067615-03 REVISED  | A Novel Approach to Osteogenesis Imperfecta_ The Collagen Protein Folding Problem | 93.846 | 22,967          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 5-F31-CA189437-03          | Improving targeted therapies through functional genomic approaches  | 93.398 | 11,556          | -                                 |
| NIH            | 5-F32-AG052284-03          | The Role of Aging in the Progression of Tendon Degeneration Due to Compressive Mechanical Overload: A Multiscale Approach                                     | 93.866 | 59,577          | -                                 |
| NIH            | 5-F32-CA200351-03          | Polymeric Nanoparticles for siRNA Delivery to Bone Marrow Endothelium to Disrupt Tumor Cell Adhesion and Bone Metastasis Formation In Vivo - PDF: M. Mitchell | 93.398 | 57,705          | -                                 |
| NIH            | 5-F32-CA210421-02          | Understanding cell intrinsic and context dependent metabolic adaptations of cancer cell - PDF: L. Danai   | 93.398 | 22,647          | -                                 |
| NIH            | 5-F32-CA213810-02 REVISED  | Understanding metabolic pathways that support redox homeostasis in cancer   | 93.398 | 56,172          | -                                 |
| NIH            | 5-F32-CA213821-02 REVISED  | Systematic analysis of RNA binding proteins in modulating drug response- PDF D. Dominguez   | 93.398 | 56,713          | -                                 |
| NIH            | 5-F32-DC015163-03          | Mechanisms of adaptation in (healthy and aphasic) noisy-channel comprehension   | 93.173 | 52,683          | -                                 |
| NIH            | 5-F32-DK111116-02          | Dynamic Gene Circuit Mapping of Unfolded Protein Response in Type 2 Diabetes  | 93.847 | 54,105          | -                                 |
| NIH            | 5-F32-EB022416-02 REVISED  | Fluorescence-based molecular imaging of in vivo release kinetics (PDF: K. McHugh)   | 93.286 | 58,667          | -                                 |
| NIH            | 5-F32-EB023101-02 REVISED  | Sequence- and Stereocontrolled Triazolium-containing Precise Polymers for siRNA Complexation and Delivery   | 93.286 | 60,234          | -                                 |
| NIH            | 5-F32-EY024857-03          | Dopaminergic modulation of visual cortical circuits   | 93.867 | 7,602           | -                                 |
| NIH            | 5-F32-EY028028-02 REVISED  | Contributions of glial neurotransmitter transport in balancing excitation and inhibition in visual cortex   | 93.867 | 55,048          | -                                 |
| NIH            | 5-F32-GM109516-03          | Multicolor Fluorescent Sensors for Imaging Zinc Dynamics in Cells   | 93.859 | 3,456           | -                                 |
| NIH            | 5-F32-GM114959-02          | Identification of "exosite" contacts in TRAF6, a critical mediator of cancer (PDF: D. Whitney)  | 93.859 | 56,716          | -                                 |
| NIH            | 5-F32-GM116241-02          | Quality Control of Membrane Proteins  | 93.859 | 8,431           | -                                 |
| NIH            | 5-F32-GM117673-02          | Pyridine Synthesis via Directed Aziridination of Phenols in Continuous Flow   | 93.859 | 28,017          | -                                 |
| NIH            | 5-F32-GM117710-02 REVISED  | The Continuous Flow Total Synthesis of a Series of Analogs of the Cephalotaxus Esters for the Development of Novel Antileukemia Therapies                     | 93.859 | 49,261          | -                                 |
| NIH            | 5-F32-GM120847-02 REVISED  | Dual Catalytic Asymmetric Photoredox Coupling of alpha-- Keto Radicals  | 93.859 | 49,734          | -                                 |
| NIH            | 5-F32-GM120963-03          | Investigating Patterns of Cell Interactions During Epithelial Folding - PDF Yevick  | 93.859 | 57,450          | -                                 |
| NIH            | 5-F32-GM122356-02          | Magnetic complex colloidal sensors for continuous in vitro measurement of nitric oxide  | 93.859 | 44,873          | -                                 |
| NIH            | 5-F32-GM123710-02          | Chiral polymer nanoparticles for probing biological systems   | 93.859 | 47,642          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NIH            | 5-F32-GM125163-02          | Copper-Catalyzed Enantioselective Addition of Styrene-Derived Nucleophiles to Thiocarbonyl Ions by Ligand-Controlled Chemoselective Hydrocupration     | 93.859 | 48,511          | -                                 |
| NIH            | 5F32GM125165-02            | Identification and Characterization of Ligand Binding Profiles for Human Intellect   | 93.859 | 50,519          | -                                 |
| NIH            | 5-F32-GM126765-02          | Investigating the VapBC family of toxin-antitoxin systems in Mycobacterium tuberculosis – PDF Nocedal  | 93.859 | 44,810          | -                                 |
| NIH            | 5-F32-HD090833-02          | Identification and Functional Dissection of Long Non-Coding RNAs in Genomic Imprinting   | 93.865 | 66,974          | -                                 |
| NIH            | 5-F32-HL122009-03 REVISED  | Local delivery of TGF-beta inhibitors to treat mitral valve disease  | 93.837 | -2,008          | -                                 |
| NIH            | 5-F32-HL134244-02          | The Coagulopathy-Inflammation Interface: Integration of Coagulopathy and Complement Activation as a Mechanism for Neutrophil Priming and Tissue Damage | 93.859 | 64,665          | -                                 |
| NIH            | 5-F32-MH107086-03          | Revealing the causal role of hippocampal dopamine signaling in spatial learning  | 93.242 | 37,790          | -                                 |
| NIH            | 5-F32-MH111216-02          | Elucidating the role of basolateral amygdala projections to the lateral hypothalamus in associative learning PDF: Siciliano                            | 93.242 | 59,849          | -                                 |
| NIH            | 5-F32-MH114525-02          | Adolescent Brain Bases of Intergenerational Risk for Depression  | 93.242 | 50,321          | -                                 |
| NIH            | 5-F32-MH115446-02          | Investigating the Role of Neurotensin on Valence Assignment During Associative Learning in the Basolateral Amygdala                                    | 93.242 | 41,281          | -                                 |
| NIH            | 5-F32-NS093897-03 REVISED  | Therapeutic devices for probing electrical and chemical activity in deep brain disorders_ PDF: H. Schwerdt   | 93.853 | 55,893          | -                                 |
| NIH            | 5-F32-NS100356-03 REVISED  | Revealing the Functional Role of Theta and Gamma Rhythms in Encoding and Retrieval of Spatial Memory   | 93.853 | 54,297          | -                                 |
| NIH            | 5-F32-NS100424-02 REVISED  | Noradrenergic modulation of the hippocampal network  | 93.853 | 45,532          | -                                 |
| NIH            | 5-K99-AG055697-03          | Deciphering cell-type specific mechanisms of APOE4 in Alzheimer's disease  | 93.866 | 88,664          | -                                 |
| NIH            | 5-K99-CA187317-02 REVISED  | Investigating Wnt and Lgr5 signaling as regulators of lung cancer heterogeneity  | 93.398 | 2,303           | -                                 |
| NIH            | 5-K99-MH112855-02          | Prefrontal circuits for attention and motor planning   | 93.242 | 105,922         | -                                 |
| NIH            | 5-P01-CA026731-39          | Endogenous Nitrite Carcinogenesis In Man   | 93.393 | 124,724         | -                                 |
| NIH            | 5-P01-CA042063-32          | Characterization of Pathways Controlling Cancer at the Level of Gene Regulation  | 93.393 | 1,132,423       | -                                 |
| NIH            | 5-P30-CA014051-45          | Cancer Center Support (Core) Grant – (Parent)  | 93.397 | 41,399          | -                                 |
| NIH            | 5-P30-CA014051-46          | Cancer Center Support (Core) Grant – (Parent)  | 93.397 | 4,052,777       | 363,491                           |
| NIH            | 5P30ES002109-37            | MIT Center for Environmental Health Sciences (YR 36-40)  | 93.113 | 694,051         | -                                 |
| NIH            | 5P30ES002109-38            | MIT Center for Environmental Health Sciences (YR 36-40)  | 93.113 | 222,814         | -                                 |
| NIH            | 5-P30EY002621-40           | Core - Vision Processes  | 93.867 | 357,969         | 29,451                            |



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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NIH            | 5-P41-EB002026-42          | MIT/Harvard Center for Magnetic Resonance   | 93.286 | 26,192          | -                    | -                                    |
| NIH            | 5P41EB002026-43            | MIT/Harvard Center for Magnetic Resonance   | 93.286 | 930,753         | -                    | -                                    |
| NIH            | 5-P41-EB015871-29          | MIT Laser Biomedical Research Center (P41-RR02594)  | 93.286 | 1,510           | -                    | -                                    |
| NIH            | 5-P41-EB015871-32          | MIT Laser Biomedical Research Center  | 93.286 | 276,756         | -                    | -                                    |
| NIH            | 5-P50-GM098792-03          | MIT Center for Integrative Synthetic Biology  | 93.859 | 7               | -                    | -                                    |
| NIH            | 5-P50-GM098792-05          | MIT Center for Integrative Synthetic Biology  | 93.859 | 1,639,490       | -                    | -                                    |
| NIH            | 5-R00-AG045144-05          | Regulation of the Intestinal Stem Cell Niche in Aging   | 93.866 | 80,053          | -                    | -                                    |
| NIH            | 5-R00-CA204595-04          | Tumor-intrinsic oncogenic alterations and evasion of anti-tumor immunity                                    | 93.396 | 275,573         | -                    | -                                    |
| NIH            | 5-R00-DK102669-04 REVISED  | Sculpting the Enteric Microbiota with CRISPR-Cas Systems  | 93.847 | 185,298         | -                    | -                                    |
| NIH            | 5-R00-GM105913-05          | Probing the function of translational pausing in bacterial protein synthesis                                | 93.859 | 46,148          | -                    | -                                    |
| NIH            | 5-R00-GM115765-04          | Elucidating how intracellular bacterial pathogens hijack host intercellular communication to promote spread | 93.859 | 179,081         | -                    | -                                    |
| NIH            | 5-R01 EB 016101-5          | A New Device for Electrical & Chemical Modulation of Pathological Neural Activity                           | 93.286 | 682,662         | -                    | -                                    |
| NIH            | 5-R01-AG049897-04          | A Randomized Controlled Trial of Health Care Hotspotting  | 93.866 | 976,055         | -                    | -                                    |
| NIH            | 5-R01-AI016892-38          | Proteolytic and chaperone machines implicated in virulence and disease                                      | 93.855 | 343,005         | -                    | -                                    |
| NIH            | 5-R01-AI055258-14          | Synthetic Ligands for Modulating Immune Cell Responses  | 93.855 | 607,503         | -                    | -                                    |
| NIH            | 5-R01-AI11395-05           | Characterization and Development of a Cross Spectrum Anti-Dengue Antibody                                   | 93.855 | 802,698         | -                    | -                                    |
| NIH            | 5-R01-AI11860-05           | T-cell-mediated targeting of therapeutics to HIV reservoirs   | 93.855 | 250,521         | -                    | -                                    |
| NIH            | 5-R01-AI126592-03          | The Chemistry and Biology of Galactofuranose-Containing Glycans   | 93.855 | 459,406         | -                    | -                                    |
| NIH            | 5-R01-AR060331-04          | Cartilage Repair Using Self Assembling Peptide Scaffolds  | 93.846 | 28              | -                    | -                                    |
| NIH            | 5-R01-AR065484-05          | Structure-Function of the Nuclear Envelope Bridge and its Role in Laminopathies                             | 93.846 | 356,545         | -                    | -                                    |
| NIH            | 5-R01-AR071443-02          | Defining and Modulating Mechanisms of Collagen Proteostasis   | 93.846 | 689,119         | -                    | -                                    |
| NIH            | 5-R01-A T008764-05         | Antimicrobial discovery from metabolomics of nematode pathogen interactions                                 | 93.213 | 736,116         | 327,311              | -                                    |
| NIH            | 5-R01-CA021615-41          | Mutagenesis and Repair of DNA   | 93.393 | 299,220         | -                    | -                                    |
| NIH            | 5-R01-CA034992-36 REVISED  | Understanding and Improving Platinum Anticancer Drugs   | 93.395 | 716,756         | -                    | -                                    |
| NIH            | 5R01CA073808-22 REVISED    | Ribonucleases in Cancer Chemotherapy  | 93.395 | 440,872         | -                    | -                                    |
| NIH            | 5-R01-CA075289-19          | Optical Biopsy Using Coherence Tomography   | 93.394 | 137,366         | 137,366              | -                                    |
| NIH            | 5-R01-CA075289-21          | Optical Biopsy Using Coherence Tomography   | 93.394 | 309,980         | -                    | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| NIH            | 5-R01-CA080024-21          | Intra and Extra-Chromosomal Probes for Mutagenesis by Carcinogens                                | 93.393 | 434,400         | 434,400              | -                                    |
| NIH            | 5-R01-CA096504-15 REVISED  | Engineered Antibody EGFR Antagonist Cancer Therapeutics  | 93.395 | 333,158         | 333,158              | -1,166                               |
| NIH            | 5-R01-CA133404-10          | Stress and Proliferation States Impact MicroRNA-Mediated Regulation in Cancer                    | 93.393 | 305,439         | 305,439              | -                                    |
| NIH            | 5-R01-CA160860-04          | Developing Direct Small-Molecule Probes of Myc-Dependent Transcription                           | 93.393 | 2,474           | 2,474                | -                                    |
| NIH            | 5-R01-CA168653-05          | Regulation of glucose metabolism to allow tumor initiation and growth                            | 93.396 | 52,264          | 52,264               | -                                    |
| NIH            | 5-R01-CA173712-05          | Genetic circuits for high-throughput, multi-sensory, live cell microRNA profiling                | 93.396 | -1,093          | -1,093               | -                                    |
| NIH            | 5-R01-CA174795-05          | Localizing Immunotherapy to Improve Therapeutic Index  | 93.395 | 161,332         | 161,332              | -                                    |
| NIH            | 5-R01-CA178636-05          | Intraoperative real time breast cancer margin assessment with nonlinear microscopy               | 93.394 | 312,849         | 312,849              | -                                    |
| NIH            | 5-R01-CA178636-06          | Intraoperative real time breast cancer margin assessment with nonlinear microscopy               | 93.394 | 1,042           | 1,042                | -                                    |
| NIH            | 5-R01-CA184956-02          | (PQB6)Elucidating metastasis by real-time monitoring and tagging of CTCs in GEMMs                | 93.396 | 288,842         | 288,842              | -                                    |
| NIH            | 5-R01-CA184956-03          | (PQB6)Elucidating metastasis by real-time monitoring and tagging of CTCs in GEMMs                | 93.396 | 0               | 0                    | -                                    |
| NIH            | 5-R01-CA184956-04          | (PQB6)Elucidating metastasis by real-time monitoring and tagging of CTCs in GEMMs                | 93.396 | 235,588         | 235,588              | -                                    |
| NIH            | 5-R01-CA185020-04 REVISED  | (PQB3) Investigating innate immunosurveillance of oncogene-induced danger signals                | 93.396 | 199,588         | 199,588              | -                                    |
| NIH            | 5-R01-CA206157-23 REVISED  | Regulation of MITOSIS by Proteolysis in Yeast  | 93.393 | 310,587         | 310,587              | -                                    |
| NIH            | 5-R01-CA206218-04          | Reprogramming the tumor microenvironment via self-amplified RNA (Safer) circuits                 | 93.396 | 286,999         | 286,999              | -                                    |
| NIH            | 5-R01-CA207029-03          | RNA circuits for cell state determination in mammalian cells in vitro and in vivo                | 93.394 | 519,708         | 519,708              | -                                    |
| NIH            | 5-R01-CA211184-02 REVISED  | Dietary control of stem cells in physiology and cancer   | 93.396 | 465,092         | 465,092              | -                                    |
| NIH            | 5-R01-CA220468-02          | Organic nanoparticles for dual MRI-guided therapeutic selection and ovarian cancer drug delivery | 93.394 | 368,090         | 368,090              | -                                    |
| NIH            | 5-R01-DA029639-08          | Novel Platforms for Systematic Optical Control of Complex Neural Circuits In Vivo                | 93.279 | 200,980         | 200,980              | -                                    |
| NIH            | 5-R01-DA038642-04          | Molecular imaging of dopaminergic signaling in rodent brain                                      | 93.279 | 580,158         | 580,158              | -                                    |
| NIH            | 5-R01-DA045549-02          | High-Performance Imaging Through Scattering Living Tissue  | 93.279 | 210,045         | 210,045              | -                                    |
| NIH            | 5-R01-DC000238-34          | Experimental - Theoretical Studies of Cochlear Mechanisms  | 93.173 | 320,327         | 320,327              | -                                    |
| NIH            | 5-R01-DC009183-08          | Neuronal Mechanisms of Motor Exploration and the Emergence of Structured Behavior                | 93.173 | 48,693          | 48,693               | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 5-R01-DC009183-10          | Neuronal Mechanisms of Motor Exploration and the Emergence of Structured Behavior                             | 93.173 | 208,831         | -                                 |
| NIH            | 5-R01-DC011339-05          | Brain Bases of Language Deficits in SLI and ASD   | 93.173 | -746            | -                                 |
| NIH            | 5-R01-DC014739-03          | Auditory Scene Analysis with Complex Sounds   | 93.173 | 481,122         | -                                 |
| NIH            | 5-R01-DE013023-19          | Novel Polymers for Tissue Engineering   | 93.121 | 569,668         | -                                 |
| NIH            | 5-R01-DE024747-02          | Tunable Nanolayer-Polymer Composite Patches for Cell-Free CMF Repair  | 93.121 | 20,165          | 14,987                            |
| NIH            | 5-R01-DE024747-03          | Tunable Nanolayer-Polymer Composite Patches for Cell-Free CMF Repair  | 93.121 | 385,762         | -                                 |
| NIH            | 5-R01-DK087984-07          | HRI-eIF2a Phosphorylation Signaling in Oxidative Stress and Erythropoiesis                                    | 93.847 | 234,422         | -                                 |
| NIH            | 5-R01-DK115558-02          | Macromolecular interactions controlling the ALA synthases, keystone enzymes that initiate heme biosynthesis   | 93.847 | 195,629         | -                                 |
| NIH            | 5-R01-EB001960-40          | Solid State NMR Studies of Membrane Proteins  | 93.286 | 269,756         | -                                 |
| NIH            | 5-R01-EB002804-30S1        | High Field DNP and EPR in Biological Systems  | 93.286 | 594,182         | -                                 |
| NIH            | 5-R01-EB004866-12          | Novel Traveling Wave Tubes for CW and Pulsed DNP NMR  | 93.286 | 732,065         | -                                 |
| NIH            | 5-R01-EB006365-10          | Microchip Drug Delivery System  | 93.286 | 925             | -                                 |
| NIH            | 5-R01-EB010246-05          | Perfused 3D Tissue Surrogates for Complex Cell-Cell Communication Systems                                     | 93.310 | 0               | -1,033                            |
| NIH            | 5-R01-EB016101-5           | A New Device for Electrical & Chemical Modulation of Pathological Neural Activity                             | 93.286 | 49,987          | -                                 |
| NIH            | 5-R01-EB017205-04          | Critical Care Informatics   | 93.286 | 655,338         | -                                 |
| NIH            | 5-R01-EB020740-04          | Nipype: Dataflows for Reproducible Biomedical Research  | 93.286 | 519,187         | 117,307                           |
| NIH            | 5-R01-EB022062-02          | Tabletop liquid-helium-free, persistent-mode 1.5-T/70-mm osteoporosis MRI magnet                              | 93.286 | 3,199           | -                                 |
| NIH            | 5-R01-EB022062-02 REVISED  | Tabletop liquid-helium-free, persistent-mode 1.5-T/70-mm osteoporosis MRI magnet                              | 93.286 | 458,154         | 23,484                            |
| NIH            | 5-R01-EB022433-03          | Lymph node-targeted molecular vaccines  | 93.286 | 332,676         | -                                 |
| NIH            | 5-R01-EB024261-02          | Expansion Microscopy  | 93.286 | 697,802         | -                                 |
| NIH            | 5-R01-EB024531-02          | Computational Design, Fabrication, and Evaluation of Optimized Patient-Specific Transibial Prosthetic Sockets | 93.286 | 511,395         | -                                 |
| NIH            | 5-R01-EB024591-02          | Synthetic Genetic Controller Circuits to Reprogram Cell Fate  | 93.286 | 155,798         | 35,404                            |
| NIH            | 5-R01-ES015339-10          | Protein Kinase Signaling and Cell Cycle Control   | 93.113 | 400,204         | -                                 |
| NIH            | 5-R01-ES016313-08 REVISED  | The Environment as a Variable to Calibrate Mouse Models of Human Disease                                      | 93.113 | 44,990          | -                                 |
| NIH            | 5-R01-ES022872-25          | Eukaryotic DNA Alkylation Repair  | 93.113 | 181,106         | -                                 |
| NIH            | 5-R01-EY007023-28          | Cell-specific circuits and contextual modulation in visual cortex   | 93.867 | 868,116         | -                                 |

**Appendix A1**  
**Massachusetts Institute of Technology**  
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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NIH            | 5-R01-EY011289-30          | Novel Diagnostics With Optical Coherence Tomography                              | 93.867 | 43,113          | 26,124                            |
| NIH            | 5-R01-EY011289-32          | Novel Diagnostics With Optical Coherence Tomography                              | 93.867 | 238,508         | -                                 |
| NIH            | 5-R01-EY011894-18 REVISED  | A Molecular Genetic Analysis of Cortical Plasticity                              | 93.867 | 291,429         | -                                 |
| NIH            | 5-R01-EY014074-21          | Developmental Regulation of Glutamate Receptor Function                          | 93.867 | 442,589         | -                                 |
| NIH            | 5-R01-EY017292-10          | Neural Mechanisms of Selective Attention   | 93.867 | -170            | -                                 |
| NIH            | 5-R01-EY023037-06          | Behavioral Consequences and cellular substrates of plasticity in visual cortex   | 93.867 | 463,016         | -                                 |
| NIH            | 5-R01-EY023322-06          | Neural mechanisms of color   | 93.867 | 374,982         | -                                 |
| NIH            | 5-R01-EY025437-03          | in vivo imaging of inhibitory circuit remodeling in mouse visual cortex          | 93.867 | 53,039          | -                                 |
| NIH            | 5-R01-EY025437-04          | in vivo imaging of inhibitory circuit remodeling in mouse visual cortex          | 93.867 | 356,094         | -                                 |
| NIH            | 5-R01-GM024663-41          | Genetic Analysis of Nematode Egg Laying and Co-regulated Behavioral Systems      | 93.859 | 388,425         | -                                 |
| NIH            | 5-R01-GM029595-38 REVISED  | Ribonucleotide Reductase: Structure and Function                                 | 93.859 | 91,663          | -                                 |
| NIH            | 5-R01-GM031030-36          | Molecular Genetics of Rhizobium Nodulation Plasmids                              | 93.859 | 381,997         | -                                 |
| NIH            | 5-R01-GM034277-32          | Regulation of mRNA Processing  | 93.859 | 434,175         | -                                 |
| NIH            | 5-R01-GM039334-30 REVISED  | Deciphering Membrane-Associated Glycan Assembly and Transfer                     | 93.859 | 333,768         | -                                 |
| NIH            | 5-R01-GM046059-25          | Catalytic Methods for Organic Synthesis  | 93.859 | 330,496         | -                                 |
| NIH            | 5-R01-GM049039-23          | Endovascular Devices and Vascular Repair   | 93.859 | 648,682         | -                                 |
| NIH            | 5-R01-GM050895-20          | Cell-Cell Signaling, Gene Expression, and Horizontal Gene Transfer in Bacillus   | 93.859 | 229,432         | -                                 |
| NIH            | 5-R01-GM052339-24          | Initiation of DNA Replication of Yeast Chromosomes                               | 93.859 | 358,896         | -                                 |
| NIH            | 5-R01-GM058160-19          | Late Transition Metal Catalysts for Organic Synthesis                            | 93.859 | 173,403         | -                                 |
| NIH            | 5-R01-GM059426-19 REVISED  | Catalytic Stereoselective Olefin Metathesis Reactions                            | 93.859 | 327,132         | -                                 |
| NIH            | 5-R01-GM062207-15 REVISED  | Regulation of the meiotic cell cycle   | 93.859 | 173,440         | -                                 |
| NIH            | 5-R01-GM065519-16 REVISED  | Imaging Mobile Zinc Biology  | 93.859 | 189,467         | -                                 |
| NIH            | 5-R01-GM066976-13 REVISED  | Structures and lipid interactions of curvature-inducing membrane peptides by NMR | 93.859 | 128,976         | -                                 |
| NIH            | 5-R01-GM069857-12          | Complex Metallocluster Structure and Assembly                                    | 93.859 | 245,775         | -                                 |
| NIH            | 5-R01-GM072566-12 REVISED  | Synthetic Strategies based on epoxide coupling reactions                         | 93.859 | 170,393         | -                                 |
| NIH            | 5-R01-GM074825-12 REVISED  | Synthesis and Study of Complex Natural Products                                  | 93.859 | 376,420         | -                                 |
| NIH            | 5-R01-GM077537-12          | High Resolution Assembly Structure of the Nuclear Pore Complex                   | 93.859 | 450,090         | -                                 |
| NIH            | 5-R01-GM081871-08          | Structure based prediction of the interactome                                    | 93.859 | -12,184         | -                                 |
| NIH            | 5-R01-GM081871-10          | Structure based Prediction of the interactome                                    | 93.859 | 470,903         | -                                 |

**Appendix A1**  
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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| NIH            | 5-R01-GM082209-08          | Computational Design of Inhibitor Specificity  | 93.859 | 77,301          | -                    | -                                    |
| NIH            | 5-R01-GM082899-11 REVISED  | Cell cycle regulation and chromosome organization in Caulobacter crescentus                    | 93.859 | 394,079         | -                    | -                                    |
| NIH            | 5-R01-GM084477-11          | Microbial Modulation of Neuroendocrine Physiology and Aging of C. elegans                      | 93.859 | 428,614         | -                    | -                                    |
| NIH            | 5-R01-GM085319-10          | Function of Sequence-specific RNA Binding Proteins   | 93.859 | 274,479         | -                    | -                                    |
| NIH            | 5-R01-GM086214-04          | Single-molecule imaging with super-resolution  | 93.859 | -1,390          | -1,638               | -1,638                               |
| NIH            | 5-R01-GM088204-08 REVISED  | Solid-state NMR of the influenza M2 protein in lipid bilayers                                  | 93.859 | 315,139         | -                    | -                                    |
| NIH            | 5-R01-GM089732-08 REVISED  | Synthesis and Study of Dimeric Diketopiperazine Alkaloids Years 5 to 8                         | 93.859 | 271,472         | -                    | -                                    |
| NIH            | 5-R01-GM095843-08 REVISED  | Molecules for Dynamic Nuclear Polarization and NMR Structure Determination                     | 93.859 | 293,778         | -                    | -                                    |
| NIH            | 5-R01-GM101316-03 REVISED  | Regulation and Function of snoRNA Genes  | 93.859 | 4,947           | -                    | -                                    |
| NIH            | 5-R01-GM101420-03          | High throughput microfluidic intracellular delivery platform                                   | 93.859 | 7,525           | 4,587                | 4,587                                |
| NIH            | 5-R01-GM101988-40          | Sequence Determinants of Protein Structure and Stability                                       | 93.859 | 333,312         | -                    | -                                    |
| NIH            | 5-R01-GM102311-06          | Environmental modulation of microbial conflict and cooperation                                 | 93.859 | 509,786         | -                    | -                                    |
| NIH            | 5-R01-GM105984-05          | Investigating the generation of mechanical forces during tissue invagination                   | 93.859 | 300,497         | -                    | -                                    |
| NIH            | 5-R01-GM108348-06          | Compressive Genomics for Large Omics Data Sets: Algorithms, Applications and Tools             | 93.859 | 357,667         | 55,447               | 55,447                               |
| NIH            | 5-R01-GM110048-04          | Computationally guided design of helical peptide interaction reagents                          | 93.859 | 278,414         | -                    | -                                    |
| NIH            | 5-R01-GM110535-04          | Cysteine Arylation   | 93.859 | 262,862         | -                    | -                                    |
| NIH            | 5-R01-GM113708-03 REVISED  | Comparative analysis and regulatory architecture of epigenomics datasets                       | 93.859 | 174,482         | -                    | -                                    |
| NIH            | 5-R01-GM114190-04          | Polymer models of mitotic and interphase chromosomes   | 93.859 | 334,842         | -                    | -                                    |
| NIH            | 5R01GM114547-05 REVISED    | Synthetic Methods based on Biphiic Phosphorus Catalysts  | 93.859 | 318,041         | -                    | -                                    |
| NIH            | 5-R01-GM114834-13          | Modified Phase 3B of a 3-phase 1.3-GHz LTS/HTS NMR magnet                                      | 93.859 | 863,555         | -                    | -                                    |
| NIH            | 5-R01-GM118695-02          | Bioinorganic Explorations of Host-Defense Proteins   | 93.859 | 197,091         | -                    | -                                    |
| NIH            | 5-R01-GM126376-02          | Metallobiochemistry of innate immunity and bacterial physiology                                | 93.859 | 99,343          | 16,982               | 16,982                               |
| NIH            | 5-R01-HD085866-04          | Mitotic exit control   | 93.865 | 401,053         | -                    | -                                    |
| NIH            | 5-R01-HD086899-02          | NRI: An autonomous curious social robot with a mindset for long-term interaction with children | 93.865 | 90,711          | 33,629               | 33,629                               |
| NIH            | 5-R01-HG002439-16          | Regulation and Function of Alternative mRNA Isoform Expression in Mammals                      | 93.172 | 362,693         | -                    | -                                    |
| NIH            | 5-R01-HG008363-03          | High-throughput methods for elucidating the control of chromatin accessibility                 | 93.172 | 428,912         | 186,773              | 186,773                              |

**Appendix A1**  
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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 5-R01-HG008754-03          | High-Throughput Native Context Mapping and Modeling of Regulatory DNA   | 93.172 | 608,612         | 305,487                           |
| NIH            | 5-R01-HL107503-05 REVISED  | Scalable Units for Building Vascularized Cardiac Graft  | 93.837 | 31,604          | -                                 |
| NIH            | 5-R01-HL121386-03          | Characterizing Mechanisms of Sickle Cell Crisis via Dynamic Optical Assay   | 93.839 | 19,153          | -                                 |
| NIH            | 5-R01-HL127174-03          | Canonical & non-canonical regulation of the HDL receptor by PDZK1's PDZ domains   | 93.837 | 494,483         | 24,737                            |
| NIH            | 5-R01-MH060379-17          | Functional and anatomical characterization of the striosomal system   | 93.242 | 638,802         | -                                 |
| NIH            | 5-R01-MH085802-09          | MicroRNA mechanisms of Rett Syndrome  | 93.242 | 484,372         | -                                 |
| NIH            | 5-R01-MH102441-05          | Dissecting the Neural Circuits Encoding Positive and Negative Valence   | 93.242 | 502,981         | -                                 |
| NIH            | 5-R01-MH104536-05          | Imaging Synaptic Transmission of Individual Active Zones  | 93.242 | 382,321         | -                                 |
| NIH            | 5-R01-MH106469-04          | Synaptic pathophysiology of the 16p11.2 microdeletion mouse model   | 93.242 | 685,785         | -                                 |
| NIH            | 5-R01-MH106497-04          | Delineating the Anatomical and Functional Circuitry Underlying Social Learning  | 93.242 | 249,894         | -                                 |
| NIH            | 5-R01-MH111503-03          | A platform for high-throughput production of targeting systems for cell-type-specific transgene expression in wild-type animals | 93.242 | 953,187         | -                                 |
| NIH            | 5-R01-MH111872-03          | Multi-Site Non-Invasive Magneto-thermal Excitation and Inhibition of Deep Brain Structures                                      | 93.242 | 398,770         | -                                 |
| NIH            | 5-R01-MH112694-02 REVISED  | Simultaneous multiplexed in situ fluorescence imaging of neuronal proteins and messenger RNAs                                   | 93.242 | 324,551         | -                                 |
| NIH            | 5-R01-MH114031-02          | RNA Scaffolds for Cell Specific Multiplexed Neural Observation  | 93.242 | 329,507         | -                                 |
| NIH            | 5-R01-MH115037-02          | Elucidating neural substrates that mediate autism-like behaviors  | 93.242 | 463,381         | -                                 |
| NIH            | 5-R01-MH115592-02          | Thalamocortical Dynamics and Consciousness  | 93.242 | 266,872         | -                                 |
| NIH            | 5-R01-NS025529-28          | Extrapyramidal Systems  | 93.853 | 369,216         | -                                 |
| NIH            | 5-R01-NS040296-17          | Characterization of the Drosophila Synaptotagmin Family   | 93.853 | 441,463         | -                                 |
| NIH            | 5-R01-NS078127-05R         | Neural mechanisms of timing in the oculomotor system  | 93.853 | 174,588         | -                                 |
| NIH            | 5-R01-NS086804-04 REVISED  | Fiber Inspired Neural Probes for the Multifunctional Dynamic Brain Mapping  | 93.853 | 361,989         | -                                 |
| NIH            | 5-R01-NS089076-04          | Epigenetic pathology and therapy in Huntington's disease  | 93.853 | 203,045         | -                                 |
| NIH            | 5-R01-NS094178-03R         | Brainstem mechanism underlying recurrent laryngospasm in Rett syndrome  | 93.853 | 371,915         | -                                 |
| NIH            | 5-R01-NS098505-02          | Dissecting the role of thalamic inhibition in neurodevelopmental diseases   | 93.853 | 850,426         | 312,292                           |
| NIH            | 5-R01-NS098505-03          | Dissecting the role of thalamic inhibition in neurodevelopmental diseases   | 93.853 | 342,114         | -                                 |

**Appendix A1**  
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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 5-R01-NS102727-02          | Scalable Cell- and Circuit-Targeted Electrophysiology   | 93.853 | 390,596         | 20,675                            |
| NIH            | 5-R01-NS102730-02          | Mechanisms underlying DNA double strand break response in Alzheimer's disease and frontal temporal dementia                   | 93.853 | 446,486         | -                                 |
| NIH            | 5-R01-NS104892-02          | Neuromodulatory control of collective circuit dynamics in C. elegans  | 93.853 | 249,459         | -                                 |
| NIH            | 5-R03-AR067503-03          | Unveiling the Proteostasis Network of Normal and Disease_Causing Collagen_I   | 93.846 | -4,275          | -                                 |
| NIH            | 5R03HD092676-02            | High-performance, low-cost, passive prosthetic knees optimized to replicate physiological gait in multiple mobility scenarios | 93.865 | 82,357          | -                                 |
| NIH            | 5-R21-AG054961-02 REVISED  | Aggregate Proximity-Induced, Proteostasis Network-Modulated Destabilization of the Proteome                                   | 93.866 | 241,052         | -                                 |
| NIH            | 5-R21-AI110787-02 REVISED  | Multigenerational lineage heterogeneity and metabolic plasticity of CD8 T cells   | 93.855 | 76,915          | -                                 |
| NIH            | 5-R21-AI121613-02          | MITOPlas_Scalable characterization of the malaria parasite mitochondrial proteome   | 93.855 | 145,275         | -                                 |
| NIH            | 5-R21-AI121669-02          | Engineering "Phagebody" Antimicrobials for Carbapenem-Resistant Enterobacteriaceae  | 93.855 | 38,663          | -                                 |
| NIH            | 5-R21-AI126465-02          | Siderophore-based antibiotics: consequences for the microbiota and bacterial pathogens  | 93.855 | 207,566         | 84,527                            |
| NIH            | 5-R21-AI130776-02          | Development and application of glycan readers for the detection and analysis of bacterial glycoconjugates                     | 93.855 | 166,073         | -                                 |
| NIH            | 5-R21-AR068477-02          | A C. elegans drug discovery platform for dysferlin-based Muscular Dystrophies   | 93.846 | 29,576          | -                                 |
| NIH            | 5-R21-CA177391-03          | Implantable device for high-throughput in vivo drug sensitivity testing   | 93.394 | -393            | -                                 |
| NIH            | 5-R21-CA187236-02          | Characterizing functional targets of a non-coding RNA oncogene, SNORA42   | 93.396 | 16,844          | -                                 |
| NIH            | 5-R21-CA198028-02          | Understanding the role of serine metabolism in cancer   | 93.396 | -298            | -                                 |
| NIH            | 5-R21-DA044748-02          | Nanoprobes for neurotransmitter-sensitive molecular fMRI in addiction research  | 93.279 | 192,345         | -                                 |
| NIH            | 5-R21-EB018924-02          | Liquid-helium-free persistent-mode HTS magnets for NMR and MRI applications   | 93.286 | 37,725          | 1,429                             |
| NIH            | 5-R21-EB022729-02 REVISED  | Multifunctional fibers for high-throughput microfluidics  | 93.286 | 81,278          | -                                 |
| NIH            | 5-R21-HD090346-02          | Using fMRI in awake human infants to study functional development of cortex   | 93.865 | 151,148         | -                                 |
| NIH            | 5-R21-NS084264-02          | Noninvasive Determination of Intracranial Pressure in Pediatric Patients  | 93.853 | -13,167         | -                                 |
| NIH            | 5-R21-NS091982-02          | New technologies for in vivo spectral resolved high speed multiphoton microscopy  | 93.853 | 193,614         | -                                 |

**Appendix A1**  
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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NIH            | 5-R21-NS102762-02          | Improving in vitro generation of human oligodendrocyte lineage cells by mechanical stimulation  | 93.853 | 105,347         | -                    | -                                    |
| NIH            | 5-R21-NS105070-02          | Novel implementation of Temporal Focusing Line Scanning for Fast Imaging of Synaptic Structural Dynamics in vivo                                      | 93.853 | 164,898         | -                    | -                                    |
| NIH            | 5-R21-TW010245-02          | Low Cost Mobile Platform for Pulmonary Disease Screening  | 93.989 | 25,041          | -                    | -                                    |
| NIH            | 5-R24-MH109081-03          | Toward functional molecular neuroimaging using vasoactive probes in human subjects.   | 93.242 | 114,387         | -                    | -                                    |
| NIH            | 5-R25-GM116705-04          | IMPACT Program for Biomedical Researcher Career Development   | 93.859 | 546,850         | 166,050              | -                                    |
| NIH            | 5-R33-AI100190-04          | MMDx: A rapid multiplexed matrix code diagnostic for real time epidemiology   | 93.855 | 244,599         | -                    | -                                    |
| NIH            | 5-R33-AI121669-04          | Engineering "Phagebody" Antimicrobials for Carbapenem-Resistant Enterobacteriaceae  | 93.855 | 7,469           | -                    | -                                    |
| NIH            | 5-R33-CA191143-02          | Single cell growth assay for residual cells in acute lymphoblastic leukemia   | 93.394 | 1,472           | -                    | -                                    |
| NIH            | 5-R33-CA191143-03          | Single cell growth assay for residual cells in acute lymphoblastic leukemia   | 93.394 | 95,534          | 81,534               | -                                    |
| NIH            | 5-R33-CA191143-03REVISED   | Single cell growth assay for residual cells in acute lymphoblastic leukemia   | 93.394 | 131,486         | -                    | -                                    |
| NIH            | 5-R34-HL125859-02          | Entrainment-based mechanical ventilation to improve patient-ventilator synchrony  | 93.837 | 68,612          | -                    | -                                    |
| NIH            | 5-R35-ES028303-02          | Mechanism of Eukaryotic Environmental Mutagenesis   | 93.113 | 368,310         | -                    | -                                    |
| NIH            | 5-R35-GM118066-03          | Causes and consequences of aneuploidy   | 93.859 | 368,333         | -                    | -                                    |
| NIH            | 5R35GM122483-02            | Metal-Catalyzed Methods for Organic Synthesis   | 93.859 | 933,281         | -                    | -                                    |
| NIH            | 5-R35-GM122538-02 REVISED  | Mechanisms and regulation of replication, the cell cycle, gene expression, and horizontal gene transfer in prokaryotes, focusing on Bacillus subtilis | 93.859 | 513,292         | -                    | -                                    |
| NIH            | 5-R35-GM124732-02          | Evolution and Regulation of Bacterial Proteome Composition  | 93.859 | 214,885         | -                    | -                                    |
| NIH            | 5-R37-EB000244-36          | Controlled Release of Macromolecules  | 93.286 | 0               | -                    | -                                    |
| NIH            | 5-R37-EB000244-38          | Controlled Release of Macromolecules  | 93.286 | 169,416         | -                    | -                                    |
| NIH            | 5-R37-GM041934-26          | Cell Cycle and Sporulation in Bacillus Subtilis   | 93.859 | 398,317         | -                    | -                                    |
| NIH            | 5-R37-GM057073-21          | Structure-Function Relationship of Glycosaminoglycans   | 93.859 | 472,699         | -                    | -                                    |
| NIH            | 5-R37-MH087027-09          | Cortical Circuits for Attention and Decisions   | 93.242 | 635,278         | -                    | -                                    |
| NIH            | 5-R37-NS051874-23          | The Cdk5/35 Kinase  | 93.853 | 479,424         | -                    | -                                    |
| NIH            | 5-T32-EB001680-13          | Neuroimaging Training Program   | 93.286 | 174,467         | -                    | -                                    |
| NIH            | 5-T32-EB019940-02          | Neurobiological Engineering Training Program  | 93.286 | 14,501          | -                    | -                                    |
| NIH            | 5-T32-EB019940-03 REVISED  | Neurobiological Engineering Training Program  | 93.286 | 204,175         | -                    | -                                    |
| NIH            | 5-T32-EB019940-04          | Neurobiological Engineering Training Program  | 93.286 | 28,735          | -                    | -                                    |



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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NIH            | 5-T32ES007020-42-REVISED   | Training Grant in Environmental Toxicology  | 93.113 | 3,066           | -                                 |
| NIH            | 5-T32-ES007020-43          | Training Grant in Environmental Toxicology  | 93.113 | 563,063         | -                                 |
| NIH            | 5-T32-GM007287-43          | Pre-Doctoral Training in Biological Sciences  | 93.859 | 1,819,655       | -                                 |
| NIH            | 5-T32-GM008334-28          | Interdepartmental Biotechnology Training Program  | 93.859 | 15,297          | -                                 |
| NIH            | 5-T32-GM087237-08          | Graduate Training in Computational and Systems Biology  | 93.859 | 285             | -                                 |
| NIH            | 5-T32-MH074249-10          | Training Program in the Neurobiology of Learning and Memory   | 93.282 | 34              | -                                 |
| NIH            | 5-T32-OD010978-30 REVISED  | Biomedical Research Training for Veterinary Scientists  | 93.351 | 384,409         | -                                 |
| NIH            | 5-U01-CA184897-03          | Dynamics of Gene and Isoform Regulation during EMT and tumor progression                                    | 93.396 | 24,713          | 21,819                            |
| NIH            | 5-U01-CA184897-04          | Dynamics of Gene and Isoform Regulation during EMT and tumor progression                                    | 93.396 | 610,732         | 219,379                           |
| NIH            | 5-U01-CA184897-05          | Dynamics of Gene and Isoform Regulation during EMT and tumor progression                                    | 93.396 | 28,966          | -                                 |
| NIH            | 5-U01-CA184898-03          | Embryonal Brain Tumor Networks  | 93.396 | 49,801          | 58,990                            |
| NIH            | 5-U01-CA184898-04          | Embryonal Brain Tumor Networks  | 93.396 | 610,839         | 258,918                           |
| NIH            | 5-U01-CA184898-05          | Embryonal Brain Tumor Networks  | 93.396 | 2,165           | -                                 |
| NIH            | 5-U01CA202177-02           | Quantitative analyses of tumor cell extravasation   | 93.396 | 4,867           | -                                 |
| NIH            | 5-U01CA202177-03           | Quantitative analyses of tumor cell extravasation   | 93.396 | 515,716         | 197,594                           |
| NIH            | 5U01CA215798-02            | Systems approaches to understanding the relationships between genotype, signaling, and therapeutic efficacy | 93.396 | 1,779           | -                                 |
| NIH            | 5-U01-CA215798-02          | Systems approaches to understanding the relationships between genotype, signaling, and therapeutic efficacy | 93.396 | 64,669          | 64,669                            |
| NIH            | 5-U01-EB018813-02          | Low-cost microelectronic ultrasound system for unobtrusive ABP measurement                                  | 93.286 | 236,342         | -                                 |
| NIH            | 5-U01-HG007610-03          | Epigenomic variation atlas across human tissues and individuals in GTEx                                     | 93.172 | 592,355         | 549,138                           |
| NIH            | 5-U01-MH106011-03          | Ultra-Multiplexed Nanoscale In Situ Proteomics for Understanding Synapse Types                              | 93.242 | 38,414          | 23,202                            |
| NIH            | 5U01MH106018-02 REVISED    | Novel technologies for nontoxic transsynaptic tracing   | 93.242 | 59,862          | -                                 |
| NIH            | 5-U01-MH106018-03          | Novel technologies for nontoxic transsynaptic tracing   | 93.242 | 331,509         | -                                 |
| NIH            | 5-U01-MH108168-02          | Connectomes Related to Anxiety and Depression in Adolescents  | 93.242 | 160,945         | 168,849                           |
| NIH            | 5-U01-MH108168-03          | Connectomes Related to Anxiety and Depression in Adolescents  | 93.242 | 1,360,249       | 1,040,081                         |
| NIH            | 5-U01-MH-109129-02         | Anterograde monosynaptic tracing - Restricted Parent  | 93.242 | 95,237          | 77,231                            |
| NIH            | 5-U01-MH-109129-03         | Anterograde monosynaptic tracing - Restricted Parent  | 93.242 | 717,674         | 204,382                           |
| NIH            | 5-U01-NS090438-03 REVISED  | Next generation high-throughput random access imaging, in vivo  | 93.853 | 212,063         | -                                 |
| NIH            | 5-U01-NS090451-03          | Calcium sensors for molecular fMRI  | 93.853 | -1,825          | -                                 |

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| Federal Agency   | Government Contract Number | Master Project Name   | CFDA # | Amount Expended    | \$ Amount Passed to Subrecipients |
|--|----------------------------|---|--------|--------------------|-----------------------------------|
| NIH  | 5-U01-NS090473-03          | Cortical circuits and information flow during memory-guided perceptual decisions  | 93.853 | 391,527            | -                                 |
| NIH  | 5-U24-TR001951-02          | Translational Center of Tissue Chip Technologies for quantitative characterization of Microphysiological Systems                                | 93.350 | 1,792,422          | 16,453                            |
| NIH  | 5-U54-CA210180-02          | MIT/Mayo Physical Sciences Center for Drug Delivery and Efficacy in Brain Tumors  | 93.397 | 1,319,188          | 899,143                           |
| NIH  | 5-U54-CA217377-02          | Quantitative and functional characterization of therapeutic resistance in cancer (PARENT)   | 93.397 | 184,747            | -                                 |
| NIH  | 5-U54-CA217377-02 REVISED  | Quantitative and functional characterization of therapeutic resistance in cancer (PARENT)   | 93.397 | 6,560              | -                                 |
| NIH  | 5-UG3-TR002186-02          | Cartilage-Bone-Synovium MPS: Musculoskeletal Disease Biology in Space   | 93.350 | 161,749            | -                                 |
| NIH  | 5-UH3-TR000496-05          | All-Human Microphysical Model of Metastasis Therapy   | 93.350 | 242,906            | 49,096                            |
| NIH  | 5UH3TR000496-05 REVISED    | All-Human Microphysical Model of Metastasis Therapy   | 93.350 | 37,309             | -                                 |
| NIH  | 5-UH3-TR000496-05S1        | All-Human Microphysical Model of Metastasis Therapy   | 93.350 | 30,120             | 20,758                            |
| 88   | 7-F30-CA210373-04          | Determining the mechanism of aspartate sensing by the mTOR pathway  | 93.398 | 50,370             | -                                 |
| NIH  | 7-R01-AR044276-22 REVISED  | Chemistry and Biology of Collagen   | 93.846 | 276,577            | -                                 |
| NIH  | 7-R01-GM044783-25          | Protein Chemistry   | 93.859 | 412,661            | -                                 |
| NIH  | 7R01HG008155-04            | Interpreting non-coding variants using epigenomics, regulatory models, & validation experiments   | 93.172 | 74,103             | -                                 |
| NIH  | 7-R01-MH107680-04          | The cognitive searchlight: TRN circuit dissection in health and disease   | 93.077 | 161,597            | -                                 |
| NIH  | 7R01MH109978-03            | Network-based prediction and validation of causal schizophrenia genes and variants  | 93.242 | 13,895             | -                                 |
| NIH  | F31-CA224796               | Development of a novel platform for the identification of synthetic lethal genes in a Kras and Keap1-mutant mouse model of lung adenocarcinoma. | 93.398 | 21,935             | -                                 |
| NIH  | R01 AI111860-03            | T-cell-mediated targeting of therapeutics to HIV reservoirs   | 93.855 | 305,451            | 305,451                           |
| NIH  | R01 CA173712-04REVISED     | Genetic circuits for high-throughput, multi-sensory, live cell microRNA profiling   | 93.396 | 128,267            | -                                 |
| <b>Total for NIH</b>                                       |                            |   |        | <b>112,961,610</b> | <b>13,410,343</b>                 |
| <b>TOTAL for Department of Health &amp; Human Services</b> |                            |   |        | <b>113,505,615</b> | <b>13,410,343</b>                 |

**Appendix A1  
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| Federal Agency                         | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|--|----------------------------|--|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF HOMELAND SECURITY</b> |                            |  |        |                 |                                   |
| DHS                                    | 2014-DN-077-ARI080-02      | ARI-LA: Rapid, Low-Dose Detection of Shielded Special Nuclear Material | 97.077 | 155,646         | -                                 |
| DHS                                    | 2014-DN-077-ARI080-04      | ARI-LA: Rapid, Low-Dose Detection of Shielded Special Nuclear Material | 97.077 | 186,387         | -                                 |
|  |                            | <b>Total for Department of Homeland Security</b>                       |        | <b>342,033</b>  | <b>-</b>                          |
|  |                            | <b>TOTAL for Department of Homeland Security</b>                       |        | <b>342,033</b>  | <b>-</b>                          |

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| Federal Agency                                | Government Contract Number | Master Project Name  | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|---|----------------------------|--|--------|------------------|-----------------------------------|
| <b>DEPARTMENT OF TRANSPORTATION</b>           |                            |  |        |                  |                                   |
| DOT   | 13-C-AJFE-032              | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 227,953          | -                                 |
| DOT   | 13-C-AJFE-042              | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 150,041          | -                                 |
| DOT   | 13-C-AJFE-046              | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 362,554          | -                                 |
| DOT   | 13-C-AJFE-048              | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 442,882          | 83,204                            |
| DOT   | 13-C-AJFE-MIT-026          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 68,824           | -                                 |
| DOT   | 13-C-AJFE-MIT-030          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 49,892           | -                                 |
| DOT   | 13-C-AJFE-MIT-038          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 89,244           | -                                 |
| DOT   | 13-C-AJFE-MIT-043          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 154,550          | -                                 |
| DOT   | 13-C-AJFE-MIT-045          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 185,887          | -                                 |
| DOT   | 13-C-AJFE-MIT-047          | Center of Excellence for Alternative Jet Fuels and Environment   | 20.109 | 68,856           | -                                 |
| DOT   | 16-G-011                   | FAA Joint University Program for Air Transportation Activities   | 20.108 | 104,736          | -                                 |
| DOT   | DTFH6115C00033             | Future freight and logistics survey: integrated data collection using mobile sensing, wireless communication and machine learning algorithms | 20.RD  | 392,792          | -                                 |
| DOT   | DTFR5316P00052             | Design and Implementation of a Head-up Display for the Cab Technology Integration Laboratory   | 20.RD  | 64,493           | -                                 |
| DOT   | DTRT13-G-JUTC31            | Region 1 University Transportation Center  | 20.701 | 1,346,595        | 1,030,909                         |
| DOT   | DTRT5717C10121             | Library Services for DOT   | 20.RD  | 71,558           | -                                 |
| DOT   | DTRT5717P80110/V3331048    | Ductile Fracture of Stainless Steel Rail Equipment   | 20.RD  | 70,395           | -                                 |
| DOT   | PO # DTRT5716P80015        | Ductile Fracture in Rail Equipment   | 20.RD  | -16,883          | -                                 |
| <b>Total for Department of Transportation</b> |                            |  |        | <b>3,834,370</b> | <b>1,114,113</b>                  |
| <b>TOTAL for Department of Transportation</b> |                            |  |        | <b>3,834,370</b> | <b>1,114,113</b>                  |

**Appendix A1  
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| Federal Agency                              | Government Contract Number            | Master Project Name  | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|---|---------------------------------------|--|--------|------------------|-----------------------------------|
| <b>MISCELLANEOUS FEDERAL GOVT</b>           |                                       |  |        |                  |                                   |
| <b>Department of Interior</b>               |                                       |  |        |                  |                                   |
| DOI   | D15PC00242                            | Quantum Algorithms for Partial Differential Equations  | 12.RD  | -832             | -                                 |
| DOI   | R16AC00122                            | System-level cost and performance optimization for photovoltaic-powered electrodialysis reversal desalination                        | 15.506 | 17,654           | -                                 |
| DOI   | R17AC00135                            | Tailoring Advanced Desalination Technologies for 21st Century Agriculture  | 15.506 | 40,759           | -                                 |
| <b>Total for Department of Interior</b>     |                                       |  |        | <b>57,581</b>    | <b>-</b>                          |
| <b>Department of Education</b>              |                                       |  |        |                  |                                   |
| ED  | P116F150045                           | Towards Scalable Differentiated Instruction Using Technology-enabled Competency-based Dynamic Scaffolding                            | 84.RD  | 281,119          | 69,913                            |
| <b>Total for Department of Education</b>    |                                       |  |        | <b>281,119</b>   | <b>69,913</b>                     |
| <b>Department of Agriculture</b>            |                                       |  |        |                  |                                   |
| USDA  | 59-8042-7-007                         | Fluid Dynamics of Impact and Mixing for Improved Washing of Fresh and Fresh-cut Produce  | 10.001 | 176,907          | -                                 |
| USDA  | MRA DTD. 05/22/2018                   | GHG Benefits of Using Lumber in Construction   | 10.RD  | 17,706           | -                                 |
| <b>Total for Department of Agriculture</b>  |                                       |  |        | <b>194,613</b>   | <b>-</b>                          |
| <b>Other Agencies</b>                       |                                       |  |        |                  |                                   |
| Misc.                                       | 83618301                              | The Hawaii Island Volcanic Smog Sensor Network (HI-Vog)  | 66.509 | 140,827          | 14,707                            |
| Misc.                                       | 83696901                              | Integrated Assessment of Climate Change Mitigation, Impacts and Adaptation   | 66.034 | 84,628           | -                                 |
| Misc.                                       | AID-OAA-A-12-00095                    | CITE and IDIN  | 98.001 | 1,206,145        | 128,473                           |
| Misc.                                       | AID-OAA-A-16-00058                    | Ultra-Low Energy Drip Irrigation for MENA Countries  | 98.RD  | 735,758          | 237,493                           |
| Misc.                                       | CDI-G-015                             | USAID Desal Prize: Phase 2 Pilot of PV-EDR in Mehabubnagar, India  | 98.RD  | -1,992           | -                                 |
| Misc.                                       | CONTRACT DATED 5/7/2017               | Development of a Bacteriophage-Based Nanobiosensor for the Rapid and On-site Detection of the Phytopathogen Pseudomonas solanacearum | 98.RD  | 15,473           | -                                 |
| Misc.                                       | VA245-16-P-0574 P00001; PO#688-D60007 | FORCE-MEASURING ULTRASOUND PROBE FOR DETECTION AND TREATMENT OF SARCOPIENIA AND MYOSTEATOSIS IN OLDER AFRICAN AMERICANS              | 64.RD  | 25,812           | -                                 |
| <b>Total for Other Agencies</b>             |                                       |  |        | <b>2,206,650</b> | <b>380,673</b>                    |
| <b>TOTAL for Miscellaneous Federal Govt</b> |                                       |  |        | <b>2,739,963</b> | <b>450,586</b>                    |

**Appendix A1  
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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NASA           | 80MSFC17C0012              | Imaging X-ray Polarimetry Explorer - Main Project (Phase B - D)   | 43.RD  | 67,524          | -                                 |
| NASA           | 80NSSC17K0048              | HIGH-CADENCE XRT MONITORING OF ULTRALUMINOUS X-RAY SOURCES TO SEARCH FOR ORBITAL PERIODS (SWIFT 1215176)              | 43.001 | 1,784           | -                                 |
| NASA           | 80NSSC17K0125              | 16-AIST16-0048, Computer Aided Discovery and Algorithmic Synthesis for Spatio-Temporal Phenomena in InSAR             | 43.001 | 258,326         | -                                 |
| NASA           | 80NSSC17K0283              | Autonomous Moisture Continuum Sensing Network   | 43.001 | 201,044         | 22,479                            |
| NASA           | 80NSSC17K0330              | Development of a Commercial Space Technology Roadmap  | 43.012 | 80,822          | -                                 |
| NASA           | 80NSSC17K0346              | CLICK: CubeSat Laser Infrared Crosslink   | 43.012 | 283,221         | 3,774                             |
| NASA           | 80NSSC17K0561              | Signatures of the multiple scales of motion in shaping marine phytoplankton biogeography                              | 43.001 | 207,273         | 56,778                            |
| NASA           | 80NSSC17K0587              | Cost and Risk Modeling of Distributed Missions: Applications for Trade-space Analysis Tool for Constellations (TAT-C) | 43.001 | 86,091          | -                                 |
| NASA           | 80NSSC17K0773              | Generating mare magmas by lunar magma ocean cumulate remelting: Experiments and models                                | 43.001 | 36,234          | -                                 |
| NASA           | 80NSSC17M0075              | Exploring Arctic Climate Change with Models and Data  | 43.001 | 230,595         | -                                 |
| NASA           | 80NSSC18K0138              | High-Speed, Low-Noise, Radiation-Tolerant CCD Image Sensors for Strategic High-Energy Astrophysics Missions           | 43.001 | 108,506         | -                                 |
| NASA           | 80NSSC18K0162              | Dynamic self-assembly driven by time varying fields   | 43.003 | 46,772          | -                                 |
| NASA           | 80NSSC18K0308              | The K2 M Dwarf Program: Fields 13-15  | 43.001 | 24,102          | -                                 |
| NASA           | 80NSSC18K0457              | Large Geodetic Array Processing and Correlation Impacts   | 43.001 | 16,146          | -                                 |
| NASA           | 80NSSC18K0553              | Solar System Planetary Geodesy Research   | 43.001 | 16,893          | -                                 |
| NASA           | 80NSSC18K0676              | MIT Participation in the International Space Station Transient Astrophysics Observatory Mission Phase A Concept Study | 43.001 | 67,514          | -                                 |
| NASA           | 80NSSC18M00042             | SPRINT: Scheduling Planning Routing Intersatellite Network Tool   | 43.012 | 17,753          | -                                 |
| NASA           | 80NSSC18M00045             | High SPecific-impulse ElectroSpray Explorer for Deep-space (HiSPEED)  | 43.012 | 58,687          | -                                 |
| NASA           | NNA13AA90A                 | Foundations of Complex Life: Evolution, Preservation & Detection on Earth & Beyond                                    | 43.001 | 1,000,126       | 493,921                           |
| NASA           | NNG14FC03C                 | Transiting Exoplanet Survey Satellite   | 43.RD  | 8,145,260       | 1,986,934                         |
| NASA           | NNG14PJ13C                 | Neutron Star Composition Explorer (NICER) Project Detector Subsystem  | 43.RD  | 259,237         | 24,633                            |
| NASA           | NNG15HZ35C                 | NASA Mark IV/VLBI Follow-On   | 43.RD  | 2,343,332       | -                                 |
| NASA           | NNH13CJ23C                 | InSPIRE 2   | 43.RD  | 36              | -                                 |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NASA           | NNH17CH01C                 | The Mars Oxygen Isru Experiment (MOXIE) Continuation   | 43.RD  | 359,605         | 33,220                            |
| NASA           | NNX10AB27G                 | Exploring the Outer Solar System with Stellar Occultations   | 43.RD  | 18,797          | -                                 |
| NASA           | NNX10AE50G                 | High Performance Three-Dimensionally Integrated Active Pixel X-Ray Sensors   | 43.RD  | 212,110         | -                                 |
| NASA           | NNX10AG27G                 | SMASS-Next: Next Generation Neo Spectroscopic Survey   | 43.RD  | 86,541          | -                                 |
| NASA           | NNX12AE37G                 | Leveraging High Resolution Spectra to Understand the Disk and Relativistic Iron Line of Cygnus X-1   | 43.001 | 20,766          | -                                 |
| NASA           | NNX12AF22G                 | Directly-Deposited Blocking Filters for Imaging X-ray Detectors: Technology Development for the International X-ray Observatory  | 43.001 | 32,575          | -                                 |
| NASA           | NNX12AL26G                 | Identifying Disrupted Differentiated Bodies in the Main Asteroid Belt  | 43.001 | 34,443          | -                                 |
| NASA           | NNX12AM16G                 | NRI-Small: A Novel Powered Leg Prosthesis Simulator for Sensing and Control Development  | 43.009 | -5,262          | -                                 |
| NASA           | NNX13AC34G                 | Interpreting Ecological Variability Using Remotely Observed Optical Properties and Ocean Models  | 43.001 | 32,682          | 20,950                            |
| 88 NASA        | NNX13AI62G                 | Characterization of the Stratospheric, Lower Thermospheric, and Ionospheric Variability Related to the Sudden Stratospheric Warmings                                   | 43.001 | 63,021          | -                                 |
| NASA           | NNX13AJ86G                 | Mars Reconnaissance Orbiter (MRO) Gravity Field Analysis   | 43.001 | 94,157          | -                                 |
| NASA           | NNX13AK98G                 | Rheological behavior of icy mixtures with application to the outer planets   | 43.001 | 70,081          | -                                 |
| NASA           | NNX14AB40G                 | Tidal Evolution of Coalescing Compact Binaries, Short Period Exoplanets, and Rotating Stars  | 43.001 | -3,389          | 1,269                             |
| NASA           | NNX14AC75G                 | Microwave Radiometer Technology Acceleration (MiRaTA) CubeSat  | 43.001 | 198,182         | 76,948                            |
| NASA           | NNX14AE76G                 | Thin Mirror Shaping Technology for High-Throughput X-ray Telescopes  | 43.001 | 311,339         | -                                 |
| NASA           | NNX14AG47A                 | Active Wing Shaping Control Concept Using Composite Lattice-based Cellular Materials   | 43.001 | 99,188          | -                                 |
| NASA           | NNX14AH11A                 | Ubiquitous 2-Dimensional Smart Sensing (UDS2) Initiative   | 43.001 | -2,460          | -                                 |
| NASA           | NNX14AI58A                 | Field Investigations to Enable Solar System Science and Exploration  | 43.003 | 9,272           | -                                 |
| NASA           | NNX14AJ51G                 | Data and forcing integration for improved estimation of spatial sea level patterns and their uncertainties, with extended diagnostics for closed budget analysis       | 43.001 | 8,364           | 8,364                             |
| NASA           | NNX14AK27G                 | PPhotochemistry and Spectroscopy of Sulfur Dioxide, Sulfur Monoxide and Elemental Sulfur as Source Reactions for Archean Sulfur Mass-Independent Isotope Fractionation | 43.001 | 141,186         | -                                 |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NASA           | NNX14AL95G                 | Data Retrieval and Analysis from Nanosatellite Microwave Radiometers  | 43.001 | -5,184          | -                                 |
| NASA           | NNX14AP38G                 | How sensitive are global climate forcing and surface air quality estimates to aerosol properties?                   | 43.001 | 126,218         | -                                 |
| NASA           | NNX14AQ03G                 | Geodetic Analysis Enhancements for Real-Time and Millimeter Accuracy Reference Frames                               | 43.001 | 98,495          | -                                 |
| NASA           | NNX14AT22A                 | Global Environmental Impact of Supersonic Cruise Aircraft in the Stratosphere                                       | 43.004 | 300,529         | -                                 |
| NASA           | NNX15AC76G                 | MIT Participation in Calibration and Ground Software Development for Astro-H  | 43.001 | 182,479         | -                                 |
| NASA           | NNX15AF85G                 | The Search for Extra-Terrestrial Genomes (SETG)   | 43.001 | 691,417         | 192,443                           |
| NASA           | NNX15AG84G                 | Computer-Aided Discovery of Earth Surface Deformation Phenomena   | 43.001 | -5,167          | -                                 |
| NASA           | NNX15AH72G                 | Experimental and Theoretical Investigations of Solar Nebula Magnetic Fields   | 43.001 | 174,800         | 118,664                           |
| NASA           | NNX15AK10G                 | Lunar Orbiter Laser Altimeter Investigation and Associated Science  | 43.001 | 246,241         | -                                 |
| NASA           | NNX15AK23G                 | Probing the debris disk-planet connection with collisional cascades   | 43.001 | 14,928          | -                                 |
| NASA           | NNX15AL14G                 | Continuing Progress in Soft X-ray Polarimetry   | 43.001 | 139,603         | -                                 |
| NASA           | NNX15AL48G                 | ROSES: Cassini Data Analysis and Participating Scientists   | 43.001 | 80,257          | 66,172                            |
| NASA           | NNX15AL62G                 | Investigating the Ancient Lunar Dynamo  | 43.001 | 38,432          | 8,545                             |
| NASA           | NNX15AM35G                 | Investigating the history of destructive collisions in the asteroid and Kuiper belts                                | 43.001 | 91,852          | -                                 |
| NASA           | NNX15AM43G                 | Temporal Variations in the Particle Sizes of Martian Atmospheric Dust   | 43.001 | 47,399          | -                                 |
| NASA           | NNX15AM91A                 | Aircraft and Technology Concepts for an N+3 Subsonic Transport-Phase 3  | 43.002 | 2,889           | -                                 |
| NASA           | NNX15AQ50A                 | Search and Rescue under the Tree Canopy   | 43.002 | 208,355         | -                                 |
| NASA           | NNX15AR20G                 | NRI: Exosuit System Design Parameters as Revealed by an Integrated, Human Musculoskeletal Computational Model       | 43.012 | 267,769         | 75,000                            |
| NASA           | NNX15AU41A                 | Rapid Viscous Aerodynamic Analysis/Design Methodology Utilizing Inviscid Coupling with a 3D Integral Boundary Layer | 43.002 | 225,550         | -                                 |
| NASA           | NNX15AU66A                 | Swept time-space domain decomposition rule for breaking the latency barrier   | 43.002 | 183,605         | 135,554                           |
| NASA           | NNX15AU90G                 | Tradespace Analysis Tool for Designing Earth Science Distributed Missions   | 43.001 | 12,838          | -                                 |
| NASA           | NNX15AW03A                 | BASALT: Biologic Analog Science Associated with Lava Terrains   | 43.001 | 34,959          | -                                 |



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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NASA           | NNX15AW35G                 | Design and Evaluation of Automated Electronic Checklists for Robotics Operations                        | 43.003 | 147,144         | -                                 |
| NASA           | NNX15AW94G                 | MIT Participation in Scientific Analysis and Interpretation Astro-H Data                                | 43.001 | 32,338          | -                                 |
| NASA           | NNX16AC49A                 | Robust Autonomy for Extreme Space Environments: Hosting R5 at MIT                                       | 43.012 | 254,498         | -                                 |
| NASA           | NNX16AC98G                 | Advanced Global Atmospheric Gases Experiment [AGAGE] Collaborative Project: MIT Component               | 43.001 | 909,757         | 381,724                           |
| NASA           | NNX16AD01G                 | High Precision Assembly of Thin Mirror X-ray Telescopes   | 43.001 | 279,735         | -                                 |
| NASA           | NNX16AD29G                 | Experimental and Petrologic Investigations of Chemical Differentiation on the Ureilite Parent Body      | 43.001 | 125,495         | -                                 |
| NASA           | NNX16AE93G                 | Raising the Technology Readiness Level of 4.7-THz local oscillators                                     | 43.001 | 142,569         | -                                 |
| NASA           | NNX16AF61A                 | Autonomy- and Autonomically-Enhanced Air Traffic Management   | 43.002 | 38,348          | -                                 |
| NASA           | NNX16AG82G                 | Electron Hole Instabilities in the Plasma Wake of Moons, Asteroids and Comets                           | 43.001 | 182,527         | 38,148                            |
| NASA           | NNX16AH07G                 | Modeling and Simulation for Strategic Development of a Profitable In-Space Manufacturing Economy        | 43.012 | 10,500          | -                                 |
| NASA           | NNX16AH25G                 | Cooling of the super-heated neutron star in MAXI J0556-332 (XMM 76275)                                  | 43.001 | 10,526          | -                                 |
| NASA           | NNX16AK25A                 | Feasibility of Hybrid-Electric Propulsion for Ultra-Efficient Commercial Aircraft                       | 43.002 | 422,715         | 167,114                           |
| NASA           | NNX16AK97G                 | Applications Lead for the NASA ISRO Synthetic Aperture Radar Mission Science Definition Team            | 43.001 | 129,290         | -                                 |
| NASA           | NNX16AN15G                 | Use of Soil-Moisture Retrievals to Refine Global Land Trace Gases Emissions and their Climate Feedbacks | 43.001 | 202,621         | 88,072                            |
| NASA           | NNX16AR47G                 | Assessing Ecosystem Vulnerability to Climate Change through Optics, Imagery and Models                  | 43.001 | 140,487         | 12,582                            |
| NASA           | NNX16AT66A                 | Smoothing-Based Relative Navigation & Coded Aperture Imaging  | 43.012 | 88,539          | -                                 |
| NASA           | NNX17AB11G                 | Quantifying and Preventing EVA Injury in Exploration Environments                                       | 43.003 | 133,413         | 45,018                            |
| NASA           | NNX17AC25G                 | Revealing the Compact Object in NGC 300 X-1   | 43.001 | -5,344          | -                                 |
| NASA           | NNX17AD07G                 | Laser Guide Star for Large Aperture Segmented Space Telescopes  | 43.012 | 268,796         | 106,899                           |
| NASA           | NNX17AD84G                 | Cooling of the super-heated neutron star in MAXI J0556-332 (XMM 78267)                                  | 43.001 | -4              | -                                 |
| NASA           | NNX17AE11G                 | Rocket Experiment Demonstration of a Soft X-ray Polarimeter   | 43.001 | 11,968          | -                                 |
| NASA           | NNX17AE47G                 | Development of High Resolution X-ray Telescope Optics   | 43.001 | 462,779         | -                                 |

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| Federal Agency   | Government Contract Number | Master Project Name   | CFDA # | Amount Expended   | \$ Amount Passed to Subrecipients |
|--|----------------------------|---|--------|-------------------|-----------------------------------|
| NASA   | NNX17AG43G                 | Development of a Critical Angle Transmission Grating Spectrometer   | 43.001 | 921,264           | -                                 |
| NASA   | NNX17AG98G                 | Improving positioning precision at geodetic core sites through exploration of atmospheric inter-technique synergies | 43.001 | 84,537            | 24,947                            |
| NASA   | NNX17AH71G                 | Solar eclipse-induced changes in the ionosphere over the continental US   | 43.001 | 58,533            | -                                 |
| NASA   | NNX17AJ90G                 | Starshade Rendezvous Mission  | 43.001 | 48,622            | -                                 |
| NASA   | NNX17AL45G                 | L3 Study Team / LISA Science Team participation   | 43.001 | 32,128            | -                                 |
| <b>Total for National Aeronautics and Space Administration</b> |                            |   |        | <b>23,630,520</b> | <b>4,190,148</b>                  |
| <b>TOTAL for National Aeronautics and Space Administration</b> |                            |   |        | <b>23,630,520</b> | <b>4,190,148</b>                  |

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| Federal Agency                     | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|------------------------------------|----------------------------|--|--------|-----------------|-----------------------------------|
| <b>NATIONAL SCIENCE FOUNDATION</b> |                            |  |        |                 |                                   |
| NSF                                | ACI-1322254                | VOSS: Collaborative Research: Is Larger Smarter? Investigating the Effect of Group Size on Collective Intelligence   | 47.070 | 18,467          | -                                 |
| NSF                                | ACI-1442997                | CIF21 DIBBs: An Infrastructure for Computer-Aided Discovery in Geoscience  | 47.070 | 358,089         | -                                 |
| NSF                                | ACI-1550172                | Collaborative Research: SI2-SSI: Jet Energy-loss Tomography with a Statistically and Computationally Advanced Program Envelope (JETSCAPE)                                    | 47.070 | 2,148           | -                                 |
| NSF                                | ACI-1550487                | Collaborative Research: SI2-SSI: Integrating Data with Complex Predictive Models under Uncertainty: An Extensible Software Framework for Large-Scale Bayesian Inversion      | 47.070 | 112,907         | 30,000                            |
| NSF                                | ACI-1640829                | CIF21 DIBBs: PD: Metadata Toolkits for Building Multi-faceted Data-relationship Models   | 47.070 | 196,691         | -                                 |
| NSF                                | AGS-1042569                | Climate Change in the Upper Atmosphere   | 47.050 | 17,055          | -                                 |
| NSF                                | AGS-1242204                | The Millstone Hill Geospace Facility   | 47.050 | 2,094,167       | 131,382                           |
| NSF                                | AGS-1339264                | Tropospheric Anthropogenic Aerosols and Climate  | 47.050 | 14,283          | -                                 |
| NSF                                | AGS-1343045                | Collaborative Research: CEDAR--Study of Storm-time Large Scale Structures in the Subauroral Ionosphere with Coupled First-principles Model and Multi-instrument Observations | 47.050 | 36,496          | -                                 |
| NSF                                | AGS-1343056                | Collaborative Research: CEDAR -- Understanding the High-to-Mid Latitude Ionospheric Response to Stratospheric Warmings   | 47.050 | 38,190          | -                                 |
| NSF                                | AGS-1343967                | INSPIRE Track 1: Mahali: Space Weather Monitoring Everywhere   | 47.050 | 80,396          | 30,666                            |
| NSF                                | AGS-1418508                | Collaborative Research: Self-Aggregation of Moist Convection, Radiative-Convective Instability, and the Regulation of Tropical Climate                                       | 47.050 | 44,912          | -                                 |
| NSF                                | AGS-1419667                | Linkages of Changes in Ozone to Arctic Climate Change in the Stratosphere and Troposphere  | 47.050 | 203,924         | -                                 |
| NSF                                | AGS-1461517                | Trends and Variability of Temperatures near the Tropical Tropopause Layer and Implications for Tropical Cyclones   | 47.050 | 218,335         | -                                 |
| NSF                                | AGS-1520825                | Hazards SEES: Uncovering the hidden skeleton of environmental flows: advanced Lagrangian methods for hazards prediction, mitigation and response                             | 47.050 | 549,167         | 420,117                           |
| NSF                                | AGS-1523305                | Collaborative Research: Lightning Studies in a Polluted Atmosphere   | 47.050 | 38,159          | -                                 |
| NSF                                | AGS-1536551                | Collaborative Research: Laboratory Investigations of Particle-Organic Vapor Interactions: Effects on Particle Formation, Growth, and Properties                              | 47.050 | 36,703          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | AGS-1539972                | The Influence of Recent Volcanic Eruptions on Stratospheric Ozone Recovery: A Data Analysis and Modeling Study Including Estimated Uncertainties             | 47.050 | 72,440          | -                                 |
| NSF            | AGS-1547733                | Collaborative Research: Stratospheric Age in a Changing Climate: Connecting Theory, Models, and Observations   | 47.050 | 163,184         | -                                 |
| NSF            | AGS-1552195                | Improved understanding of the response of mean and extreme precipitation to climate change   | 47.050 | 122,423         | 5,321                             |
| NSF            | AGS-1564495                | Impacts of the biosphere on global tropospheric chemistry and climate  | 47.050 | 188,227         | -                                 |
| NSF            | AGS-1623218                | Collaborative Research: Using a hierarchy of models to constrain the temperature dependence of climate sensitivity   | 47.050 | 132,524         | -                                 |
| NSF            | AGS-1638672                | Collaborative Research: Comprehensive Characterization of Atmospheric Organic Carbon over Multiple Generations of Oxidation                                  | 47.050 | 158,909         | -                                 |
| NSF            | AGS-1702691                | Collaborative Research: Madagascar Caves and Paleoclimate (MADCAP): Investigating climate variability in the Southern Hemisphere of the Western Indian Ocean | 47.050 | 32,951          | -                                 |
| NSF            | AGS-1727575                | 2017 Graduate Climate Conference   | 47.050 | 20,000          | -                                 |
| NSF            | AGS-1740533                | Collaborative Research: Convection and rainfall enhancement over mountainous tropical islands  | 47.050 | 6,780           | -                                 |
| NSF            | AGS-1749986                | Improved understanding of changes in convective available potential energy and links to the large-scale circulation  | 47.050 | 13,800          | -                                 |
| NSF            | AST-0907766                | SMASS- Next: Next Generation Asteroid Spectroscopic Survey   | 47.049 | 99,815          | -                                 |
| NSF            | AST-1156504                | REU Site: Astronomy and Atmospheric Science at MIT Haystack Observatory  | 47.049 | 2,927           | -                                 |
| NSF            | AST-1255160                | CAREER: The origin of the metal-poor halo of the Milky Way   | 47.049 | 128,852         | -                                 |
| NSF            | AST-1310930                | The HI 21-cm Line as a Probe of Stellar Mass Loss and Evolution  | 47.049 | 2,656           | -                                 |
| NSF            | AST-1343336                | Realtime GHz-Wide Spectrum Sensing and Acquisition Using the Sparse FFT  | 47.049 | 133,559         | -                                 |
| NSF            | AST-1411622                | Collaborative Research: Observing the Epoch of Reionization with the Murchison Widefield Array   | 47.049 | 13,215          | -                                 |
| NSF            | AST-1516106                | Imaging the Radio Photospheres of Long-Period Variable Stars   | 47.049 | 8,964           | -                                 |
| NSF            | AST-1547265                | Collaborative Research: Dynamic Exclusion Zones: Balancing Incumbent Protection and Spectrum Utilization Efficiency  | 47.049 | 118,700         | -                                 |
| NSF            | AST-1547331                | Collaborative Research: Enhancing Access to Radio Spectrum for Real-Time Monitoring and Control  | 47.049 | 130,005         | -                                 |
| NSF            | AST-1609547                | Collaborative Research: EDGES: Detecting First Light and Reionization through the Global 21 cm Signature   | 47.049 | 23,192          | -                                 |
| NSF            | AST-1614868                | Shaping the Narrow Jets of Material from Supermassive Black Holes  | 47.049 | 71,756          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | AST-1659420                | REU Site: Astronomy and Informatics at the MIT Haystack Observatory   | 47.049 | 90,253          | -                                 |
| NSF            | AST-1743708                | Radio Stars From kHz to THz   | 47.049 | 19,669          | -                                 |
| NSF            | AST-1751096                | CAREER: Tracing the Birth and Growth of Galaxy Clusters with the South Pole Telescope 3rd Generation Survey                             | 47.049 | 6,724           | -                                 |
| NSF            | AST-1824644                | Discovery of New Small, Cool Planets Orbiting M-Dwarf Stars   | 47.049 | 3,821           | -                                 |
| NSF            | BCS-1429216                | Lookit: Online interface for large-scale developmental studies  | 47.075 | 40,787          | 43,842                            |
| NSF            | BCS-1534318                | The role of noise in information-theoretic models of sentence comprehension and production  | 47.075 | 173,588         | -                                 |
| NSF            | BCS-1551543                | Doctoral Dissertation Research: A Communicative Perspective on Quantitative Syntax  | 47.075 | 98              | -                                 |
| NSF            | BCS-1551866                | CompCog: The edge of the lexicon: Productive knowledge and direct experience in the acquisition and processing of multiword expressions | 47.075 | 71,671          | -                                 |
| NSF            | BCS-1627068                | Neural measures of social reward and information value in infants   | 47.075 | 201,396         | -                                 |
| NSF            | BCS-1627861                | Doctoral Dissertation Research: Designing Voice Analysis Technologies for Mental Health Applications in the United States               | 47.075 | 3,992           | -                                 |
| NSF            | BCS-1629983                | Workshop on Language Processing and Language Evolution: Special Session at the 2017 CUNY Conference on Human Sentence Processing        | 47.075 | 15,118          | -                                 |
| NSF            | BCS-1634050                | Computational neuroimaging of human auditory cortex   | 47.075 | 251,820         | -                                 |
| NSF            | BCS-1724135                | CRCNS US-German-Israeli Collaborative Research Proposal: Hierarchical Coordination of Complex Actions                                   | 47.075 | 42,967          | -                                 |
| NSF            | BCS-1728970                | Doctoral Dissertation Research: Pronominal System and Ergativity in Eastern Canadian Inuktitut  | 47.075 | 10,053          | -                                 |
| NSF            | BCS-1829350                | Collaborative Research: CompCog: Broad-coverage probabilistic models of communication in context  | 47.075 | 21,526          | -                                 |
| NSF            | CBET-0939511               | NSF Science and Technology Center: Emergent Behaviors of Integrated Cellular Systems  | 47.041 | -13,817         | -12,309                           |
| NSF            | CBET-0939511               | Science and Technology Center: Emergent Behavior of Integrated Cellular Systems (EBICS)   | 47.041 | 4,068,907       | 2,723,154                         |
| NSF            | CBET-1253228               | CAREER: Predicting granular flows: Amorphous continuum modeling with a length-scale   | 47.041 | 68,848          | -                                 |
| NSF            | CBET-1253890               | CAREER: Optoelectronic neural scaffolds: materials platform for investigation and control of neuronal activity and development          | 47.041 | 74,944          | -                                 |
| NSF            | CBET-1335938               | Dynamics of self-entangled DNA molecules  | 47.041 | 1,806           | -                                 |
| NSF            | CBET-1454299               | CAREER: Molecular Catalysis for Waste Valorization  | 47.041 | 63,393          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | CBET-1507488               | CDS&E: Collaborative Research: A Bayesian inference/prediction/control framework for optimal management of CO2 sequestration                                     | 47.041 | 90,488          | -                                 |
| NSF            | CBET-1510768               | Collaborative Research: Transport and Chemotaxis of Swimming Cells in Porous Media Flows   | 47.041 | 121,755         | -                                 |
| NSF            | CBET-1511526               | UNS: Modeling and Experimental Studies of the Interactions of 2D Materials with Solvents and Surfactants: Exfoliation, Self-Assembly of Composites, and Wetting. | 47.041 | 130,364         | -                                 |
| NSF            | CBET-1546990               | EAGER: HOW DOES MUCOSALIVARY FLUID EVAPORATION SHAPE DISEASE TRANSMISSION FROM VIOLENT EXPIRATIONS?  | 47.041 | 406             | -                                 |
| NSF            | CBET-1554398               | CAREER: NANO-PARTICLE SELF-ASSEMBLY OUT OF EQUILIBRIUM   | 47.041 | 96,189          | -                                 |
| NSF            | CBET-1602406               | Polymer Dynamics of Knotted DNA  | 47.041 | 67,998          | -                                 |
| NSF            | CBET-1605050               | Collaborative Research: Dynamic simulation approaches to consequential life cycle assessment to evaluate recycling and substitution in metal and paper           | 47.041 | 48,249          | -                                 |
| NSF            | CBET-1605406               | NSF/CBET-BSF: Effect of Sunlight Intensity on Functional Inhomogeneity and Stability of Organic-Inorganic Perovskite Solar Cells                                 | 47.041 | 85,735          | -                                 |
| NSF            | CBET-1605547               | Collaborative Research: SusChEM: Air-stable, high-lifetime bismuth compounds as solar absorbers with perovskite-like band structures                             | 47.041 | 56,876          | -                                 |
| NSF            | CBET-1605943               | Collaborative Research: Understanding and Controlling Chemo-Mechanical Properties of Metal Coordinating Polymer and Inorganic Nanoparticle Composites            | 47.041 | 85,912          | -                                 |
| NSF            | CBET-1653758               | CAREER: Tuning passive prosthetic leg dynamics to create low-cost, robust devices that can replicate physiological gait in multiple activities of daily living   | 47.041 | 91,311          | 14,553                            |
| NSF            | CBET-1703978               | Multi-propulsor Hydrodynamics for Water-Air Transition in Archer Fish  | 47.041 | 74,740          | -                                 |
| NSF            | CBET-1704266               | Enabling high-throughput computational discovery of stable and active single-site oxidation catalysts  | 47.041 | 52,287          | -                                 |
| NSF            | CBET-1705923               | Engineering a new family of consensus repeat proteins based on nucleopor   | 47.041 | 8,632           | -                                 |
| NSF            | CBET-1706193               | Collaborative Research: Hybrid Discrete-Continuum Numerical Simulation of Granular Materials   | 47.041 | 38,381          | -                                 |
| NSF            | CBET-1729397               | DMREF: Computational Design of Next-generation Nanoscale DNA-based Materials   | 47.041 | 59,738          | 39,606                            |
| NSF            | CCF-1111109                | AF: Large: Collaborative Research: Algebraic Graph Algorithms: The Laplacian and Beyond  | 47.070 | 46,106          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | CCF-1111337                | AF: Large: Collaborative Research: Reliable Quantum Communication and Computation in the Presence of Noise                      | 47.070 | 8,645           | -                                 |
| NSF            | CCF-1138986                | Collaborative Research: Socially Assistive Robots   | 47.070 | 225,471         | -                                 |
| NSF            | CCF-1161626                | AF: Medium Collaborative Research General Frameworks for Approximation and Fixed Parameter Algorithms                           | 47.070 | 86,431          | -                                 |
| NSF            | CCF-1217506                | AF: Small: Bounded-Contention Coding for Wireless Networks  | 47.070 | 17,111          | -                                 |
| NSF            | CCF-1231216                | A Center for Brains, Minds, and Machines: The Science and the Technology of Intelligence  | 47.070 | 5,003,360       | 1,256,447                         |
| NSF            | CCF-1253205                | CAREER: Information Theory Beyond Capacity  | 47.070 | 97,727          | -                                 |
| NSF            | CCF-1253229                | CAREER: A Formal Verification Platform Focused on Programmer Productivity   | 47.070 | 104,894         | -                                 |
| NSF            | CCF-1314547                | SHF: AF: Large: Collaborative Research: Parallelism without Concurrency   | 47.070 | 119,073         | -                                 |
| NSF            | CCF-1317348                | Collaborative Research: Visual Cortex on Silicon  | 47.070 | 162,985         | -                                 |
| NSF            | CCF-1318384                | SHF: Small: Scalable Memory Hierarchies with Fine-Grained QoS Guarantees  | 47.070 | -410            | -                                 |
| NSF            | CCF-1318620                | CI: Small: Collaborative Research: Combinatorial Joint Source-Channel Coding  | 47.070 | -114            | -                                 |
| NSF            | CCF-1319828                | CI: Small: Theory, Algorithms, and Applications of Super-Nyquist Coding   | 47.070 | -4,386          | -                                 |
| NSF            | CCF-1409228                | CI: Medium: Collaborative Research: Content Delivery over Heterogeneous Networks: Fundamental Limits and Distributed Algorithms | 47.070 | -926            | -                                 |
| NSF            | CCF-1420692                | AF: Small: New directions in the design of local computation algorithms   | 47.070 | -9,710          | -                                 |
| NSF            | CCF-1438967                | XPS: FULL: DSD: Collaborative Research: Moving the Abyss: Database Management on Future 1000-core Processor                     | 47.070 | 55,479          | -                                 |
| NSF            | CCF-1438969                | XPS: FULL: FP: Collaborative Research: Model-based, Event Driven Scalable Programming for the Mobile Cloud                      | 47.070 | 47,896          | -                                 |
| NSF            | CCF-1442887                | CyberSEES: Type 2: Collaborative Research: Combining Experts and Crowds to Address Challenging Societal Problems                | 47.070 | 27,702          | -                                 |
| NSF            | CCF-1452616                | [Revised Budget] CAREER: Applications of Quantum Information Theory   | 47.070 | 89,384          | -                                 |
| NSF            | CCF-1452994                | CAREER: A Hardware and Software Architecture for Data-Centric Parallel Computing  | 47.070 | 219,733         | -                                 |
| NSF            | CCF-1453126                | CAREER: Resilient Design of Networked Infrastructure Systems: Models, Validation, and Synthesis                                 | 47.070 | 155,635         | -                                 |
| NSF            | CCF-1453261                | CAREER: Algorithmic Aspects of Machine Learning   | 47.070 | 60,941          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | CCF-1461559                | AF: Medium: Distributed Algorithms for Resource-Constrained and Dynamic Settings  | 47.070 | 224,452         | -                                 |
| NSF            | CCF-1512611                | SHF: Medium: Fiat: Correct-by-Construction and Mostly Automated Derivation of Programs with an Interactive Theorem Prover                             | 47.070 | 192,196         | -                                 |
| NSF            | CCF-1521584                | Collaborative Research: Expeditions in Computing: The Science of Deep Specification   | 47.070 | 371,469         | -                                 |
| NSF            | CCF-1521925                | Collaborative Research: Evolvable Living Computing: Understanding and Quantifying Synthetic Biological Systems' Applicability, Performance and Limits | 47.070 | 1,177,099       | -                                 |
| NSF            | CCF-1525130                | AF: Small: Quantum Algorithms Arising from Ideas in Physics   | 47.070 | 90,198          | -                                 |
| NSF            | CCF-1525705                | CIF:Small: Cooperative Interference Engineering for Network Secrecy   | 47.070 | 28,453          | -                                 |
| NSF            | CCF-1527270                | CIF: Small: Collaborative Research:Towards more Secure Systems: Uniformization for Secrecy  | 47.070 | 87,928          | -                                 |
| NSF            | CCF-1533644                | XPS: FULL: FP: A profile-centric IDE for science-based performance engineering in the cloud   | 47.070 | 356,099         | -                                 |
| NSF            | CCF-1533753                | XPS: FULL: DSD: Scalable High Performance with Halide and Simit Domain Specific Languages   | 47.070 | 140,471         | -                                 |
| NSF            | CCF-1535851                | AitF: FULL: Sparse Fourier Transform: From Theory to Practice   | 47.070 | 175,869         | -                                 |
| NSF            | CCF-1547999                | EAGER: Collaborative Research: Algorithmic design principles for programmed DNA nanocages   | 47.070 | -4,912          | -                                 |
| NSF            | CCF-1553428                | CAREER: Fast Graph Algorithms and Continuous Optimization   | 47.070 | 106,302         | -                                 |
| NSF            | CCF-1563880                | Title: SHF: Medium: Collaborative Research: Run-Time Support for Scalable Concurrent Programming  | 47.070 | 254,529         | -                                 |
| NSF            | CCF-1564025                | AF: Medium: Collaborative Research: Top-down algorithmic design of structured nucleic acid assemblies   | 47.070 | 173,229         | -                                 |
| NSF            | CCF-1565235                | AF:Large:Collaborative Research: Algebraic Proof Systems, Convexity, and Algorithms   | 47.070 | 632,843         | -                                 |
| NSF            | CCF-1565516                | CRIL: CIF: Fast Algorithms for Learning Graphical Models from Scarce Data   | 47.070 | 35,918          | -                                 |
| NSF            | CCF-1617730                | AF: SMALL: Frontiers in Algorithmic Game Theory   | 47.070 | 54,053          | -                                 |
| NSF            | CCF-1629809                | AF: Large: Collaborative Research: Reliable Quantum Communication and Computation in the Presence of Noise  | 47.070 | -667            | -                                 |
| NSF            | CCF-1640012                | E2CDA: Type I: Collaborative Research: Energy Efficient Computing with Chip-Based Photonics   | 47.070 | 226,630         | -                                 |
| NSF            | CCF-1650733                | Testing Pseudorandom Distributions  | 47.070 | 192,589         | -                                 |
| NSF            | CCF-1651838                | CAREER:Matrix Products: Algorithms and Applications   | 47.070 | 61,626          | -                                 |



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|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NSF            | CCF-16665252               | AF: Small: Boolean Functions: Inequalities, Structure, Algorithms & Hardness  | 47.070 | 208,833         | 208,833              | -                                    |
| NSF            | CCF-16665282               | InTrans:TRI-MIT Collaboration on Formal Verification Meets Big Data Intelligence in the Trillion Miles Challenge                              | 47.070 | 129,436         | 129,436              | -                                    |
| NSF            | CCF-1717610                | CiF:Small:Submodular Optimization Techniques for Sensor and Signal Processing   | 47.070 | 43,501          | 43,501               | -                                    |
| NSF            | CCF-1717842                | CiF: Small: Fundamental limits and coding for massive wireless random-access  | 47.070 | 38,958          | 38,958               | -                                    |
| NSF            | CCF-1723344                | AiF: Collaborative Research: Algorithms for Probabilistic Inference in the Real World   | 47.070 | 71,900          | 71,900               | -                                    |
| NSF            | CCF-1725303                | SPX: Collaborative Research: Mongo Graph Machine (MGM): A flash-based appliance for large graph analytics                                     | 47.070 | 82,161          | 82,161               | -                                    |
| NSF            | CCF-1729369                | Collaborative Research: EPIQC: Enabling Practical-Scale Quantum Computation   | 47.070 | 32,404          | 32,404               | -                                    |
| NSF            | CCF-1733808                | AiF: Collaborative Research: Fast, Accurate, and Practical: Adaptive Sublinear Algorithms for Scalable Visualization                          | 47.070 | 26,765          | 26,765               | -                                    |
| NSF            | CCF-1740184                | E2CDA: Type I: Collaborative Research: Energy-Efficient Artificial Intelligence with Binary RRAM and Analog Epitaxial Synaptic Arrays         | 47.070 | 145,841         | 145,841              | -                                    |
| NSF            | CCF-1740501                | BSF:2012338: Shortest Paths: Upper and lower bounds   | 47.070 | 2,414           | 2,414                | -                                    |
| NSF            | CCF-1740519                | AF: Medium: Collaborative Research: Hardness in Polynomial Time   | 47.070 | 10,643          | 10,643               | -                                    |
| NSF            | CCF-1740525                | AF: Small: Graphs and structures for distance estimation  | 47.070 | 132,812         | 132,812              | -                                    |
| NSF            | CCF-1740751                | MIT Institute for Foundations of Data Science   | 47.070 | 38,108          | 38,108               | -                                    |
| NSF            | CCF-1741615                | CAREER: Common Links in Algorithms and Complexity   | 47.070 | 136,129         | 136,129              | -                                    |
| NSF            | CCF-1741638                | AF: Small: Limitations on Algebraic Methods via Boolean Complexity Theory   | 47.070 | 3,460           | 3,460                | -                                    |
| NSF            | CHE-1351646                | CAREER: Stable Carbenes as Surface Anchoring Groups   | 47.049 | 130,039         | 130,039              | -                                    |
| NSF            | CHE-1351807                | CAREER: Using chemistry to probe anthrax toxin protein translocation  | 47.049 | 81,907          | 81,907               | -                                    |
| NSF            | CHE-1352132                | CAREER: Coordination Chemistry of Zinc-Chelating S100 Proteins and Biochemistry Partnership with a Regional University                        | 47.049 | 95,080          | 95,080               | -                                    |
| NSF            | CHE-1361865                | Mechanisms for the Exchange of Energy between a Rydberg Electron and Its Ion-Core: Free Induction Decay Detected Pure Electronic Spectroscopy | 47.049 | 147,063         | 147,063              | -                                    |
| NSF            | CHE-1362118                | Synthesis of d- and p-Block Element Molecules, Reagents, and Precursors (revised budget)  | 47.049 | -73,670         | -73,670              | -                                    |
| NSF            | CHE-1452857                | CAREER: Ligand-Mediated Photothermal Energy Dissipation in Semiconductor Nanocrystals   | 47.049 | 143,473         | 143,473              | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| NSF            | CHE-1454060                | CAREER: Oxygen Reduction Catalysis at Tunable Metal Sulfide Nanofilms  | 47.049 | 163,642         | -                    | -                                    |
| NSF            | CHE-1463707                | Multiple Metal-Carbon Bonds, Metallacycles and Catalytic Olefin Metathesis Reactions   | 47.049 | 198,785         | -                    | -                                    |
| NSF            | CHE-1464799                | New Cycloaddition and Annulation Strategies for Organic Synthesis  | 47.049 | 55,700          | -                    | -                                    |
| NSF            | CHE-1464804                | Tools for Accurate Photoelectrochemistry in Complex Environments   | 47.049 | 15,251          | -                    | -                                    |
| NSF            | CHE-1565649                | Metal Coordination Compounds as Reporters for Biological NO, HNO, and S□Nitrosothiols  | 47.049 | 189,771         | -                    | -                                    |
| NSF            | CHE-1629358                | DMREF: Analysis and Optimization of Polymer Networks for Emerging Applications   | 47.049 | 288,022         | -                    | -                                    |
| NSF            | CHE-1653289                | CAREER: Nanocomposite Structure Control via Nanoparticle Self-Assembly   | 47.049 | 205,163         | -                    | -                                    |
| NSF            | CHE-1654415                | CAREER: Characterizing Water's Response to Hydrophilic Surfaces  | 47.049 | 97,306          | -                    | -                                    |
| NSF            | CHE-1664799                | Synthesis of d- and p-Block Element Molecules, Reagents, and Precursors  | 47.049 | 270,188         | -                    | -                                    |
| NSF            | CHE-1665383                | Coherent Spectroscopy and Coherent Control of Molecules and Materials  | 47.049 | 282,359         | -                    | -                                    |
| NSF            | CHE-1709364                | Chemical and biochemical determinants of phosphorothioate stability and location in bacterial genomes                        | 47.049 | 102,373         | -                    | -                                    |
| NSF            | CHE-1709993                | Collaborative Research: Multiphase Reactivity of Atmospheric Organic Radicals: Gas- vs. Liquid- vs. Particle-phase Chemistry | 47.049 | 82,124          | -                    | -                                    |
| NSF            | CHE-1724505                | CAREER: Nonmetal Phosphorus Catalysts for Hydrogen Transfer Reactivity   | 47.049 | 113,778         | -                    | -                                    |
| NSF            | CMMI-1246740               | SNM: Inverse Design of Nanostructured Heterogeneous Materials  | 47.041 | -398            | -                    | -                                    |
| NSF            | CMMI-1332789               | Computation of grain boundary energy landscapes as a tool for grain boundary engineering                                     | 47.041 | 353             | -                    | -                                    |
| NSF            | CMMI-1333242               | Pilot-wave Hydrodynamics   | 47.041 | 12,720          | -                    | -                                    |
| NSF            | CMMI-1334109               | DMREF: Computational Design Principles for Functional DNA-based Materials  | 47.041 | 431,884         | 192,602              | -                                    |
| NSF            | CMMI-1334267               | Collaborative Research: TheDesignExchange, an interactive portal for the design community of practice                        | 47.041 | -719            | -                    | -                                    |
| NSF            | CMMI-1351449               | CAREER: Smart Morphable Surfaces for Aerodynamic Drag Control  | 47.041 | 185,750         | -                    | -                                    |
| NSF            | CMMI-1351512               | CAREER: Simulation-based optimization techniques for urban transportation problems   | 47.041 | 85,637          | -                    | -                                    |
| NSF            | CMMI-1351619               | CAREER: Advanced Mixed Integer Programming Formulations  | 47.041 | 90,571          | -                    | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | CMMI-1363167               | Collaborative Research: Increasing Solar Panel Adoption by Modeling the Interrelated Impacts of Design Decisions, Industry Incentives, Public Policies, and Market Response | 47.041 | 46,441          | -                                 |
| NSF            | CMMI-1363391               | Control-Configured Underwater Robots for Precision Multi-Axis Maneuvering   | 47.041 | -20             | -                                 |
| NSF            | CMMI-1426799               | NRI: Collaborative Research: Models and Instruments for Integrating Effective Human-Robot Teams into Manufacturing  | 47.041 | 164,409         | -                                 |
| NSF            | CMMI-1452875               | CAREER: A Closed Loop Methodology for Investigating Trust, Culture, and Information Sharing in Global Supply Chains   | 47.041 | 116,562         | -                                 |
| NSF            | CMMI-1462158               | Learning Graphical Models: Hardness and Tractability  | 47.041 | 28,860          | -                                 |
| NSF            | CMMI-1463181               | GOALI: Collaborative Research: Nanomanufacturing of Integrated Metal-Carbon Nanotube Contacts for High-Performance MEMS Switches  | 47.041 | 10,745          | -                                 |
| NSF            | CMMI-1532136               | CAREER: Electroactive Graphene-Polymer System with Extreme Actuation and Tunable Properties   | 47.041 | 85,957          | -                                 |
| NSF            | CMMI-1536233               | The Role of Genetic Modifications, Age and Exercise on Cartilage Biomechanics using Genetically Engineered Mice   | 47.041 | 83,499          | -                                 |
| NSF            | CMMI-1537536               | An Innovative Optimization and Computational Framework for Assortment Problems Under Consider-Then-Rank Choice Models   | 47.041 | 76,291          | -                                 |
| NSF            | CMMI-1547130               | EAGER: Cybermanufacturing: A Cybermanufacturing System for the Design and Fabrication of Manufacturing Equipment  | 47.041 | 33,876          | -                                 |
| NSF            | CMMI-1547154               | EAGER: Cybermanufacturing: A WYSIWYG Middleware for Additive Manufacturing  | 47.041 | 19,544          | -                                 |
| NSF            | CMMI-1548501               | EAGER: Collaborative Research: Challenging the Cognitive-Control Divide   | 47.041 | 66,817          | -                                 |
| NSF            | CMMI-1562567               | Collaborative Research: Ultrasound, oxide, and oxygen: Microscale mechanisms for next-generation alloy casting  | 47.041 | 90,579          | -                                 |
| NSF            | CMMI-1562912               | Analytical probabilistic traffic models for large-scale network optimization  | 47.041 | 47,317          | -                                 |
| NSF            | CMMI-1563343               | A Data-Driven and Real-time Approach to Personalized Bundle Recommendation and Pricing: from Theory to Practice   | 47.041 | 75,558          | -                                 |
| NSF            | CMMI-1634259               | Revenue Management For Enterprise Users of Cloud Infrastructure   | 47.041 | 100,143         | -                                 |
| NSF            | CMMI-1644558               | CM/Collaborative Research: A Computational Approach to Customizing Design   | 47.041 | 100,752         | -                                 |
| NSF            | CMMI-1661627               | Designing Extremely Robust Soft Wet Adhesives by Exploiting Molecular-Scale Reversible Crosslinks and Macro-Scale Instabilities   | 47.041 | 170,751         | -                                 |
| NSF            | CMMI-1700582               | IFAC Conference on Cyber-Physical & Human-Systems-CPHS  | 47.041 | -1,643          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | CMMI-1702689               | Collaborative Research: Multiscale modeling and measurement of clay aggregate behavior  | 47.041 | 78,437          | -                                 |
| NSF            | CMMI-1727189               | Quasi-integral control for robustness to perturbations of integrated genetic devices in living cells for biotechnology  | 47.041 | 79,060          | -                                 |
| NSF            | CMMI-1727239               | An Optimization Framework for Optimal A-B Testing   | 47.041 | 76,437          | -                                 |
| NSF            | CMMI-1727565               | Boundary interactions in pilot-wave hydrodynamics   | 47.041 | 67,648          | -                                 |
| NSF            | CMMI-1729304               | DMREF:GOALI: Discovery and Design of Additives for Novel Polymer Morphology and Performance   | 47.041 | 59,588          | -                                 |
| NSF            | CMMI-1752172               | CAREER: Directed Epitaxial Assembly of Structural Biopolymers in Hierarchical Mesostuctures for Enhanced Mechanical Behavior, Mass Transport and Heat Transfer. | 47.041 | 4,630           | -                                 |
| NSF            | CNS-1138967                | Collaborative Research: An Expedition in Computing for Compiling Functional Physical Machines   | 47.070 | 453,521         | -                                 |
| NSF            | CNS-1228687                | TWC: Medium: Collaborative Research: Policy Compliant Integration of Linked Data  | 47.070 | 73,307          | -                                 |
| NSF            | CNS-1239054                | CPS: Frontiers: Collaborative Research: Foundations of Resilient Cyber-physical Systems (FORCES)  | 47.070 | 349,940         | -                                 |
| NSF            | CNS-1317763                | TWC: Small: Ascend: Architecture for Secure Computation on Encrypted Data   | 47.070 | 20,159          | -                                 |
| NSF            | CNS-1347267                | MIT VMS I-Corps Site  | 47.070 | 81,833          | -                                 |
| NSF            | CNS-1350619                | CAREER: Computing on Encrypted Data   | 47.070 | 283,824         | -                                 |
| NSF            | CNS-1350685                | CAREER: Practical Algorithms and Fundamental Limits for Complex Cyber-Physical Systems  | 47.070 | 112,590         | -                                 |
| NSF            | CNS-1407470                | NeTS:Medium:Collaborative Research:An App-Centric Transport Architecture for the Internet   | 47.070 | 169,695         | -                                 |
| NSF            | CNS-1409238                | CSR: Medium: Collaborative Research: FTFS: A Read/Write-optimized Fractal Tree File System  | 47.070 | -78             | -                                 |
| NSF            | CNS-1413905                | NeTS:Large:Collaborative Research:Mapping Interconnection in the Internet: Colocation, Connectivity and Congestion  | 47.070 | 190,008         | 61,005                            |
| NSF            | CNS-1413920                | TWC: TTP Option: Frontier: Collaborative: MACS: A Modular Approach to Cloud Security  | 47.070 | 705,514         | -                                 |
| NSF            | CNS-1413973                | NeTS Large: Collaborative Research: Location-Independent Networks: Evaluation Strategies and Studies  | 47.070 | 289,236         | -                                 |
| NSF            | CNS-1446474                | CPS: Frontier: Collaborative Research: BioCPS for Engineering Living Cells  | 47.070 | 315,113         | -                                 |
| NSF            | CNS-1513447                | CSR: Medium: Collaborative Research: Fast and Simple Concurrency Through Data-Abstraction Transactions  | 47.070 | 48,917          | -                                 |
| NSF            | CNS-1519135                | EAGER:Self-Uncertainty in Mechanism Design  | 47.070 | 70,306          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | CNS-1523546                | NeTS:Small: Low Latency Scheduling for Data Centers  | 47.070 | 54,397          | -                                 |
| NSF            | CNS-1523572                | STARSS: SMALL: Trapdoor Computational Fuzzy Extractors   | 47.070 | 125,293         | -                                 |
| NSF            | CNS-1524317                | NeTS: Small: A Migration Approach to Optimal Control of Wireless Networks  | 47.070 | 133,821         | -                                 |
| NSF            | CNS-1526791                | NeTS: Small: A Programmable Network Data Plane for Resource Management in Datacenters  | 47.070 | 151,503         | -                                 |
| NSF            | CNS-1526815                | NSFSaTC-BSF: TWC: Small: Enabling Secure and Private Cloud Computing using Coresets  | 47.070 | 49,268          | -                                 |
| NSF            | CNS-1542970                | Track 2 EBP: Toward Using Virtual Identities in Computer Science Learning for Broadening Participation   | 47.070 | 212,026         | -                                 |
| NSF            | CNS-1544413                | CPS: Synergy: Collaborative Research: Design and Control of High-performance Provably-safe Autonomy-enabled Dynamic Transportation Networks            | 47.070 | 153,518         | -                                 |
| NSF            | CNS-1544751                | CPS: TTP Option: Synergy: Collaborative Research: Hardening Network Infrastructures for Fast, Resilient, and Cost-Optimal Wide-Area Control of Power S | 47.070 | 141,257         | -                                 |
| NSF            | CNS-1563763                | CSR:Medium: A high-performance certified file system and applications  | 47.070 | 247,055         | -                                 |
| NSF            | CNS-1563826                | NeTS: Medium: Collaborative Research: Language and Hardware Primitives for Programming the Data Plane in High-Speed Networks                           | 47.070 | 54,584          | -                                 |
| NSF            | CNS-1608691                | Future Internet Architecture Fall 2015 Investigator Workshop   | 47.070 | -85             | -                                 |
| NSF            | CNS-1617487                | CSR: Small: Operating Systems Kernels in High-Level Languages  | 47.070 | 158,824         | -                                 |
| NSF            | CNS-1617702                | NeTS:Small:Collaborative Research: A Fast and Flexible Transport Architecture for High Speed Networks  | 47.070 | 84,386          | -                                 |
| NSF            | CNS-1639994                | Transparency Bridges: Exploring Transparency Requirements in Smartphone Ecosystems   | 47.070 | 25,955          | -                                 |
| NSF            | CNS-1644877                | CPS: Breakthrough: Collaborative Research: . Transactive control of smart railway grid.  | 47.070 | 37,729          | -                                 |
| NSF            | CNS-1650276                | EAGER: Securing ICS Systems in the IIoT  | 47.070 | 27,069          | -                                 |
| NSF            | CNS-1657303                | CRII: CSR: End-to-End Approach to Ultra-Low Power IoT: From New Nanotechnologies to New System Architectures   | 47.070 | 139,565         | -                                 |
| NSF            | CNS-1704172                | CSR: Medium: Collaborative Research: Soup: Flexible Storage and Processing for On-Line Applications  | 47.070 | 104,529         | -                                 |
| NSF            | CNS-1717199                | NeTS: Small: Cognitive Management and Control of Agile Dynamic Optical Networks  | 47.070 | 140,991         | -                                 |
| NSF            | CNS-1718161                | NSF-BSF: Foundations of Lattice-based Cryptography   | 47.070 | 55,361          | -                                 |
| NSF            | CNS-1730389                | CI-New: Collaborative Research: Modeling the Next-Generation Hybrid Cooling Systems for High-Performance Processors                                    | 47.070 | 74,873          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NSF            | CNS-1735463                | CRISP Type 2: Collaborative Research: Understanding the benefits and mitigating the risks of interdependence in critical infrastructure systems       | 47.070 | 74,188          | -                    | -                                    |
| NSF            | CNS-1739505                | CPS: Small: Recover Algorithms for Dynamic Infrastructure Networks  | 47.RD  | 98,779          | -                    | -                                    |
| NSF            | CNS-1739723                | CPS: Small: Scaling Cyber-Physical Systems to the Low-Power Internet of Things  | 47.070 | 81,722          | -                    | -                                    |
| NSF            | CNS-1743605                | Free space optical network Workshop   | 47.070 | 72,018          | -                    | -                                    |
| NSF            | DBI-1356260                | Collaborative Research: ABI Innovation: BCSP: Understanding the design and usage of distributed biological networks                                   | 47.074 | 22,905          | -                    | -                                    |
| NSF            | DEB-1655983                | NSFDEB-BSF: Ecological networks and ecosystem function in the cow rumen microbiome: a multi-scale approach  | 47.074 | 280,004         | -                    | -                                    |
| NSF            | DGE-1122374                | Graduate Research Fellowship Program  | 47.076 | 12,980,359      | -                    | -                                    |
| NSF            | DGE-1544234                | Collaborative Research: The Role of Instructor and Peer Feedback in Improving the Cognitive, Interpersonal, and Intrapersonal Competencies of Student | 47.076 | 7,553           | -                    | -                                    |
| NSF            | DGE-1736899                | Cambridge to Cambridge Competition Support  | 47.076 | 7,468           | -                    | -                                    |
| NSF            | DGE-1807086                | Collaborative Research: NRT-IGE: Employing Model-Based Reasoning in Environmental Science (EMBeRS)  | 47.076 | 21,684          | -                    | -                                    |
| NSF            | DMR-1206323                | Perturbed Fluctuations & Patterns   | 47.049 | 22,066          | -                    | -                                    |
| NSF            | DMR-1207469                | Investigating Two-Dimensional Systems and Surface States Under the Influence of an Internal Exchange Field and Spin-Filtering                         | 47.049 | -109            | -                    | -                                    |
| NSF            | DMR-1253306                | CAREER: Self-Assembly of Fusion Proteins to Form Biofunctional Materials  | 47.049 | 100,492         | -                    | -                                    |
| NSF            | DMR-1307064                | Structured Rigid Rod Framework Gels from Clickable Synthetic Polypeptides   | 47.049 | 22,116          | -                    | -                                    |
| NSF            | DMR-1405221                | Quantum Transport in twisted van der Waals Heterostructures   | 47.049 | -15,032         | -                    | -                                    |
| NSF            | DMR-1410636                | Collaborative Research: Design of Low-Hysteresis High-Susceptibility Materials by Nanodomain Engineering  | 47.049 | 41,353          | -                    | -                                    |
| NSF            | DMR-1410718                | Shape Persistent, Dynamic, and Liquid Crystalline Materials for Sensor and Electronic Devices   | 47.049 | 111,001         | -                    | -                                    |
| NSF            | DMR-1419807                | NSF Materials Research Science and Engineering Centers (MRSEC) - Full Proposal  | 47.049 | 2,465,205       | 78,722               | 78,722                               |
| NSF            | DMR-1452612                | CAREER: Small Molecule Redox Reactivity at MOF Secondary Building Units   | 47.049 | 87,928          | -                    | -                                    |
| NSF            | DMR-1505947                | Solid-State Dewetting of Metallic Thin Films  | 47.049 | 118,370         | -                    | -                                    |
| NSF            | DMR-1506475                | Entanglement and emergence in new quantum states of matter  | 47.049 | 199,182         | -                    | -                                    |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | DMR-15066605               | Collaborative Research: Thin film chalcogenide glass materials for high-quality integrated photonics   | 47.049 | 66,343          | -                                 |
| NSF            | DMR-1507047                | BaSnO3 as a Transparent Mixed Ionic-Electronic Conducting Material - Utilizing Novel In Situ Methods to Advance Understanding of Structure-Processing-Property Relations | 47.049 | 152,299         | -                                 |
| NSF            | DMR-1507806                | Spectroscopic Studies on Layered Materials   | 47.049 | 180,988         | -                                 |
| NSF            | DMR-1508072                | SusChem: Material and Morphometric Control of Bacterial Cellulose via Genetic Engineering, Post-Processing and 3D-Printed Molding  | 47.049 | 99,965          | -                                 |
| NSF            | DMR-1509197                | Collaborative Research: Nanostructured Conductive Tin Oxide for High-Efficiency Light Trapping in Thin Films and Photonic Devices  | 47.049 | 140,672         | -                                 |
| NSF            | DMR-1522575                | Physics of Strong Disorder and Correlation   | 47.049 | 80,072          | -                                 |
| NSF            | DMR-1534340                | DMREF: Collaborative Research: The Synthesis Genome: Data Mining for Synthesis of New Materials  | 47.049 | 192,023         | 133,540                           |
| NSF            | DMR-1554891                | CAREER: Geometrical Frustration in Spin Orbit Systems  | 47.049 | 82,697          | -                                 |
| NSF            | DMR-1606911                | Directed Self Assembly of Triblock Terpolymer Films  | 47.049 | 315,889         | -                                 |
| NSF            | DMR-1606914                | "Accelerated Sintering in "Nano-Duplex" Dual Phase Nanostructured Alloys   | 47.049 | 377,675         | -                                 |
| NSF            | DMR-1608505                | Novel phases of electronic insulators and quantum Hall systems   | 47.049 | 67,908          | -                                 |
| NSF            | DMR-1645232                | 2016 Alan T. Waterman Award  | 47.049 | 83,778          | -                                 |
| NSF            | DMR-1651101                | CAREER : Development of Fundamental Relationships Between Surface Structure, Composition, Stability, and Activity of Oxide Electrocatalysts in Aqueous Environments      | 47.049 | 203,656         | -                                 |
| NSF            | DMR-1654548                | CAREER: Quantifying Radiation Damage in Metals with Wigner Energy Spectral Fingerprints  | 47.049 | 218,123         | -                                 |
| NSF            | DMR-1700137                | Surface/Interface Phenomena and Topological Order in Emerging Quantum Materials  | 47.049 | 165,929         | -                                 |
| NSF            | DMR-1708280                | FORCES & FLUCTUATIONS OUT OF EQUILIBRIUM   | 47.049 | 53,796          | -                                 |
| NSF            | DMR-1709315                | Dynamics of Associative Polymers Revealed by Self-Diffusion  | 47.049 | 11,777          | -                                 |
| NSF            | DMR-1743059                | Convergence QL: NSF/DOE Quantum Science Summer School  | 47.049 | 61,668          | -                                 |
| NSF            | DMR-1751736                | CAREER: Fundamentals of complex chalcogenide electronic materials  | 47.049 | 2,786           | -                                 |
| NSF            | DMR-1751739                | CAREER: FRACTAL ELECTRONIC TEXTURES IN QUANTUM CRITICAL SOLIDS   | 47.049 | 47,625          | -                                 |
| NSF            | DMR-1809740                | Synthesis and Applications of Functional Carbon Nanomaterials  | 47.049 | 316             | -                                 |
| NSF            | DMR-1809815                | Probing Chiral Fermion Dynamics in Topological Semimetals  | 47.049 | 4,192           | -                                 |
| NSF            | DMS-1209044                | Liouville quantum gravity and conformal probability  | 47.049 | 121,467         | -                                 |
| NSF            | DMS-1255203                | CAREER: Super-Resolution and Subwavelength Imaging   | 47.049 | 85,599          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NSF            | DMS-1312831                | Applied Free Probability Theory   | 47.049 | 483,448         | -                    | -                                    |
| NSF            | DMS-1318942                | Collaborative Research: Gradient-augmented level set methods and jet schemes  | 47.049 | 606             | -                    | -                                    |
| NSF            | DMS-1350472                | CAREER: Motives: Voevodsky versus Kontsevich  | 47.049 | 72,531          | -                    | -                                    |
| NSF            | DMS-1362326                | Random and pseudorandom structures and their applications   | 47.049 | 34,442          | -                    | -                                    |
| NSF            | DMS-1362336                | Algebraic Combinatorics and its Applications  | 47.049 | 31,116          | -                    | -                                    |
| NSF            | DMS-1362509                | Dispersive partial differential equations: between a deterministic and a probabilistic approach   | 47.049 | 3,050           | -                    | -                                    |
| NSF            | DMS-1400967                | Algebraic theory of integrable systems. Representations of affine superalgebras and mock theta functions                                  | 47.049 | 29,850          | -                    | -                                    |
| NSF            | DMS-1404540                | Generic flows, Ricci curvature; Heegaard splittings and nodal sets  | 47.049 | 111,409         | -                    | -                                    |
| NSF            | DMS-1406348                | Instantons, low dimensional topology and knotted graphs   | 47.049 | 100,738         | -                    | -                                    |
| NSF            | DMS-1406411                | Gaussian Free Field and Conformal Loop Ensemble   | 47.049 | 6,005           | -                    | -                                    |
| NSF            | DMS-1407562                | Integrable probability and random matrices: 2d structures, limit theorems   | 47.049 | -24             | -                    | -                                    |
| NSF            | DMS-1408398                | Mean curvature flow and geometric analysis  | 47.049 | 37,541          | -                    | -                                    |
| NSF            | DMS-1454419                | CAREER: Geometric Methods in Hyperbolic PDEs  | 47.049 | 51,071          | -                    | -                                    |
| NSF            | DMS-1462401                | FRG: Collaborative Research: Long-term dynamics of nonlinear dispersive and hyperbolic equations: deterministic and probabilistic methods | 47.049 | 62,638          | -                    | -                                    |
| NSF            | DMS-1500219                | Extremal graph theory, graph limits, and algebraic invariants   | 47.049 | 31,159          | -                    | -                                    |
| NSF            | DMS-1500771                | Free boundaries and extremal inequalities   | 47.049 | 48,434          | -                    | -                                    |
| NSF            | DMS-1500954                | Lefschetz Fibrations, Mapping Tori, and Dynamics on Moduli Spaces of Objects  | 47.049 | 106,988         | -                    | -                                    |
| NSF            | DMS-1502244                | Tensor categories and representation theory   | 47.049 | 119,152         | -                    | -                                    |
| NSF            | DMS-1510305                | Flexibility in symplectic and contact geometry  | 47.049 | 16,067          | -                    | -                                    |
| NSF            | DMS-1512925                | Three-Dimensional Nonlinear Internal Wave Beams: Mathematical Models and Laboratory Experiments   | 47.049 | 200,503         | -                    | -                                    |
| NSF            | DMS-1517842                | Collaborative Research: From Biology to Mechanism: Harnessing Compliance in Locomoting Systems  | 47.049 | 66,811          | -                    | -                                    |
| NSF            | DMS-1519580                | PRIMES: Program for Research In Mathematics, Engineering, and Science for high school Students  | 47.049 | 82,965          | -                    | -                                    |
| NSF            | DMS-1521765                | Collaborative Research: Computational methods for ultra-high sensitivity magnetometry of geological samples                               | 47.049 | 29,577          | -                    | -                                    |
| NSF            | DMS-1522526                | Computational methods in arithmetic geometry  | 47.049 | 40,241          | -                    | -                                    |
| NSF            | DMS-1541100                | Statistical and Computational Tradeoffs in High Dimensional Learning  | 47.049 | 83,259          | -                    | -                                    |



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|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | DMS-1564458                | FRG: COLLABORATIVE RESEARCH: CROSSING THE WALLS IN ENUMERATIVE GEOMETRY   | 47.049 | 102,217         | -                                 |
| NSF            | DMS-1566618                | Mathematical Sciences: Geometric methods in the representation theory of affine Hecke algebras, finite reductive groups and character sheaves | 47.049 | 29,447          | -                                 |
| NSF            | DMS-1600375                | Quantum algebras, quiver varieties and applications   | 47.049 | 63,791          | -                                 |
| NSF            | DMS-1601946                | Topics in arithmetic geometry   | 47.049 | 64,578          | -                                 |
| NSF            | DMS-1601953                | Wall-crossing and dualities in representation theory  | 47.049 | 136,519         | -                                 |
| NSF            | DMS-1607901                | Integrable probability  | 47.049 | 95,224          | -                                 |
| NSF            | DMS-1608018                | Constructions in higher-dimensional contact topology  | 47.049 | 37,312          | -                                 |
| NSF            | DMS-1614043                | Collaborative Research: Walking droplet interactions and stability  | 47.049 | 113,710         | -                                 |
| NSF            | DMS-1645082                | Enumerative geometry of moduli spaces and applications  | 47.049 | -686            | -                                 |
| NSF            | DMS-1651995                | CAREER: Gaussian Graphical Models: Theory, Computation, and Applications  | 47.049 | 100,583         | -                                 |
| NSF            | DMS-1664317                | Geometry and representation theory  | 47.049 | 2,073           | -                                 |
| NSF            | DMS-1664412                | FRG: cQIS: Collaborative Research: Mathematical Foundations of Topological Quantum Computation and its applications                           | 47.049 | 1,544           | -                                 |
| NSF            | DMS-1664619                | FRG: Collaborative Research: Integrable Probability   | 47.049 | 141,659         | -                                 |
| NSF            | DMS-1700127                | Dynamics of nonlinear wave equations  | 47.049 | 72,935          | -                                 |
| NSF            | DMS-1700338                | The Probabilistic Method in Combinatorics   | 47.049 | 44,666          | -                                 |
| NSF            | DMS-1707270                | Mean Curvature Flow and Nonlinear Heat Equations  | 47.049 | 58,513          | -                                 |
| NSF            | DMS-1707857                | Gauge theory, Floer homology and invariants of low-dimensional manifolds  | 47.049 | 63,693          | -                                 |
| NSF            | DMS-1711053                | Min-max problems for families of cycles in Riemannian manifolds   | 47.049 | 36,736          | -                                 |
| NSF            | DMS-1712596                | Collaborative Research: Statistical Estimation with Algebraic Structure   | 47.049 | 58,690          | -                                 |
| NSF            | DMS-1712862                | Universal randomness in 2D  | 47.049 | 26,888          | -                                 |
| NSF            | DMS-1719637                | Collaborative Research: Overcoming order reduction and stability restrictions in high-order time-stepping                                     | 47.049 | 17,400          | -                                 |
| NSF            | DMS-1723011                | Collaborative Research: CDS&E-MSS: Stochastic Approximations for the Solution and Uncertainty Analysis of Data-Intensive Inverse Problems     | 47.049 | 41,252          | -                                 |
| NSF            | DMS-1727545                | Symplectic Geometry Workshop at the Isaac Newton Institute  | 47.049 | 29,418          | -                                 |
| NSF            | DMS-1737944                | Algorithms for anomaly detection using graphical models   | 47.049 | 16,000          | -                                 |
| NSF            | DMS-1764454                | Problems related to Fourier restriction estimates   | 47.049 | 16,136          | -                                 |
| NSF            | DMS-1810638                | Motivic homotopy theory, stable homotopy groups of spheres and the Kervaire invariant   | 47.049 | 3,129           | -                                 |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | DMS-1811267                | Non-compact solutions to geometric flows  | 47.049 | 12,131          | -                                 |
| NSF            | DMS-1821177                | Graduate Workshop in Algebraic Geometry for Women and Mathematicians of Minority Genders  | 47.049 | 7,623           | -                                 |
| NSF            | DRL-1223256                | Collaborative Research: Broad Implementation of Science Festival Alliance   | 47.076 | -5,842          | -                                 |
| NSF            | DRL-1418122                | Collaborative Research: Revealing the Invisible: Data-Intensive Research Using Cognitive, Psychological, and Physiological Measures to Optimize STEM Learning | 47.076 | 50,079          | -                                 |
| NSF            | DRL-1508911                | Collaborative Research: Building Enhanced Scientific Thinking through Modeling Ecosystems   | 47.076 | 140,336         | -                                 |
| NSF            | DRL-1614548                | Collaborative Research: WAVES: A STEM-Powered Youth News Network for the Nation   | 47.076 | 178,174         | -                                 |
| NSF            | DRL-1639069                | DRK-12 Teachers with GUTS (PI Irene Lee)  | 47.076 | 695,821         | 4,475                             |
| NSF            | DRL-1644540                | Neurocognitive underpinnings of dyslexia and dyscalculia  | 47.076 | 318,770         | 126,814                           |
| NSF            | DRL-1723459                | EAGER: MAKER: Collaborative: Beyond Rubrics: Moving Towards Embedded Assessment in Maker Education  | 47.076 | 108,603         | 27,754                            |
| NSF            | DUE-1122616                | Development and evaluation of StarCellBio: a cell biology experiment simulator for science education  | 47.076 | 43,800          | -                                 |
| NSF            | DUE-1503793                | Discovery-Based Student Learning with the Haystack 37-m Radio Telescope   | 47.076 | 49,272          | 13,562                            |
| NSF            | DUE-1505007                | Collaborative Research: Liberal Studies in Engineering - Broadening the Path to the Profession: Feasibility Study   | 47.076 | 3,120           | -                                 |
| NSF            | DUE-1644533                | I-Corps L to discover a sustainable model that will support and scale BioBuilder's curriculum and teacher professional development activities                 | 47.076 | -928            | -                                 |
| NSF            | DUE-1646976                | Collaborative Research: Framing Learning for MOOC Student Success   | 47.076 | 86,340          | -                                 |
| NSF            | DUE-1709359                | Collaborative Research: Student Produced Audio Narratives (SPAN)  | 47.076 | 22,183          | -                                 |
| NSF            | DUE-1734870                | NCS-FO: Collaborative Research: Ground-Truth Analysis and Modeling of Entire Individual C. elegans Nervous Systems  | 47.076 | 213,634         | -                                 |
| NSF            | DUE-1740143                | Collaborative Proposal: Directed Reading Program Network  | 47.076 | 6,368           | -                                 |
| NSF            | EAR-1321889                | Influence of Titanium on Water Incorporation, Rheology and Seismic Properties of Olivine  | 47.050 | -1              | -                                 |
| NSF            | EAR-1321952                | Collaborative Research: Early earth evolution: Hf and Nd isotopic constraints from the ca 3.4--4.0 Ga Acasta Gneisses   | 47.050 | -1,416          | -                                 |
| NSF            | EAR-1322032                | A field study of the liquid line of descent of hydrous alkaline-rich magmas at elevated pressures (0.5-1.0 GPa): the Dariv alkaline intrusive complex         | 47.050 | -1,880          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | EAR-1361319                | CSEDI Collaborative Research: Grand Challenge for Experimental Study of Plastic Deformation Under Deep Earth Conditions   | 47.050 | 69,671          | -                                 |
| NSF            | EAR-1404414                | Collaborative Research: Deep Drilling of Lake Junin, Peru: Continuous Tropical Records of Glaciation, Climate Change and Magnetic Field Variations Spanning the Late Quaternary     | 47.050 | 18,828          | -                                 |
| NSF            | EAR-1411552                | Collaborative Research: Toward a global timeline of biological and ocean geochemical change during the early Cambrian   | 47.050 | 142,768         | -                                 |
| NSF            | EAR-1414499                | Sediment Transport in Vegetated Channels: Evaluating the Roles of Mean Bed Stress and Turbulent Impulse   | 47.050 | 133,786         | -                                 |
| NSF            | EAR-1419822                | Collaborative Research: Quantifying Laurentia's Motion, Advancing Paleogeography and Constraining Rifting with New Paired Dates and Paleomagnetic Data from the Midcontinent Rift   | 47.050 | 1,872           | -                                 |
| NSF            | EAR-1424892                | High-precision U-Pb zircon geochronology and intracontinental correlation of terrestrial ecosystems during the zenith of dinosaur diversity in the Late Campanian of North America  | 47.050 | 57,699          | -                                 |
| NSF            | EAR-1434138                | Collaborative Research: Reconstructing interactions between the East Asian Monsoon and Westerly Jet at multiple timescales via the flux and provenance of eolian and fluvial supply | 47.050 | 11,512          | -                                 |
| NSF            | EAR-1439559                | Early Career: Technical support for a uranium-series isotope geochemistry laboratory focused on Earth's climate and surface processes   | 47.050 | 72,724          | -                                 |
| NSF            | EAR-1450922                | New GPS Constraints on Africa-Arabia-Eurasia Plate Kinematics   | 47.050 | 3,713           | -                                 |
| NSF            | EAR-1451022                | Evolution of Microstructure and Creep Strength of Marble  | 47.050 | 116,317         | -                                 |
| NSF            | EAR-1464024                | Collaborative Research: Anelastic properties of the Earth from seismic to tidal timescales  | 47.050 | 89,102          | -                                 |
| NSF            | EAR-1520762                | Collaborative Research: Changes in river-aquifer exchange induced by groundwater pumping, and their effect on arsenic contamination in the Red River Delta, Vietnam                 | 47.050 | 68,593          | -                                 |
| NSF            | EAR-1520825                | Hazards SEES: Uncovering the hidden skeleton of environmental flows: advanced Langrangian methods for hazards prediction, mitigation and response                                   | 47.050 | 121,491         | -                                 |
| NSF            | EAR-1521534                | Robust earthquake source scaling and seismic efficiency for intermediate-depth and deep earthquakes at global and regional scales.  | 47.050 | 35,278          | -                                 |
| NSF            | EAR-1551321                | ABR: Experimental Studies of Hydrous Mantle Melting   | 47.050 | 69,538          | -                                 |
| NSF            | EAR-1551753                | Collaborative Research: A Community Velocity Field for East Africa  | 47.050 | 37,485          | -                                 |
| NSF            | EAR-1552202                | Processes and Rates of Arc Crust Growth and Differentiation in the Southern Sierra Nevada Crustal Section   | 47.050 | 115,990         | -                                 |

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**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | EAR-1615426                | Collaborative Research: Integrating the geological and genomic records: time-calibrating Earth's dynamic biogeochemical history                           | 47.050 | 323,685         | -                                 |
| NSF            | EAR-1622560                | Collaborative Research: GeoGONAF: Analysis of active deformation and strain transfer along the Izmit Bay-Marmara Sea segment of the North Anatolian Fault | 47.050 | 53,776          | -                                 |
| NSF            | EAR-1647504                | INSPIRE: Search for Records of the Hadean Dynamo in Detrital Zircons  | 47.050 | 423,004         | 212,391                           |
| NSF            | EAR-1659923                | Predictive Models for Wave Damping by Flexible Aquatic Vegetation   | 47.050 | 104,458         | -                                 |
| NSF            | EAR-1702588                | Collaborative Research: Quantifying precipitation changes in the South American subtropics over the late Pleistocene                                      | 47.050 | 52,274          | -                                 |
| NSF            | EAR-1722935                | Collaborative Research: Relating bulk composition to seismic properties in crustal rocks  | 47.050 | 74,132          | -                                 |
| NSF            | ECCS-1135843               | CPS:Medium:Collaborative Research:Smart Power Systems of the Future:Foundations for Understanding Volatility and Improving Operational Reliability        | 47.041 | 48,669          | -                                 |
| NSF            | ECCS-1408172               | Spin-Orbitronics: Interfacial Design of Spintronic Materials and Devices  | 47.041 | -77,081         | -                                 |
| NSF            | ECCS-1408495               | Integrated Photonics for Trapped Ion Quantum Information Processing   | 47.041 | -46,477         | -                                 |
| NSF            | ECCS-1449291               | SNM: Knowledge-based Continuous and Scalable Manufacture of Quantum Dots  | 47.041 | 267,807         | -                                 |
| NSF            | ECCS-1453218               | CAREER: Glass-Based Flexible Integrated Photonic Devices  | 47.041 | 159,266         | -                                 |
| NSF            | ECCS-1505733               | Development of THz laser frequency combs  | 47.041 | 7,118           | -                                 |
| NSF            | ECCS-1509486               | Collaborative Research: Understanding and Engineering Timing Jitter of Superconducting-Nanowire Single Photon Detectors                                   | 47.041 | 55,849          | -                                 |
| NSF            | ECCS-1532591               | NCS-FO: Algorithmically explicit neural representation of visual memorability   | 47.041 | 233,579         | -                                 |
| NSF            | ECCS-1550015               | EAGER: Renewables: Market Designs for Distribution Systems with High Renewable Penetration  | 47.041 | 25,080          | -                                 |
| NSF            | ECCS-1554171               | CAREER: Computational toolbox for improved security of power systems  | 47.041 | 142,600         | -                                 |
| NSF            | ECCS-1607865               | Monolithic magneto-optical isolators for on-chip photonic integration   | 47.041 | 201,607         | -                                 |
| NSF            | ECCS-1609240               | Collaborative Research: Advances in High-Frequency Magnetics for High-Efficiency, High-Density Power Electronic Systems                                   | 47.041 | 78,216          | -                                 |
| NSF            | ECCS-1610806               | Collaborative Research: Electrochemically driven Mechanical Energy Harvesting   | 47.041 | 50,023          | -                                 |
| NSF            | ECCS-1639921               | E2CDA: Type II: Memory, Logic, and Logic in Memory Using Three Terminal Magnetic Tunnel Junctions   | 47.041 | 213,453         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | ECCS-1644588               | EAGER: Theoretic Structures of High Dimensional Data Decomposition  | 47.041 | 111,242         | -                                 |
| NSF            | ECCS-1653100               | CAREER: On-Chip Terahertz Electronic Frequency Combs  | 47.041 | 122,330         | -                                 |
| NSF            | ECCS-1653553               | CAREER: Spin-Orbit Interaction based Spintronics in Superconductors   | 47.041 | 115,987         | -                                 |
| NSF            | ECCS-1702716               | Spectroscopy with Quantum Sensors at the Nanoscale  | 47.041 | 72,063          | -                                 |
| NSF            | ECCS-1709212               | Collaborative Research: Conformal and robust integrated infrared spectroscopic sensors  | 47.041 | 47,843          | -                                 |
| NSF            | ECCS-1711027               | CCSS: Small : Universal Feature Selection in Integrated Monitoring of Large Networks  | 47.041 | 133,378         | -                                 |
| NSF            | ECCS-1742069               | LIDS/IDSS Workshop on Smart Urban Infrastructures (SURII)   | 47.041 | 20,362          | -                                 |
| NSF            | ECCS-1743938               | EAGER: Feedback optimization of dynamic nonlinear signal processing systems   | 47.041 | 82,701          | -                                 |
| NSF            | ECCS-1745547               | Spatially Continuous Modeling of Power System Oscillations with Renewable Energy Penetration  | 47.041 | 61,030          | -                                 |
| NSF            | ECCS-1808828               | Electrical switching of magnetic devices by voltage-controlled proton insertion for low-power, high-performance data storage and computing                | 47.041 | 42,360          | -                                 |
| NSF            | EF-1137306                 | Type 2: The Future of Ecosystems and Extremes: Using Diverse Environmental Data Sets in Support of Regional to Global Earth System Models and Predictions | 47.074 | 525,673         | 451,013                           |
| NSF            | EFMA-1641064               | EFRI ACQUIRE: Scalable Quantum Communications with Error-Corrected Semiconductor Qubits   | 47.041 | 1,081,759       | 698,566                           |
| NSF            | EFRI-1240383               | EFRI-ODISSEI: Programmable Origami for Integration of Self-Assembling Systems in Engineered Structures  | 47.041 | 136,465         | 2,966                             |
| NSF            | EFRI-1441301               | RIPS Type 2: Collaborative Research: Towards resilient computational models of electricity-gas ICI  | 47.041 | 236,131         | -                                 |
| NSF            | IIP-1640678                | A Platform for High Throughput Genetic Transformation of Bacteria   | 47.041 | 59,151          | -                                 |
| NSF            | IIP-1644771                | Microfluidic device for investigation of mineral/liquid interactions  | 47.041 | 1,899           | -                                 |
| NSF            | IIP-1646947                | I-Corps: Improving Acoustophoretic-based Cell Sorting Technologies  | 47.041 | 29,211          | -                                 |
| NSF            | IIP-1649058                | I-Corps: Application Development for Graphene Oxide Nanofiltration Membranes  | 47.041 | 1,182           | -                                 |
| NSF            | IIP-1661441                | I-Corps: An Accurate and Accessible Indoor Positioning Technology   | 47.041 | 112             | -                                 |
| NSF            | IIP-1717362                | PFI:BIC - Development, Deployment and Evaluation of an Intelligent Service System for Personalized Early Literacy Learning Using Mobile Devices           | 47.041 | 17,833          | -                                 |
| NSF            | IIP-1735671                | Type II: MIT Innovation Corps Site  | 47.041 | 29,484          | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NSF            | IIP-1738283                | I-Corps: Mobile Augmented Reality   | 47.041 | 23,387          | -                                 |
| NSF            | IIP-1741052                | I-Corps : Point-of-Care Physiological Assessment via Exhaled Air Analysis   | 47.041 | 21,644          | -                                 |
| NSF            | IIP-1741564                | I-corps: An Objective Clinical Machine Learning Imaging Technology  | 47.041 | 24,078          | -                                 |
| NSF            | IIP-1818795                | I-Corps Teams: Improving the Energy Efficiency of Transport Refrigeration Units   | 47.041 | 29,459          | -                                 |
| NSF            | IIP-1820773                | I-Corps Teams: Machine Learning Algorithms and Tools for Analysis and Optimization of Infrastructure                                      | 47.041 | 40,265          | -                                 |
| NSF            | IIP-1821020                | I-Corps Team: A Photonic Crystal Enabled Thermophotovoltaic Portable Power Generator  | 47.041 | 20,334          | -                                 |
| NSF            | IIP-1821856                | I-Corps: Organ-on-a-Chip Technology for Pharmaceutical Testing  | 47.041 | 34,686          | -                                 |
| NSF            | IIS-1053398                | CAREER Digital Privacy and Regulation   | 47.070 | 3,263           | -                                 |
| NSF            | IIS-1161731                | CGV: Medium: Collaborative Research: Understanding Translucency: Physics, Perception, and Computation                                     | 47.070 | 28,558          | -                                 |
| NSF            | IIS-1161909                | RI: Medium: Collaborative Research: Hybrid Unmanned Aerial Vehicles that Interact with Surfaces   | 47.070 | 22,049          | -                                 |
| NSF            | IIS-1212849                | RI: Large: Collaborative Research: Reconstructive recognition: Uniting statistical scene understanding and physics-based visual reasoning | 47.070 | 28,290          | -                                 |
| NSF            | IIS-1226883                | NRI-Large: Collaborative Research: Soft Compliant Robotic Augmentation for Human-Robot Teams  | 47.070 | 274,206         | -                                 |
| NSF            | IIS-1227504                | Collaborative Research: NRI-Large: Purposeful Prediction: Co-robot Interaction via Understanding Intent and Goals                         | 47.070 | 14,734          | -                                 |
| NSF            | IIS-1237136                | SHB:Type II (INT): Collaborative Research: Algorithmic Approaches to Personalized Health Care   | 47.070 | 53,479          | -                                 |
| NSF            | IIS-1248066                | INSPIRE: Kreyol-based Cyberlearning for a New Perspective on the Teaching of STEM in local Languages                                      | 47.070 | 60,147          | -                                 |
| NSF            | IIS-1317445                | NRI:Small:Collaborative Research: Adaptive Motion Planning and Decision-Making for Human-Robot Collaboration in Manufacturing             | 47.070 | 3,730           | -                                 |
| NSF            | IIS-1318215                | HCC:Small:Thermal Displays in Human Computer Interactions   | 47.070 | 8,836           | -                                 |
| NSF            | IIS-1348911                | INDP: Collaborative Research: Coding for All: Interest-Driven Trajectories to Computational Fluency                                       | 47.070 | -1,046          | -                                 |
| NSF            | IIS-1350160                | CAREER: Human-Aware Autonomy for Team-Oriented Environments   | 47.070 | 14,304          | -                                 |
| NSF            | IIS-1350879                | CAREER: Gait Transition Principles in Quadruped Robots  | 47.070 | -69,235         | -                                 |
| NSF            | IIS-1404494                | SCH: EXP: Collaborative Research: Think - Inferring Cognitive State From Subtle Behaviors   | 47.070 | 168,622         | 5,383                             |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | IIS-1405259                | NRI-Small: Improved safety and reliability of robotic systems by faults/anomalies detection from uninterpreted signals of computation graphs         | 47.070 | 0               | -                                 |
| NSF            | IIS-1409310                | CHS: Medium: Collaborative Research: Computational Design and 3D Printing of Textiles  | 47.070 | 17,392          | -                                 |
| NSF            | IIS-1420316                | RI: Small: A Systematic Approach to Robot Task and Motion Planning in Belief Space   | 47.070 | 305,992         | -                                 |
| NSF            | IIS-1421065                | RI: Small: Enabling robust visual intelligence using propagators to model human competence   | 47.070 | 75,113          | -                                 |
| NSF            | IIS-1427050                | NRI: Collaborative: Efficient Algorithms for Contact-Aware State Estimation  | 47.070 | 188,540         | -                                 |
| NSF            | IIS-1427547                | NRI: Collaborative: Modeling and Verification of Language-based Interaction  | 47.070 | 49,997          | 17,792                            |
| NSF            | IIS-1447476                | BIGDATA: F: DKA: Collaborative Research: Structured Nearest Neighbor Search in High Dimensions   | 47.070 | 9,216           | -                                 |
| NSF            | IIS-1447786                | BIGDATA: IA: DKA: Collaborative Research: High-Throughput Connectomics   | 47.070 | 127,760         | -                                 |
| NSF            | IIS-1453141                | CAREER: Advances in Monitoring Human Performance: Moving Wearable Technology from the Expert to Nonexpert User                                       | 47.070 | 98,334          | -                                 |
| NSF            | IIS-1513443                | Ili: Medium: Collaborative Research: DataHub - A Collaborative Dataset Management Platform for Data Science  | 47.070 | 103,303         | -                                 |
| NSF            | IIS-1523118                | EXP: Collaborative Research: A Personalized Storyteller Companion to Promote Preschooler Language Skills   | 47.070 | 218,961         | -                                 |
| NSF            | IIS-1523767                | NRI: Learning to Plan for New Robot Manipulation Tasks   | 47.070 | 300,031         | -                                 |
| NSF            | IIS-1524427                | RI: Small: Theory and Algorithms for Learning Perturbation Model   | 47.050 | 153,016         | -                                 |
| NSF            | IIS-1524817                | RI: Small: Advancing Visual Recognition with Feature Visualizations  | 47.070 | 68,976          | -                                 |
| NSF            | IIS-1527181                | RI: Small: Time Resolved Imaging: New Methods for Capture, Analysis and Applications   | 47.070 | 103,736         | -                                 |
| NSF            | IIS-1546290                | BIGDATA: Collaborative Research: F: Making Big Data Accessible on Personal Computers: Big Network Algorithms and Data Streams                        | 47.070 | 139,229         | -                                 |
| NSF            | IIS-1551535                | EAGER: Inferring Mechanical Explanations from Manipulation Demonstrations  | 47.070 | 9,412           | -                                 |
| NSF            | IIS-1553284                | CAREER: Scalable learning with combinatorial structure   | 47.070 | 97,023          | -                                 |
| NSF            | IIS-1607189                | US-Israel Research Proposal: IIS: CRCNS: Collaborative: New Tools for Extracting Neuronal Phenotypes from a Volumetric Set of Cerebral Cortex Images | 47.070 | 190,325         | -                                 |

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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| NSF            | IIS-1607486                | US-German Research Proposal: Neurocomputation in the Visual Periphery: Experiments and Models  | 47.070 | 175,216         | 175,216              | -                                    |
| NSF            | IIS-1617403                | CHS : Small: Creating versatile vibrotactile displays  | 47.070 | 231,498         | 231,498              | -                                    |
| NSF            | IIS-1636766                | BD Spokes: SPOKE: NORTHEAST: Collaborative: A Licensing Model and Ecosystem for Data Sharing   | 47.070 | 132,431         | 132,431              | -                                    |
| NSF            | IIS-1637753                | NRI: Collaborative Research: Accelerating Robotic Manipulation with Data-Enhanced Contact Mechanics  | 47.070 | 161,731         | 161,731              | -                                    |
| NSF            | IIS-1637824                | NRI: Collaborative Research: Towards Robots with Human Dexterity   | 47.070 | 168,880         | 168,880              | -                                    |
| NSF            | IIS-1651190                | EAGER: Linguistic Event Extraction and Integration (LEXI): A New Approach to Speech Analysis   | 47.070 | 105,398         | 105,398              | -                                    |
| NSF            | IIS-1716413                | CHS: Small: An Integrated Editing Environment for 3D Printing  | 47.070 | 109,432         | 109,432              | -                                    |
| NSF            | IIS-1718258                | III:Small:A New Perspective on Grouped Variable Selection via Modern Optimization  | 47.070 | 13,952          | 13,952               | -                                    |
| NSF            | IIS-1723381                | S&S:INT: Integrated Reasoning, Planning and Acting for Household Robots  | 47.070 | 9,000           | 9,000                | -                                    |
| NSF            | IIS-1723943                | S&S: INT: COLLAB: Autonomy as a Service  | 47.070 | 27,132          | 27,132               | -                                    |
| NSF            | IIS-1729931                | Collaborative Research: Computational Photo-Scatterography: Unraveling Scattered Photons for Bio-imaging   | 47.070 | 7,057           | 7,057                | -                                    |
| NSF            | IIS-1733809                | Summer School on Cognitive Robotics  | 47.070 | 4,354           | 4,354                | -                                    |
| NSF            | IIS-1734443                | NRI: INT: COLLAB: Development, Deployment and Evaluation of Personalized Learning Companion Robots for Early Literacy and Language Learning      | 47.070 | 22,474          | 22,474               | -                                    |
| NSF            | IIS-1738247                | III: NSF Student Travel Grant for 2017 International Semantic Web Conference (ISWC 2017)   | 47.070 | 25,524          | 25,524               | -                                    |
| NSF            | IIS-1741137                | BIGDATA: F: Testing high dimensional distributions without the curse of dimensionality   | 47.070 | 382,417         | 382,417              | -                                    |
| NSF            | IIS-1741341                | BIGDATA: F: Collaborative Research: Towards automating data analysis: interpretable, interactive, and scalable learning via discrete probability | 47.070 | 56,961          | 56,961               | -                                    |
| NSF            | IIS-1744809                | Collaborative Research: The cognitive and neural mechanisms of computer programming in young children: storytelling or solving puzzles?          | 47.070 | 95,541          | 95,541               | -                                    |
| NSF            | IIS-1745122                | NSF NRI 2017 PI Meeting  | 47.070 | 115,153         | 115,153              | -                                    |
| NSF            | IIS-1745125                | CAREER: Exact Algorithms for Learning Latent Structure   | 47.070 | 54,371          | 54,371               | -                                    |
| NSF            | IIS-1750286                | CAREER: Robust, scalable, reliable machine learning  | 47.070 | 8,966           | 8,966                | -                                    |
| NSF            | IOS-1451202                | BRAIN EAGER: Cell-type-specific optogenetics in wild-type animals  | 47.074 | 10,805          | 10,805               | -                                    |



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| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | IOS-1645061                | IOS EDGE: Development of genetic tools for the dominant phototroph in the sea  | 47.074 | 281,989         | -                                 |
| NSF            | MCB-1350625                | CAREER: Deciphering and Engineering Biological State Machines with Synthetic Biology   | 47.074 | 104,786         | -                                 |
| NSF            | MCB-1517913                | Development and Analysis of Autonomous Metabolite Valves   | 47.074 | 88,929          | -                                 |
| NSF            | MCB-1615252                | Collaborative research: Development of a platform enabling analysis of membrane protein interactions   | 47.074 | 4,157           | -                                 |
| NSF            | MCB-1652390                | CAREER: Integrating Chem. Biology Methods & RNA Virus Models to Elucidate How the Metazoan Proteostasis Ntwk Modulates Protein Evolutionary Landscapes | 47.074 | 195,305         | -                                 |
| NSF            | MCB-1715859                | Breaking the Histone Code: Predicting Genome Organization with Chromatin States  | 47.074 | 155,893         | -                                 |
| NSF            | MCB-1745645                | Collaborative Research: EAGER: Dynamically Customized Cancer Immunotherapy Guided by Live Cell, Genetically Encoded, Tumor Sensors                     | 47.074 | 61,837          | -                                 |
| NSF            | OAC-1739772                | Collaborative Research: SSE: Extending the physics reach of LHCb in Run 3 using machine learning in the real-time data ingestion and reduction system  | 47.070 | 79,722          | -                                 |
| NSF            | OCE-1048926                | Collaborative Research Type 2 - MOBY: Modeling Ocean Variability and Biogeochemical Cycles   | 47.050 | 90,810          | -                                 |
| NSF            | OCE-1153588                | Nitrate assimilation and the ecology of Prochlorococcus: Features and implications of intraspecific diversity in a model marine phototroph             | 47.050 | 55,515          | -                                 |
| NSF            | OCE-1233832                | Collaborative Research: Diagnosing Eddy mixing in DIMES  | 47.050 | 33,410          | -                                 |
| NSF            | OCE-1315201                | Collaborative Research: Ocean Acidification: Impacts of Evolution on the Response of Phytoplankton Populations Rising CO2                              | 47.050 | 175,912         | -                                 |
| NSF            | OCE-1338814                | FESD Type 1: The impact of the ozone hole on the climate of the Southern Hemisphere  | 47.050 | 757,720         | 489,710                           |
| NSF            | OCE-1356460                | Membrane vesicles produced by marine bacteria: origins, distributions, and functions   | 47.050 | 121,491         | -                                 |
| NSF            | OCE-1434007                | Size structure and function of phytoplankton communities in a changing ocean   | 47.050 | 147,582         | -                                 |
| NSF            | OCE-1435993                | Collaborative Research: How can bacterial viruses succeed in the marine environment?   | 47.050 | -36             | -                                 |
| NSF            | OCE-1457916                | Collaborative Research: Developing a New Model to Investigate the Dynamics of Melt Generation beneath Mid-Ocean Ridges                                 | 47.050 | 875             | -                                 |
| NSF            | OCE-1459287                | Collaborative Research: GEOTRACES Arctic section: Spatial variability of lead concentrations and isotopic compositions in the western Arctic basins    | 47.050 | 128,578         | -                                 |
| NSF            | OCE-1459702                | Theoretical studies of eddy mixing   | 47.050 | 125,241         | -                                 |

**Appendix A1**  
**Massachusetts Institute of Technology**  
**Federal Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | OCE-1502985                | Collaborative Research: Insights into North African climate variability over the last 1.1 million years from dust fluxes and leaf wax isotopes | 47.050 | 3,276           | -                                 |
| NSF            | OCE-1536515                | Collaborative Research: An Ocean Tale of Two Climates: Modern and Last Glacial Maximum   | 47.050 | 101,899         | -                                 |
| NSF            | OCE-1536521                | Collaborative Research: Elucidating Algal Host-virus Dynamics in Different Nutrient Regimes-Mechanistic Interactions and Biogeochemical Impact | 47.050 | 85,981          | -                                 |
| NSF            | OCE-1558702                | Collaborative Research: Predicting the Spatiotemporal Distribution of Metabolic Function in the Global Ocean                                   | 47.050 | 34,611          | -                                 |
| NSF            | OCE-1658451                | Microbial interactions on particulate organic matter: from community structure to function.  | 47.050 | 218,457         | -                                 |
| NSF            | OCE-1736109                | Collaborative Research: Deep Circulation over the Flanks of a Mid-Ocean Ridge  | 47.050 | 87,203          | -                                 |
| NSF            | OCE-1736996                | Collaborative Research: US GEOTRACES PMT: Pb and Cr isotopes   | 47.050 | 143,717         | -                                 |
| NSF            | OCI-1147503                | SI2-SSI Collaborative Research: A Computational Materials Data and Design Environment.   | 47.080 | -7,041          | -                                 |
| NSF            | OIA-1231216                | A Center for Brains, Minds, and Machines: The Science and the Technology of Intelligence   | 47.070 | 42,511          | -                                 |
| NSF            | OPP-1542950                | Development of an air-droppable geodetic-seismic ice penetrator for response studies of Antarctic ice shelves and icebergs to ocean forcings   | 47.050 | 27,890          | -                                 |
| NSF            | PHY-1125846                | Center for Ultracold Atoms   | 47.049 | 679,296         | 403,698                           |
| NSF            | PHY-1201896                | Collaborative Research: Understanding Turbulent Mixing in Laboratory Magnetospheres  | 47.049 | 813             | -                                 |
| NSF            | PHY-1205554                | Atomic Ensembles Entangled by Light for Measurements Below the Standard Quantum Limit  | 47.049 | -34             | -                                 |
| NSF            | PHY-1305537                | Inferring the Physics of Living Systems from Dynamic Light Microscopy Data   | 47.049 | 53,695          | -                                 |
| NSF            | PHY-1403261                | Strong-gravity binary phenomenology and gravitational-wave astronomy   | 47.049 | 3,740           | -                                 |
| NSF            | PHY-1404245                | Quantum Optomechanics on Multiple Mass Scales  | 47.049 | 81,290          | -                                 |
| NSF            | PHY-1415345                | Spin Polarization and Transport at the Nanoscale   | 47.049 | 48,698          | -                                 |
| NSF            | PHY-1415514                | Dynamic Decoupling and Noise Characterization in Superconducting Qubits  | 47.049 | 72,626          | -                                 |
| NSF            | PHY-1433156                | Collaborative Research: Construction of the Upstream Tracker for the LHCb Upgrade  | 47.049 | -20,935         | -                                 |
| NSF            | PHY-1437402                | MRI Consortium: Collaborative Research: Development of the Phase-I DarkLight Experiment at Jefferson Laboratory                                | 47.049 | 10,637          | 7,750                             |

**Appendix A1**  
**Massachusetts Institute of Technology**  
**Federal Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | PHY-1454673                | CAREER: SELECTIVE TRANSPORT IN BIOLOGICAL HYDROGELS - FROM DESIGN PRINCIPLES TO MECHANISMS   | 47.049 | 179,971         | -                                 |
| NSF            | PHY-1504942                | Physics of Chromosomes   | 47.049 | 214,885         | -                                 |
| NSF            | PHY-1505678                | New Experimental Techniques for Neutrino Experiments   | 47.049 | 106,051         | -                                 |
| NSF            | PHY-1505855                | The EPP-Supported Neutrino Program at MIT  | 47.049 | 258,641         | -                                 |
| NSF            | PHY-1505858                | The PA-Supported Neutrino Program at MIT   | 47.049 | 292,272         | -                                 |
| NSF            | PHY-1505862                | Entangled States of Light and Atoms for Measurements Below the Standard Quantum Limit  | 47.049 | 122,362         | -                                 |
| NSF            | PHY-1506019                | Strongly Interacting Fermi Gases of Ultracold Atoms  | 47.049 | 75,642          | -                                 |
| NSF            | PHY-1506369                | A Program in Ultralow-Temperature Atomic Physics   | 47.049 | 431,959         | -                                 |
| NSF            | PHY-1541160                | INSPIRE: Testing Bell's Inequality with Astrophysical Observations   | 47.049 | 173,087         | 149,002                           |
| NSF            | PHY-1554875                | Career: Next-Generation Liquid Scintillator Detectors: Picosecond Timing and Quantum-Dot-Doped Scintillator  | 47.049 | 198,474         | 105,334                           |
| NSF            | PHY-1607225                | Searching for physics beyond the Standard Model at the LHCb Experiment   | 47.049 | 128,083         | -                                 |
| NSF            | PHY-1620045                | Research in Theoretical Elementary Particle Physics  | 47.049 | 8,671           | -                                 |
| NSF            | PHY-1626069                | MRI: Development of the IsoDAR Front-End   | 47.049 | 84,883          | -                                 |
| NSF            | PHY-1654168                | CAREER: Magnetogenesis Revisited: The First Self-consistent Plasma Dynamo  | 47.049 | 109,774         | -                                 |
| NSF            | PHY-1658693                | EAGER: A Broadband Approach to Cosmic Axion Detection  | 47.049 | 71,363          | -                                 |
| NSF            | PHY-1707549                | Studies of strong-gravity binaries and their gravitational waves   | 47.049 | 74,218          | -                                 |
| NSF            | PHY-1707700                | Proposal for funding to cover travel costs for participants in the "Table Top Experiments with Skyscraper Reach" workshop at MIT in the summer of 2017 | 47.049 | 4,480           | -                                 |
| NSF            | PHY-1707840                | Quantum Optomechanics on Multiple Mass Scales  | 47.049 | 164,600         | -                                 |
| NSF            | PHY-1707999                | Inferring the Physics of mRNA Trafficking in Neuronal Systems  | 47.049 | 4,024           | -                                 |
| NSF            | PHY-1720311                | Dynamical decoupling, error mitigation and noise correlations in multi-qubit systems   | 47.049 | 48,364          | -                                 |
| NSF            | PHY-1734011                | Center for Ultracold Atoms   | 47.049 | 2,021,473       | 1,182,001                         |
| NSF            | PHY-1743900                | RAISE: A phase separation model for transcriptional control in mammals   | 47.049 | 185,201         | 151,941                           |
| NSF            | PHY-1801996                | The EPP-Supported Neutrino Program at MIT  | 47.049 | 55,798          | -                                 |
| NSF            | PHY-1806684                | Support for the 'Beyond Standard Model Physics with Driven Neutrino Sources' Workshop at MIT   | 47.049 | 4,831           | -                                 |
| NSF            | PLR-1503966                | Collaborative Research: The combined influence of sea ice and snow cover on Northern Hemisphere atmospheric climate variability                        | 47.050 | 53,322          | -                                 |

**Appendix A1**  
**Massachusetts Institute of Technology**  
**Federal Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| NSF            | PLR-1542950                | Development of an air-droppable geodetic-seismic ice penetrator for response studies of Antarctic ice shelves and icebergs to ocean forcings       | 47.050 | 46,631          | -                                 |
| NSF            | PLR-1543366                | Dynamics of the Antarctic Seasonal Ice Zone  | 47.050 | 253,271         | -                                 |
| NSF            | PLR-1603557                | Collaborative Research: Quantifying the Residual Circulation of the Arctic Ocean   | 47.050 | 176,665         | -                                 |
| NSF            | PLR-1607968                | Collaborative Research: Speleothem records of permafrost thaw and paleoclimate in the North American Arctic  | 47.050 | 16,807          | -                                 |
| NSF            | PLR-1643761                | Collaborative Research: Monitoring Antarctic Ice Sheet Changes with Ambient Seismic Noise Methods  | 47.050 | 141,652         | -                                 |
| NSF            | SES-1155143                | Collaborative Research: The American Mass Public in the Early Cold War Years   | 47.075 | 35,570          | -                                 |
| NSF            | SES-1260744                | Intermediation, Information, and Diversity in Networks   | 47.075 | -214            | -                                 |
| NSF            | SES-1427231                | Demand Analysis for Matching Markets   | 47.075 | 38,066          | -                                 |
| NSF            | SES-1528487                | Collaborative Research: A New Design for Identifying Persuasion Effects and Selection in Media Exposure Experiments via Patient Preference Trials  | 47.075 | 140,551         | -                                 |
| NSF            | SES-1555071                | CAREER: Dynamic Games and Institutions   | 47.075 | 50,945          | -                                 |
| NSF            | SES-1558205                | Choice, Learning and Equilibrium   | 47.075 | 38,843          | -                                 |
| NSF            | SES-1559172                | Collaborative Research: Inference Methods for Machine Learning and High-Dimensional Data in Policy Evaluation and Structural Economic Models       | 47.075 | 18,282          | -                                 |
| NSF            | SES-1559367                | Experimental Evidence of the Effectiveness of Mechanisms Designed to Increase Tax Compliance   | 47.075 | 921             | -                                 |
| NSF            | SES-1643517                | Dynamic Choice in an Uncertain World   | 47.075 | 751             | -                                 |
| NSF            | SES-1655060                | Doctoral Dissertation Research: Making a Digital Working Class: A Multi-Method Comparative Study of Uber Drivers                                   | 47.075 | 6,024           | -                                 |
| NSF            | SES-1655089                | Doctoral Dissertation Research: Mathematics, Aesthetics, and Modernism in America  | 47.075 | 3,501           | -                                 |
| NSF            | SES-1655605                | Doctoral Dissertation Research: A Portrayal of the Pedagogy and Practice of Field Schools in American Anthropology as Anthropological Laboratories | 47.075 | 4,596           | -                                 |
| NSF            | SES-1725235                | Policy as a Private Good: Firm-Lobbyist-Politician Networks in the Legislative Process   | 47.075 | 77,755          | -                                 |
| NSF            | SES-1757198                | Information, Attention, and Coordination in Macroeconomics   | 47.075 | 9,010           | -                                 |
| NSF            | SES-1757199                | Inferences in Factor Pricing Models with Many Assets   | 47.075 | 23,932          | -                                 |
| NSF            | SMA-1415129                | SEES Fellowship - PDF - S. Pattinson   | 47.075 | 38,610          | -                                 |
| NSF            | SMA-1733545                | Workshop: Innovation, Cities, and the Future of Work   | 47.075 | 26,009          | -                                 |

**Appendix A1**  
**Massachusetts Institute of Technology**  
**Federal Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency                                    | Government Contract Number | Master Project Name   | CFDA # | Amount Expended    | \$ Amount Passed to Subrecipients |
|---|----------------------------|---|--------|--------------------|-----------------------------------|
| NSF   | SMA-1740363                | Science Policy Research Report: Employee Non-compete Agreements   | 47.075 | 1,157              | -                                 |
| NSF   | SMA-1757344                | Mapping the Inventor Gender Gap: Analyzing Regional & Organization Variation in the Inclusivity of the Innovation Economy | 47.075 | 16,322             | -                                 |
| NSF   | SMMI-1346638               | CAREER: High-Speed Continuous Assembly of Nanoparticle Monolayers and Discrete Cluster Arrays                             | 47.041 | 24,458             | -                                 |
| <b>Total for National Science Foundation</b>      |                            |   |        | <b>81,406,863</b>  | <b>9,198,801</b>                  |
| <b>TOTAL for National Science Foundation</b>      |                            |   |        | <b>81,406,863</b>  | <b>9,198,801</b>                  |
| <b>TOTAL Federal Research Support - On Campus</b> |                            |   |        | <b>378,358,462</b> | <b>51,179,792</b>                 |

Appendix A-2  
 Massachusetts Institute of Technology  
 Schedule of Expenditures of Federal Awards - Lincoln Laboratory  
 By Sponsor & Contract - FY 2018

| Sponsor   | Contract Number  | Program Name | CFDA # | Total \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|---|------------------|--------------|--------|-----------------------------|--------------------------------------|
| <b><u>DEPARTMENT OF DEFENSE</u></b>             |                  |              |        |                             |                                      |
| AIR FORCE                                       | FA8721-05-C-0002 |              | 12.RD  | \$ 19,167,091               | \$ 5,178,677                         |
|   | FA8702-15-D-0001 |              | 12.RD  | 260,206,668                 | 19,253,278                           |
| ARMY  | FA8721-05-C-0002 |              | 12.RD  | 5,913,446                   | 1,698,649                            |
|   | FA8702-15-D-0001 |              | 12.RD  | 39,814,026                  | 1,065,144                            |
| CLASSIFIED                                      | FA8721-05-C-0002 |              | 12.RD  | 4,803,203                   | 351,096                              |
|   | FA8702-15-D-0001 |              | 12.RD  | 176,532,728                 | 23,692,791                           |
| DEFENSE ADVANCED RESEARCH PROJECT AGENCY        | FA8721-05-C-0002 |              | 12.RD  | 4,671,337                   | 72,045                               |
|   | FA8702-15-D-0001 |              | 12.RD  | 20,020,759                  | 2,49,401                             |
| MISSILE DEFENSE AGENCY                          | FA8721-05-C-0002 |              | 12.RD  | 1,771,518                   | 1,178,119                            |
|   | FA8702-15-D-0001 |              | 12.RD  | 71,856,324                  | 2,843,739                            |
| NATIONAL SECURITY AGENCY                        | FA8721-05-C-0002 |              | 12.RD  | 39,209                      | 32,946                               |
|   | FA8702-15-D-0001 |              | 12.RD  | 7,753,313                   | 182,373                              |
| NAVY  | FA8721-05-C-0002 |              | 12.RD  | 7,360,837                   | 1,889,097                            |
|   | FA8702-15-D-0001 |              | 12.RD  | 52,272,840                  | 3,870,729                            |
| OTHER DEPARTMENT OF DEFENSE                     | FA8721-05-C-0002 |              | 12.RD  | 18,058,568                  | 2,311,652                            |
|   | FA8702-15-D-0001 |              | 12.RD  | 182,580,035                 | 8,741,143                            |
| <b>TOTAL DEPARTMENT OF DEFENSE</b>              |                  |              |        | <b>\$ 872,821,902</b>       | <b>\$ 72,610,879</b>                 |
| <b><u>NON-DEPARTMENT OF DEFENSE</u></b>         |                  |              |        |                             |                                      |
| NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION | FA8721-05-C-0002 |              | 11.RD  | \$ 880,045                  | \$ -                                 |
|   | FA8702-15-D-0001 |              | 11.RD  | 6,071,530                   | -                                    |
| DEPARTMENT OF ENERGY                            | FA8721-05-C-0002 |              | 81.RD  | 141,012                     | -                                    |
|   | FA8702-15-D-0001 |              | 81.RD  | 1,466,897                   | -                                    |
| DEPARTMENT OF HOMELAND SECURITY                 | FA8721-05-C-0002 |              | 97.RD  | 850,797                     | 49,346                               |
|   | FA8702-15-D-0001 |              | 97.RD  | 27,094,177                  | 537,232                              |
| DEPARTMENT OF TRANSPORTATION                    | FA8721-05-C-0002 |              | 20.RD  | 347,730                     | 129,844                              |
|   | FA8702-15-D-0001 |              | 20.RD  | 25,511,638                  | 589,973                              |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION   | FA8721-05-C-0002 |              | 43.RD  | 2,222,145                   | 178,264                              |
|   | FA8702-15-D-0001 |              | 43.RD  | 24,233,843                  | 2,305,806                            |

Appendix A-2  
 Massachusetts Institute of Technology  
 Schedule of Expenditures of Federal Awards - Lincoln Laboratory  
 By Sponsor & Contract - FY 2018 Continued

| Sponsor                                | Contract Number  | Program Name | CFDA # | Total \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|--|------------------|--------------|--------|-----------------------------|--------------------------------------|
| <b>OTHER NON DOD</b>                   |                  |              |        |                             |                                      |
|  | FA8721-05-C-0002 |              | 99.RD  | \$ 155,732                  | \$ -                                 |
|  | FA8702-15-D-0001 |              | 99.RD  | 4,111,287                   | -                                    |
| <b>TOTAL NON-DEPARTMENT OF DEFENSE</b> |                  |              |        | <b>\$ 93,086,833</b>        | <b>\$ 3,790,465</b>                  |
| <b>TOTAL DIRECT AWARDS</b>             |                  |              |        | <b>\$ 965,908,735</b>       | <b>\$ 76,401,344</b>                 |

**Appendix A-2**  
**Massachusetts Institute of Technology**  
**Schedule of Expenditures of Federal Awards - Lincoln Laboratory**  
**By Sponsor & Contract - FY 2018 Continued**

| Prime Sponsor and Sponsor  | Passthrough Program Number | Program Name  | CFDA # | Total               | \$ Amount Passed to Subrecipients |
|--|----------------------------|---|--------|---------------------|-----------------------------------|
| <b>DEPARTMENT OF COMMERCE</b>  |                            |   |        |                     |                                   |
| <b>NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY</b><br>New Jersey Office of Homeland Security and Preparedness | 70NANB17H169               | Representative Public Safety Video Testbed                                | 11.RD  | \$ 270,405          | \$ -                              |
|  |                            | <b>Total Department of Commerce</b>                                       |        | <b>\$ 270,405</b>   | <b>\$ -</b>                       |
| <b>DEPARTMENT OF DEFENSE</b>   |                            |   |        |                     |                                   |
| <b>AIR FORCE</b>   |                            |   |        |                     |                                   |
| Arete Associates   | FA9451-17-P-0531           | Alternative Methods for Creating Sodium Guidestar                         | 12.RD  | \$ 40,625           | \$ -                              |
| Advanced Photon Sciences   | FA17A-029-0113             | Fast Optical Limiters Based Phase Change Materials                        | 12.RD  | 46,219              | -                                 |
| AIM Photonics  | FA8650-15-2-5220           | Electronic-Photonic Design Automation                                     | 12.RD  | 99,061              | -                                 |
| <b>ARMY</b>  |                            |   |        |                     |                                   |
| STTR   | W909MY-13-C-0032           | VLWIR SLS-DFPA for Imaging Spectroscopy                                   | 12.RD  | 105,548             | -                                 |
| Agiltron, Inc.   | W911QY-16-P-0068           | Ag Nanowire Grid on Amorphous Silicon                                     | 12.RD  | 67,831              | -                                 |
| Advanced Functional Fabrics of America   | W15QKN-16-3-0001           | Controlled Reflectivity Fabrics   | 12.RD  | 1,109,336           | -                                 |
| <b>CLASSIFIED</b>  |                            |   |        |                     |                                   |
| MITRE Corporation  | 1514TS7A-LL                | MITRE Collaborative Tasks 4 and 5   | 12.RD  | 268                 | -                                 |
| <b>DEFENSE MICROELECTRONICS ACTIVITY</b>   |                            |   |        |                     |                                   |
| Optowares Inc.   | HQ072717P0030              | Measurement for Thin Films on Sapphire                                    | 12.RD  | 43,104              | -                                 |
| <b>MISSILE DEFENSE AGENCY</b>  |                            |   |        |                     |                                   |
| TelAztec LLC   | HQ0147-17-C-7308           | AR Nano-Textures for Cool Running Optics in Multiple Beam kW-Class Lasers | 12.RD  | 19,010              | -                                 |
| <b>NAVY</b>  |                            |   |        |                     |                                   |
| Freedom Photonics  | N68335-13-C-0380           | Advanced EO Modulators  | 12.RD  | 3,175               | -                                 |
| EOSPACE Inc.   | N68335-17-C-0096           | Hybrid Laser Modulator Transmitters                                       | 12.RD  | 67,960              | -                                 |
| Akita Innovations LLC  | N68335-18-C-0366           | Additive Manufacturing for Naval Aviation Battery Applications            | 12.RD  | 4,371               | -                                 |
|  |                            | <b>Total Department of Defense</b>  |        | <b>\$ 1,606,508</b> | <b>\$ -</b>                       |
| <b>DEPARTMENT OF ENERGY</b>  |                            |   |        |                     |                                   |
| Triton Systems, Inc.   | DE-SC0017884               | Photonic Fabrics for Optical Tagging                                      | 81.RD  | \$ 39,869           | \$ -                              |
| University of Rochester  | DE-NA0001944               | High Power Optical Absorption Measurements                                | 81.RD  | 16,894              | -                                 |
|  |                            | <b>Total Department of Energy</b>   |        | <b>\$ 56,763</b>    | <b>\$ -</b>                       |
| <b>DEPARTMENT OF HOMELAND SECURITY</b>   |                            |   |        |                     |                                   |
| RAND Corporation   | HSHQDC-16-D-00007          | Power System Analysis to Inform HSOAC Puerto Rico                         | 97.RD  | \$ 165,761          | \$ -                              |
|  |                            | <b>Total Department of Homeland Security</b>                              |        | <b>\$ 165,761</b>   | <b>\$ -</b>                       |



**Appendix A-2**  
**Massachusetts Institute of Technology**  
**Schedule of Expenditures of Federal Awards - Lincoln Laboratory**  
**By Sponsor & Contract - FY 2018 Continued**

| Prime Sponsor and Sponsor                            | Passthrough Program Number | Program Name   | CFDA # | Total                 | \$ Amount Passed to Subrecipients |
|--|----------------------------|--|--------|-----------------------|-----------------------------------|
| <b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</b> |                            |  |        |                       |                                   |
| Jet Propulsion Laboratory                            | NNN12AA01C                 | Psyche Deep-Space Optical Communications                   | 43-RD  | \$ 1,420,890          | -                                 |
| Jet Propulsion Laboratory                            | NNN12AA01C                 | Europa Lander Ladar Design Study                           | 43-RD  | 279,860               | -                                 |
| Jet Propulsion Laboratory                            | NNN12AA01C                 | Uplink Laser Transmitter Study                             | 43-RD  | 54,431                | -                                 |
| NASA   | NAS2-97001                 | Stratospheric Observatory for Infrared Astronomy           | 43-RD  | 32,736                | -                                 |
| MIT Campus   | MIT-300080                 | MIRa TA  | 43.001 | 212,625               | -                                 |
| MIT Campus   | NNX10AE50G                 | Digital CCD Testing  | 43-RD  | 44,265                | -                                 |
| MIT Campus   | SV8-88004                  | Arcus CCD Development Phase 2                              | 43-RD  | 142,631               | -                                 |
| MIT Campus   | 80GSFC18C0031              | ISS-TAO CCD Development Phase 2                            | 43-RD  | 94,724                | -                                 |
| MIT Campus   | NGG14FC03C                 | TESS Launch and Commissioning                              | 43-RD  | 23,393                | -                                 |
|  |                            | <b>Total National Aeronautics and Space Administration</b> |        | <b>\$ 2,305,555</b>   | <b>\$ -</b>                       |
| <b>NATIONAL INSTITUTE OF HEALTH</b>                  |                            |  |        |                       |                                   |
| MIT Campus   | 1-R01-CA173712-01          | Microfluidics MicroRNA Sensors                             | 93.859 | \$ 49,076             | \$ -                              |
| MIT Campus   | 4-P50-GM098792-04          | CIBS-Year 4-Project 4                                      | 93.859 | (3,793)               | -                                 |
| MIT Campus   | 5-P50-GM098792-05          | CIBS-Year 5-Project 4                                      | 93.859 | 246,539               | -                                 |
| MIT Campus   | 2-R01-DA029639-05          | Optical Control of Neural Circuits                         | 93.859 | 250,888               | -                                 |
| MIT Campus   | 230321                     | Clin Res for Imprv Prev - Vocal Hyperfunc                  | 93.173 | 82,962                | -                                 |
| MIT Campus   | 230321                     | Clin Res for Imprv Prev - Vocal Hyperfunc Yr2              | 93.173 | 8,021                 | -                                 |
|  |                            | <b>Total National Institute of Health</b>                  |        | <b>\$ 633,693</b>     | <b>\$ -</b>                       |
| <b>NATIONAL SCIENCE FOUNDATION</b>                   |                            |  |        |                       |                                   |
| University of Southern California                    | IIS-1514544                | Understanding Individual Speech Variability                | 47-RD  | \$ 39,928             | \$ -                              |
| MIT Campus   | EFRI-1332250               | Flexible Glucose Fuel Cell                                 | 47.070 | 232                   | -                                 |
| MIT Campus   | CCF-1521759                | Evolvable Living Computing                                 | 47.070 | 216,079               | -                                 |
|  |                            | <b>Total National Science Foundation</b>                   |        | <b>\$ 256,239</b>     | <b>\$ -</b>                       |
| <b>Total Passthrough Awards</b>                      |                            |  |        | <b>\$ 5,294,924</b>   | <b>\$ -</b>                       |
| <b>Total Federal Awards</b>                          |                            |  |        | <b>\$ 971,203,659</b> | <b>\$ 76,401,344</b>              |

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**Massachusetts Institute of Technology**  
**Federal Research Support - Passthrough - On Campus**  
**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                                | Project WBS id | Passthrough Number | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|---|----------------|--------------------|--|--------|-----------------|---|
| <b>DEPARTMENT OF DEFENSE</b>                      |                |                    |  |        |                 |   |
| <b>Brown University</b>                           |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6926780        | 00000554           | Quantum Metaphotonics and Metamaterials: from Single Emitters to Strongly Correlated Systems                                   | 12.800 | 18,688          | -   |
| DEPARTMENT OF DEFENSE                             | 6933009        | 00000827           | Mathematical Framework for Design Under Uncertainty  | 12.910 | 232,925         | -   |
| DEPARTMENT OF DEFENSE                             | 6934244        | 00000921           | Mechanism-Driven Discovery of Efficient H2 Production Electrocatalysts   | 12.300 | 123,982         | -   |
| <b>Total for Brown University</b>                 |                |                    |  |        | <b>375,595</b>  | -   |
| <b>University of New Hampshire</b>                |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6933544        | 16-054             | Mechanics of Bio-inspired CNT - Modified Hierarchical/ Fractal Interfaces  | 12.800 | 88,052          | -   |
| <b>Total for University of New Hampshire</b>      |                |                    |  |        | <b>88,052</b>   | -   |
| <b>Old Dominion University</b>                    |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6933167        | 16-137-300345-010  | Nanoelectropulse-induced electromechanical signaling and control of biological systems   | 12.800 | 272,383         | -   |
| <b>Total for Old Dominion University</b>          |                |                    |  |        | <b>272,383</b>  | -   |
| <b>Universal Technology Corporation</b>           |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6936095        | 17-S8401-05-C1     | Adaptive Flight Control for Hypersonic Vehicles with Integrated Loops Using High Fidelity Models                               | 12.RD  | 102,070         | -   |
| DEPARTMENT OF DEFENSE                             | 6938155        | 18-S8401-15-C1     | Application of Systems Theory to the Safety and Cybersecurity of UxAS  | 12.RD  | 33,547          | -   |
| <b>Total for Universal Technology Corporation</b> |                |                    |  |        | <b>135,616</b>  | -   |
| <b>University of Texas at Arlington</b>           |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6938479        | 26-0201-51-65      | Next Generation Advances in Ionosphere Thermosphere Coupling at Multiple Scales for Environmental Specification and Prediction | 12.800 | 116,842         | -   |
| <b>Total for University of Texas at Arlington</b> |                |                    |  |        | <b>116,842</b>  | -   |
| <b>Vanderbilt University</b>                      |                |                    |  |        |                 |   |
| DEPARTMENT OF DEFENSE                             | 6930785        | 2784-018400        | Science of Secure and Resilient Cyber-Physical Systems   | 12.300 | 1               | -   |
| <b>Total for Vanderbilt University</b>            |                |                    |  |        | <b>1</b>        | -   |
| <b>University of Michigan</b>                     |                |                    |  |        |                 |   |

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| Prime Sponsor Name            | Project WBS id | Passthrough Number      | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|-------------------------------|----------------|-------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE         | 6936329        | 3004427924              | Multi-Fidelity Modeling of Rocket Combustor Dynamics   | 12.800 | 182,523         | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6933569        | 3003660082              | AN AUTOMATED MEASUREMENT SYSTEM FOR<br>WARFIGHTER PERFORMANCE QUANTIFICATION IN<br>NATURALISTIC SETTINGS | 12.RD  | 263,485         | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6932103        | 3002565045              | The Center for Future Architectures Research (C-FAR)   | 12.RD  | 79,337          | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6926853        | 3002453814              | PASSIVE AND ACTIVE FRICTION DRAG REDUCTION<br>OF TURBULENT FLOWS OVER SUPER-<br>HYDROPHOBIC SURFACES     | 12.300 | 21,768          | -                    | -                                    |
| <b>University of Maryland</b> |                |                         |  |        | <b>547,114</b>  |                      |                                      |
| DEPARTMENT OF DEFENSE         | 6936839        | 43830-Z8183003          | MURI: Photonic Quantum Matter  | 12.800 | 205,255         | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6923071        | Z841801                 | MURI: Atomtronics: Material and Device Physics of<br>Quantum Gases                                       | 12.431 | -388            | -                    | -                                    |
| <b>Rutgers University</b>     |                |                         |  |        | <b>204,867</b>  |                      |                                      |
| DEPARTMENT OF DEFENSE         | 6936564        | 5562 / PO 467158        | Dynamic Integration of Motion and Neural Data to<br>Capture Human Behavior                               | 12.800 | 78,524          | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6930216        | 5298 (W81XWH-14-1-0100) | A therapeutic system solution for optimal nerve repair   | 12.420 | 43,060          | -                    | -                                    |
| <b>Boise State University</b> |                |                         |  |        | <b>121,585</b>  |                      |                                      |
| DEPARTMENT OF DEFENSE         | 6933762        | 6856-PO124372           | Phase-Controlled Magnetron Development   | 12.800 | 80,864          | -                    | -                                    |
| <b>Lincoln Laboratory</b>     |                |                         |  |        | <b>80,864</b>   |                      |                                      |
| DEPARTMENT OF DEFENSE         | 6930986        | 7000291604              | Study of JCIDS Semantic Architecture Framework   | 12.RD  | 138,881         | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6934511        | 7000337934              | High Energy Density Portable Power Pack  | 12.RD  | 0               | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6937710        | 7000372082              | Low SWaP Reaction Sphere for Precision CubeSat<br>Attitude Control                                       | 12.RD  | 5,911           | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6937918        | 7000374874              | Graduate Student Research in FY17 in support of<br>Verification and Validation of Autonomous Systems     | 12.RD  | 62,109          | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6935578        | 7000375599              | Image Analysis for Cellular-Resolution Brain Mapping   | 12.RD  | 48,614          | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6938523        | 7000399771              | MIT Haystack Observatory Engineering Support for The<br>Lincoln Space Surveillance Complex (LSSC)        | 12.RD  | 1,739,406       | -                    | -                                    |
| DEPARTMENT OF DEFENSE         | 6935155        | PO # 7000369210         | RRTO Threat Network Detection and Tracking Project   | 12.RD  | -7,468          | -                    | -                                    |

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| Prime Sponsor Name    | Project WBS id | Passthrough Number | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|-----------------------|----------------|--------------------|--|--------|-----------------|----------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE | 6936379        | PO #7000289543     | MIT Haystack Observatory Engineering Support for the Lincoln Space Surveillance Complex (LSSC) | 12.RD  | 625,891         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934810        | PO #7000364436     | MIT Urban Risk Lab & Lincoln Lab (HADR)  | 12.RD  | 18,851          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934997        | PO #7000366576     | Bulk Heterojunction Structural Batteries   | 12.RD  | 15,977          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6929045        | PO 7000255976      | New Directions in Computational Imaging  | 12.RD  | -11,603         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6929208        | PO 7000261350      | Low Power Embedded Analytics   | 12.RD  | -13,548         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6931130        | PO 7000295944      | Integrated WDM Lasercrmm Transceivers  | 12.RD  | -3,808          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934698        | PO 7000332975      | 3D Printing of Metal-Ceramic Microlattices   | 12.RD  | 6,054           | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933005        | PO 7000333383      | System Authentication for Wireless Power Transfer  | 12.RD  | 21,879          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933613        | PO 7000334320      | Electro-AeroDynamic (EAD) Unmanned Aerial Vehicle (UAV) Prototype                              | 12.RD  | 67,271          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933392        | PO 7000338443      | Integrated Magneto-optical Isolators for IR-Vis Wavelengths                                    | 12.RD  | -3,303          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933423        | PO 7000339130      | Biomimetic Adaptive Forward-Looking Sonar for Object Recognition                               | 12.RD  | 60,223          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933541        | PO 7000339337      | Support of the Radio Communication Link Program Using the Westford Radio Telescope             | 12.RD  | 36,390          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933513        | PO 7000340812      | Decentralized Multi-agent Coordination   | 12.RD  | 77,746          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933700        | PO 7000342060      | Synthetic Biology - Artificial Gut for Engineering Microbial Communities                       | 12.RD  | 11,481          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934036        | PO 7000351384      | Mid-Infrared Optical Phase Modulators  | 12.RD  | 72              | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934430        | PO 7000359526      | Van Der Waals Epitaxy of Gan Hermt on Graphene/Transfer  | 12.RD  | 6,518           | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6934951        | PO 7000366547      | Convective Enhanced Electrochemistry Energy Storage  | 12.RD  | 14,756          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6935040        | PO 7000366923      | Interpretable Neural Models  | 12.RD  | 29,050          | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6935761        | PO 7000374786      | Student Based Development of the Jungle Hawk Owl Long Endurance UAV                            | 12.RD  | 8,206           | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6928933        | PO# 7000243692     | Innovation in Unmanned Air Vehicle Development   | 12.RD  | 146,198         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6930859        | PO# 7000290592     | Coherent Spin Qubits for Quantum-Enhanced Optimization   | 12.RD  | 1,625,702       | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6931611        | PO# 7000306158     | Advanced Gan Transistor Technology (AGTZ)  | 12.RD  | 122,325         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6932764        | PO# 7000326660     | Platform for Non-Invasive Gastrointestinal Disease Monitoring                                  | 12.RD  | 7,579           | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933166        | PO# 7000334320     | Electro-AeroDynamic (EAD) Unmanned Aerial Vehicle (UAV) Prototype                              | 12.RD  | 201,619         | -                    | -                                    |
| DEPARTMENT OF DEFENSE | 6933199        | PO# 7000335585     | Multimaterial Fiber Devices  | 12.RD  | 34,167          | -                    | -                                    |

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**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name    | Project WBS id | Passthrough Number | WBS Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Expended | \$ Amount Passed<br>to Subrecipients |
|-----------------------|----------------|--------------------|---|--------|-----------------|----------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE | 6933645        | PO# 7000344422     | Development of Aluminum Fueled Electric Vehicle and Submersible Power Systems (Lilypads II)                           | 12.RD  | 112,813         | 112,813              | -                                    |
| DEPARTMENT OF DEFENSE | 6933706        | PO# 7000345331     | Program-Analytic Cybersecurity Metrics via Exposure and Non-uniformity (PACMIEN)                                      | 12.RD  | 44,080          | 44,080               | -                                    |
| DEPARTMENT OF DEFENSE | 6933724        | PO# 7000346015     | Statistics Without Affirmed Ground Truth (StatSWAG)   | 12.RD  | 12,069          | 12,069               | -                                    |
| DEPARTMENT OF DEFENSE | 6934759        | PO# 7000362193     | Low Temperature Magnetic Memory for Superconducting Computation   | 12.RD  | 91,057          | 91,057               | -                                    |
| DEPARTMENT OF DEFENSE | 6935139        | PO# 7000367982     | Cyber Adversarial Scenario modeling and Automated Decision Engine (CASCADE)   | 12.RD  | 38              | 38                   | -                                    |
| DEPARTMENT OF DEFENSE | 6935145        | PO# 7000368802     | Stool Cell - Health Monitoring for the Human Gut  | 12.RD  | 49,096          | 49,096               | -                                    |
| DEPARTMENT OF DEFENSE | 6935279        | PO# 7000369000     | Microplasmas for Additive Materials Deposition  | 12.RD  | 123,712         | 123,712              | -                                    |
| DEPARTMENT OF DEFENSE | 6935235        | PO# 7000370657     | Phase Change Metamaterials  | 12.RD  | 153,433         | 153,433              | -                                    |
| DEPARTMENT OF DEFENSE | 6935357        | PO# 7000371273     | Integrated Planar Lens-Based Lidar  | 12.RD  | 62,815          | 62,815               | -                                    |
| DEPARTMENT OF DEFENSE | 6935316        | PO# 7000372082     | Low SWaP Reaction Sphere for Precision CubeSat Attitude Control   | 12.RD  | 89,132          | 89,132               | -                                    |
| DEPARTMENT OF DEFENSE | 6935579        | PO# 7000374786     | Student Based Development of the Jungle Hawk Owl Long Endurance UAV   | 12.RD  | 163,647         | 163,647              | -                                    |
| DEPARTMENT OF DEFENSE | 6935553        | PO# 7000374874     | Graduate Student Research in FY17 in support of Verification and Validation of Autonomous Systems                     | 12.RD  | 71,331          | 71,331               | -                                    |
| DEPARTMENT OF DEFENSE | 6935644        | PO# 7000376241     | Chip-Scale THz Spectrometer: Miniaturized Molecular Clock and Gas Sensor  | 12.RD  | 45,666          | 45,666               | -                                    |
| DEPARTMENT OF DEFENSE | 6935784        | PO# 7000379430     | Lane-keeping with Localizing GPR in Poor Conditions   | 12.RD  | 17,192          | 17,192               | -                                    |
| DEPARTMENT OF DEFENSE | 6935965        | PO# 7000381569     | Demonstration of Logical Qubits using 3D Integration  | 12.RD  | 359,018         | 359,018              | -                                    |
| DEPARTMENT OF DEFENSE | 6936105        | PO# 7000383604     | Single- and Coupled-Qubit Randomized Benchmarking of Superconducting Qubits   | 12.RD  | 196,339         | 196,339              | -                                    |
| DEPARTMENT OF DEFENSE | 6936237        | PO# 7000385831     | Development of A Built-In, Metal-Air, Nano Battery (Lincoln Laboratory Program # TIO2-0126)                           | 12.RD  | 250,970         | 250,970              | -                                    |
| DEPARTMENT OF DEFENSE | 6936301        | PO# 7000385936     | Design and Characterization of JTWPAs   | 12.RD  | 37,856          | 37,856               | -                                    |
| DEPARTMENT OF DEFENSE | 6936468        | PO# 7000386377     | Time-Resolved Observations of Precipitation structure and storm Intensity with a Constellation of Smallsats (TROPICS) | 12.RD  | 150,086         | 150,086              | -                                    |
| DEPARTMENT OF DEFENSE | 6936327        | PO# 7000386845     | Integration of Departure Metering Concepts into Surface Capabilities  | 12.RD  | 71,667          | 71,667               | -                                    |
| DEPARTMENT OF DEFENSE | 6936395        | PO# 7000387954     | Integrated QC Collaboration   | 12.RD  | 21,443          | 21,443               | -                                    |
| DEPARTMENT OF DEFENSE | 6936545        | PO# 7000389700     | WaferSat  | 12.RD  | 111,280         | 111,280              | -                                    |
| DEPARTMENT OF DEFENSE | 6936796        | PO# 7000391952     | Advanced Methods for Sensing, Learning, and Communication   | 12.RD  | 29,161          | 29,161               | -                                    |

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| Prime Sponsor Name                  | Project WBS id | Passthrough Number                       | WBS Project Name   | CFDA # | Amount Expended  | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|-------------------------------------|----------------|--|--|--------|------------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE               | 6937456        | PO# 7000396484                           | Electrochemical Energy Systems Based on Continuous Gas-Solid Conversion  | 12.RD  | 125,205          | 125,205                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6937081        | PO# 7000397480                           | Immersive virtual training for enhanced human-exosystem performance  | 12.RD  | 73,422           | 73,422                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937231        | PO# 7000398589 / LETTER NO. 16-C-17-0691 | Alternatives for FEMA Disaster-Related Housing Assistance  | 12.RD  | 991,914          | 991,914                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6937458        | PO# 7000399580                           | ACC 677: Adaptive Magnetic Transmissions   | 12.RD  | 39,600           | 39,600                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937457        | PO# 7000401832                           | Aluminum Powered Electric Vehicle  | 12.RD  | 97,830           | 97,830                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937660        | PO# 7000403338                           | Physics-In-The-Loop Photorealistic Simulation System For High-Throughput Computing Research  | 12.RD  | 97,962           | 97,962                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937669        | PO# 7000403439                           | ERGO: Exploiting Risk-taking in Group Operations   | 12.RD  | 92,540           | 92,540                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937546        | PO# 7000404029                           | Modeling the Electron Filtering Properties of Quantum-Dot Solids   | 12.RD  | 31,611           | 31,611                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937583        | PO# 7000404200                           | Valley Coherence in Monolayer 2D Materials   | 12.RD  | 20,385           | 20,385                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937869        | PO# 7000406016                           | MIT-LL collaborative project: Representative Public Safety Video Testbed   | 12.RD  | 82,110           | 82,110                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6938341        | PO# 7000407322                           | Evaluation of Stress Fracture Phenomenology Using Ultrasound   | 12.RD  | 102,926          | 102,926                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6937851        | PO# 7000408525                           | Multiphysics Approach to Designing Tunneling Based Post-CMOS Ultra-Low Power Logic Devices   | 12.RD  | 65,019           | 65,019                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937868        | PO# 7000408566                           | Thin Film On-Chip Microbatteries - Li-Garnet Solid State Battery Architectures   | 12.RD  | 1,295            | 1,295                       | -                                    |
| DEPARTMENT OF DEFENSE               | 6938418        | PO# 7000416040                           | Super Coatings for Precision Sensing   | 12.RD  | 20,020           | 20,020                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6938413        | PO# 7000416344                           | Thin Film Microbatteries   | 12.RD  | 71,582           | 71,582                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6938424        | PO# 7000416579                           | BeaverCube   | 12.RD  | 12,863           | 12,863                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6938440        | PO# 7000417636                           | Fast Semantic Segmentation on Manifold   | 12.RD  | 4,630            | 4,630                       | -                                    |
| DEPARTMENT OF DEFENSE               | 6938802        | PO# 7000422783                           | Cyber Domain Tasks: Study of Methods for Development of a Taxonomical Cyber Operations Task List using Ontology-Based Text Extraction and Interpretation | 12.RD  | 10,472           | 10,472                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6932872        | PO#7000328712                            | Lincoln Laboratory Group 63 Program 370 (LAKATT) Support   | 12.RD  | 74,768           | 74,768                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6933364        | PURCHASE ORDER 7000337650                | Functional Encryption Research   | 12.RD  | -13,860          | -13,860                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6931687        | 7000294429                               | Proposal for A Low-Torque Pan Tilt System for Directional Scanning in a Marine Environment   | 12.RD  | 60,682           | 60,682                      | -                                    |
| <b>Total for Lincoln Laboratory</b> |                |  |  |        | <b>9,420,028</b> | <b>9,420,028</b>            | <b>-</b>                             |

**Zona Technology, Inc.**

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|--|----------------|--------------------------|---|--------|------------------|-----------------------------------|
| DEPARTMENT OF DEFENSE                            | 6937626        | AGMT DATED 8/29/17       | FUN3D--based adjoint optimization for elastic tandem airfoils in chaotic flows  | 12.RD  | 79,571           | -                                 |
|  |                |                          | <b>Total for Zona Technology, Inc.</b>  |        | <b>79,571</b>    | -                                 |
| <b>Aerospace Corporation</b>                     |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6938786        | AGMT DTD 3/15/18         | Design of Reconfigurable Constellation Architectures  | 12.RD  | 45,006           | -                                 |
| DEPARTMENT OF DEFENSE                            | 6938879        | PO# 4600006296           | Relative Operations for Autonomous Maneuvers  | 12.RD  | 38,323           | -                                 |
|  |                |                          | <b>Total for Aerospace Corporation</b>  |        | <b>83,329</b>    | -                                 |
| <b>SUNY: AIM Photonics</b>                       |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6933050        | AGMT. DTD. 3/22/2016     | IP-IMI  | 12.800 | 2,354,460        | 215,171                           |
|  |                |                          | <b>Total for SUNY: AIM Photonics</b>  |        | <b>2,354,460</b> | <b>215,171</b>                    |
| <b>National ICT Australia Limited</b>            |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6931992        | AGREEMENT DATED 5/14/15  | Negotiating Mission Plans under Risk Bounds   | 12.800 | 80,067           | -                                 |
|  |                |                          | <b>Total for National ICT Australia Limited</b>   |        | <b>80,067</b>    | -                                 |
| <b>TIPD, LLC</b>                                 |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6930803        | AGREEMENT DATED 7/31/14  | Holographic Video Display Using Novel Guided-wave Scanning System (HVD-GWSS) - SBIR Phase II  | 12.RD  | 34,403           | -                                 |
|  |                |                          | <b>Total for TIPD, LLC</b>  |        | <b>34,403</b>    | -                                 |
| <b>Diversified Technologies, Inc.</b>            |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6935088        | AGREEMENT DATED 9-1-2016 | A Practical Incoherent Scatter Radar, SBIR Phase 2  | 12.RD  | 44,356           | -                                 |
|  |                |                          | <b>Total for Diversified Technologies, Inc.</b>   |        | <b>44,356</b>    | -                                 |
| <b>Utah State University Research Foundation</b> |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6934347        | CP0039726                | UNP CubeSat   | 12.RD  | 43,971           | -                                 |
|  |                |                          | <b>Total for Utah State University Research Foundation</b>  |        | <b>43,971</b>    | -                                 |
| <b>Lockheed Martin Missiles and Fire Control</b> |                |                          |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                            | 6935336        | PO 4102738369            | Algorithm Development and Experimentation In Support of Human Performance Sensing ? Biomarker/Metric Identification and Sensor Development Learning for Man-Machine Interoperation and Training | 12.RD  | 220,816          | -                                 |
|  |                |                          | <b>Total for Lockheed Martin Missiles and Fire Control</b>  |        | <b>220,816</b>   | -                                 |

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| Prime Sponsor Name                    | Project WBS id | Passthrough Number             | WBS Project Name  | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|---------------------------------------|----------------|--------------------------------|---|--------|------------------|-----------------------------------|
| <b>Lockheed Martin</b>                |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6937887        | PO# 4103067458                 | STAHMP  | 12.RD  | 52,453           | -                                 |
|                                       |                |                                | <b>Total for Lockheed Martin</b>  |        | <b>52,453</b>    | -                                 |
| <b>Leidos, Inc.</b>                   |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6934135        | PO10193198                     | MEMS Mass Spectrometry Project  | 12.RD  | 345,451          | -                                 |
|                                       |                |                                | <b>Total for Leidos, Inc.</b>   |        | <b>345,451</b>   | -                                 |
| <b>Metis Design Corporation</b>       |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6936775        | SBIR AGMT EFF 8/27/17          | Carbon Nanotube Electronics for Radiation-Resilient Hardware                                      | 12.RD  | 63,390           | -                                 |
|                                       |                |                                | <b>Total for Metis Design Corporation</b>   |        | <b>63,390</b>    | -                                 |
| <b>Draper Laboratory Incorporated</b> |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6937745        | SC001-1138                     | Mechanics of Nanostructure Assemblies (MoNA)  | 12.RD  | 5,686            | -                                 |
| DEPARTMENT OF DEFENSE                 | 6937663        | SC001-0000000918               | Unifying Perception and Control via Fast Approximations for Fast Flight in Cluttered Environments | 12.RD  | 826,159          | -                                 |
| DEPARTMENT OF DEFENSE                 | 6938840        | SC-001-1190                    | System Security Integrated Through Hardware and firmware (SSITH)                                  | 12.RD  | 501              | -                                 |
| DEPARTMENT OF DEFENSE                 | 6937353        | SUB PO# SC001-000001187        | DARPA - Agile Teams (A-Teams)   | 12.RD  | 49,836           | -                                 |
| DEPARTMENT OF DEFENSE                 | 6934674        | SC001-0000001039               | Positioning System for Deep Ocean Navigation (POSYDON)  | 12.RD  | 36,853           | -                                 |
| DEPARTMENT OF DEFENSE                 | 6936067        | SC001-0000001106               | Anticipatory Complex Event Recognition Technology (ACERT)   | 12.RD  | 111,213          | -                                 |
|                                       |                |                                | <b>Total for Draper Laboratory Incorporated</b>   |        | <b>1,030,248</b> | -                                 |
| <b>Busek Company, Incorporated</b>    |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6935180        | STTR AGREEMENT DATED 11-3-2016 | Ultra-High Density Ion Propulsion from Ionic Liquids (Phase II)                                   | 12.RD  | 27,716           | -                                 |
|                                       |                |                                | <b>Total for Busek Company, Incorporated</b>  |        | <b>27,716</b>    | -                                 |
| <b>University of Colorado Boulder</b> |                |                                |   |        |                  |                                   |
| DEPARTMENT OF DEFENSE                 | 6934474        | SUBAWARD NO. 1553954           | Chemical Reactions of Cold Molecular Ions and Molecular Radicals                                  | 12.800 | 90,462           | -                                 |
|                                       |                |                                | <b>Total for University of Colorado Boulder</b>   |        | <b>90,462</b>    | -                                 |
| <b>University of Pennsylvania</b>     |                |                                |   |        |                  |                                   |



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| Prime Sponsor Name                  | Project WBS id | Passthrough Number            | WBS Project Name   | CFDA # | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|-------------------------------------|----------------|-------------------------------|--|--------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE               | 6936286        | SUBAWARD NO. 561009           | Geometry and Topology of Complex Networks  | 12.800 | 28,955                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6937858        | 560102                        | Evolution of Cultural Norms and Dynamics of Socio Political Change   | 12.431 | 300,338                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6937915        | 572622                        | ARCHES: Autonomous Resilient Cognitive Heterogeneous Swarms  | 12.RD  | 15,602                      | -                                    |
| DEPARTMENT OF DEFENSE               | 6935748        | 568770                        | New Paradigms for Scalable Online Decentralized Optimization   | 12.300 | 302,762                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6937175        | 572339                        | New phase change materials for photonics: from in-silico design to novel device concepts   | 12.300 | 254,012                     | -                                    |
| <b>Rice University</b>              |                |                               | <b>Total for University of Pennsylvania</b>  |        | <b>901,669</b>              | -                                    |
| DEPARTMENT OF DEFENSE               | 6933218        | SUBAWARD NO. R19091           | Proteus: Controlling Resource-Adaptive Embedded Software   | 12.300 | 330,084                     | -                                    |
| <b>UES, Inc.</b>                    |                |                               | <b>Total for Rice University</b>   |        | <b>330,084</b>              | -                                    |
| DEPARTMENT OF DEFENSE               | 6934325        | SUBCONTRACT NO. S-114-005-008 | Ultrafast Beam Steering/Scanning Based on Photonic Crystals  | 12.RD  | 54,564                      | -                                    |
| <b>BAE Systems</b>                  |                |                               | <b>Total for UES, Inc.</b>   |        | <b>54,564</b>               | -                                    |
| DEPARTMENT OF DEFENSE               | 6935282        | SUBCONTRACT NUMBER: 921019    | BAE DARPA BRASS  | 12.RD  | 303,966                     | -                                    |
| <b>University of New Mexico</b>     |                |                               | <b>Total for BAE Systems</b>   |        | <b>303,966</b>              | -                                    |
| DEPARTMENT OF DEFENSE               | 6935928        | SUBCONTRACT: 271387-875J      | (MURI) Innovative use of Metamaterials in Confining, Controlling, and Radiating Intense Microwave Pulses                                   | 12.800 | 273,090                     | -                                    |
| <b>University of Texas - Austin</b> |                |                               | <b>Total for University of New Mexico</b>  |        | <b>273,090</b>              | -                                    |
| DEPARTMENT OF DEFENSE               | 6936108        | UTA17-000362                  | Bayesian Optimal Experimental Design for Inverse Scattering  | 12.800 | 151,293                     | -                                    |
| DEPARTMENT OF DEFENSE               | 6933717        | UTA15-001067                  | Inference, Simulation, and Optimization of Complex Systems Under Uncertainty: Theory, Algorithms, and Applications to Turbulent Combustion | 12.431 | 64,263                      | 7,471                                |
| DEPARTMENT OF DEFENSE               | 6934067        | UTA16-000556                  | Phonon Hydrodynamics and Spectroscopy in High Thermal Conductivity Materials   | 12.300 | 185,095                     | -                                    |

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| Prime Sponsor Name                                   | Project WBS id | Passthrough Number     | WBS Project Name   | CFDA # | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|--|----------------|------------------------|--|--------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE                                | 6936413        | UTA16-000982           | Paths to Quantum Supremacy   | 12.300 | 54,220                      | -                                    |
| <b>University of California - Berkeley</b>           |                |                        |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE                                | 6931650        | 00008426 / BB00344334  | Realization of High Fidelity, On-Chip Readout of Solid State Quantum Bits                              | 12.431 | 57,792                      | -                                    |
| DEPARTMENT OF DEFENSE                                | 6934982        | 00009307               | Fundamental Limits of the Action-Perception Loop   | 12.910 | 57,536                      | -                                    |
| DEPARTMENT OF DEFENSE                                | 6938520        | 00009805               | Harnessing Parameterization for Fast and Reliable Nonconvex Optimization                               | 12.910 | 27,923                      | -                                    |
| DEPARTMENT OF DEFENSE                                | 6933761        | 00009042/PO#BB00650967 | Helio: Program Synthesis for Efficient, Privacy-Preserving Distributed Computation                     | 12.RD  | 181,369                     | -                                    |
| <b>Total for University of California - Berkeley</b> |                |                        |  |        |                             |                                      |
|  |                |                        |  |        | <b>324,620</b>              | <b>7,471</b>                         |
| <b>Beth Israel Deaconess Medical Center</b>          |                |                        |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE                                | 6936076        | 01029123               | DAMP-Mediated Innate Immune Failure and Pneumonia after Trauma   | 12.420 | 360,165                     | -                                    |
| <b>University of Utah</b>                            |                |                        |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE                                | 6932906        | 10037637-MIT           | In Situ Visualization of Discontinuous Galerkin Based High-Order Methods                               | 12.431 | 59,790                      | -                                    |
| DEPARTMENT OF DEFENSE                                | 6935768        | 10043028-MIT           | Design Responding to Engineering Analysis in support of Manufacturing                                  | 12.910 | 197,625                     | -                                    |
| DEPARTMENT OF DEFENSE                                | 6935759        | 10043182-MIT           | Augmented Design Through Analysis and Visualization Facilitating Better Designs and Enhanced Designers | 12.910 | 120,389                     | -                                    |
| <b>Total for University of Utah</b>                  |                |                        |  |        | <b>377,803</b>              | <b>-</b>                             |
| <b>Brigham &amp; Women's Hospital</b>                |                |                        |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE                                | 6933104        | 112729                 | Novel Strategies to improve immunomodulation and non-invasive clinical monitoring in VCA               | 12.420 | 45,760                      | -                                    |
| <b>Harvard University</b>                            |                |                        |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE                                | 6936171        | 134062-5093041         | Imaging and Control of Biological Transduction using NV-Diamond  | 12.431 | 175,062                     | -                                    |
| DEPARTMENT OF DEFENSE                                | 6936802        | 167936.0001            | Reverse Engineering Host Resilience  | 12.RD  | 8,283                       | -                                    |
| DEPARTMENT OF DEFENSE                                | 6935798        | 123950-5092634         | Quantum Opto-Mechanics with Atoms and Nanostructured Diamond (QOMAND)                                  | 12.300 | 270,967                     | -                                    |
| <b>Total for Brigham &amp; Women's Hospital</b>      |                |                        |  |        | <b>45,760</b>               | <b>-</b>                             |

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|--|----------------|--------------------------|---|--------|-----------------|-----------------------------------|
| DEPARTMENT OF DEFENSE                        | 69366596       | 133691-5101730           | Elements of Causal Learning: Basic Concepts, Theory, Methods, Algorithms and Applications                               | 12.300 | 96,796          | -                                 |
| DEPARTMENT OF DEFENSE                        | 6936929        | 138076-5093553           | Algorithms for Representation and Inference informed by the Acquisition of Data from Neuroscience Experiments (ARIADNE) | 12.RD  | 152,865         | -                                 |
| DEPARTMENT OF DEFENSE                        | 6934070        | 138076-5093555           | MICrONS   | 12.RD  | 32,118          | -                                 |
| <b>Srico</b>                                 |                |                          | <b>Total for Harvard University</b>   |        | <b>736,092</b>  | <b>-</b>                          |
| DEPARTMENT OF DEFENSE                        | 6936086        | 16080MIT                 | Quantum Frequency Conversion for Quantum Communication  | 12.RD  | 42,546          | -                                 |
| <b>Columbia University</b>                   |                |                          | <b>Total for Srico</b>  |        | <b>42,546</b>   | <b>-</b>                          |
| DEPARTMENT OF DEFENSE                        | 6927216        | 2 (GG008784) / PO G10346 | Imaging How a Neuron Computes   | 12.431 | 93,185          | -                                 |
| DEPARTMENT OF DEFENSE                        | 6927546        | 1(GG007792)              | Power Grid Vulnerability and Resilience to Geographically Correlated Failures   | 12.351 | 120,551         | -                                 |
| <b>North Carolina State University</b>       |                |                          | <b>Total for Columbia University</b>  |        | <b>213,736</b>  | <b>-</b>                          |
| DEPARTMENT OF DEFENSE                        | 6937652        | 2017-0383-01             | Algorithms for Exploiting Approximate Network Structure   | 12.431 | 104,332         | -                                 |
| <b>University of Maryland - College Park</b> |                |                          | <b>Total for North Carolina State University</b>  |        | <b>104,332</b>  | <b>-</b>                          |
| DEPARTMENT OF DEFENSE                        | 6936017        | 28725-Z8401005           | Center for Distributed Quantum Information  | 12.431 | 132,543         | -                                 |
| DEPARTMENT OF DEFENSE                        | 6932890        | 2875-Z8401005            | Center for Distributed Quantum Information  | 12.431 | 103,258         | -                                 |
| <b>Boston University</b>                     |                |                          | <b>Total for University of Maryland - College Park</b>  |        | <b>235,801</b>  | <b>-</b>                          |
| DEPARTMENT OF DEFENSE                        | 6924758        | 4500000571               | Synthetic Mammalian Gene Regulatory Circuits for in Vivo Biomedical Applications  | 12.431 | -45,474         | -                                 |
| DEPARTMENT OF DEFENSE                        | 6924737        | 4500000552               | MUR1: Utilizing Synthetic Biology to Create Programmable Micro-Bio-Robots   | 12.300 | 44,985          | -                                 |
| DEPARTMENT OF DEFENSE                        | 6935193        | 4500002204               | NEURAL CIRCUITS UNDERLYING SYMBOLIC PROCESSING IN PRIMATE CORTEX AND BASAL GANGLIA                                      | 12.300 | 170,928         | -                                 |
| <b>Northeastern University</b>               |                |                          | <b>Total for Boston University</b>  |        | <b>170,439</b>  | <b>-</b>                          |

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| Prime Sponsor Name  | Project WBS id | Passthrough Number        | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|---|----------------|---------------------------|--|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE   | 6937601        | 504108-78053              | Engineered Materials and Materials Design for Engineered Materials (EIMDEM)  | 12.431 | 35,417          | 35,417                      | -                                    |
| <b>Total for Northeastern University</b>                                    |                |                           |  |        |                 |                             |                                      |
| <b>BAE Systems Info &amp; Electronic Systems Integration, Inc</b>           |                |                           |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE   | 6923517        | 741274                    | Coverage by Teams of Autonomous Ground and Aerial Vehicles   | 12.RD  | 24,639          | 24,639                      | -                                    |
| DEPARTMENT OF DEFENSE   | 6936218        | 892730                    | Ultra-high energy density TPV generator for small robotic platforms: First ever demonstration of fuel powered robot with extreme range | 12.RD  | 142,426         | 142,426                     | -                                    |
| DEPARTMENT OF DEFENSE   | 6937008        | 964193                    | Bundle Congestion Control for Programmable Network Control Points  | 12.RD  | 195,499         | 195,499                     | -                                    |
| DEPARTMENT OF DEFENSE   | 6936066        | 932658                    | Networked Estimation of Position using Tomography, Undersea-data, Nudging, and Exfiltration (NEPTUNE)                                  | 12.RD  | 125,123         | 125,123                     | -                                    |
| <b>Total for BAE Systems Info &amp; Electronic Systems Integration, Inc</b> |                |                           |  |        |                 |                             |                                      |
| <b>H. F. Webster Engineering Services</b>                                   |                |                           |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE   | 6935773        | AGREEMENT DATED 10-1-2016 | Unified Description of Critical Velocity: A Pathway Toward Optimized Cold Spray Deposition   | 12.RD  | 106,135         | 106,135                     | -                                    |
| <b>Total for H. F. Webster Engineering Services</b>                         |                |                           |  |        |                 |                             |                                      |
| <b>University of Washington</b>   |                |                           |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE   | 6933157        | BPO4415, SUB# UWSC7968    | Muscle's Energetic Versatility Arises From Its Crystalline and Multi-Component Structure   | 12.431 | 131,893         | 131,893                     | -                                    |
| <b>Total for University of Washington</b>                                   |                |                           |  |        |                 |                             |                                      |
| <b>Yale University</b>  |                |                           |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE   | 6926770        | C13J11492(CON-80000015)   | High-Resolution Quantum Control of Chemical Reactions  | 12.431 | 120,946         | 120,946                     | -                                    |
| <b>Total for Yale University</b>  |                |                           |  |        |                 |                             |                                      |
| <b>University of Chicago</b>  |                |                           |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE   | 6929146        | FP054294-C                | Fundamental Issues in Non-equilibrium Dynamics (MURI)  | 12.431 | 174,776         | 174,776                     | -                                    |
| DEPARTMENT OF DEFENSE   | 6938423        | FP067719                  | Social MIND: Social Machine Intelligence for Novel Discovery   | 12.91  | 14,787          | 14,787                      | -                                    |
| <b>Total for University of Chicago</b>                                      |                |                           |  |        |                 |                             |                                      |
| <b>University of Sydney</b>   |                |                           |  |        |                 |                             |                                      |
| <b>Total for University of Sydney</b>                                       |                |                           |  |        |                 |                             |                                      |

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| Prime Sponsor Name                                      | Project WBS id | Passthrough Number                       | WBS Project Name   | CFDA # | Amount Expended  | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|---|----------------|--|--|--------|------------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE                                   | 6935365        | G174385 RESEARCH COLLABORATION AGREEMENT | Quantum Control Engineering  | 12.431 | 396,120          | 396,120                     | -                                    |
| <b>Harvard Medical School</b>                           |                |  |  |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 6936761        | GEORGE_CHAO_153170                       | Letter Agreement : Chung-Yun George Chao 060117-053118   | 12.431 | 64,146           | 64,146                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6938338        | 152304.5106735.0006                      | Surveillance of Passenger Organisms to Record Embarkment   | 12.910 | 76,441           | 76,441                      | -                                    |
| <b>Total for Harvard Medical School</b>                 |                |  |  |        | <b>140,587</b>   | <b>140,587</b>              | <b>-</b>                             |
| <b>University of California-Santa Barbara</b>           |                |  |  |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 6932998        | KK1622                                   | QUANTA: Quantitative Network-based Models of Adaptive Team Behavior  | 12.431 | 184,472          | 184,472                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6935172        | KK1713                                   | Neural foundations of expertise based on optimal decision-making, physical control and responses to stress | 12.431 | 216,714          | 216,714                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6937076        | KK1808                                   | From Data-Driven Operator Theoretic Schemes to Predication, Inference, and Control of Systems              | 12.431 | 186,234          | 186,234                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6934736        | KK9151                                   | Institute for Collaborative Biotechnology (ICB)  | 12.431 | 179,800          | 179,800                     | -                                    |
| <b>Total for University of California-Santa Barbara</b> |                |  |  |        | <b>767,219</b>   | <b>767,219</b>              | <b>-</b>                             |
| <b>University of California</b>                         |                |  |  |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 6938164        | KK9151                                   | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 105,399          | 105,399                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6925894        | KK9151-24                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | -44              | -44                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6929256        | KK9151-30                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 19,663           | 19,663                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6929260        | KK9151-31                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 362,927          | 362,927                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6929262        | KK9151-33                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 145,608          | 145,608                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6929265        | KK9151-35                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 216,740          | 216,740                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6933077        | KK9151-44                                | Institute for Collaborative Biotechnology (ICB)  | 12.RD  | 133,693          | 133,693                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 6933105        | 1015 G TA243/N00014-16-1-2007            | Understanding Scenes and Events through Joint Parsing, Cognitive Reasoning and Lifelong Learning           | 12.300 | 258,708          | 258,708                     | -                                    |
| <b>Total for University of California</b>               |                |  |  |        | <b>1,242,695</b> | <b>1,242,695</b>            | <b>-</b>                             |
| <b>General Dynamics</b>                                 |                |  |  |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 6933358        | PO #40240163 LINE 001 - 1.1.1.4.1.4      | M1-4 Design Optimization Method of Total Actuation System for Limbed Locomotion; 1.1.1.4.1.4               | 12.431 | 869              | 869                         | -                                    |

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|--|----------------|--|--|--------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE                  | 6935506        | PO #40240163 LINE 003 -<br>1.1.1.4.1.4         | M1-4 Design Optimization Method of Total Actuation<br>System for Limbed Locomotion; 1.1.1.4.1.4  | 12.431 | 1,950                       | -                                    |
| DEPARTMENT OF DEFENSE                  | 6936534        | PO# 40279278                                   | General Dynamics Land Systems  | 12.431 | 377,314                     | -                                    |
| <b>BBN Technologies Corporation</b>    |                |  |  |        | <b>380,133</b>              | -                                    |
| DEPARTMENT OF DEFENSE                  | 6932292        | PO 9500012484 : BBN REF<br>ID #14400           | Superconducting Nanowire Electronics   | 12.RD  | 85,465                      | -                                    |
| DEPARTMENT OF DEFENSE                  | 6937779        | PO LBN9512484 : BBN REF<br>ID #14400           | Superconducting Nanowire Electronics   | 12.RD  | 116,466                     | -                                    |
| DEPARTMENT OF DEFENSE                  | 6934480        | 14603 / PO 9500013244                          | Precision Ocean Interrogation, Navigation and Timing<br>(POINT)  | 12.RD  | -2,946                      | -                                    |
| DEPARTMENT OF DEFENSE                  | 6932243        | PO LBN9512779                                  | A Stochastic Network Optimization Approach to<br>Providing Robust Communications Over an Unreliable<br>Underlay Network (TA1)                | 12.RD  | 322,188                     | -                                    |
| DEPARTMENT OF DEFENSE                  | 6937311        | PO LBN9513244                                  | Precision Ocean Interrogation, Navigation and Timing<br>(POINT)  | 12.RD  | 130,817                     | -                                    |
| <b>Georgia Institute of Technology</b> |                |  |  |        | <b>651,991</b>              | -                                    |
| DEPARTMENT OF DEFENSE                  | 6935451        | RC379-G1                                       | BIOLOGICAL LOCOMOTION PRINCIPLES AND<br>RHEOLOGICAL INTERACTION PHYSICS  | 12.431 | 3,774                       | -                                    |
| DEPARTMENT OF DEFENSE                  | 6935159        | RH176-G1                                       | Statistical Mechanics for Learning Algorithmic-Baed<br>Controllers: The Role of Physics in New Computational<br>Models for Real-Time Control | 12.431 | 3,090                       | -                                    |
| <b>LongWave Photonics LLC</b>          |                |  |  |        | <b>6,864</b>                | -                                    |
| DEPARTMENT OF DEFENSE                  | 6937116        | STTR AGMT UNDER<br>W911NF-17-P-0045            | Active HETerodyne THz Imager (TAHETI)  | 12.RD  | 44,536                      | -                                    |
| <b>Securborator</b>                    |                |  |  |        | <b>44,536</b>               | -                                    |
| DEPARTMENT OF DEFENSE                  | 6933150        | SUB UNDER ARL<br>CONTRACT W911QX-15-C-<br>0015 | Augmented Reality for Tactical Edge Analysis (ARTEA)<br>II   | 12.RD  | 24,402                      | -                                    |
| <b>Carnegie-Mellon University</b>      |                |  |  |        | <b>24,402</b>               | -                                    |

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| Prime Sponsor Name                                   | Project WBS id | Passthrough Number              | WBS Project Name  | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|--|----------------|---------------------------------|---|--------|------------------|-----------------------------------|
| DEPARTMENT OF DEFENSE                                | 6936649        | SUBAWARD NO. 1130207-380280     | Cultivating Collective Intelligence in Human-Computer Systems   | 12.RD  | 54,753           | -                                 |
| <b>Arizona State University</b>                      |                |                                 | <b>Total for Carnegie-Mellon University</b>   |        | <b>54,753</b>    | -                                 |
| DEPARTMENT OF DEFENSE                                | 6926159        | SUBAWARD NO. 13-950             | Translating Biochemical Pathways to Non-Cellular Environment  | 12.431 | 16,580           | -                                 |
| <b>I.R.C.C.S. Istituto Ortopedico Galeazzi</b>       |                |                                 | <b>Total for Arizona State University</b>   |        | <b>16,580</b>    | -                                 |
| DEPARTMENT OF DEFENSE                                | 6933716        | SUBAWARD UNDER W81XWH-15-1-0092 | Bone tropism of breast cancer metastases: dissecting the role of endothelial adhesion molecules through human organotypic vascularized microfluidic 3D models | 12.420 | 9,369            | -                                 |
| <b>Sri International</b>                             |                |                                 | <b>Total for I.R.C.C.S. Istituto Ortopedico Galeazzi</b>  |        | <b>9,369</b>     | -                                 |
| DEPARTMENT OF DEFENSE                                | 6931008        | SUBCONTRACT 27-001441, REL 2    | Mining and Understanding Software Enclaves (MUSE)   | 12.RD  | 167,657          | -                                 |
| <b>New Jersey Institute of Technology</b>            |                |                                 | <b>Total for Sri International</b>  |        | <b>167,657</b>   | -                                 |
| DEPARTMENT OF DEFENSE                                | 6938105        | (NP) 996402                     | PALISADE: Program obfuscation Advancement with Lattice Implementation for Scalable Application Demonstration of Efficiency                                    | 12.RD  | 303,221          | -                                 |
| <b>On Demand Pharmaceuticals Inc</b>                 |                |                                 | <b>Total for New Jersey Institute of Technology</b>   |        | <b>303,221</b>   | -                                 |
| DEPARTMENT OF DEFENSE                                | 6934747        | 001                             | Pharmacy on Demand Technology Transition  | 12.910 | 1,396,067        | -                                 |
| DEPARTMENT OF DEFENSE                                | 6937271        | 001                             | Pharmacy on Demand Technology Transition  | 12.910 | 203,436          | -                                 |
| <b>United Technologies Research Center</b>           |                |                                 | <b>Total for On Demand Pharmaceuticals Inc</b>  |        | <b>1,599,503</b> | -                                 |
| DEPARTMENT OF DEFENSE                                | 6935230        | 1224171 / PO# 2604891           | Scalable Inference for Rare Events (SIRE).  | 12.RD  | 117,379          | -                                 |
| <b>Smithsonian Inst. - Astrophysical Observatory</b> |                |                                 | <b>Total for United Technologies Research Center</b>  |        | <b>117,379</b>   | -                                 |
| DEPARTMENT OF DEFENSE                                | 6936057        | 17-S_TO-400-0000370995          | Development of Diamond Nanoscale Magnetometer using Quantum assisted Sensing and Readout  | 12.RD  | 68,576           | -                                 |

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| Prime Sponsor Name   | Project WBS id | Passthrough Number     | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|--|----------------|------------------------|--|--------|-----------------|---|
| <b>SYSTEMS &amp; TECHNOLOGY RESEARCH LLC</b>                   |                |                        |  |        |                 |   |
| <b>Total for Smithsonian Inst. - Astrophysical Observatory</b> |                |                        |  |        |                 |   |
| DEPARTMENT OF DEFENSE  | 6937319        | 2017-0026              | DEEPSONG   | 12.RD  | 43,313          | -   |
| DEPARTMENT OF DEFENSE  | 6937966        | 2017-0031              | Deep Intermodal Video Analytics (DIVA)   | 12.RD  | 31,430          | -   |
| <b>Total for SYSTEMS &amp; TECHNOLOGY RESEARCH LLC</b>         |                |                        |  |        | <b>74,742</b>   | -   |
| <b>Massachusetts General Hospital</b>                          |                |                        |  |        |                 |   |
| DEPARTMENT OF DEFENSE  | 6930740        | 222252                 | (ADVANCE) Rapid Immunity via Gene Transfer of Oligoclonal Fc-Enhanced mAbs   | 12.910 | 37,364          | -   |
| <b>Total for Massachusetts General Hospital</b>                |                |                        |  |        | <b>37,364</b>   | -   |
| <b>Duke University</b>   |                |                        |  |        |                 |   |
| DEPARTMENT OF DEFENSE  | 6938444        | 313-0793               | An Integrated Nonparametric Bayesian and Deep Neural Network Framework for Biologically-Inspired Lifelong Learning | 12.91  | 3,108           | -   |
| DEPARTMENT OF DEFENSE  | 6928294        | 13-ONR-1109            | Expanding the Limits of Acoustic Metamaterials   | 12.300 | 186,510         | -   |
| <b>Total for Duke University</b>                               |                |                        |  |        | <b>189,618</b>  | -   |
| <b>Stanford University</b>                                     |                |                        |  |        |                 |   |
| DEPARTMENT OF DEFENSE  | 6936362        | 61468648-122860        | Revolutionizing Data-Intensive Computing   | 12.910 | 150,000         | -   |
| DEPARTMENT OF DEFENSE  | 6931094        | 60744752-114407        | Role of Bidirectional Computation in Visual Scene Analysis   | 12.300 | 292,781         | -   |
| <b>Total for Stanford University</b>                           |                |                        |  |        | <b>442,781</b>  | -   |
| <b>Raytheon BBN Technologies Corp.</b>                         |                |                        |  |        |                 |   |
| DEPARTMENT OF DEFENSE  | 6938139        | 9500013645             | Explainable Question Answering System (EQUAS)  | 12.910 | 78,986          | -   |
| DEPARTMENT OF DEFENSE  | 6936196        | SLIN 0001 / LBN9513537 | Generalized Network Assisted Transport (GNAT)  | 12.RD  | 273,776         | -   |
| DEPARTMENT OF DEFENSE  | 6936009        | 9500013359             | (CONQUEST) Communications and Networking with Quantum Operationally-Secure Technology for Maritime Deployment      | 12.RD  | 89,633          | -   |
| DEPARTMENT OF DEFENSE  | 6935317        | LBN9513359             | (CONQUEST) Communications and Networking with Quantum Operationally-Secure Technology for Maritime Deployment      | 12.RD  | 114,943         | -   |
| DEPARTMENT OF DEFENSE  | 6936055        | LBN9513341             | Scientific Advances to Continuous Insider Threat Evaluation Program  | 12.RD  | 78,356          | -   |
| DEPARTMENT OF DEFENSE  | 6937573        | LBN9513584             | Ultraviolet-Visible Photonic Integrated Circuits (UV-PIC)  | 12.RD  | 82,049          | -   |
| <b>Total for Raytheon BBN Technologies Corp.</b>               |                |                        |  |        | <b>717,742</b>  | -   |



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| Prime Sponsor Name                                    | Project WBS id | Passthrough Number                           | WBS Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|---|----------------|--|---|--------|-----------------|---|
| <b>IBM Thomas J. Watson Research Center</b>           |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6933545        | AGREEMENT # 4915012803                       | IOPS: Improving Obfuscation Practicality and Security   | 12.RD  | 13,149          | -   |
| DEPARTMENT OF DEFENSE                                 | 6933416        | AGREEMENT # 4915012803 / PO# 5004820179      | IOPS: Improving Obfuscation Practicality and Security   | 12.RD  | 131,103         | -   |
| DEPARTMENT OF DEFENSE                                 | 6938120        | AGREEMENT # 4915012803 / PO# 5005104843      | IOPS: Improving Obfuscation Practicality and Security   | 12.RD  | 203,170         | -   |
| DEPARTMENT OF DEFENSE                                 | 6937580        | SUBCONTRACT 4917017433/PO 5005137126         | DIVA - IBM  | 12.RD  | 151,884         | -   |
| <b>Total for IBM Thomas J. Watson Research Center</b> |                |  |   |        |                 |   |
| <b>Sandia National Laboratories</b>                   |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6934229        | AGREEMENT 1340868 / PO 1685489               | Uncertainty Quantification in LES Computations of Turbulent Multiphase Combustion in a SCRAMJET Engine            | 12.RD  | 241,551         | -   |
| <b>Total for Sandia National Laboratories</b>         |                |  |   |        |                 |   |
| <b>Aurora Flight Sciences RDC</b>                     |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6936333        | AGRMT EFF. 9/27/16                           | ALASA CubeSat Deformable Mirror Demonstration Mission (DEMI)  | 12.RD  | 9,551           | -   |
| DEPARTMENT OF DEFENSE                                 | 6935749        | AMA-17-0001                                  | ALASA CubeSat Deformable Mirror Demonstration Mission (DEMI)  | 12.RD  | 112,979         | -   |
| <b>Total for Aurora Flight Sciences RDC</b>           |                |  |   |        |                 |   |
| <b>Applied Physical Sciences Corp.</b>                |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6938458        | APS-18-03                                    | Tactical Exploitation of the Acoustic Channel (TEAC)  | 12.RD  | 46,343          | -   |
| DEPARTMENT OF DEFENSE                                 | 6931085        | APS-14-12 SLIN 0001, S,P 3470-167, TASK 4.12 | DASH Phase 4: Ocean Sensing Concepts  | 12.RD  | -301            | -   |
| <b>Total for Applied Physical Sciences Corp.</b>      |                |  |   |        |                 |   |
| <b>University of Virginia</b>                         |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6938713        | GG12078.157800                               | Ultrasmall skyrmion synthesis guided by high throughput computational materials discovery to advance textitronics | 12.91  | 120,992         | -   |
| <b>Total for University of Virginia</b>               |                |  |   |        |                 |   |
| <b>Ministry of Defense of Israel</b>                  |                |  |   |        |                 |   |
| DEPARTMENT OF DEFENSE                                 | 6930221        | PO 4440560793                                | Terahertz Quantum-Cascade Lasers and Imaging  | 12.RD  | -2,899          | -   |
| DEPARTMENT OF DEFENSE                                 | 6931844        | PO 4440656472                                | Novel multimaterial fiber system for magnetic wave detection  | 12.RD  | 663             | -   |

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|--|----------------|--|--|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE  | 6931907        | PO 4440661300                            | Rapidly Exploring Random Trees for Pursuit-Evasion Games   | 12.RD  | 75,193          | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6931680        | PO 4440949975                            | Planning and Sensing Algorithms for Underwater Persistent Monitoring                                 | 12.RD  | 59,154          | -                           | -                                    |
| <b>Total for Ministry of Defense of Israel</b>                                     |                |  |  |        | <b>132,111</b>  | -                           | -                                    |
| <b>Perspecta Labs Inc.</b>   |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE  | 6932420        | PO-0004102                               | Distributed Enclave Defense Using Configurable Edges (DEDUCE)  | 12.RD  | 93,740          | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6935031        | PO-0008492                               | SCATTERED  | 12.RD  | 543,234         | -                           | -                                    |
| <b>Total for Perspecta Labs Inc.</b>   |                |  |  |        | <b>636,974</b>  | -                           | -                                    |
| <b>Evidation Health</b>  |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE  | 6937041        | SUBAWD. SIGNED 8/27/2017                 | Identifying Novel Cognitive Markers from Spoken Language Processing                                  | 12.RD  | 107,657         | -                           | -                                    |
| <b>Total for Evidation Health</b>  |                |  |  |        | <b>107,657</b>  | -                           | -                                    |
| <b>Aptima, Inc.</b>  |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE  | 6937326        | SUBCONTRACT NUMBER 1197-2015             | Agile Teams (A-Teams) - ThermoTeams: An Energy-Based Approach to the Design of Highly Adaptive Teams | 12.RD  | 159,786         | -                           | -                                    |
| <b>Total for Aptima, Inc.</b>  |                |  |  |        | <b>159,786</b>  | -                           | -                                    |
| <b>American Lightweight Materials Manufacturing Innovation Institute</b>           |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE  | 6931266        | 0001                                     | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 50,478          | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6932706        | 0002B-11                                 | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 108             | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6934651        | SUB AWARD NUMBER 0002 LIFT CORE MODELING | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 379,041         | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6934657        | SUB AWARD NUMBER 0004A-5                 | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 72,721          | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6934653        | SUB AWARD NUMBER 0006A-7                 | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 76,280          | -                           | -                                    |
| DEPARTMENT OF DEFENSE  | 6934655        | SUB AWARD NUMBER 0007A-7                 | Sub-Award Agreement 0001: Cross-Cut Pillar Lead - Cost Modeling v.2                                  | 12.RD  | 56,409          | -                           | -                                    |
| <b>Total for American Lightweight Materials Manufacturing Innovation Institute</b> |                |  |  |        | <b>635,037</b>  | -                           | -                                    |
| <b>Cornell University</b>  |                |  |  |        |                 |                             |                                      |

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|---|----------------|-----------------------------|---|--------|-----------------|---|
| DEPARTMENT OF DEFENSE                       | 6933365        | 77497-10576                 | Dexterous Manipulation Specification Via Language and Context Constraints                               | 12.300 | 156,249         | -   |
| DEPARTMENT OF DEFENSE                       | 6937216        | 81825-10911                 | PERISCOPE: Perceptual Representations for Actions, Composition, and Verification                        | 12.300 | 250,822         | -   |
|   |                |                             | <b>Total for Cornell University</b>   |        | <b>407,071</b>  | -   |
| <b>University of Minnesota</b>              |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6937286        | A006141803                  | Predicting Turbulent Multi-Phase Flows with High Fidelity: A Physics-Based Approach                     | 12.300 | 143,581         | -   |
|   |                |                             | <b>Total for University of Minnesota</b>  |        | <b>143,581</b>  | -   |
| <b>Woods Hole Oceanographic Institution</b> |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6924238        | A100847                     | Unified Four-dimensional Multi-resolution Oceanographic, Acoustic and Atmospheric Modeling and Dynamics | 12.300 | 25,102          | -   |
| DEPARTMENT OF DEFENSE                       | 6929292        | A101085                     | Impacts of Changing Climate on Pacific Island-based Defense Installations                               | 12.RD  | 3,065           | -   |
|   |                |                             | <b>Total for Woods Hole Oceanographic Institution</b>   |        | <b>28,167</b>   | -   |
| <b>Vector Controls, Inc.</b>                |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6936807        | AGMT DTD 7/23/13            | STTR: M10A-T036 (Phase II) Mitigation of USV Motions via Wave Sensing and Predictions                   | 12.RD  | 30,000          | -   |
|   |                |                             | <b>Total for Vector Controls, Inc.</b>  |        | <b>30,000</b>   | -   |
| <b>Mide Technology</b>                      |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6931299        | AGRMNT EFFECTIVE 12/16/2014 | STTR Phase II: Light Weight Atmospheric Diving Suit   | 12.RD  | -17,997         | -   |
|   |                |                             | <b>Total for Mide Technology</b>  |        | <b>-17,997</b>  | -   |
| <b>Radiation Monitoring Devices</b>         |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6938352        | C18-11                      | Hot Wall Epitaxy of Mixed Lead Chalcogenides in Resonant Cavity Structures                              | 12.RD  | 29,688          | -   |
|   |                |                             | <b>Total for Radiation Monitoring Devices</b>   |        | <b>29,688</b>   | -   |
| <b>George Mason University</b>              |                |                             |   |        |                 |   |
| DEPARTMENT OF DEFENSE                       | 6937200        | E2042811                    | Safety Evaluation of Lithium-ion Batteries Under Combined Mechanical and Electrical Abuse Conditions    | 12.300 | 133,937         | -   |
|   |                |                             | <b>Total for George Mason University</b>  |        | <b>133,937</b>  | -   |

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|---|----------------|--|---|--------|-----------------|-----------------------------------|
| <b>University of California-San Diego</b> |                |  |   |        |                 |                                   |
| DEPARTMENT OF DEFENSE                     | 6934249        | PO #S9000381, SUB #43019208                  | The Information Content of Ocean Noise: Theory and Experiment - Imaging the Changing Arctic with Ice Noise                                      | 12.300 | 277,860         | -                                 |
| <b>Florida State University</b>           |                |  |   |        | <b>277,860</b>  | -                                 |
| DEPARTMENT OF DEFENSE                     | 6935158        | R01849                                       | ESRDC - FSU and MIT Sea Grant Collaboration   | 12.300 | 366,405         | -                                 |
| <b>Battelle Memorial Institute</b>        |                |  |   |        | <b>366,405</b>  | -                                 |
| DEPARTMENT OF DEFENSE                     | 6935623        | SUB NO. 550379/PO US001-0000550379           | Passive Sampling Optimization at Apra Harbor and Orote Landfill, Guam   | 12.RD  | 92,183          | -                                 |
| <b>Technical Data Analysis, Inc.</b>      |                |  |   |        | <b>92,183</b>   | -                                 |
| DEPARTMENT OF DEFENSE                     | 6938045        | SUBCONTRACT 2074-001-01                      | Materials Modeling Tool for Alloy Design to Streamline the Development of High Temperature, High-Entropy Alloys for Advanced Propulsion Systems | 12.RD  | 37,500          | -                                 |
| <b>CREARE, Incorporated</b>               |                |  |   |        | <b>37,500</b>   | -                                 |
| DEPARTMENT OF DEFENSE                     | 6932855        | SUBCONTRACT NO. 78380                        | Ship Airwake Measurement System   | 12.RD  | 104,129         | -                                 |
| <b>Advanced Technology International</b>  |                |  |   |        | <b>104,129</b>  | -                                 |
| DEPARTMENT OF DEFENSE                     | 6931548        | TASK ORDER 01: BASE TO AGREEMENT 2015-461    | Base Task Order Agreement   | 12.RD  | 35,467          | -                                 |
| <b>HRL Laboratories, LLC</b>              |                |  |   |        | <b>35,467</b>   | -                                 |
| DEPARTMENT OF DEFENSE                     | 6938516        | 15026-503667-DS                              | Microwave Quantum Engineering for Semiconductor Quantum Dot Qubits  | 12.RD  | 32,329          | -                                 |
| DEPARTMENT OF DEFENSE                     | 6933521        | 15026-503667-DS/BCX3.150.MIT000/1            | Microwave Quantum Engineering for Semiconductor Quantum Dot Qubits  | 12.RD  | 1,173           | -                                 |
| DEPARTMENT OF DEFENSE                     | 6935707        | 15026-503667-DS/BFX3.150.MIT000              | Microwave Quantum Engineering for Semiconductor Quantum Dot Qubits  | 12.RD  | 184,683         | -                                 |
| DEPARTMENT OF DEFENSE                     | 6937913        | 16102-172807-QS/COST ACCOUNT BC2A.101.MIT000 | Hybrid Forecasting Competition (HFC): Base Phase 1A Task 1  | 12.RD  | 164,658         | -                                 |

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|---|----------------|--|--|--------|-----------------|-----------------------------|--------------------------------------|
|   |                |  | <b>Total for HRL Laboratories, LLC</b>   |        | <b>382,843</b>  |                             | -                                    |
| <b>Siemens Medical Solutions USA, Inc.</b>            |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6929801        | 102-01                                 | Knowledge Representation in Neural Systems   | 12.RD  | 1,360           |                             | -                                    |
|   |                |  | <b>Total for Siemens Medical Solutions USA, Inc.</b>                               |        | <b>1,360</b>    |                             | -                                    |
| <b>Stevens Institute of Technology</b>                |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6934069        | 2102620-02                             | (SERC) Collaboration Agreement: Systems Engineering Research Center                | 12.RD  | -1,250          |                             | -                                    |
| DEPARTMENT OF DEFENSE                                 | 6938272        | HQ0034-13-D-0004/TO#<br>HQ003418F0097  | (SERC) Collaboration Agreement: Systems Engineering Research Center                | 12.RD  | 77,658          |                             | -                                    |
| DEPARTMENT OF DEFENSE                                 | 6936805        | HQ0034-13-D-0004/TO #<br>HQ003417F0283 | (SERC) Collaboration Agreement: Systems Engineering Research Center                | 12.RD  | 71,870          |                             | -                                    |
| DEPARTMENT OF DEFENSE                                 | 6936008        | HQ0034-13-D-0004/TO<br>#0077           | (SERC) Collaboration Agreement: Systems Engineering Research Center                | 12.RD  | 171,175         |                             | -                                    |
| DEPARTMENT OF DEFENSE                                 | 6938201        | HQ0034-13D-0004/TO#<br>HQ003418F0089   | (SERC) Collaboration Agreement: Systems Engineering Research Center                | 12.RD  | 2,141           |                             | -                                    |
|   |                |  | <b>Total for Stevens Institute of Technology</b>                                   |        | <b>321,593</b>  |                             | -                                    |
| <b>Ohio State University</b>                          |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6931042        | 60040869/RF01385268                    | Modeling, Analysis and Control for Robust Interdependent Networks                  | 12.351 | 85,563          |                             | -                                    |
|   |                |  | <b>Total for Ohio State University</b>   |        | <b>85,563</b>   |                             | -                                    |
| <b>University of Southern California</b>              |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6937906        | 90502031                               | IARPA QEO, Algorithms and Designs for Quantum Annealing                            | 12.RD  | 214,252         |                             | -                                    |
| DEPARTMENT OF DEFENSE                                 | 6937962        | NO. 94711981                           | SARAL: Summarization and domain-Adaptive Retrieval of Information Across Languages | 12.RD  | 41,220          |                             | -                                    |
|   |                |  | <b>Total for University of Southern California</b>                                 |        | <b>255,472</b>  |                             | -                                    |
| <b>ESPACE</b>   |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6933171        | AGMT. DTD. 8/14/13                     | IMPACT: Validation of iEPS in Space  | 12.RD  | 405,413         |                             | -                                    |
|   |                |  | <b>Total for ESPACE</b>  |        | <b>405,413</b>  |                             | -                                    |
| <b>Advanced Functional Fabrics of America (AFFOA)</b> |                |  |  |        |                 |                             |                                      |
| DEPARTMENT OF DEFENSE                                 | 6938682        | EXHIBIT 1-A                            | Shape-Shifting Climate-Adaptive Garments   | 12.RD  | 30,017          |                             | -                                    |
|   |                |  | <b>Total for Advanced Functional Fabrics of America (AFFOA)</b>                    |        | <b>30,017</b>   |                             | -                                    |

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**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                | Project WBS id | Passthrough Number | WBS Project Name   | CFDA # | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|-----------------------------------|----------------|--------------------|--|--------|-----------------------------|--------------------------------------|
| <b>Children's Hospital Boston</b> |                |                    |  |        |                             |                                      |
| DEPARTMENT OF DEFENSE             | 6935620        | GENFD0001191127    | HealthMap Computational Epidemiology Group -<br>Maimuna Majumder - IARPA | 12.RD  | 0                           | -                                    |
|                                   |                |                    | <b>Total for Children's Hospital Boston</b>                              |        | <b>0</b>                    | <b>-</b>                             |
|                                   |                |                    | <b>TOTAL for Department of Defense</b>                                   |        | <b>35,220,884</b>           | <b>222,642</b>                       |

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|---|----------------|--------------------|---|--------|-----------------|---|
| <b>DEPARTMENT OF COMMERCE</b>                 |                |                    |   |        |                 |   |
| <b>North Pacific Research Board</b>           |                |                    |   |        |                 |   |
| DEPARTMENT OF COMMERCE                        | 6931400        | 1411               | Influenza in synanthropic gulls: are congregation sites hotspots for viral evolution? | 11.472 | 1,069           | -   |
| <b>Total for North Pacific Research Board</b> |                |                    |   |        | <b>1,069</b>    | <b>-</b>                                      |
| <b>Northeastern University</b>                |                |                    |   |        |                 |   |
| DEPARTMENT OF COMMERCE                        | 6935162        | 599807-78050       | Investigation of The Effects of Ocean Acidification & Warming                         | 11.417 | 972             | -   |
| <b>Total for Northeastern University</b>      |                |                    |   |        | <b>972</b>      | <b>-</b>                                      |
| <b>TOTAL for Department of Commerce</b>       |                |                    |   |        | <b>2,041</b>    | <b>-</b>                                      |

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|--|----------------|----------------------------------|--|--------|-----------------|---|
| <b>DEPARTMENT OF ENERGY</b>                              |                |                                  |  |        |                 |   |
| <b>University of Alabama-Birmingham</b>                  |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6937651        | 000517656-SC001                  | Novel, Middle and Long Wave Infrared Laser Sources For Accelerator and X-ray Generation Applications | 81.049 | 69,933          | -   |
| <b>Total for University of Alabama-Birmingham</b>        |                |                                  |  |        | <b>69,933</b>   | -   |
| <b>University of Illinois-Urbana Champaign</b>           |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6937707        | 078620-16205                     | Cyber Resilient Energy Delivery Consortium (CREDC)   | 81.122 | 150,571         | -   |
| DEPARTMENT OF ENERGY                                     | 6935555        | 078620-16205 (GRANT CODE: AC995) | Cyber Resilient Energy Delivery Consortium (CREDC)   | 81.122 | 413,429         | -   |
| <b>Total for University of Illinois-Urbana Champaign</b> |                |                                  |  |        | <b>564,000</b>  | -   |
| <b>Jefferson Science Associates, LLC</b>                 |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6926116        | 12-P2092                         | MOLLER Engineering   | 81.049 | -8,449          | -   |
| <b>Total for Jefferson Science Associates, LLC</b>       |                |                                  |  |        | <b>-8,449</b>   | -   |
| <b>Washington State University</b>                       |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6938310        | 130862-G003801                   | AGGREGATE: data-driven modeling preserving controllable DER for outage management and resiliency     | 81.122 | 57,327          | -   |
| <b>Total for Washington State University</b>             |                |                                  |  |        | <b>57,327</b>   | -   |
| <b>Harvard University</b>                                |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6920743        | 133512-5028381                   | Transport and Imaging of Mesoscopic Phenomena in Single and Bilayer Graphene                         | 81.049 | 20,653          | -   |
| <b>Total for Harvard University</b>                      |                |                                  |  |        | <b>20,653</b>   | -   |
| <b>Composite Technology Development, Inc.</b>            |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6934564        | 16779                            | Insulation of TSTC for fusion applications   | 81.049 | 129,742         | -   |
| DEPARTMENT OF ENERGY                                     | 6937247        | 17420                            | Novel Insulation for Re-makeable Joints for Superconducting Cables and Demountable Magnets           | 81.049 | 42,000          | -   |
| <b>Total for Composite Technology Development, Inc.</b>  |                |                                  |  |        | <b>171,742</b>  | -   |
| <b>Arizona State University</b>                          |                |                                  |  |        |                 |   |
| DEPARTMENT OF ENERGY                                     | 6936487        | 17-032                           | DNA Nanostructure Directed Designer Excitonic Networks   | 81.049 | 108,527         | -   |
| <b>Total for Arizona State University</b>                |                |                                  |  |        | <b>108,527</b>  | -   |



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|---|----------------|-----------------------|--|--------|-----------------|-----------------------------------|
| <b>George Washington University</b>             |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6938165        | 17-S33                | Microscale Optimized Solar-Arrays with Integrated Concentration (MOSAIC).  | 81.135 | 2,460           | -                                 |
| <b>Total for George Washington University</b>   |                |                       |  |        | <b>2,460</b>    | <b>-</b>                          |
| <b>Columbia University</b>                      |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6930075        | 2(GG008553)           | Device and Fabrication Technology for the Next Generation of Medium Voltage Vertical Transistors   | 81.135 | 354,915         | -                                 |
| <b>Total for Columbia University</b>            |                |                       |  |        | <b>354,915</b>  | <b>-</b>                          |
| <b>Research Triangle Institute</b>              |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6931152        | 2-340-0214469-51895L  | Engine fuel reformer for natural gas   | 81.135 | 20,798          | -                                 |
| <b>Total for Research Triangle Institute</b>    |                |                       |  |        | <b>20,798</b>   | <b>-</b>                          |
| <b>University of Michigan</b>                   |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6931203        | 3003222367            | Consortium for Verification Technology (CVT)   | 81.113 | 572,400         | -                                 |
| <b>Total for University of Michigan</b>         |                |                       |  |        | <b>572,400</b>  | <b>-</b>                          |
| <b>Brookhaven National Laboratory</b>           |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6934084        | 312673                | Beam Energy Scan Theory Collaboration  | 81.RD  | 3,164           | -                                 |
| DEPARTMENT OF ENERGY                            | 6934181        | 313021                | Transverse Momentum Dependent Parton Structure Collaboration   | 81.RD  | 68,779          | -                                 |
| DEPARTMENT OF ENERGY                            | 6938035        | NO. 343173            | Gas Injection and NMR for a Polarized 3He Ion Source at RHIC   | 81.RD  | 15,787          | -                                 |
| DEPARTMENT OF ENERGY                            | 6938641        | NO. 347538            | Time-resolved imaging of sub-10 nm skyrmions in ferrimagnets and synthetic antiferromagnets  | 81.RD  | 8,934           | -                                 |
| DEPARTMENT OF ENERGY                            | 6937844        | SUBCONTRACT NO. 34510 | High Intensity Polarized Electron Source   | 81.RD  | 87,238          | -                                 |
| <b>Total for Brookhaven National Laboratory</b> |                |                       |  |        | <b>183,902</b>  | <b>-</b>                          |
| <b>University of New Mexico</b>                 |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6938242        | 327075-875J           | Bimetallic Composite (Incoloy 800H/Ni-201) Development and Compatibility in Flowing FLiBe as a Molten Salt Reactor (MSR) Structural Material | 81.121 | 92,280          | -                                 |
| <b>Total for University of New Mexico</b>       |                |                       |  |        | <b>92,280</b>   | <b>-</b>                          |
| <b>UT- Battelle LLC</b>                         |                |                       |  |        |                 |                                   |
| DEPARTMENT OF ENERGY                            | 6933205        | 4000102892            | The Consortium for Advanced Simulation of Light Water Reactors (CASL)  | 81.RD  | 1,457,426       | -                                 |

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|--------------------------------------|----------------|----------------------------|--|--------|-----------------------------|--------------------------------------|
| DEPARTMENT OF ENERGY                 | 6934834        | 4000149783                 | Development of Next Generation Slicing Software for Additive Manufacturing   | 81.RD  | 73,461                      | -                                    |
| DEPARTMENT OF ENERGY                 | 6936739        | 4000155797                 | Coupled Monte Carlo Neutronics and Fluid Flow Simulation of Small Modular Reactors (ExaSMR)  | 81.RD  | 573,930                     | -                                    |
| DEPARTMENT OF ENERGY                 | 6937872        | 4000159358                 | Development of Next Generation Slicing Software for Additive Manufacturing   | 81.RD  | 86,765                      | -                                    |
| DEPARTMENT OF ENERGY                 | 6938156        | 4000160305                 | Optimization of sensor networks for improving climate model predictions  | 81.RD  | 86,220                      | -                                    |
| DEPARTMENT OF ENERGY                 | 6923222        | SUBCONTRACT NO. 4000100452 | ITER ECH Transmission Line System: Research and Scientific Support   | 81.RD  | 42,081                      | -                                    |
| <b>University of Rochester</b>       |                |                            |  |        | <b>2,319,882</b>            | -                                    |
| DEPARTMENT OF ENERGY                 | 6928068        | 416107-G                   | Magnet PTOF  | 81.049 | 542,485                     | -                                    |
| <b>Pennsylvania State University</b> |                |                            |  |        | <b>542,485</b>              | -                                    |
| DEPARTMENT OF ENERGY                 | 6934571        | 5023-MIT-DOE-2377          | Ensemble cell-wide kinetic modeling of anaerobic organisms to support fuels and chemicals production                               | 81.049 | 132,789                     | -                                    |
| DEPARTMENT OF ENERGY                 | 6930592        | 5028-MIT-DOE-1090          | Center for Lignocellulose Structure and Formation (CLSF)   | 81.049 | 183,798                     | -                                    |
| DEPARTMENT OF ENERGY                 | 6935460        | 5555-MIT-DOE-6825          | Grid Independence and Uncertainty Quantification in Gas-Solid Flow Simulations   | 81.089 | 86,895                      | -                                    |
| DEPARTMENT OF ENERGY                 | 6936698        | 5652-MIT-EARPA-0801        | Maximizing Fuel Economy through Real-Time, Collaborative, and Predictive Co-Optimization of Routing, Speed, and Powertrain Control | 81.135 | 200,995                     | -                                    |
| <b>Ohio State University</b>         |                |                            |  |        | <b>604,477</b>              | -                                    |
| DEPARTMENT OF ENERGY                 | 6936056        | 60058746                   | Alloying Agents to Stabilize Lanthanides Against Fuel Cladding Chemical Interaction: Tellurium and Antimony Studies                | 81.121 | 116,517                     | -                                    |
| <b>Stanford University</b>           |                |                            |  |        | <b>116,517</b>              | -                                    |
| DEPARTMENT OF ENERGY                 | 6931109        | 60779061-115503            | Perovskite Solar Cells for High Efficiency Tandems   | 81.087 | 55,390                      | -                                    |
| DEPARTMENT OF ENERGY                 | 6937300        | 61559161-51077             | Economic silicon heterojunction solar cells with optimized photon management   | 81.087 | 59,963                      | -                                    |
| <b>Total for Stanford University</b> |                |                            |  |        | <b>115,353</b>              | -                                    |

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|--|----------------|--------------------------------|---|--------|-----------------|-----------------------------------|
| <b>State University of New York</b>        |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6930984        | 68799                          | EFRC:NorthEast Center for Chemical Energy Storage (NECCES)                                      | 81.049 | 188,961         | -                                 |
|  |                |                                | <b>Total for State University of New York</b>   |        | <b>188,961</b>  | -                                 |
| <b>University of Wisconsin</b>             |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6935633        | 704K303                        | Sodium cooled fast reactor key modeling and analysis for commercial deployment                  | 81.121 | 74,552          | -                                 |
|  |                |                                | <b>Total for University of Wisconsin</b>  |        | <b>74,552</b>   | -                                 |
| <b>Superconductor Technologies, Inc.</b>   |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6937244        | AGMT. DTD. 07/01/2017          | Wire Improvement for HTS  | 81.087 | 114,038         | -                                 |
|  |                |                                | <b>Total for Superconductor Technologies, Inc.</b>  |        | <b>114,038</b>  | -                                 |
| <b>Advanced Conductor Technologies LLC</b> |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6937596        | AGMT. DTD. 08/01/2017          | Stable, low-loss joints for high-temperature fusion magnets                                     | 81.049 | 41,079          | -                                 |
|  |                |                                | <b>Total for Advanced Conductor Technologies LLC</b>  |        | <b>41,079</b>   | -                                 |
| <b>Sandia National Laboratories</b>        |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6938128        | AGREEMENT 1340868 / PO 1874220 | Frameworks, Algorithms and Scalable Technologies for Mathematics (FASTMath) SciDAC Institute    | 81.RD  | 7,772           | -                                 |
| DEPARTMENT OF ENERGY                       | 6933746        | PO #1630435                    | Millimeter-wave Thermal Analysis for In-Process Assessment                                      | 81.RD  | 94,134          | -                                 |
| DEPARTMENT OF ENERGY                       | 6933745        | PO1619650/ CPA1340868          | Utilization of CR39 on Z for DD yield, yield anisotropies, and neutron spectroscopy             | 81.RD  | 116,749         | -                                 |
|  |                |                                | <b>Total for Sandia National Laboratories</b>   |        | <b>218,655</b>  | -                                 |
| <b>Electroformed Nickel, Inc.</b>          |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6936346        | AGREEMENT DATED 04/11/17       | Demonstration of the technological capability for production of neutron-focusing nickel mirrors | 81.049 | 37,751          | -                                 |
|  |                |                                | <b>Total for Electroformed Nickel, Inc.</b>   |        | <b>37,751</b>   | -                                 |
| <b>Philips Lumileds Lighting Company</b>   |                |                                |   |        |                 |                                   |
| DEPARTMENT OF ENERGY                       | 6932845        | AGREEMENT DATED 9/1/2015       | Improved InGaN LED System Efficacy and Cost via Droop Reduction                                 | 81.086 | 48,510          | -                                 |
|  |                |                                | <b>Total for Philips Lumileds Lighting Company</b>  |        | <b>48,510</b>   | -                                 |

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|--|----------------|---|--|--------|-----------------|----------------------|--------------------------------------|
| <b>Faraday Technology, Inc</b>                             |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF ENERGY                                       | 6934779        | AGREEMENT EFF.<br>08/12/2016              | Micro-electrocatalytic Upgrading of Carbon Dioxide to Hydrocarbons   | 81.049 | -10,987         | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6936384        | RESEARCH AGREEMENT<br>EFFECTIVE 4-12-2017 | CO2 Reduction to Hydrocarbons via Copper Gas Diffusion Electrodes  | 81.049 | 45,000          | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6936385        | RESEARCH AGREEMENT<br>EFFECTIVE 4-12-2017 | Electrodeposition of Sulfide Catalysts for Methane Up-conversion   | 81.049 | 45,000          | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6936670        | SC 6305-1031                              | Microfluidic System for CO2 Reduction to Hydrocarbons  | 81.049 | 116,911         | -                    | -                                    |
| <b>Total for Faraday Technology, Inc</b>                   |                |   |  |        |                 | <b>195,924</b>       | <b>-</b>                             |
| <b>Dawn Research, Inc.</b>                                 |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF ENERGY                                       | 6931946        | AWD. DTD. 5/12/2015                       | SBIR Phase II: Development of low cost method for fabrication of metal neutron guides  | 81.049 | 16,003          | -                    | -                                    |
| <b>Total for Dawn Research, Inc.</b>                       |                |   |  |        |                 | <b>16,003</b>        | <b>-</b>                             |
| <b>Lawrence Livermore National Security, LLC</b>           |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF ENERGY                                       | 6926820        | B602126                                   | Chemical Threat Responsive Carbon Nanotube Membranes   | 81.RD  | 138,491         | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6932165        | B613027                                   | High Density Implosions on OMEGA and the NIF   | 81.RD  | 466,834         | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6933555        | B615534                                   | Multi-Nuclear Burn Diagnostic Development  | 81.RD  | 430,055         | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6938345        | B627203                                   | Microscale biophysical analyses of algal bacterial interactions  | 81.RD  | 22,118          | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6935266        | NO. B620960                               | Guiding the design of vaccination strategies aimed toward generating broadly neutralizing antibodies against highly mutable pathogens: HIV and Influenza as case study | 81.RD  | 122,034         | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6936544        | NO. B623207                               | Automatic Differentiation  | 81.RD  | 38,000          | -                    | -                                    |
| <b>Total for Lawrence Livermore National Security, LLC</b> |                |   |  |        |                 | <b>1,217,531</b>     | <b>-</b>                             |
| <b>Florida A&amp;M University</b>                          |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF ENERGY                                       | 6937333        | C-4979                                    | CREST Center for Complex Materials Design for Multidimensional Additive Processing (CoMan)   | 47.076 | 38,789          | -                    | -                                    |
| <b>Total for Florida A&amp;M University</b>                |                |   |  |        |                 | <b>38,789</b>        | <b>-</b>                             |
| <b>Battelle Energy Alliance, LLC</b>                       |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF ENERGY                                       | 6936498        | CONTRACT 112583 -<br>RELEASE #13          | LWR CORE ANALYSIS WITH RELAP-7 FLUIDS MODELS   | 81.RD  | 32,903          | -                    | -                                    |
| DEPARTMENT OF ENERGY                                       | 6933222        | REL 009/CONTRACT<br>0112583               | Neutron microscope to enable high-resolution neutron tomography at INL   | 81.RD  | 69,124          | -                    | -                                    |

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| DEPARTMENT OF ENERGY              | 6935703        | RELEASE<br>00003/CONTRACT<br>00112583                | INL-NUC Collaboration Activities at Massachusetts<br>Institute of Technology                                       | 81.RD  | 58,211          | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6933641        | RELEASE 10 / CONTRACT<br>112583                      | Implementation and Validation of Radiation Defect<br>Cluster Dynamics in MOOSE                                     | 81.RD  | 82,360          | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6933632        | RELEASE 11/CONTRACT<br>00112583                      | Integration of Nuclear and Renewables in Competitive<br>Electricity Markets: Joint U.S.-Japan Study Phase II       | 81.RD  | 59,929          | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6936022        | RELEASE 14 BMC00112583                               | Safety Margin Evaluation for Experiment Irradiation in<br>ATR  | 81.RD  | 200,069         | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6931188        | RELEASE NO 004 /<br>CONTRACT NO 0112583              | Development of State of the Art Capabilities to Support<br>TREAT Modeling and Simulation                           | 81.RD  | -398            | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6931396        | RELEASE NO. 005 /<br>CONTRACT NO. 00112583           | Cross Section Generation in High Fidelity Multi-Physics<br>Simulations from High Fidelity/Monte Carlo Calculations | 81.RD  | -9,254          | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6937440        | RELEASE NO. 15 UNDER<br>BLANKET MASTER NO.<br>112583 | Modeling porous media impedance spectra  | 81.RD  | 51,485          | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6925178        | RELEASE49/CONTRACT63                                 | 3117 Life Prediction of Spent Fuel Storage Canister<br>Material  | 81.RD  | 18,976          | -                    | -                                    |
| <b>Plasma Processes, LLC</b>      |                |  | <b>Total for Battelle Energy Alliance, LLC</b>   |        | <b>563,404</b>  | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6936177        | DE-SC0011895 / 6028-004-<br>JF-102915REV2            | Breakdown Resistant Refractory Metal Coatings for<br>Field-Aligned ICRF Antennas                                   | 81.049 | 172,965         | -                    | -                                    |
| <b>Free Form Fibers LLC</b>       |                |  | <b>Total for Plasma Processes, LLC</b>   |        | <b>172,965</b>  | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6933442        | DE-SC0011954   | SBIR: AN ADDITIVE MANUFACTURING<br>TECHNOLOGY FOR THE FABRICATION AND<br>CHARACTERIZATION OF NUCLEAR REACTOR FUEL  | 81.049 | 69,222          | -                    | -                                    |
| <b>Oregon State University</b>    |                |  | <b>Total for Free Form Fibers LLC</b>  |        | <b>69,222</b>   | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6932973        | G0157A-B   | Computational and Experimental Benchmarking for<br>Transient Fuel Testing  | 81.121 | 365,720         | -                    | -                                    |
| <b>Western Research Institute</b> |                |  | <b>Total for Oregon State University</b>   |        | <b>365,720</b>  | -                    | -                                    |
| DEPARTMENT OF ENERGY              | 6938492        | MIT17-10G663   | Consortium for Production of Affordable Carbon Fibers<br>(CPACF) in the U.S.                                       | 81.086 | 66,361          | -                    | -                                    |

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|--|----------------|--|--|--------|-----------------|-----------------------------|--------------------------------------|
| <b>Honeywell</b>                           |                |  | <b>Total for Western Research Institute</b>  |        | <b>66,361</b>   |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6933853        | N000189586                             | Additive Manufacturing of Porous Solids  | 81.RD  | 159,024         |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6935787        | N000189586, LINE 1, MOD 2              | Additive Manufacturing of Porous Solids  | 81.RD  | 44,371          |                             | -                                    |
| <b>General Atomics</b>                     |                |  | <b>Total for Honeywell</b>   |        | <b>203,395</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6934540        | PO #4500058260                         | MIT Collaboration for DIII-D Program   | 81.049 | 293,780         |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6936502        | PO 4500068120                          | High Temperature Oxidation and Quench Studies of Accident Tolerant LWR Fuels       | 81.RD  | 70,018          |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6937870        | PO# 4500071909                         | AToM: Advanced Tokamak Modeling Environment  | 81.049 | 113,387         |                             | -                                    |
| <b>University of Washington</b>            |                |  | <b>Total for General Atomics</b>   |        | <b>477,186</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6933774        | PO #BPO13556                           | Ultrafast Control of Emerging Electronic Phenomena in 2D Quantum Materials         | 81.049 | 38,101          |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6937599        | UWSC10120                              | Ultrafast Control of Emerging Electronic Phenomena in 2D Quantum Materials         | 81.049 | 127,011         |                             | -                                    |
| <b>Ford Motor Company</b>                  |                |  | <b>Total for University of Washington</b>  |        | <b>165,112</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6928693        | PO 14164101_001<br>SUBAWARD RQ13-23R05 | Rapid Freeform Sheet Metal Forming: Technology Development and System Verification | 81.086 | 73,977          |                             | -                                    |
| <b>Princeton Plasma Physics Laboratory</b> |                |  | <b>Total for Ford Motor Company</b>  |        | <b>73,977</b>   |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6933435        | S014796-H                              | Transport and Turbulence Physics Studies and Data Analysis Collaboration on NSTX-U | 81.RD  | 129,023         |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6936117        | S015578-H                              | NSTX-U ROOT CAUSE ANALYSIS OF PF1-A COIL FAILURE SUPPORT                           | 81.049 | 28,510          |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6936363        | S015616-H                              | PF1 Coil Fabrication Support   | 81.049 | 146,800         |                             | -                                    |
| DEPARTMENT OF ENERGY                       | 6937617        | S015850-H                              | Partnership Center for High-fidelity Boundary Plasma Simulation                    | 81.RD  | 21,901          |                             | -                                    |
| <b>Texas A &amp; M</b>                     |                |  | <b>Total for Princeton Plasma Physics Laboratory</b>                               |        | <b>326,233</b>  |                             | -                                    |

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|---|----------------|--|--|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF ENERGY                        | 6933413        | S162805                                      | Advanced surface plasma nitriding for development of corrosion resistant and accident tolerant fuel cladding             | 81.121 | 5,830           | 5,830                       | -                                    |
| <b>University of Arkansas</b>               |                |  | <b>Total for Texas A &amp; M</b>   |        | <b>5,830</b>    |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6935475        | SA1712153                                    | Cybersecurity Center for Secure Evolvable Energy Delivery Systems (SEEDS)  | 81.112 | 79,513          | 79,513                      | -                                    |
| <b>AdvR, Inc.</b>                           |                |  | <b>Total for University of Arkansas</b>  |        | <b>79,513</b>   |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6932147        | STTR AGREEMENT 06/23/2015 UNDER DE-SC0011377 | STTR PH II: Fiber-coupled Optical Waveguide Cross-Correlator for Attosecond Timing Synchronization                       | 81.049 | 64,775          | 64,775                      | -                                    |
| <b>University of California - Berkeley</b>  |                |  | <b>Total for AdvR, Inc.</b>  |        | <b>64,775</b>   |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6937842        | SUBAWARD# 00009635/ PO BB00998750            | Methods to Predict Thermal Radiation and to Design Scaled Separate and Integral Effects Testing For Molten Salt Reactors | 81.121 | 128,391         | 128,391                     | -                                    |
| <b>FuelCell Energy</b>                      |                |  | <b>Total for University of California - Berkeley</b>   |        | <b>128,391</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6931727        | SUBAWARD 10001437                            | Dual Mode Intermediate Temperature Fuel Cell: Liquid Fuels and Electricity   | 81.135 | -51,329         | -51,329                     | -                                    |
| <b>University of Colorado Boulder</b>       |                |  | <b>Total for FuelCell Energy</b>   |        | <b>-51,329</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6937968        | SUBAWARD#: 1555955 PO# 1000976258            | Design and Engineering of Synthetic Control Architectures  | 81.049 | 324,949         | 324,949                     | -                                    |
| <b>Los Alamos National Security, L.L.C.</b> |                |  | <b>Total for University of Colorado Boulder</b>  |        | <b>324,949</b>  |                             | -                                    |
| DEPARTMENT OF ENERGY                        | 6933394        | SUBCONTRACT # 365489                         | Source-independent Converted Phase Imaging of MEQ Data to Provide Fracture Locations                                     | 81.RD  | 122,595         | 122,595                     | -                                    |
| DEPARTMENT OF ENERGY                        | 6934723        | SUBCONTRACT #399489                          | Emergency Control of Power System Networks   | 81.RD  | 173,670         | 173,670                     | -                                    |
| <b>SURA / Jefferson Lab</b>                 |                |  | <b>Total for Los Alamos National Security, L.L.C.</b>  |        | <b>296,265</b>  |                             | -                                    |

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|--|----------------|----------------------------|---|--------|------------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF ENERGY                         | 6935508        | SUBCONTRACT JSA-17-C0086   | GlueX DIRC Optical Boxes  | 81.RD  | 76,157           | -                           | -                                    |
| <b>UChicago Argonne, LLC</b>                 |                |                            | <b>Total for SURA / Jefferson Lab</b>   |        | <b>76,157</b>    | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6927797        | SUBCONTRACT NO. 3F-31144   | Joint Center for Energy Storage Research (JCESR)  | 81.RD  | 847,532          | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6937302        | SUBCONTRACT NO. 7F-30180   | Reaction Mechanism Generator (RMG) Software   | 81.RD  | 58,163           | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6934260        | WO 2J-30101-0008A          | Task 8: Preliminary SAR Review and Conversion Transition Planning for the MITR-II Research Reactor  | 81.RD  | 241,866          | -                           | -                                    |
| <b>Lawrence Berkeley National Laboratory</b> |                |                            | <b>Total for UChicago Argonne, LLC</b>  |        | <b>1,147,562</b> | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6923287        | SUBCONTRACT NO. 6947174    | Natural Ventilation for Cooling in Commercial and Residential Buildings and Data Centers  | 81.RD  | -6,120           | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6927681        | SUBCONTRACT NO. 7056592    | Design and Scalable Assembly of High Density Low Tortuosity Electrodes  | 81.RD  | 22,095           | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6928821        | SUBCONTRACT NO. 7075314    | High-throughput sorting of microbial cells with specific functional traits for single cell genomics by combining labeling with heavy water, Raman microspectroscopy, microfluidics and flow cytometry | 81.RD  | 4,341            | 4,158                       | 4,158                                |
| DEPARTMENT OF ENERGY                         | 6931128        | SUBCONTRACT NUMBER 7204982 | Molecular Determinants of Community Activity, Stability and Ecology (MDCASE)  | 81.RD  | 242,553          | -                           | -                                    |
| <b>National Renewable Energy Laboratory</b>  |                |                            | <b>Total for Lawrence Berkeley National Laboratory</b>  |        | <b>262,869</b>   | <b>4,158</b>                | <b>4,158</b>                         |
| DEPARTMENT OF ENERGY                         | 6927932        | UGA-0-41029-09             | Sustainable PhotoVoltaics and Scalable Concentrating Solar Power (SERIUS) - MIT   | 81.RD  | 45,472           | 6,191                       | 6,191                                |
| DEPARTMENT OF ENERGY                         | 6930867        | UGA-0-41029-16/ER392000    | Center for Next Generation of Materials by Design: Incorporating Metastability  | 81.049 | 217,026          | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6933524        | UGA-0-41029-18/ST6P1510    | Bulk Defect Mitigation in Czochralski and Novel Silicon   | 81.049 | 97,260           | -                           | -                                    |
| DEPARTMENT OF ENERGY                         | 6938354        | UGA-0-41029-19             | Economic Expertise to Support 2018 Update of CEMAC Benchmark Project  | 81.049 | 4,364            | -                           | -                                    |
| <b>University of Texas - Austin</b>          |                |                            | <b>Total for National Renewable Energy Laboratory</b>   |        | <b>364,121</b>   | <b>6,191</b>                | <b>6,191</b>                         |
| DEPARTMENT OF ENERGY                         | 6928873        | UTA13-000874               | Extreme-scale Bayesian inference for uncertainty quantification of complex simulations)   | 81.049 | 8,679            | -                           | -                                    |



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|----------------------|----------------|--------------------|---|--------|-------------------|---|
| DEPARTMENT OF ENERGY | 6931207        | UTA14-001222       | Nuclear Technology R&D Strategies in an Era of Energy Price Uncertainty | 81.121 | 101,806           | -   |
| DEPARTMENT OF ENERGY | 6938299        | UTA18-000276       | Partnership for Multiscale Gyrokinetic (MGK) Turbulence                 | 81.049 | 104               | -   |
|                      |                |                    | <b>Total for University of Texas - Austin</b>                           |        | <b>110,588</b>    | <b>-</b>                                      |
|                      |                |                    | <b>TOTAL for Department of Energy</b>                                   |        | <b>13,394,258</b> | <b>10,349</b>                                 |

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|--|----------------|---|--|--------|-----------------|----------------------|--------------------------------------|
| <b>DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b> |                |   |  |        |                 |                      |                                      |
| <b>Beth Israel Deaconess Medical Center</b>      |                |   |  |        |                 |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6933877        | 01026851                                | Validating Biomarkers for the Prodrome and Transition to Psychosis in Shanghai                                   | 93.242 | -8              | -8                   | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6936539        | 01027119                                | Complex function of Hsf1 in breast cancer  | 93.393 | 23,829          | 23,829               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6934879        | 01028330                                | Research, Resource for Complex Physiologic Signals   | 93.859 | -13,657         | -13,657              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6935922        | 01029400                                | A Psychobiological Follow-up Study of Transition from Prodrome to Early Psychosis                                | 93.242 | 28,189          | 28,189               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6937608        | 2R01GM104987-09                         | Research, Resource for Complex Physiologic Signals   | 93.859 | 523,087         | 523,087              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6932985        | SUBAWARD NO. 01028471                   | A multi-faceted approach to identifying K-Ras synthetic lethal relationships                                     | 93.396 | -35             | -35                  | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6937483        | SUBAWARD NO. 01029424                   | A multi-faceted approach to identifying K-Ras synthetic lethal relationships                                     | 93.396 | 78,457          | 78,457               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6935428        | SUBAWARD NO. 01029424.                  | A multi-faceted approach to identifying K-Ras synthetic lethal relationships                                     | 93.396 | 513             | 513                  | -                                    |
| <b>University of California, Los Angeles</b>     |                |   |  |        | <b>640,375</b>  |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6938069        | 0125 G VB305                            | Precision lung cancer therapy design through multiplexed adapter measurement                                     | 93.396 | 26,894          | 26,894               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6937422        | 0125 G VB518                            | Adapter-Layer RTK Signaling: Basic Understanding & Targeted DrugResistance                                       | 93.310 | 91,849          | 91,849               | -                                    |
| <b>Oklahoma Medical Research Foundation</b>      |                |   |  |        | <b>118,743</b>  |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6933786        | 0280-04/MIT PO# S1704196-065            | Analysis and Characterization of Trauma-Induced Coagulopathy   | 93.859 | 106,853         | 106,853              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6937213        | 0280-04/MIT/DARC_PILOT 1<br>PO S1803970 | Duffy Antigen Receptor for Cytokines and Early IL-8 Mediated Neutrophil Responses to Coagulation in Major Trauma | 93.837 | 24,477          | 24,477               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES            | 6937214        | 0280-04/MIT/DARC_PILOT 2<br>PO S1803969 | Human Neutrophil Elastase as a Mediator of Fibrinolysis Shutdown (Pilot 2)                                       | 93.837 | 27,937          | 27,937               | -                                    |
| <b>Columbia University</b>                       |                |   |  |        | <b>159,268</b>  |                      |                                      |

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|---------------------------------------|----------------|---------------------------------------|--|--------|-----------------|----------------------|--------------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6934117        | 1(GG012140)/PO G10545                 | Analysis of Cancer Cell Metabolism in Diverse Environmental Conditions                                     | 93.396 | 144,488         | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937984        | 1(GG012271-01)                        | Motor neuron selector genes and mechanism of their action  | 93.853 | 139,200         | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936354        | 1(GG012741-01)                        | The role of stem cells and the microenvironment in gastrointestinal cancers                                | 93.393 | 10,833          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936432        | 2(GG012789-02)                        | The Role of the Microenvironment in Barrett's Esophagus  | 93.397 | 49,314          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6934861        | 3(GG012366-07) / G11187               | Integrated heart-liver-vascular systems for drug testing in human health and disease (Year 5)              | 93.286 | 40,087          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937907        | GG012741-02                           | The role of stem cells and the microenvironment in gastrointestinal cancers                                | 93.393 | 17,216          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6927142        | PO G11501 AWARD 1 (GG011803)          | Motor Neuron Selector Genes and Mechanism of Their Action  | 93.853 | 294,957         | -                    | -                                    |
| <b>Tufts Medical Center</b>           |                |                                       |  |        | <b>696,095</b>  |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936291        | 100107-00004                          | Embedded Peri-Clinical Research Platform for Accelerated Medical Sensor/Algorithm Evaluation & Translation | 93.350 | -9,044          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938098        | 100107-00006 (HH0448)<br>PO#EF0167938 | Embedded Peri-Clinical Research Platform for Accelerated Medical Sensor/Algorithm Evaluation & Translation | 93.350 | 7,870           | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935658        | 5014371-SERV/U24TR001609              | Johns Hopkins-Tufts Trial Innovation Center  | 93.350 | 128,552         | -                    | -                                    |
| <b>Dana Farber Cancer Institute</b>   |                |                                       |  |        | <b>127,379</b>  |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6928787        | 1006718                               | Antigen Presentation and T Cell Programming in Human Autoimmune Diseases                                   | 93.855 | 81,493          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6926764        | 1214503                               | Assaying GBM growth and therapy response in single cells and tumorspheres (PQ17)                           | 93.394 | 83,443          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6927451        | 1216401                               | Impact of MHC Genotype on Ex Vivo T cell Function in Type 1 Diabetes                                       | 93.847 | 0               | -565                 | -565                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937438        | 1225411/PO#1034483                    | DFHCC SPORE in Prostate Cancer - Project 1   | 93.397 | 22,690          | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6932836        | 1238305                               | Eliciting B cells to produce anti-HIV gp41 MPER-specific neutralizing antibodies                           | 93.855 | 9,865           | -                    | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6932882        | 1238405                               | Eliciting B cells to produce anti-HIV gp41 MPER-specific neutralizing antibodies (Supplement)              | 93.855 | 3,279           | -                    | -                                    |

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|---|----------------|--------------------------|---|--------|-----------------|----------|-----------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934774        | 1282101                  | Targeting immunogenicity to the MPER hinge and C-helix for BNAb elicitation           | 93.855 | 32,144          | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936865        | 1282102                  | Targeting immunogenicity to the MPER hinge and C-helix for BNAb elicitation           | 93.855 | 30,834          | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934749        | 1282601                  | Targeting immunogenicity to the MPER hinge and C-helix for BNAb elicitation-Project 2 | 93.855 | 37,742          | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936864        | 1282602                  | Targeting immunogenicity to the MPER hinge and C-helix for BNAb elicitation-Project 2 | 93.855 | 320,356         | -        | -                                 |
| <b>Total for Dana Farber Cancer Institute</b>           |                |                          |   |        | <b>621,846</b>  |          | <b>-565</b>                       |
| <b>Tufts University</b>                                 |                |                          |   |        |                 |          |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6935267        | 100892-00001             | Development of Blood Pressure Imager  | 93.286 | 80,858          | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934832        | HH4976                   | Models to Predict Protein Biomaterial Performance                                     | 93.286 | 7,301           | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936620        | HH4977                   | Competing Segment: Models to Predict Protein Biomaterial Performance                  | 93.286 | 179,961         | -        | -                                 |
| <b>Total for Tufts University</b>                       |                |                          |   |        | <b>268,120</b>  |          |                                   |
| <b>University of California-San Diego</b>               |                |                          |   |        |                 |          |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6937078        | 101443667 (PO# S9001920) | Development of siderophore-based vaccines against non-typhoidal Salmonella infection  | 93.855 | 83,150          | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936867        | S9001710                 | Infection-homing nanosystems as antibacterial therapeutics-delivery platforms         | 93.855 | 219,044         | -        | -                                 |
| <b>Total for University of California-San Diego</b>     |                |                          |   |        | <b>302,194</b>  |          |                                   |
| <b>Stowers Institute for Medical Research</b>           |                |                          |   |        |                 |          |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934280        | 102108 NIH0070           | Integrated Approaches to Understanding Circuit Function in the Nervous System.        | 93.173 | 239             | -        | -                                 |
| <b>Total for Stowers Institute for Medical Research</b> |                |                          |   |        | <b>239</b>      |          |                                   |
| <b>Brigham &amp; Women's Hospital</b>                   |                |                          |   |        |                 |          |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6925790        | 107958                   | Development of FcRn-Targeted Nanoparticles for Efficient Oral Delivery of Insulin     | 93.286 | 440             | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6932413        | 112548                   | Monitoring peripheral blood leukocyte and immune responses in health and disease      | 93.855 | 209,105         | -        | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6933473        | 113786                   | PARP9 and PARP14 in atherosclerosis   | 93.837 | 35,455          | -        | -                                 |

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| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6928788        | 113856             | Multi-Scale Modeling of Sleep Behaviors in Social Networks   | 93.859 | 54,753          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6934372        | 114169             | Neuroimaging Analysis Center (NAC)   | 93.286 | 142,223         | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6938401        | 114237             | Mucins and immune cell interactions in ovarian cancer pathogenesis & progression                           | 93.396 | 175,058         | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6936292        | 116900             | Macrophage-derived microcalcifications   | 93.837 | 36,340          | -                                 |
| <b>St. Jude Medical</b>                              |                |                    |  |        | <b>653,373</b>  | <b>-</b>                          |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937719        | 111942050-7790535  | Mechanisms to diversify repertoire and modify T cell activity after infection                              | 93.855 | 38,300          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6935135        | 11942040-7719177   | Mechanisms to diversify repertoire and modify T cell activity after infection                              | 93.855 | 57,924          | -                                 |
| <b>Harvard School of Public Health</b>               |                |                    |  |        | <b>96,224</b>   | <b>-</b>                          |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 2746118        | 112497-5069710     | Safety and Health Management of Hazards Associated with Emerging Technologies                              | 93.143 | 20              | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6935148        | 112545-5095784     | Safety and Health Management of Hazards Associated with Emerging Technologies                              | 93.143 | 5,492           | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937373        | 113113-5096677     | Engineered Nanomaterial Synthesis, Characterization and Method Development Center for Nano-safety Research | 93.113 | 104,946         | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937001        | 114506-5096447     | Powering whole genome sequence-based genetic discovery for common human diseases                           | 93.172 | 84,295          | -                                 |
| <b>Harvard University</b>                            |                |                    |  |        | <b>194,753</b>  | <b>-</b>                          |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6938207        | 113098-5106858     | Does the cell jamming principle extend from the 2D epithelial sheet to the 3D tumor spheroid?              | 93.396 | 85,040          | -                                 |
| <b>Boston Biomedical Innovation Center</b>           |                |                    |  |        | <b>85,040</b>   | <b>-</b>                          |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6935091        | 115622             | Minimally invasive tissue engineered therapies for acute airway injury                                     | 93.837 | 248,029         | -                                 |
| <b>Total for Boston Biomedical Innovation Center</b> |                |                    |  |        | <b>248,029</b>  | <b>-</b>                          |

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**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                    | Project WBS id | Passthrough Number              | WBS Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|---------------------------------------|----------------|---------------------------------|---|--------|-----------------|-----------------------------|--------------------------------------|
| <b>Seattle Children's Hospital</b>    |                |                                 |   |        |                 |                             |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937901        | 11607SUB                        | Novel Biologic Therapies for BMT: Mechanistic Evaluation in Rhesus Macaques                           | 93.839 | 46,786          |                             | -                                    |
| <b>Harvard Medical School</b>         |                |                                 | <b>Total for Seattle Children's Hospital</b>  |        | <b>46,786</b>   |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935932        | 149855.5100033.0402             | Glycan Biomarkers for Rapid and Inexpensive Point-of-Care Diagnosis of Latent and Active Tuberculosis | 93.855 | 121,566         |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937936        | 152447.5074647.0407             | Neuropsychiatric Genome-Scale and RDOC Individualized Domains (N-GRID)                                | 93.242 | 77,938          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936632        | 152448.5079089.0408             | Patient - Centered Information Commons  | 93.866 | 200,854         |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6931022        | 152754.5068079.0002             | Targeting a Novel Regulator of Brain Aging and Alzheimer's Disease                                    | 93.866 | 282,575         |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935049        | 153032.5091220.0202             | 4D Nucleome Network Data Coordination and Integration Center  | 93.393 | 30,447          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937730        | 153032.5091220.0302             | 4D Nucleome Network Data Coordination and Integration Center  | 93.393 | 46,518          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936113        | 153036                          | Training Grant-Tristan Naumann  | 93.879 | 39,033          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935961        | BERNHARDT_TOM_BA_152644         | Letter Agreement: Michael Tom Spring 2017-Fall 2018   | 93.855 | 7,338           |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938667        | RENCOK-001                      | Billing Agreement – Emily Rencok DF-HCC SPORE in Prostate Cancer - Project 1                          | 93.397 | 2,332           |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6934416        | SUBAWARD<br>152772.5096243.0205 | Center for Genomically Engineered Organs  | 93.172 | 10,444          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937138        | SUBAWARD<br>152772.5096243.0305 | Center for Genomically Engineered Organs  | 93.172 | 56,845          |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937980        | SUBAWARD NO. 117954             | Integrative multi-omic discovery of proximal mechanisms driving age-dependent neurodegeneration       | 93.866 | 31,673          |                             | -                                    |
| <b>Research Foundation S.U.N.Y.</b>   |                |                                 | <b>Total for Harvard Medical School</b>   |        | <b>907,563</b>  |                             | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6931096        | 15-01                           | Translational control of ROS management   | 93.113 | 78,104          |                             | -                                    |
| <b>New York University</b>            |                |                                 | <b>Total for Research Foundation S.U.N.Y.</b>   |        | <b>78,104</b>   |                             | -                                    |

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| Prime Sponsor Name                                       | Project WBS id | Passthrough Number               | WBS Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|--|----------------|----------------------------------|---|--------|-----------------|-----------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6933950        | 15-A1-00-002875-01/PO NO. 104698 | Thalamic reticular nucleus-specific Cre mice for functional interrogation   | 93.242 | -152            | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6935163        | 17-A0-00-006701-01               | Novel Diagnostics for Glaucoma Structure and Function   | 93.867 | 14,187          | -                                 |
| <b>Leidos Biomedical Research Inc.</b>                   |                |                                  |   |        | <b>14,035</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6933607        | 16X070Q                          | Malaria Antibody Function   | 93.RD  | 18,465          | -                                 |
| <b>Research Foundation of SUNY Polytechnic Institute</b> |                |                                  |   |        | <b>18,465</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6934994        | 17-80                            | Translational regulation in exposure biology: Xenobiotic-induced reprogramming of tRNA modifications and selection translation of codon-biased response genes in rat and human models | 93.113 | 65,468          | -                                 |
| <b>University of Massachusetts</b>                       |                |                                  |   |        | <b>65,468</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6937955        | 18-010032 A00                    | Using fMRI to measure the neural-level signals underlying population-level responses  | 93.242 | 88,248          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6938652        | OSP2016196                       | Center for Reproducible Neuroimaging Computation (CRNC) - Project 2   | 93.286 | 7,012           | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6930349        | PO WA00463637 / RFS2015003       | Structural annotation of the human genome   | 93.172 | 47,612          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6938650        | WA00434051/OSP2016201            | Center for Reproducible Neuroimaging Computation (CRNC)   | 93.286 | 32,365          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6936130        | WA00536446 / OSP2016201          | Center for Reproducible Neuroimaging Computation (CRNC)   | 93.286 | 21,436          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6936131        | WA00536449/OSP2016196            | Center for Reproducible Neuroimaging Computation (CRNC) - Project 2   | 93.286 | 135,938         | -                                 |
| <b>Health Resources in Action</b>                        |                |                                  |   |        | <b>332,612</b>  | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                    | 6937415        | 1R25OD023756                     | LEAH-Knox Scholars Program in Biomedical Research   | 93.859 | 16,905          | -                                 |
| <b>University of California</b>                          |                |                                  |   |        | <b>16,905</b>   | -                                 |

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|--|----------------|-------------------------|---|--------|-----------------|---|
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6936684        | 2016-3340               | From structure to therapy: the TRIC Chaperonin network in Huntington's disease      | 93.855 | 284,454         | -   |
| <b>Allen Institute for Brain Science</b>           |                |                         |   |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6937893        | 2017-0572 PO# AIP044827 | A comprehensive whole-brain atlas of cell types in the mouse                        | 93.242 | 102,497         | -   |
| <b>Total for University of California</b>          |                |                         |   |        |                 |   |
| <b>Total for Allen Institute for Brain Science</b> |                |                         |   |        |                 |   |
| <b>The Wellcome Trust</b>                          |                |                         |   |        | <b>102,497</b>  | <b>-</b>                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6934170        | 2186-05                 | GENCODE: Comprehensive gene annotation for human and mouse                          | 93.172 | 1,475           | -   |
| <b>Total for The Wellcome Trust</b>                |                |                         |   |        |                 |   |
| <b>Massachusetts General Hospital</b>              |                |                         |   |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6935291        | 219396 - K. CHANG       | Letter of Agreement: Ken Chang  | 93.279 | 3,820           | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6937019        | 223253                  | SPORE: Targeted Therapies for Gliomas   | 93.397 | -127            | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6930051        | 224256                  | Stable, High Relaxivity MRI Contrast Agents   | 93.286 | 78,079          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6937783        | 224515                  | Letter Agreement: John Samuelsson Spring 011618 - 053118                            | 93.286 | 23,692          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6935552        | 224530                  | Bernhard Zimmermann-Billing Agreement MGH   | 93.286 | -4,560          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6931354        | 225360                  | NIRF-OFDI of inflammation in atheroma progression and stent complications           | 93.837 | 10,992          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6937780        | 225706                  | Continuity of the Limbic Circuit through the Basal Ganglia URF (AWDC524789)         | 93.242 | 35,602          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6932581        | 226025                  | MRI-GENetics Interface Exploration (MRI-GENIE) Study                                | 93.286 | 33,520          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6932337        | 226205                  | An integrated Closed Loop Feedback System for Treatment of Cardio metabolic Disease | 93.855 | 36,622          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6937257        | 226852                  | Letter Agreement: Justin Rice Fall 090117 - 011518                                  | 93.855 | 2,254           | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6934681        | 227085                  | Letter Agreement: Sheldon Kwok  | 93.395 | -14             | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES              | 6935740        | 227296                  | Optimizing human B and T cell vaccines against HIV using humanized BLT mice         | 93.855 | 303,493         | -   |



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|---------------------------------------|----------------|------------------------|---|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935875        | 227341                 | Letter Agreement: Ling-Ya "Monica" Chao Spring 2017- Fall 2018                                | 93.853 | 7,338           | 7,338                       | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937770        | 227602                 | Letter Agreement: John Samuelsson Fall 090117 - 011518  | 93.286 | 23,692          | 23,692                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935177        | 228193                 | Injury-inducible Activation of Cardiomyocyte Proliferation                                    | 93.837 | 81,350          | 81,350                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937930        | 228314                 | Natural language processing for characterizing psychopathology                                | 93.242 | 75,258          | 75,258                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935330        | 228369                 | SPORE: Targeted Therapies for Gliomas   | 93.397 | 21,835          | 21,835                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936866        | 228599                 | Letter Agreement : Antonie Ramier 06/01/2017 - 05/31/2018                                     | 93.286 | 43,665          | 43,665                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937199        | 229297                 | Letter Agreement : Sheldon Kwok 06/01/2017 - 05/31/2018 #1                                    | 93.310 | 46,932          | 46,932                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936861        | 229297                 | Letter Agreement: Sangyeon Federick Cho 060117 - 053118                                       | 93.310 | 31,762          | 31,762                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936859        | 229297 - DANNENBERG #2 | Letter Agreement: Paul Dannenberg 060117 - 053118   | 93.310 | 46,929          | 46,929                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935377        | 229354                 | Improving Human fMRI through Modeling and Imaging Microvascular Dynamics                      | 93.242 | 212,575         | 212,575                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937769        | 229386                 | Letter Agreement: Georgia Grisot 06012017- 05312018 #1  | 93.286 | 11,835          | 11,835                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935622        | 229428                 | Filtered point process inference framework for modeling neural data                           | 93.286 | 154,614         | 154,614                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935800        | 229825                 | Role of miR-222 in pathological hypertrophy and heart failure                                 | 93.837 | 13,347          | 13,347                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935992        | 229916                 | Interfering with the macrophage life cycle in atherosclerosis                                 | 93.837 | 136,121         | 136,121                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936300        | 230321                 | Clinical Research for the Improved Prevention, Diagnosis and Treatment of Vocal Hyperfunction | 93.173 | 113,156         | 113,156                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937341        | 230837                 | Reengineering obesity-induced abnormal microenvironment to improve PDAC Treatment             | 93.396 | 53,319          | 53,319                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937579        | 231367                 | Harnessing Diverse Bioinformatic Approaches to Repurpose Drugs for Alzheimer's Disease        | 93.866 | 65,355          | 65,355                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937884        | 231617                 | An integrated translational approach to overcome drug resistance                              | 93.353 | 63,205          | 63,205                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938426        | 231833                 | Unique Value of Real Time Shear Stress to Enhance Coronary Disease Management                 | 93.837 | 11,003          | 11,003                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6936128        | AGREEMENT 230327       | Development and testing of novel hydration sensors for use in pediatrics                      | 93.286 | 9,700           | 9,700                       | -                                    |

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|--|----------------|---------------------|--|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6935499        | PS# 229172          | A systems biology approach to fingerprint HIV immune defense in Elite Controllers                        | 93.837 | 4,865           | 4,865                       | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6926604        | SUBAWARD 227784     | Hypoxia-induced Metabolic Changes in Cancer  | 93.866 | -28,007         | -28,007                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937425        | SUBAWARD 231183     | Parallel Excitation Methods for High Field MRI, NIH, PA-16-160   | 93.286 | 95,626          | 95,626                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937453        | SUBAWARD NO. 231125 | Sleep-dependent Memory Processing in Schizophrenia   | 93.279 | 89,826          | 89,826                      | -                                    |
| <b>Scintillon Institute</b>                          |                |                     |  |        |                 | <b>1,908,673</b>            | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6934824        | 22136-207-450       | Novel Proteomics Approach to HIV-Associated Neurocognitive Disorder & Drug Abuse                         | 93.279 | 5,616           | 5,616                       | -                                    |
| <b>La Jolla Institute for Allergy and Immunology</b> |                |                     |  |        |                 | <b>5,616</b>                | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6934303        | 22496-33-382        | Maximizing germinal centers and somatic hypermutation to HIV Env immunogens                              | 93.855 | -1,017          | -1,017                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6935739        | 22497-33-382        | Maximizing germinal centers and somatic hypermutation to HIV Env immunogens                              | 93.855 | 83,662          | 83,662                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6938434        | 22498-33-382        | Maximizing germinal centers and somatic hypermutation to HIV Env immunogens                              | 93.855 | 1,560           | 1,560                       | -                                    |
| <b>National Bureau of Economic Research, Inc.</b>    |                |                     |  |        |                 | <b>84,204</b>               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6936576        | 4117B.MIT           | Determinants of Medical Spending for the Elderly: Insurance, Patents, Providers                          | 93.866 | 308,555         | 308,555                     | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937964        | 4125B.05.MIT        | Empirical Studies of the Development and Diffusion of Medical Technologies                               | 93.866 | 70,105          | 70,105                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6937744        | 4142F.23.00.00      | Risk, Insurance and the Family   | 93.865 | 25,675          | 25,675                      | -                                    |
| <b>Institut Pasteur</b>                              |                |                     |  |        |                 | <b>404,335</b>              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                | 6938474        | 4300002726          | Dynamic 3D folding of the mammalian genome: molecular determinants and impact on gene expression in vivo | 93.393 | 32,462          | 32,462                      | -                                    |
| <b>Total for Institut Pasteur</b>                    |                |                     |  |        |                 | <b>32,462</b>               | -                                    |

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|---------------------------------------|----------------|---------------------------------------|---|--|-----------------|----------------------|--------------------------------------|
| <b>Boston University</b>              |                |                                       |   |  |                 |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6929218        | 4500001446                            | Causal Analysis of Electrically Connected Neural Networks                             | 93.242                                   | 2,349           | 2,349                | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6932552        | 4500001882                            | Prefrontal and Medial-Temporal Interactions in Memory                                 | 93.242                                   | 13,574          | 13,574               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6933002        | 4500001922                            | Engineering Multicellular Tissue Structure, Function, and Vascularization             | 93.286                                   | 447,414         | 447,414              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6933804        | 4500002031                            | Inflammation in human obesity and type 2 diabetes                                     | 93.847                                   | 15,436          | 15,436               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6934967        | 4500002153                            | Center for Innovation in Point of Care Technologies for the Future of Cancer Care     | 93.286                                   | 9,225           | 9,225                | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935452        | 4500002343                            | Modeling bi-directional signaling and cytoskeletal dynamics in 3D cell migration      | 93.393                                   | 229,597         | 229,597              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937256        | 50203805                              | Letter Agreement : Hyun Ho Greco Song 060117-053118                                   | 93.286                                   | 63,774          | 63,774               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937260        | 50203805                              | Letter Agreement: Shoshana Das 110117 - 053118  | 93.286                                   | 15,084          | 15,084               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938558        | AGMT REF. #50204332 / PO# 8600024145* | Letter Agreement: Shoshana Das 04/1/18 - 05/31/18                                     | 93.837                                   | 12,687          | 12,687               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938063        | SUBAWARD NO.4500002555                | Integrated compressive sensing microscope for high-speed biological imaging           | 93.867                                   | 46,091          | 46,091               | -                                    |
|                                       |                |                                       |   | <b>Total for Boston University</b>       | <b>855,231</b>  |                      |                                      |
| <b>Northeastern University</b>        |                |                                       |   |  |                 |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6933466        | 500449-78050                          | Predictability in Complex Object Control  | 93.865                                   | 102,052         | 102,052              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935967        | 500489-78051                          | GuMi: New In Vitro Platforms to Parse the Human Gut Epithelial-Microbiome-Immune Axis | 93.286                                   | 763,435         | 763,435              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935732        | 500514-78051                          | Quantification of Predictive Motor Impairments in Individuals with ASD                | 93.865                                   | 102,528         | 102,528              | -                                    |
|                                       |                |                                       |   | <b>Total for Northeastern University</b> | <b>968,015</b>  |                      |                                      |
| <b>The Broad Institute, Inc.</b>      |                |                                       |   |  |                 |                      |                                      |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937040        | 5500000814-5000091                    | SYSTEMATIC IDENTIFICATION OF ONCOGENIC KRAS SYNTHETIC LETHAL INTERACTIONS             | 93.396                                   | 272,337         | 272,337              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6934900        | 5500000814-5000092                    | SYSTEMATIC IDENTIFICATION OF ONCOGENIC KRAS SYNTHETIC LETHAL INTERACTIONS             | 93.396                                   | 71,843          | 71,843               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937168        | 5610221-5500000694                    | There and Back Again: Epigenetic  | 93.310                                   | 351,368         | 351,368              | -                                    |

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|---|----------------|-----------------------------|--|--------|-----------------|---|
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6932661        | 56102222-5500000694         | There and Back Again: Epigenetic   | 93.310 | -100,898        | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6934716        | 56102223-5500000694         | There and Back Again: Epigenetic   | 93.310 | -24,288         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6935801        | 5700172-5500000731          | RNA based diagnostics for rapid pathogen identification and drug resistance  | 93.855 | 365,222         | -   |
| <b>Total for The Broad Institute, Inc.</b>      |                |                             |  |        | <b>935,584</b>  | <b>-</b>                                      |
| <b>The Scripps Research Institute</b>           |                |                             |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6934343        | 5-52765                     | CHAVI-ID: Research Focus 2   | 93.855 | 75,329          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6936745        | 5-53276                     | CHAVI-ID: Research Focus 2   | 93.855 | 366,518         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6937773        | 5-53446                     | S-Nitrosylation-induced posttranslational modification and aberrant cell signaling in sporadic Alzheimer's disease | 93.866 | 138,629         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6938790        | 5-53702                     | S-Nitrosylation-induced posttranslational modification and aberrant cell signaling in sporadic Alzheimer's disease | 93.866 | 11,286          | -   |
| <b>Total for The Scripps Research Institute</b> |                |                             |  |        | <b>591,762</b>  | <b>-</b>                                      |
| <b>University of Pennsylvania</b>               |                |                             |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6935750        | 565369                      | A vascularized three-dimensional biomimetic for islet function and physiology                                      | 93.847 | 27,963          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6938240        | 573341                      | Recording Neural Activities onto DNA   | 93.242 | 84,595          | -   |
| <b>Total for University of Pennsylvania</b>     |                |                             |  |        | <b>112,558</b>  | <b>-</b>                                      |
| <b>Northwestern University</b>                  |                |                             |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6936799        | 60039739 MIT                | Spatio-temporal organization of chromatin and information transfer in cancer                                       | 93.397 | 86,905          | -   |
| <b>Total for Northwestern University</b>        |                |                             |  |        | <b>86,905</b>   | <b>-</b>                                      |
| <b>Ohio State University</b>                    |                |                             |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6935766        | 60043772-MIT; PO RF01470148 | A model-based examination of behavioral and social science workforce: Improving health outcomes                    | 93.859 | 84,574          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES           | 6938231        | 60043772-MIT; PO RF01508164 | A model-based examination of behavioral and social science workforce: Improving health outcomes                    | 93.859 | 51,194          | -   |
| <b>Total for Ohio State University</b>          |                |                             |  |        | <b>135,768</b>  | <b>-</b>                                      |

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| Prime Sponsor Name  | Project WBS id | Passthrough Number      | WBS Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|---|----------------|-------------------------|---|--------|-----------------|-----------------------------------|
| <b>Mayo Clinic</b>  |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6931979        | 64016218                | caCDE-QA: A Quality Assurance Platform for Cancer Study Common Data Elements              | 93.394 | -5,784          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6938482        | MAS-237886/PO# 65844500 | Therapeutic modulation of the phagocytosis axis as a novel glioblastoma immunotherapy     | 93.853 | 2,284           | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6936547        | PO 652866973            | Mechanisms of prolonged initial disease-free survival in glioblastoma                     | 93.396 | 121,074         | -                                 |
| <b>Total for Mayo Clinic</b>  |                |                         |   |        | <b>117,574</b>  | <b>-</b>                          |
| <b>Cold Spring Harbor Laboratory</b>                                      |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6938260        | 64580127/PO# 921003-SV  | A High Resolution Cell Type Atlas of the Mouse Forebrain.                                 | 93.242 | 201,054         | -                                 |
| <b>Total for Cold Spring Harbor Laboratory</b>                            |                |                         |   |        | <b>201,054</b>  | <b>-</b>                          |
| <b>The Research Institute at Nationwide Children's Hospital</b>           |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6934118        | 82114516                | Role of stress-induced reduction in Lactobacillus reuteri on colonic inflammation         | 93.213 | 1,846           | -                                 |
| <b>Total for The Research Institute at Nationwide Children's Hospital</b> |                |                         |   |        | <b>1,846</b>    | <b>-</b>                          |
| <b>Greenwood Genetic Center</b>   |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6933107        | 82561-01                | Apnea index as an outcome measure of IGF-1 treatment of Rett syndrome                     | 93.865 | 7,042           | -                                 |
| <b>Total for Greenwood Genetic Center</b>                                 |                |                         |   |        | <b>7,042</b>    | <b>-</b>                          |
| <b>University of California - San Francisco</b>                           |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6932939        | 8943SC                  | Balanced Signaling Cues to Guide Cell Transitions in the Blood Lineage Continuum          | 93.839 | 115,008         | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6934999        | 9574SC                  | PROJECT 1: Defining the unique properties of the distinct signaling machinery used by TCR | 93.855 | 62,156          | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6935000        | 9583SC                  | PROJECT 2: Defining the unique properties of the distinct signaling machinery used by TCR | 93.855 | 131,748         | -                                 |
| <b>Total for University of California - San Francisco</b>                 |                |                         |   |        | <b>308,912</b>  | <b>-</b>                          |
| <b>University of Southern California</b>                                  |                |                         |   |        |                 |                                   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                                     | 6937830        | 96266729                | Anatomical characterization of neuronal cell types of the mouse brain                     | 93.242 | 113,486         | -                                 |
| <b>Total for University of Southern California</b>                        |                |                         |   |        | <b>113,486</b>  | <b>-</b>                          |
| <b>University of Minnesota</b>  |                |                         |   |        |                 |                                   |

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|---------------------------------------|----------------|-------------------------------|---|--------|-----------------------------|--------------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937571        | A006079901                    | Robotic platform for high-density in vivo intracellular recording from mammalian circuits | 93.853 | 75,910                      | -                                    |
| <b>University of California/Davis</b> |                |                               | <b>Total for University of Minnesota</b>  |        | <b>75,910</b>               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937292        | A18-0226-S002                 | Facile Synthesis of Microbial Polysaccharides   | 93.310 | 221,959                     | -                                    |
| <b>Superconducting Systems, Inc.</b>  |                |                               | <b>Total for University of California/Davis</b>   |        | <b>221,959</b>              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6938777        | AGMT. DTD. 9/22/15            | Compact light weight superconducting bending magnets for gantries                         | 93.395 | 12,427                      | -                                    |
| <b>Boston Medical Center</b>          |                |                               | <b>Total for Superconducting Systems, Inc.</b>  |        | <b>12,427</b>               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6937014        | AGREEMENT 4292                | Biomarkers and Mechanisms of Paucibacillary and Latent Tuberculosis                       | 93.855 | 116,079                     | -                                    |
| <b>Nectome</b>                        |                |                               | <b>Total for Boston Medical Center</b>  |        | <b>116,079</b>              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935526        | AGREEMENT DAETD 9/21/16       | Systems for whole-brain nanoscale preservation/imaging                                    | 93.242 | 94,285                      | -                                    |
| <b>Boulder Nonlinear Systems Inc.</b> |                |                               | <b>Total for Nectome</b>  |        | <b>94,285</b>               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935482        | AGREEMENT DATED 9/27/16       | A Next-Generation Spatial Light Modulator for Mapping of Neural Networks                  | 93.286 | 134,906                     | -                                    |
| <b>Umech Technologies</b>             |                |                               | <b>Total for Boulder Nonlinear Systems Inc.</b>   |        | <b>134,906</b>              | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935528        | AGREEMENT DATED NOVEMBER 2016 | 3D Tesselation Imaging  | 93.242 | 10,474                      | -                                    |
| <b>Visterra, Inc.</b>                 |                |                               | <b>Total for Umech Technologies</b>   |        | <b>10,474</b>               | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES | 6935011        | AGREEMENT EFF. 09/01/2016     | A library of immunoaffinity reagents for RNA modifications                                | 93.279 | 17,090                      | -                                    |
|                                       |                |                               | <b>Total for Visterra, Inc.</b>   |        | <b>17,090</b>               | -                                    |

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|--|----------------|------------------------------|--|--------|-----------------|---|
| <b>Enson, Inc.</b>                         |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6935051        | AGREEMENT EFFECTIVE 08/15/16 | Magnetically-Levitated Motor/Impeller in a Blood Pump-Oxygenator for Extracorporeal Pediatric Life Support | 93.837 | 59,987          | -   |
|  |                |                              | <b>Total for Enson, Inc.</b>   |        | <b>59,987</b>   | -   |
| <b>Integrated Laboratory Systems, Inc.</b> |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6930834        | AGREEMENT EFFECTIVE 9/26/14  | SBIR CometChip: Development of a high throughput DNA damage assay in hepatocytes                           | 93.113 | 261,073         | -   |
|  |                |                              | <b>Total for Integrated Laboratory Systems, Inc.</b>   |        | <b>261,073</b>  | -   |
| <b>University of California - Irvine</b>   |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6932202        | A1114625/SUBAWARD 2015-3206  | Development of siderophore-based vaccines against non-typhoidal Salmonella infection                       | 93.855 | 21,424          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6937833        | SUBAWARD NO. 2014-3129       | Neuron and Glial cellular signatures from normal and diseased iPSC cells                                   | 93.853 | 284,320         | -   |
|  |                |                              | <b>Total for University of California - Irvine</b>   |        | <b>305,745</b>  | -   |
| <b>Yale University</b>                     |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6928682        | C14A11716 (A09395)           | High-throughput, multiplexed detection of miRNA biomarkers in single cancer cells                          | 93.396 | 4,824           | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6935083        | GK000523 (CON-80000585)      | Dynamic Neuroimmune Profiling in Patients with Acute Intracerebral Hemorrhage.                             | 93.853 | 199,365         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6937723        | GR100963(CON-80001033)       | Costimulatory Mechanisms of Autoimmunity   | 93.866 | 163,674         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6928778        | M14A11743(A09391)            | Modeling human phosphorylation networks through kinome-wide profiling                                      | 93.859 | 139,367         | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6936069        | M17A12653(A10974)            | Systems Immune Profiling of Divergent Responses to Infection   | 93.855 | 329,763         | -   |
|  |                |                              | <b>Total for Yale University</b>   |        | <b>836,993</b>  | -   |
| <b>Seacoast Science, Inc.</b>              |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6934645        | DC084/16-0816SC              | Advanced Polymer-Based Micro-sensor for Radiation Detection and Measurement                                | 93.113 | 6,554           | -   |
|  |                |                              | <b>Total for Seacoast Science, Inc.</b>  |        | <b>6,554</b>    | -   |
| <b>University of Kansas</b>                |                |                              |  |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES      | 6935990        | FY2017-077                   | Microfluidic Integrative Circulating miRNA Profiling for Cancer Diagnosis                                  | 93.286 | 57,353          | -   |

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|---|----------------|---------------------------------------|---|--------|-----------------|----------------------|--------------------------------------|
| <b>Total for University of Kansas</b>                   |                |                                       |   |        |                 |                      |                                      |
| <b>Children's Hospital Boston</b>                       |                |                                       |   |        | <b>57,353</b>   |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6935303        | GENFD0001152559                       | Noninvasive Realtime Assessment of Placental Structure and Function with Novel MR Imaging Methods | 93.865 | 87,824          |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936020        | GENFD0001240500                       | Customized stem cells for clinical application in blood disorders                                 | 93.847 | 31,741          |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6937612        | GENFD0001332333                       | Customized stem cells for clinical application in blood disorders                                 | 93.847 | 147,433         |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6937622        | GENFD0001351238                       | Noninvasive Realtime Assessment of Placental Structure and Function with Novel MR Imaging Methods | 93.865 | 117,326         |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6938552        | GENFD0001442726                       | Advanced Fetal Imaging  | 93.286 | 45,881          |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934415        | PO#0000704243                         | Gastrointestinal Microflora Changes in Children Treated with Proton Pump                          | 93.847 | 40,197          |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6933113        | RSTFD0000659589                       | Generating Multiple Circuit and Neuron Type Specific AAV Vectors With Cross-Species Applicability | 93.242 | -476            |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936340        | RSTFD0000689449                       | Advanced Fetal Imaging  | 93.286 | 151,247         |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934720        | RSTFD0000709815                       | Generating Multiple Circuit and Neuron Type Specific AAV Vectors With Cross-Species Applicability | 93.242 | 29,837          |                      | -                                    |
| <b>Total for Children's Hospital Boston</b>             |                |                                       |   |        |                 |                      |                                      |
| <b>Janssen Vaccines &amp; Prevention B.V.</b>           |                |                                       |   |        | <b>651,009</b>  |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936202        | HHSN2722008000056C                    | Phenotypic and transcriptomic correlates of immunity for filovirus vaccination                    | 93.RD  | 68,818          |                      | -                                    |
| <b>Total for Janssen Vaccines &amp; Prevention B.V.</b> |                |                                       |   |        |                 |                      |                                      |
| <b>Mount Sinai Medical Center</b>                       |                |                                       |   |        | <b>68,818</b>   |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6933839        | ISMMS NO. 0258-0509/HHSN272201400008C | NAIAD Centers of Excellence for Influenza Research and Surveillance                               | 93.RD  | -4,705          |                      | -                                    |
| <b>Total for Mount Sinai Medical Center</b>             |                |                                       |   |        |                 |                      |                                      |
| <b>Mayo Clinic Rochester</b>                            |                |                                       |   |        | <b>-4,705</b>   |                      | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6936768        | MAS-228292 PO#65353103                | The Mayo GBM Xenograft National Resource  | 93.853 | 62,393          |                      | -                                    |
| <b>Total for Mayo Clinic Rochester</b>                  |                |                                       |   |        |                 |                      |                                      |
| <b>Forsyth Institute</b>                                |                |                                       |   |        | <b>62,393</b>   |                      | -                                    |



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|---|----------------|---------------------------------|--|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6938467        | MIT027850-2605                  | The Syngenic DNA and uPOET Platform: Overcoming Innate Barriers to Genetic Engineering in Bacteria | 93.121 | 201,119         | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6931386        | SUBCONTRACT NO. MIT0244468-2495 | Cultivation, Nature, Ecology & Pathogenicity of the Uncultivated Oral Microbiome                   | 93.121 | 55,498          | -                           | -                                    |
| <b>University of Pittsburgh</b>                   |                |                                 | <b>Total for Forsyth Institute</b>   |        | <b>256,617</b>  | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6933995        | NO. 0048768 (127337-1)          | Spatial Segregation of Cell Functioning During Motility  | 93.859 | 68,588          | -                           | -                                    |
| <b>University of Massachusetts Medical Center</b> |                |                                 | <b>Total for University of Pittsburgh</b>  |        | <b>68,588</b>   | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6937122        | OSP2018017/PO# WA00597773       | Targeting proteotoxic stress responses in liver fibrosis   | 93.273 | 48,463          | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6933151        | WA00343851/RFS2016059           | EDAC: Encode Data Analysis Center  | 93.172 | -80             | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6935206        | WA00474873/OSP2017050           | Center for 3D Structure and Physics of the Genome  | 93.310 | 47,942          | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6935160        | WA00474875/OSP2017051           | Center for 3D Structure and Physics of the Genome  | 93.310 | 13,948          | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6935473        | WA00494076/OSP2017077           | EDAC: ENCODE Data Analysis Center  | 93.172 | 690             | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6936250        | WA00540618/OSP2017186           | EDAC: ENCODE Data Analysis Center  | 93.172 | 141,789         | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6937640        | WA00620167 / OSP2017050         | Center for 3D Structure and Physics of the Genome  | 93.310 | 242,781         | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6937565        | WA00620169/OSP2017052           | Center for 3D Structure and Physics of the Genome  | 93.310 | 78,796          | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6938367        | WA00665463/OSP2017186           | EDAC: ENCODE Data Analysis Center  | 93.172 | 69,367          | -                           | -                                    |
| <b>Texas Biomedical Research Institute</b>        |                |                                 | <b>Total for University of Massachusetts Medical Center</b>  |        | <b>643,695</b>  | -                           | -                                    |
| DEPARTMENT OF HEALTH & HUMAN SERVICES             | 6936815        | PO 39803                        | Defense-in-depth against mucosal HIV clade C invasion  | 93.855 | 324,363         | -                           | -                                    |
| <b>University of Colorado Boulder</b>             |                |                                 | <b>Total for Texas Biomedical Research Institute</b>   |        | <b>324,363</b>  | -                           | -                                    |

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|---|----------------|--|--|--------|-----------------|-----------------------------------|
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6932230        | PO#1000513987<br>SUBAWARD#1552654            | Genetic Association Meta-Analyses of Smoking and Drinking for the Sequencing Age                             | 93.279 | 80,326          | -                                 |
| <b>University of Florida</b>                            |                |  | <b>Total for University of Colorado Boulder</b>  |        | <b>80,326</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6933008        | PRIME 00124227, SUB UFDSP00010950            | Complex Modifications of tRNA: Regulatory Roles and Crosstalk with DNA Metabolism                            | 93.859 | 121,912         | -                                 |
| <b>LeafLabs, LLC</b>                                    |                |  | <b>Total for University of Florida</b>   |        | <b>121,912</b>  | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6934221        | R43MH109332-01                               | High Speed, Multi-sensor Light Field Deconvolution Microscopy for Whole Brain Recording of Neuronal Activity | 93.242 | 97,328          | -                                 |
| <b>Case Western Reserve University</b>                  |                |  | <b>Total for LeafLabs, LLC</b>   |        | <b>97,328</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6935084        | RES511404                                    | Magnetic Resonance Fingerprinting (MRF) for Improved High Field MR   | 93.286 | 121,194         | -                                 |
| <b>Magee-Womens Research Institute &amp; Foundation</b> |                |  | <b>Total for Case Western Reserve University</b>   |        | <b>121,194</b>  | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6932950        | RSA 3503                                     | Extracellular vesicles and their ncRNAs cargo as markers of trophoblast injury                               | 93.865 | 44,321          | -                                 |
| <b>European Bioinformatics Institute</b>                |                |  | <b>Total for Magee-Womens Research Institute &amp; Foundation</b>  |        | <b>44,321</b>   | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6937688        | SUBAWARD # 2582<br>FEDERAL # 2U41HG007234-05 | GENCODE: comprehensive genome annotation for human and mouse   | 93.172 | 165,298         | -                                 |
| <b>Brown University</b>                                 |                |  | <b>Total for European Bioinformatics Institute</b>   |        | <b>165,298</b>  | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6935324        | SUBAWARD 00000624                            | Multiscale Modeling of Sickle Cell Anemia: Methods and Validation  | 93.839 | 251,647         | -                                 |
| <b>Rehabilitation Institute of Chicago</b>              |                |  | <b>Total for Brown University</b>  |        | <b>251,647</b>  | -                                 |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                   | 6930094        | SUBAWARD AGREEMENT # 3024                    | Recording Neural Activities onto DNA   | 93.242 | 4,129           | -                                 |

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|--|----------------|----------------------------------|---|--------|-----------------|---|
| <b>University of Michigan</b>                  |                |                                  | <b>Total for Rehabilitation Institute of Chicago</b>                                      |        | <b>4,129</b>    | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6934493        | SUBAWARD NO. 3004053346          | An Accessible Toolbox for Comprehensive Analysis of Neural Tissue Architecture            | 93.242 | 201,594         | -                                       |
| <b>Solid Material Solutions, LLE</b>           |                |                                  | <b>Total for University of Michigan</b>   |        | <b>201,594</b>  | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6937472        | SUBCONTRACT EFFECTIVE 08/15/2017 | SBIR: Persistent-mode, liquid-helium-free, robust Bi2212 magnets for MRI and >1GHz NMR    | 93.286 | 39,971          | -                                       |
| <b>CREARE, Incorporated</b>                    |                |                                  | <b>Total for Solid Material Solutions, LLE</b>  |        | <b>39,971</b>   | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6937324        | SUBCONTRACT NO. 89237            | Lab Drone System  | 93.RD  | 61,209          | -                                       |
| <b>The Children's Hospital Los Angeles</b>     |                |                                  | <b>Total for CREARE, Incorporated</b>   |        | <b>61,209</b>   | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6937370        | TGF010062-Q                      | Step-Up Mentor Award Bear   | 93.279 | 540             | -                                       |
| <b>University of Connecticut Health Center</b> |                |                                  | <b>Total for The Children's Hospital Los Angeles</b>                                      |        | <b>540</b>      | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6932765        | UCHC6-66263781                   | Comprehensive Analysis of Functional RNA Elements Encoded in the Human Genome             | 93.172 | -7              | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6934876        | UCHC6-79257861                   | Comprehensive Analysis of Functional RNA Elements Encoded in the Human Genome             | 93.172 | 7,470           | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6938545        | UCHC7-101012378                  | A Comprehensive Functional Map of Human Protein-RNA Interactions                          | 93.172 | 6,381           | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6936790        | UCHC7-88094960-A3                | Comprehensive Analysis of Functional RNA Elements Encoded in the Human Genome             | 93.172 | 212,906         | -                                       |
| <b>University of Texas - Austin</b>            |                |                                  | <b>Total for University of Connecticut Health Center</b>                                  |        | <b>226,750</b>  | -                                       |
| DEPARTMENT OF HEALTH & HUMAN SERVICES          | 6935645        | UTA16-001174                     | NeuroScout: A cloud-based platform for flexible re-analysis of naturalistic fMRI datasets | 93.242 | 94,415          | -                                       |
| <b>Vanderbilt University Medical Center</b>    |                |                                  | <b>Total for University of Texas - Austin</b>   |        | <b>94,415</b>   | -                                       |

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| Prime Sponsor Name   | Project WBS id | Passthrough Number | WBS Project Name  | CFDA # | Amount Expended   | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|--|----------------|--------------------|---|--------|-------------------|---|
| DEPARTMENT OF HEALTH & HUMAN SERVICES                        | 6934526        | VUMC-36112         | Etiologic Studies of Gastric Carcinoma  | 93.393 | 157,692           | -   |
| <b>Wayne State University</b>                                |                |                    | <b>Total for Vanderbilt University Medical Center</b>                             |        | <b>157,692</b>    | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                        | 6932770        | WSU15133           | Computational and Functional Characterization of the Molecular Steps in Membran   | 93.242 | 15,612            | -   |
| <b>Washington University in St. Louis-School of Medicine</b> |                |                    | <b>Total for Wayne State University</b>   |        | <b>15,612</b>     | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                        | 6933957        | WU-16-329          | Role of IL-17 in Protective Vaccine-induced Immune Responses Against Tuberculosis | 93.837 | 44,183            | -   |
| <b>Washington University</b>                                 |                |                    | <b>Total for Washington University in St. Louis-School of Medicine</b>            |        | <b>44,183</b>     | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                        | 6935215        | WU-17-149          | Cross-scale interactions between mineral and collagen for tendon-bone attachment  | 93.286 | 23,775            | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                        | 6937711        | WU-18-160          | Cross-scale interactions between mineral and collagen for tendon-bone attachment  | 93.286 | 66,950            | -   |
|  |                |                    | <b>Total for Washington University</b>  |        | <b>90,725</b>     | -   |
|  |                |                    | <b>TOTAL for Department of Health &amp; Human Services</b>                        |        | <b>19,051,526</b> | <b>-565</b>                                   |

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| Prime Sponsor Name                     | Project WBS id | Passthrough Number | WBS Project Name  | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|--|----------------|--------------------|---|--------|-----------------|---|
| <b>DEPARTMENT OF HOMELAND SECURITY</b> |                |                    |   |        |                 |   |
| <b>BBN Technologies Corporation</b>    |                |                    |   |        |                 |   |
| DEPARTMENT OF HOMELAND SECURITY        | 6934589        | PO #9500013207     | Privacy Preserving Federated Search and Searching (PPFS2) | 12.RD  | 127,661         | -   |
|  |                |                    | <b>Total for BBN Technologies Corporation</b>             |        | <b>127,661</b>  | <b>-</b>                                      |
| <b>Lincoln Laboratory</b>              |                |                    |   |        |                 |   |
| DEPARTMENT OF HOMELAND SECURITY        | 6937248        | PO# 7000397469     | Alternatives for FEMA Disaster-Related Housing Assistance | 97.RD  | 190,249         | -   |
|  |                |                    | <b>Total for Lincoln Laboratory</b>                       |        | <b>190,249</b>  | <b>-</b>                                      |
|  |                |                    | <b>TOTAL for Department of Homeland Security</b>          |        | <b>317,910</b>  | <b>-</b>                                      |

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|--|----------------|---|--|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF TRANSPORTATION</b>                      |                |   |  |        |                 |                                   |
| <b>University of Illinois-Urbana Champaign</b>           |                |   |  |        |                 |                                   |
| DEPARTMENT OF TRANSPORTATION                             | 6929700        | 2013-05178-01                           | Intercity Passenger Rail - Phase II                              | 20.701 | 134,690         | -                                 |
| <b>Total for University of Illinois-Urbana Champaign</b> |                |   |  |        | <b>134,690</b>  | -                                 |
| <b>University of Maryland - College Park</b>             |                |   |  |        |                 |                                   |
| DEPARTMENT OF TRANSPORTATION                             | 6935041        | 41629-Z9292101                          | Commercial Space Modeling and Analysis                           | 20.RD  | 5,844           | -                                 |
| DEPARTMENT OF TRANSPORTATION                             | 6937703        | 53580-Z9090201                          | Commercial Space Modeling and Analysis                           | 20.RD  | 39,673          | -                                 |
| DEPARTMENT OF TRANSPORTATION                             | 6937501        | 53583-Z9089201                          | NEXTOR II WAKE TURBULENCE RESEARCH: PHASE 4                      | 20.RD  | 70,029          | -                                 |
| DEPARTMENT OF TRANSPORTATION                             | 6934837        | Z9234102                                | Wake Turbulence Research   | 20.RD  | 6,234           | -                                 |
| DEPARTMENT OF TRANSPORTATION                             | 6930567        | Z987701                                 | Analysis and Modeling of Passenger Delay in the NAS              | 20.RD  | 4,410           | -                                 |
| <b>Total for University of Maryland - College Park</b>   |                |   |  |        | <b>126,190</b>  | -                                 |
| <b>Lincoln Laboratory</b>                                |                |   |  |        |                 |                                   |
| DEPARTMENT OF TRANSPORTATION                             | 6926777        | 7000213564                              | En-Route and Terminal Speed & Altitude Optimization              | 20.RD  | 62,858          | -                                 |
| <b>Total for Lincoln Laboratory</b>                      |                |   |  |        | <b>62,858</b>   | -                                 |
| <b>Honeywell International Inc.</b>                      |                |   |  |        |                 |                                   |
| DEPARTMENT OF TRANSPORTATION                             | 6933626        | AGREEMENT DTD 2/1/16<br>PO # 4206594602 | Identify Safety Issues in Integration of Complex Digital Systems | 20.RD  | 33,734          | -                                 |
| <b>Total for Honeywell International Inc.</b>            |                |   |  |        | <b>33,734</b>   | -                                 |
| <b>TOTAL for Department of Transportation</b>            |                |   |  |        | <b>357,471</b>  | -                                 |

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|---|----------------|------------------------------------|--|--------|-----------------|---|
| <b>MISCELLANEOUS FEDERAL GOVT</b>                   |                |                                    |  |        |                 |   |
| <b>Harvard University</b>                           |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6933698        | 167937-5093336                     | Cortical Architecture and Algorithms for Machine Listening   | 15.RD  | 88,135          | -   |
| <b>Total for Harvard University</b>                 |                |                                    |  |        | <b>88,135</b>   | -   |
| <b>Dynamic Object Language Labs, Inc.</b>           |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6934889        | AGREEMENT EFF.<br>07/01/2016       | Context-driven Active-Sensing for Repair Tasks (CART)  | 12.RD  | 162,316         | -   |
| <b>Total for Dynamic Object Language Labs, Inc.</b> |                |                                    |  |        | <b>162,316</b>  | -   |
| <b>Colorado State University</b>                    |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6928840        | G-9870-1                           | Estimating the Effects of Changing Climate on Fires and Consequences for U.S. Air Quality, Using a Set of Global and Regional Climate Models | 15.232 | -414            | -   |
| <b>Total for Colorado State University</b>          |                |                                    |  |        | <b>-414</b>     | -   |
| <b>Harvard School of Public Health</b>              |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6934711        | 112544-5087396                     | Projecting and Quantifying Future Changes in Socioeconomic Drivers of Air Pollution and its Health-related Impacts                           | 66.509 | 248,578         | -   |
| <b>Total for Harvard School of Public Health</b>    |                |                                    |  |        | <b>248,578</b>  | -   |
| <b>RTI International</b>                            |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6938644        | 16-312-0213426-65208L/PO<br>65208L | Modeling The Economy and The Electricity Sector To Support EPA's Air Regulation  | 66.RD  | 100,497         | -   |
| <b>Total for RTI International</b>                  |                |                                    |  |        | <b>100,497</b>  | -   |
| <b>University of Pennsylvania</b>                   |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6933436        | 562731                             | Enabling Citizens and Owners to Invest in Green Infrastructure in Philadelphia   | 66.509 | 19,810          | -   |
| <b>Total for University of Pennsylvania</b>         |                |                                    |  |        | <b>19,810</b>   | -   |
| <b>Solar Sister, Inc.</b>                           |                |                                    |  |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                          | 6936133        | AGREEMENT DATED 4/1/17             | Solar Sister Advancing Women's Sustainable Energy Entrepreneurship and Climate Change Leadership   | 19.017 | 80,747          | -   |
| <b>Total for Solar Sister, Inc.</b>                 |                |                                    |  |        | <b>80,747</b>   | -   |

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| Prime Sponsor Name          | Project WBS id | Passthrough Number | WBS Project Name  | CFDA # | Amount Expended  | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|-----------------------------|----------------|--------------------|---|--------|------------------|---|
| <b>The QED Group LLC</b>    |                |                    |   |        |                  |   |
| MISCELLANEOUS FEDERAL GOVT  | 6932640        | KDAD-15-001        | eLearning Assessment  | 98.RD  | -957             | -   |
|                             |                |                    | <b>Total for The QED Group LLC</b>  |        | <b>-957</b>      | <b>-</b>                                      |
| <b>University of Hawaii</b> |                |                    |   |        |                  |   |
| MISCELLANEOUS FEDERAL GOVT  | 6934636        | MA1030             | Disaster Management Early Warning and Decision Support Capacity Enhancement within Indonesia's BNPB and BPBD - PARENT | 98.001 | 422,057          | -   |
|                             |                |                    | <b>Total for University of Hawaii</b>   |        | <b>422,057</b>   | <b>-</b>                                      |
|                             |                |                    | <b>TOTAL for Miscellaneous Federal Govt</b>   |        | <b>1,120,769</b> | <b>-</b>                                      |



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| Prime Sponsor Name                                   | Project WBS id | Passthrough Number | WBS Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|--|----------------|--------------------|---|--------|-----------------|-----------------------------------|
| <b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</b> |                |                    |   |        |                 |                                   |
| <b>Brown University</b>                              |                |                    |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6930189        | 00000677           | SSERVI: Environment and Evolution of Exploration Destinations: Science and Engineering Synergism          | 43.001 | 112,248         | 2,125                             |
| <b>University of California - Berkeley</b>           |                |                    |   |        | <b>112,248</b>  | <b>2,125</b>                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6935635        | 00009378           | High-Order Methods for Fluid Structure Interaction  | 43.002 | 164,329         | -                                 |
| <b>ATAC Corporation</b>                              |                |                    |   |        | <b>164,329</b>  | <b>-</b>                          |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6935423        | 10-1613-MIT        | Assessment of the benefits and costs of integrating arrival, departure, and surface operations with ATD-2 | 43.RD  | 62,017          | -                                 |
| <b>Applied Physics Lab of Johns Hopkins</b>          |                |                    |   |        | <b>62,017</b>   | <b>-</b>                          |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6931748        | 126755             | Research Opportunities in Space and Earth Sciences 2014   | 43.001 | 214,350         | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6936841        | SUBAWARD 141711    | Anatomy of tori: comparing the extremes demonstrated by Jupiter's and Saturn's Magnetospheres             | 43.001 | 34,488          | -                                 |
| <b>CalTech - Jet Propulsion Lab</b>                  |                |                    |   |        | <b>248,839</b>  | <b>-</b>                          |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6899758        | 1283622            | Voyager Interstellar Mission (VIM) Plasma Science   | 43.RD  | 382,427         | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6932364        | 1532689            | EUROPA - MISE Co-1 Subcontract  | 43.RD  | 29,513          | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6933469        | 1541064            | The Eccentric Exoplanets: A Survey of Atmospheric Heating and Variability                                 | 43.RD  | 7               | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6934362        | 1553749            | Recent sea-ice and ice-sheet changes and their relation to the coupled ocean-atmosphere system            | 43.RD  | 4,737           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6938408        | 1597152            | Ionization and Enrichment of Intergalactic Gas Near the Reionization Epoch                                | 43.001 | 3,393           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6932365        | CREI 1532602       | EUROPA - ICEMAG   | 43.RD  | 4,384           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6936100        | CREI 1572041       | ECCO: Understanding Sea Level, Ice, and Earth's Climate   | 43.RD  | 233,257         | -                                 |

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|---|----------------|-------------------------|---|--------|------------------|----------------------|--------------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6936482        | CREI 1576768            | Psyche - JPL  | 43.RD  | 177,071          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935586        | RSA 1567573             | Analyses of Radio Data from Exoplanets  | 43.RD  | 5,273            | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935834        | RSA 1569352             | Red worlds: Spitzer exploration of a compact system of temperate terrestrial planets transiting a nearby Jupiter-sized star | 43.RD  | 19,762           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937443        | RSA 1584272             | Critical Support Data for Triton Atmosphere Study   | 43.RD  | 9,855            | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937444        | RSA 1585980             | Recent sea-ice and ice-sheet changes and their relation to the coupled ocean-atmosphere system                              | 43.RD  | 59,977           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935474        | RSA NO. 1564029         | Novel Readout for Deep Space Optical Communication Receivers  | 43.001 | 24,054           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937477        | RSA NO. 1572919         | Consortium on Ultracold Atoms in Space - Year 4   | 43.001 | 71,825           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6938549        | RSA NO. 1592882         | Consortium on Ultracold Atoms in Space - Year 5   | 43.001 | 42,421           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6925531        | SUBCONTRACT 1453629     | Planning for MIT Comet Magnetization Investigations   | 43.RD  | 22,490           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6930713        | SUBCONTRACT NO. 1510842 | Soil Moisture Science and Product Development   | 43.RD  | 376,432          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6931399        | SUBCONTRACT NO. 1517907 | The Mars Oxygen ISRU Experiment (MOXIE)   | 43.RD  | -266             | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6934038        | SUBCONTRACT NO. 1546769 | JPL Innovation Foundry  | 43.RD  | -13,585          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933894        | SUBCONTRACT NO. 1547496 | MIT Support to SOXE Stack Post-Test Evaluation  | 43.RD  | -43              | -                    | -                                    |
|   |                |                         | <b>Total for CalTech - Jet Propulsion Lab</b>   |        | <b>1,452,986</b> |                      |                                      |
| <b>University of Colorado Boulder</b>         |                |                         |   |        |                  |                      |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932162        | 1552615/ PO #1000510992 | Rock Powered Life   | 43.001 | 71,241           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6930573        | PO# 1000381071          | TST: Response of the Atmosphere to Impulsive Solar Events (RAISE)   | 43.001 | 26,491           | -                    | -                                    |
|   |                |                         | <b>Total for University of Colorado Boulder</b>   |        | <b>97,732</b>    |                      |                                      |
| <b>University of New Hampshire</b>            |                |                         |   |        |                  |                      |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6938280        | 18-028                  | Storm Enhanced Density, Tongues of Ionization, and Sub Auroral Polarization Streams   | 43.001 | 2,244            | -                    | -                                    |

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|---|----------------|------------------------------|--|--------|-----------------|-----------------------------|--------------------------------------|
| <b>Arizona State University</b>               |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937931        | 18-391                       | High Temperature GaN Microprocessor for Space Applications   | 43.001 |                 | 17,324                      | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937562        | SUBCONTRACT NO. 17-257       | Psyche: Journey to a Metal World (ASU)   | 43.RD  |                 | 139,281                     | -                                    |
|   |                |                              | <b>Total for Arizona State University</b>  |        |                 | <b>156,604</b>              | -                                    |
| <b>Lowell Observatory</b>                     |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932482        | 2015-81520                   | Occultation Studies of Small Bodies in the Outer Solar System  | 43.RD  |                 | 91,152                      | -                                    |
|   |                |                              | <b>Total for Lowell Observatory</b>  |        |                 | <b>91,152</b>               | -                                    |
| <b>Syracuse University</b>                    |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935422        | 28469-04461-S01              | Distributed Multi-processor Geometry Environment to Support Design and Analysis on Extreme-scale Grids                           | 43.002 |                 | 33,746                      | -                                    |
|   |                |                              | <b>Total for Syracuse University</b>   |        |                 | <b>33,746</b>               | -                                    |
| <b>Southwest Research Institute</b>           |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6893453        | 299433Q/SUB UNDER NASW-02008 | New Horizon Science Team Member 05310-SOW-02 Rev O Chg O   | 43.RD  |                 | 98,919                      | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6938097        | K99059JRG                    | Lucy Phase B   | 43.RD  |                 | 2,138                       | -                                    |
|   |                |                              | <b>Total for Southwest Research Institute</b>  |        |                 | <b>101,056</b>              | -                                    |
| <b>University of Michigan</b>                 |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933514        | 3003768337                   | Scalable Multifidelity Design Optimization: Next Generation Aircraft and their Impact on the Air Transportation System--Phase II | 43.002 |                 | 82,949                      | -                                    |
|   |                |                              | <b>Total for University of Michigan</b>  |        |                 | <b>82,949</b>               | -                                    |
| <b>University of Southern California</b>      |                |                              |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6927488        | 34714188                     | Land Information System for SMAP Tier-1 and AirMOSS Earth Venture-1 Decadal Survey Missions                                      | 43.001 |                 | 14                          | -                                    |
|   |                |                              | <b>Total for University of Southern California</b>   |        |                 | <b>14</b>                   | -                                    |
| <b>Purdue University</b>                      |                |                              |  |        |                 |                             |                                      |

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|---|----------------|--------------------------------|---|--------|-----------------|-----------------------------|--------------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6935856        | 4103-76778                     | Constraining lunar crater saturation by modeling GRAIL porosity                           | 43.001 | 37,094          | -                           | -                                    |
| <b>California Institute of Technology</b>             |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6927547        | 44A-1093689                    | Analysis of NuSTAR Observations of Sgr A* and the Galactic Center                         | 43.001 | 85              | -                           | -                                    |
| <b>Total for Purdue University</b>                    |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>37,094</b>   | <b>37,094</b>               | <b>-</b>                             |
| <b>Pennsylvania State University</b>                  |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6931728        | 5190-MIT-NASA-C46G             | Fast Event Recognition for the ATHENA Wide Field Imager                                   | 43.001 | 23,649          | -                           | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6935503        | 5586-MIT-NASA-B07G             | MIT Participation in a U.S. Contribution to the ATHENA Wide-field Imager                  | 43.001 | 139,321         | -                           | -                                    |
| <b>Total for California Institute of Technology</b>   |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>85</b>       | <b>85</b>                   | <b>-</b>                             |
| <b>University of Pennsylvania</b>                     |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6932568        | 566962/10048151/14976/00       | Laboratory Investigations of the Effects of Particulates on the Flow of Ice               | 43.001 | 6,013           | -                           | -                                    |
| <b>Total for Pennsylvania State University</b>        |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>162,970</b>  | <b>162,970</b>              | <b>-</b>                             |
| <b>Stanford University</b>                            |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6934882        | 61238711-122362                | WFIRST - Exoplanet Coronagraphy Science Team  | 43.001 | 39,296          | -                           | -                                    |
| <b>Total for University of Pennsylvania</b>           |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>6,013</b>    | <b>6,013</b>                | <b>-</b>                             |
| <b>Baylor College of Medicine</b>                     |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6936096        | 7000000324 / TRISH PROJ# DS002 | Transitional Research Institute   | 43.003 | 398,335         | 309,692                     | 309,692                              |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6930332        | HFP03801                       | Customized Refresher and Just-In-Time Training for Long-Duration Spaceflight Crews        | 43.002 | 1,560           | -                           | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6937667        | NNX16A069A/PO#700000483        | Gastrointestinal Devices for Long-Term In Situ Delivery of Therapeutic Microbes           | 43.003 | 97,500          | -                           | -                                    |
| <b>Total for Baylor College of Medicine</b>           |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>497,394</b>  | <b>497,394</b>              | <b>309,692</b>                       |
| <b>Woods Hole Oceanographic Institution</b>           |                |                                |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION         | 6935447        | A101331                        | Cooperative Exploration with Under-actuated Autonomous Vehicles in Hazardous Environments | 43.001 | 21,682          | -                           | -                                    |
| <b>Total for Woods Hole Oceanographic Institution</b> |                |                                |   |        |                 |                             |                                      |
|   |                |                                |   |        | <b>21,682</b>   | <b>21,682</b>               | <b>-</b>                             |

**Appendix A3**  
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**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                                   | Project WBS id | Passthrough Number       | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|--|----------------|--------------------------|--|--------|-----------------|-----------------------------|--------------------------------------|
| <b>Cross Trac Engineering, Inc.</b>                  |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6937130        | AGMT DATED 6/10/17       | Optical Intersatellite Communications for CubeSat Swarms                                 | 43.RD  | 52,337          | 52,337                      | -                                    |
| <b>Total for Cross Trac Engineering, Inc.</b>        |                |                          |  |        |                 |                             |                                      |
| <b>Aerospace Corporation</b>                         |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6934403        | AGREEMENT DATED 6-2-2016 | Storm-time Dynamics of the Plasmopause and the Ionosphere/Magnetosphere System           | 43.001 | 44,949          | 44,949                      | -                                    |
| <b>Total for Aerospace Corporation</b>               |                |                          |  |        |                 |                             |                                      |
| <b>ProtoInnovations, LLC</b>                         |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6931960        | AGRMNT EFFECTIVE 5-1-15  | Advanced Algorithms and Controls for Superior Robotic All-Terrain Mobility (Phase 2)     | 43.RD  | 73,973          | 73,973                      | -                                    |
| <b>Total for ProtoInnovations, LLC</b>               |                |                          |  |        |                 |                             |                                      |
| <b>Aurora Flight Sciences Corporation</b>            |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6932984        | AMA-16-0001              | D8 Conceptual Sizing Sensitivity Analysis  | 43.RD  | -144            | -144                        | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6935098        | AMA-16-0013              | Coordination and Control of Swarms of Space Vehicles                                     | 43.RD  | 148             | 148                         | -                                    |
| <b>Total for Aurora Flight Sciences Corporation</b>  |                |                          |  |        |                 |                             |                                      |
| <b>University of Idaho</b>                           |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6933536        | AMK162-SB-001            | Waves and Surface Roughness on Titan from Specular Sun Glints                            | 43.001 | 24,597          | 24,597                      | -                                    |
| <b>Total for University of Idaho</b>                 |                |                          |  |        |                 |                             |                                      |
| <b>Smithsonian Inst. - Astrophysical Observatory</b> |                |                          |  |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6937952        | AR8-19001B               | Spectral Classification of Massive Stars Based on Their X-ray Spectra (Chandra 19200002) | 43.RD  | 3,208           | 3,208                       | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6934205        | DD5-16077X               | The Dim State of RW Aur (Chandra 16208505)   | 43.001 | 10,252          | 10,252                      | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6931105        | G04-15027X               | Investigating New Integral Sources with Chandra  | 43.001 | 5,662           | 5,662                       | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6925445        | GO2-13110A               | Chandra HETG Ultra-deep Gratings Spectroscopy of Sgr A* (CHUGSS) (Chandra 13620807)      | 43.RD  | 90,296          | 90,296                      | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION        | 6926210        | GO2-13131A               | Variability and particle acceleration in the jet of Pictor A (Chandra 13700620)          | 43.RD  | -1,204          | -1,204                      | -                                    |

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|---|----------------|--------------------|---|--------|-----------------|----------------------|--------------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6927863        | GO3-14003A         | Wolf-Rayet Winds at High Spectral Resolution (Chandra 14200176)   | 43.RD  | 56,952          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6928578        | GO3-14099X         | Monitoring the Tidal Disruption of a Gas Cloud Approaching Sgr A* (Chandra 14620924)  | 43.RD  | 6,081           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6930733        | GO4-15040A         | SS433 Jet Formation   | 43.001 | 10,117          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6929736        | GO4-15091B         | Monitoring the Tidal Disruption of the Gas Cloud G2 As It Encounters Sgr A* (Chandra 15620853)  | 43.001 | 28,497          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6931519        | GO5-16009A         | A Deep X-ray look at a very massive star: HETGS spectroscopy of the blue hypergiant HIP 101364 (Chandra 16200225)                       | 43.001 | 20,050          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6931494        | GO5-16014X         | Challenging accretion models with an HETG observation of T Tau (Chandra 16200403)   | 43.001 | 20,803          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932414        | GO5-16032B         | Following a black hole candidate X-ray transient to quiescence (Chandra 16400196)   | 43.001 | 1,486           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933019        | GO5-16041X         | Precise Localization of Transient Low-Mass X-ray Binaries (Chandra 16400444)  | 43.001 | 15,176          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932416        | GO5-16046X         | An Integrated Approach to Winds, Jets, and State Transitions (Chandra 16400577)   | 43.001 | -49             | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932077        | GO5-16050A         | Spying on millisecond pulsar paradise: Chandra+GBT monitoring of M28 (Chandra 16400865)   | 43.001 | 2               | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933004        | GO5-16051X         | What is the Orbital Period of the Hierarchical Triple Candidate 4U 2129+47? (Chandra 16400867)  | 43.001 | 5,747           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6932415        | GO5-16141X         | A MASS-LIMITED SURVEY OF GALAXY CLUSTERS AT $1.2 < z < 1.7$ : PROBING THE PHYSICS OF THE ICM DURING ITS ASSEMBLY (Chandra 16800690)     | 43.001 | 120,172         | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6931769        | GO5-16143X         | Distant Galaxy Clusters Hosting Extreme Central Galaxies  | 43.001 | 1,084           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935501        | GO6-17011X         | How hot can flares from young stars be? (Chandra 17200180)  | 43.001 | 314             | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933501        | GO6-17013A         | Using high resolution x-ray spectra to probe accretion, abundances, and coronal activity in the young cluster IC 348 (Chandra 17200344) | 43.001 | 48,958          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935186        | GO6-17019X         | X-rays reveal a new, hot jet component: The case of Sz 102 (Chandra 17200524)   | 43.001 | 12,052          | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933985        | GO6-17028B         | Transient LMXBs in Globular Clusters (Chandra 17400107)   | 43.001 | 3,526           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935006        | GO6-17031B         | Probing the physics of neutron stars using Terzan 5 (Chandra 17400144)  | 43.001 | 2,887           | -                    | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935589        | GO6-17032X         | Precise Localization of Transient Low-Mass X-ray Binaries (Chandra 17400172)  | 43.001 | 22,577          | -                    | -                                    |

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|---|----------------|--------------------|--|--------|-----------------|----------------------|--------------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6934987        | GO6-17033X         | Crust cooling of accretion heated neutron stars (Chandra 17400173)   | 43.001 | 10,421          | 10,421               | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935500        | GO6-17037X         | An Integrated Approach to Winds, Jets, and State Transitions (Chandra 17400281)  | 43.001 | 11,725          | 11,725               | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6934988        | GO6-17048X         | Late-time cooling of the neutron star crust in the super-Eddington accretor XTE J1701-462 (Chandra 17400704)             | 43.001 | 1,839           | 1,839                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933767        | GO6-17109X         | A Fossil Group in Formation (Chandra 17800155)   | 43.001 | 4,187           | 4,187                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935013        | GO6-17112A         | Deep X-ray Observations of 3 exceptional high-z clusters of galaxies (Chandra 17800222)                                  | 43.001 | 33,982          | 33,982               | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935018        | GO6-17128A         | SPT-CL J0329-2330: CHARACTERIZING THE X-RAY PROPERTIES OF AN EXCEPTIONAL HIGH-REDSHIFT GALAXY CLUSTER (Chandra 17800659) | 43.001 | 503             | 503                  | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6934989        | GO6-17134X         | Optical Depth of Si K in Bright Low-Mass X-Ray Binaries (Chandra 17910267)   | 43.001 | 2,587           | 2,587                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935007        | GO6-17136A         | Understanding How a Black Hole Feeds: Sgr A* (Chandra 17620813)  | 43.001 | 16,241          | 16,241               | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6936483        | GO7-18002C         | X-rays from Young Low-Mass Stars: Inhospitable Habitable Zones? (Chandra 18200025)                                       | 43.RD  | 654             | 654                  | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937657        | GO7-18012B         | Definitive X-Ray Detection of the Class 0 Protostar HOPS 383 (Chandra 18200290)  | 43.RD  | 5,067           | 5,067                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935779        | GO7-18015X         | What is the hottest cool star? (Chandra 18200423)  | 43.001 | -137            | -137                 | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6936479        | GO7-18025X         | Precise Localization of Transient Low-Mass X-ray Binaries (Chandra 18400089)   | 43.RD  | 3,633           | 3,633                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935827        | GO7-18031B         | New progress in understanding the crusts of neutron stars (Chandra 18400330)   | 43.001 | 5,934           | 5,934                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6936844        | GO7-18035X         | The Puzzling Nature OF THE YOUNG MICROQUASAR CIR X-1 (Chandra 18400420)  | 43.RD  | 8,046           | 8,046                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937614        | GO7-18124X         | A Deep, High-Resolution X-ray Analysis of the Phoenix Cluster (Chandra 18800481)   | 43.RD  | 55,831          | 55,831               | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6938403        | GO8-19111X         | The Chandra Strong Lens Sample: Revealing Baryonic Physics In Strong Lensing Selected Clusters (Chandra 19800436)        | 43.RD  | 7,606           | 7,606                | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6926645        | SV2-82023          | ACIS Science Support for the Chandra Program   | 43.RD  | 287,621         | 287,621              | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6895251        | SV3-73016          | Support of the Chandra X-Ray Center (CXC)  | 43.RD  | 3,231,064       | 3,231,064            | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935585        | SV7-87005          | Fabrication of x-ray reflector gratings for the MAGIXS mission   | 43.RD  | 38,661          | 38,661               | -                                    |

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|---|----------------|-----------------------------|---|--------|------------------|-----------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937023        | SV8-88004                   | Support of the ARCUS Mission: Exploring the Formation and Evolution of Clusters, Galaxies, and Stars  | 43.RD  | 55,878           | -                                 |
| <b>Space Telescope Science Institute</b>      |                |                             |   |        | <b>4,265,988</b> | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6929020        | HST-GO-13380.01-A           | Probing Black Hole Disk Atmospheres with EPIC and RGS Observations of 4U 1957+11 (HST 13380)  | 43.RD  | 21,999           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6930667        | HST-GO-13456.002A           | Searching for 300,000 Degree Gas in the Core of the Phoenix Cluster with HST-COS (HST 13456)  | 43.RD  | 2,366            | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935587        | HST-GO-13639.014-A          | Resolving Lyman-alpha Emission On Physical Scales < 270 pc at z > 4 (HST-GO-13639)  | 43.001 | 945              | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6931429        | HST-GO-13766.010-A          | The nature of stationary components in jets from young stellar objects  | 43.RD  | 26,930           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6934283        | HST-GO14151.001-A           | Constraining Pop III supernova energies and the formation of the first low-mass stars with the iron-poor star HE1327-2326 (with [Fe/H] = -5.4) (HST GO-14151) | 43.RD  | 15,606           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6933896        | HST-GO-14352.009-A          | Deep X-ray Observations of 3 exceptional high-z clusters of galaxies (HST GO-14352)   | 43.RD  | 10,160           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935437        | HST-GO-14677.006-A          | Probing the most distant high-mass galaxy clusters from SPT with HST weak lensing observations  | 43.RD  | 22,063           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937987        | HST-GO-14797.015-A          | Atmospheric Albedos, Alkalis, and Aerosols of Hot Jupiters (HST 14797)  | 43.RD  | 5,540            | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6936157        | HST-GO-14896.002-A          | Precise Photometric Redshifts For Two Bright z>8 Galaxies (HST-GO-14896)  | 43.RD  | 10,106           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6935833        | HST-GO-14900.001-A          | Confirming the Presence of an Hydrogen Exosphere around the Earth-sized Temperate Planet TRAPPIST-1c  | 43.RD  | 15,834           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937649        | HST-GO-15304-001-A          | Collecting the Puzzle Pieces: Completing HST's UV +NIR Survey of the TRAPPIST-1 System ahead of JWST  | 43.RD  | 41,756           | -                                 |
| <b>Michigan Technological University</b>      |                |                             |   |        | <b>173,305</b>   | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937520        | NNX17AJ32G                  | Institute for Ultra-Strong Composites By Computational Design (US-COMP)   | 43.012 | 79,094           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | 6937089        | SUB 1607060Z6 / PO P0100197 | Institute for Ultra-Strong Composites By Computational Design (US-COMP)   | 43.012 | 233,004          | -                                 |
| <b>Honeywell</b>                              |                |                             |   |        | <b>312,098</b>   | -                                 |



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|--|----------------|--|---|--------|-----------------|-----------------------------|--------------------------------------|
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6930862        | NON11042 PO #4205965818                    | Category-theoretic Approaches for the Analysis of Distributed Systems           | 43.RD  | 21,250          | 21,250                      | -                                    |
| <b>University of Arizona</b>                       |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6935314        | PO 363458                                  | REXIS - REgolith X-ray Imaging Spectrometer Phase E Operations                  | 43.RD  | 514,134         | 514,134                     | 347,556                              |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6938420        | PO NO. 440148                              | GUSTO: Gal/Xgal U/LDB Spectroscopic/Stratospheric THz Observatory               | 43.RD  | 103,003         | 103,003                     | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6924918        | PURCHASE ORDER 6473                        | OSIRIS-REx Near-Earth Asteroid Sample Return                                    | 43.RD  | 3,543           | 3,543                       | -                                    |
|  |                |  |   |        | <b>620,680</b>  | <b>620,680</b>              | <b>347,556</b>                       |
| <b>Old Dominion University Research Foundation</b> |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6933006        | RF PROJECT NO.: 16-134-100558-010          | Extreme-Scale Parallel Mesh Generation: CFD 2030 Vision                         | 43.002 | 54,454          | 54,454                      | -                                    |
| <b>LongWave Photonics LLC</b>                      |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6932654        | SBIR AGMT UNDER NNX15CP15C                 | SBIR Ph II: Terahertz quantum cascade laser local oscillator                    | 43.RD  | 16,770          | 16,770                      | -                                    |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6936666        | SBIR AGMT UNDER NNX17CP49P                 | SBIR Ph I: Tunable, High-Power Terahertz Quantum Cascade Laser Local Oscillator | 43.RD  | 32,000          | 32,000                      | -                                    |
|  |                |  |   |        | <b>48,770</b>   | <b>48,770</b>               | <b>-</b>                             |
| <b>Universities Space Research Association</b>     |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6938190        | SOF-06-0160                                | Monitoring Titan's Atmosphere in the Post-Cassini Era with Stellar Occulations  | 43.RD  | 4,008           | 4,008                       | -                                    |
| <b>Northwestern University</b>                     |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6935231        | SP0037418-PROJ0010518                      | David Goldfinger - continued support on Micro-X                                 | 43.001 | 29,623          | 29,623                      | -                                    |
|  |                |  |   |        | <b>29,623</b>   | <b>29,623</b>               | <b>-</b>                             |
| <b>National Institute of Aerospace</b>             |                |  |   |        |                 |                             |                                      |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION      | 6937296        | SUBCONTRACT T13-6500-MIT/TASK ORDER 601009 | Further Analysis of the Operational Aspects of On-Demand Mobility               | 43.RD  | 82,159          | 82,159                      | -                                    |

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| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 6933720        | SUBCONTRACT T13-6500-MIT/TASK ORDER 6565-MIT | On Demand Mobility Studies: Investigating Vehicle Platforms Able to Carry Small Packages to 9 Passengers, with Investigations of their Enabling Component Technologies | 43.RD  | 2,335            | -   |
| <b>The Smithsonian Astrophysical Observatory</b>               |                |  |  |        | <b>84,495</b>    | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 6936756        | SV7-87016                                    | CubeSat X-ray Telescope (CubeX) for Elemental Abundance Mapping of Airless Bodies, and X-ray Pulsar Navigation   | 43.001 | 40,656           | -   |
| <b>TRAC Labs, Inc</b>  |                |  |  |        | <b>40,656</b>    | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 6936594        | T0093.01-T037                                | NASA (STTR): Flight Director In A Box: Using Learning to Develop Planning Agents for Exploration   | 43.RD  | 49,554           | -   |
| <b>University of Texas - Austin</b>                            |                |  |  |        | <b>49,554</b>    | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 6934349        | UTA16-000512                                 | Evolving global ocean state estimation to the SWOT era   | 43.001 | 42,296           | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 6935828        | UTA17-000296                                 | Dark Influences at the Threshold of Galaxy Formation   | 43.001 | 161,683          | -   |
| <b>Total for University of Texas - Austin</b>                  |                |  |  |        | <b>203,980</b>   | -   |
| <b>TOTAL for National Aeronautics and Space Administration</b> |                |  |  |        | <b>9,475,171</b> | <b>659,373</b>                                |

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|--|----------------|----------------------------------|---|--------|------------------|---|
| <b>NATIONAL SCIENCE FOUNDATION</b>                       |                |                                  |   |        |                  |   |
| <b>University of California - Berkeley</b>               |                |                                  |   |        |                  |   |
| NATIONAL SCIENCE FOUNDATION                              | 2744467        | 00007444                         | Center for Energy Efficient Electronics Science (E3S)   | 47.041 | 832,515          | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6932473        | 00008648                         | HERA: Illuminating Our Early Universe   | 47.049 | 30,635           | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6935339        | 00009391                         | HERA: Illuminating Our Early Universe   | 47.049 | 48,076           | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6933483        | SUBAWARD<br>00008317/MCB-1330914 | Synthetic biology of yeast  | 47.074 | 121,335          | -   |
| <b>Total for University of California - Berkeley</b>     |                |                                  |   |        | <b>1,032,561</b> | <b>-</b>                                      |
| <b>University of California, Los Angeles</b>             |                |                                  |   |        |                  |   |
| NATIONAL SCIENCE FOUNDATION                              | 6937849        | 0160 G VB426                     | EFRI ACQUIRE: A chip-scale high-dimensional entanglement and quantum memory module for secure communications  | 47.041 | 95,448           | -   |
| <b>Total for University of California, Los Angeles</b>   |                |                                  |   |        | <b>95,448</b>    | <b>-</b>                                      |
| <b>University of Illinois-Urbana Champaign</b>           |                |                                  |   |        |                  |   |
| NATIONAL SCIENCE FOUNDATION                              | 2389306        | 020016-16527                     | Quantifying Defect Tolerance in Semiconductors  | 47.070 | 37,697           | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6931375        | 2014-05135-01                    | Atomic Beam Source (ABS) Development  | 47.049 | 130,878          | -   |
| <b>Total for University of Illinois-Urbana Champaign</b> |                |                                  |   |        | <b>168,575</b>   | <b>-</b>                                      |
| <b>Columbia University</b>                               |                |                                  |   |        |                  |   |
| NATIONAL SCIENCE FOUNDATION                              | 2747978        | 1(GG008891)                      | CNH: Competing Demands and Future Vulnerability of Groundwater: Drinking Water Quality and Food Security in Arsenic-Impacted South and Southeast Asia | 47.050 | 6,710            | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6931173        | 1(GG008891) / PO G05323          | CNH: Competing Demands and Future Vulnerability of Groundwater: Drinking Water Quality and Food Security in Arsenic-Impacted South and Southeast Asia | 47.050 | 55,485           | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6935295        | 46(GG009393)                     | Participation of David T. Wang on Expedition 370  | 47.050 | 10,724           | -   |
| <b>Total for Columbia University</b>                     |                |                                  |   |        | <b>72,919</b>    | <b>-</b>                                      |
| <b>Carnegie-Mellon University</b>                        |                |                                  |   |        |                  |   |
| NATIONAL SCIENCE FOUNDATION                              | 6932341        | 1122145-344388                   | CSR: Medium: Distributed Inference Algorithms for Machine Learning and Optimization   | 47.070 | 139,856          | -   |
| NATIONAL SCIENCE FOUNDATION                              | 6930825        | 1122183-333057                   | CIF21: DIBBS: Building a Scalable Infrastructure for Data-Driven Discovery and Innovation in Education  | 47.070 | 321,875          | -   |
| <b>Total for Carnegie-Mellon University</b>              |                |                                  |   |        | <b>461,731</b>   | <b>-</b>                                      |
| <b>University of Wisconsin</b>                           |                |                                  |   |        |                  |   |

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| Prime Sponsor Name                                      | Project WBS id | Passthrough Number                | WBS Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|---|----------------|-----------------------------------|---|--------|-----------------|-----------------------------------|
| NATIONAL SCIENCE FOUNDATION                             | 6926610        | 123405535/144PRJ55WL              | Data Handling and Analysis Infrastructure for Advanced LIGO and Beyond  | 47.049 | 8,345           | -                                 |
| <b>Harvard University</b>                               |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6932524        | 123826-5056263                    | Center for Integrated Quantum Materials   | 47.049 | 1,366,385       | -                                 |
| NATIONAL SCIENCE FOUNDATION                             | 6932660        | 123937-5096527                    | Biologically Inspired Optimized Materials And Technologies Transformed by Evolutionary Rules (BIOMATTER)                      | 47.049 | 88,607          | -                                 |
| <b>Total for University of Wisconsin</b>                |                |                                   |   |        | <b>8,345</b>    | -                                 |
| <b>Washington State University</b>                      |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6937644        | 132249-G003779                    | Engineering Synthetic Symbiosis Between Pland and Bacteria to Deliver Nitrogen to Crops                                       | 47.074 | 134,134         | -                                 |
| <b>Total for Washington State University</b>            |                |                                   |   |        | <b>134,134</b>  | -                                 |
| <b>Arizona State University</b>                         |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6929035        | 14-374                            | FESD Type 1: The Dynamics of Earth System Oxygenation   | 47.050 | 311,143         | -                                 |
| NATIONAL SCIENCE FOUNDATION                             | 6938642        | 17-096                            | QESST: ERC for Quantum Energy and Sustainable Solar Technologies  | 47.041 | 140,292         | -                                 |
| NATIONAL SCIENCE FOUNDATION                             | 6936233        | SUBAWARD NO: 17-096               | QESST: ERC for Quantum Energy and Sustainable Solar Technologies  | 47.041 | 32,473          | -                                 |
| <b>Total for Arizona State University</b>               |                |                                   |   |        | <b>483,908</b>  | -                                 |
| <b>New York University School of Medicine</b>           |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6935153        | 14-AO-00-00315301: PROJECT 103733 | CRCNS: Computational Approaches to Uncover Neural Representation of Population Codes in Rodent Hippocampal-Cortical Circuits. | 47.070 | 64,298          | -                                 |
| <b>Total for New York University School of Medicine</b> |                |                                   |   |        | <b>64,298</b>   | -                                 |
| <b>George Washington University</b>                     |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6935442        | 16-S08                            | PIRE: Promoting Urban Sustainability in the Arctic  | 47.083 | 71,512          | -                                 |
| <b>Total for George Washington University</b>           |                |                                   |   |        | <b>71,512</b>   | -                                 |
| <b>University of Massachusetts - Amherst</b>            |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                             | 6937426        | 18-010023 A                       | CCI: Center for Autonomous Chemistry  | 47.049 | 116,585         | -                                 |
| <b>Total for University of Massachusetts - Amherst</b>  |                |                                   |   |        | <b>116,585</b>  | -                                 |

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|---|----------------|-----------------------------------|---|--------|-----------------|-----------------------------------|
| <b>University of Oregon</b>                                 |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6936309        | 2005H0A                           | Chasing Icebergs: Quantifying Iceberg Motion and Melt in Greenland's Outlet Glacial Fjord                                       | 47.050 | 25,876          | -                                 |
| <b>Total for University of Oregon</b>                       |                |                                   |   |        | <b>25,876</b>   | -                                 |
| <b>University of Illinois at Chicago</b>                    |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6933103        | 2015-04326-01-00                  | EFRI 2-DARE: Thermal Transport in 2D Materials for Next Generation Nanoelectronics- From Fundamentals to Devices                | 47.041 | 130,216         | -                                 |
| <b>Total for University of Illinois at Chicago</b>          |                |                                   |   |        | <b>130,216</b>  | -                                 |
| <b>University of California/Davis</b>                       |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6936421        | 201601893-02                      | High-Performance, High-Level Tools for Statistical Inference and Unsupervised Learning  | 47.049 | 39,366          | -                                 |
| NATIONAL SCIENCE FOUNDATION                                 | 6936192        | SUBAWARD NO. 201702113-01         | Online Prices for Computing Standards of Living Across Countries (OPSLAC)   | 47.075 | 88,614          | -                                 |
| <b>Total for University of California/Davis</b>             |                |                                   |   |        | <b>127,980</b>  | -                                 |
| <b>Massachusetts General Hospital</b>                       |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6937933        | 229049                            | Liane Sarah Bernstein: Mechanical Mapping of Neural Stem Cell Differentiation   | 47.041 | 25,655          | -                                 |
| <b>Total for Massachusetts General Hospital</b>             |                |                                   |   |        | <b>25,655</b>   | -                                 |
| <b>University of Arizona</b>                                |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6932242        | 272622                            | BCSP: The Emergence of Inactivity: adaptive task allocation in complex distributed systems, or why are there so many lazy ants? | 47.074 | 115,323         | -                                 |
| <b>Total for University of Arizona</b>                      |                |                                   |   |        | <b>115,323</b>  | -                                 |
| <b>Concord Consortium</b>                                   |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6935372        | 303-01                            | DIP: Linking Complex Systems: Promoting reasoning within and across interconnected complex systems                              | 47.070 | 104,607         | -                                 |
| <b>Total for Concord Consortium</b>                         |                |                                   |   |        | <b>104,607</b>  | -                                 |
| <b>University of Kentucky Research Foundation</b>           |                |                                   |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                                 | 6937084        | 3200001352-18-023 / PO#7800003935 | PFI-AIR-TT: A Non-Aqueous Redox Flow Battery Prototype  | 47.041 | 13,132          | -                                 |
| <b>Total for University of Kentucky Research Foundation</b> |                |                                   |   |        | <b>13,132</b>   | -                                 |

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| Prime Sponsor Name                       | Project WBS id | Passthrough Number     | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|--|----------------|------------------------|--|--------|-----------------|---|
| <b>Duke University</b>                   |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6936878        | 333-2318               | CAREER: New Approaches for Ranking in Machine Learning   | 47.070 | 173,839         | -   |
| <b>Total for Duke University</b>         |                |                        |  |        | <b>173,839</b>  | -   |
| <b>Purdue University</b>                 |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6928397        | 4101-51804             | Network for Computational Nanotechnology (NCN)   | 47.041 | 45,487          | -   |
| NATIONAL SCIENCE FOUNDATION              | 6922876        | SUBAWARD #10000686-015 | Emerging Frontiers of Science of Information   | 47.070 | 438,041         | -   |
| <b>Total for Purdue University</b>       |                |                        |  |        | <b>483,528</b>  | -   |
| <b>University of Rochester</b>           |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6932946        | 416750G                | PIRE: DUST simulated drawn-down of atmospheric CO2 as a trigger for Northern Hemisphere Glaciation | 47.083 | 47,915          | -   |
| NATIONAL SCIENCE FOUNDATION              | 6935164        | 416929G/GR510498       | EFRI ACQUIRE: A Scalable Integrated Quantum Photonic Interconnect                                  | 47.041 | 107,892         | -   |
| <b>Total for University of Rochester</b> |                |                        |  |        | <b>155,807</b>  | -   |
| <b>Boston University</b>                 |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6938043        | 4500002547             | CIF21 DIBBs: EI: North Eastern Storage Exchange  | 47.070 | 18,371          | -   |
| NATIONAL SCIENCE FOUNDATION              | 6938402        | 50205759-9500307545    | Letter Agreement: Shoshana Das 01/16/18 - 03/31/18   | 47.041 | 15,745          | -   |
| <b>Total for Boston University</b>       |                |                        |  |        | <b>34,116</b>   | -   |
| <b>Northeastern University</b>           |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6928496        | 502076-78050A          | EFRI-ODISSEI: Origami and Assembly Techniques for Human-Tissue-Engineering (OATH)                  | 47.041 | 59,746          | -   |
| NATIONAL SCIENCE FOUNDATION              | 6928471        | 502076-78050B          | EFRI-ODISSEI: Origami and Assembly Techniques for Human-Tissue-Engineering (OATH)                  | 47.041 | 46,997          | -   |
| <b>Total for Northeastern University</b> |                |                        |  |        | <b>106,743</b>  | -   |
| <b>Boston College</b>                    |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6938343        | 5105841-1              | EAGER: Selective biodamage with shaped THz light fields  | 47.049 | 17,916          | -   |
| <b>Total for Boston College</b>          |                |                        |  |        | <b>17,916</b>   | -   |
| <b>Villanova University</b>              |                |                        |  |        |                 |   |
| NATIONAL SCIENCE FOUNDATION              | 6933407        | 525840-3               | Partnerships for Innovation: Building Innovation Capacity in Smart Stormwater Green Infrastructure | 47.041 | 993             | -   |

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|---|----------------|---------------------------------------|---|--------|-----------------|---|
| <b>University of Pennsylvania</b>         |                |                                       |   |        | <b>993</b>      | -   |
| NATIONAL SCIENCE FOUNDATION               | 6928993        | 557757                                | Center of Excellence for Materials Research and Innovation (CEMRI)  | 47.049 | -15,000         | -   |
| NATIONAL SCIENCE FOUNDATION               | 2748221        | SUBAWARD 572180                       | BioGraph 2.0 - Online Professional Development for High School Biology Teachers for Teaching and Learning About Complex Systems | 47.076 | 3,521           | -   |
| NATIONAL SCIENCE FOUNDATION               | 6937096        | SUBAWARD 572180/PO 4135512            | BioGraph 2.0 - Online Professional Development for High School Biology Teachers for Teaching and Learning About Complex Systems | 47.076 | 271,123         | -   |
| <b>Stanford University</b>                |                |                                       |   |        | <b>259,644</b>  | -   |
| NATIONAL SCIENCE FOUNDATION               | 6937285        | 61602537-126273                       | CCI Phase I: Center for First Principles Design of Quantum Processes  | 47.049 | 75,494          | -   |
| <b>Cornell University</b>                 |                |                                       |   |        | <b>75,494</b>   | -   |
| NATIONAL SCIENCE FOUNDATION               | 6935448        | 63016-10794                           | Cornell: Graphene Folding   | 47.049 | 19,917          | -   |
| NATIONAL SCIENCE FOUNDATION               | 6934136        | 77123-10681                           | Pulsars, Magneters, and Transients with Phased ALMA   | 47.049 | -322            | -   |
| NATIONAL SCIENCE FOUNDATION               | 6937589        | 80497-10951                           | 2D Atomic Membranes for 3D Systems  | 47.049 | 105,053         | -   |
| <b>University of Washington</b>           |                |                                       |   |        | <b>124,648</b>  | -   |
| NATIONAL SCIENCE FOUNDATION               | 6929618        | 724454                                | NSF Engineering Research Center for Sensorimotor Neural Laboratory of Electronics   | 47.041 | 84              | -   |
| NATIONAL SCIENCE FOUNDATION               | 6926728        | SUBCONTRACT NO. UWSC6730 / PO BPO4403 | Center for Enabling New Technologies through Catalysis (CENTC) Phase II Renewal   | 47.049 | 45,355          | -   |
| NATIONAL SCIENCE FOUNDATION               | 6934498        | UWSC6200 (BPO4405)                    | NSF Engineering Research Center for Sensorimotor Neural Laboratory of Electronics   | 47.041 | 363,265         | -   |
| <b>University of California-San Diego</b> |                |                                       |   |        | <b>408,703</b>  | -   |
| NATIONAL SCIENCE FOUNDATION               | 6935212        | 80302854                              | Energy-Efficient Computing: from Devices to Architectures (E2CDA) A Joint Initiative between NSF and SRC                        | 47.041 | 220,254         | -   |
| NATIONAL SCIENCE FOUNDATION               | 6937009        | 89409643                              | PFI/BIC: Smart Factories: An Intelligent Material Delivery System to Improve Human-Robot Workflow                               | 47.041 | 39,221          | -   |

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|--|----------------|--------------------------|---|--------|-----------------|-----------------------------------|
| <b>University of Southern California</b>                               |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 6937619        | 91255352                 | SCEC5 Research Collaboration with the Massachusetts Institute of Technology: Development of merged GPS time series for the Community Geodetic Model | 47.050 | 29,999          | -                                 |
| <b>Total for University of California-San Diego</b>                    |                |                          |   |        | <b>259,476</b>  | -                                 |
| <b>Virginia Polytechnic Institute &amp; State University</b>           |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 2389245        | AGREEMENT DATED 4-4-2017 | Real-space Laplacian on non-uniform grids for electronic structure applications   | 47.070 | 42,157          | -                                 |
| <b>Total for University of Southern California</b>                     |                |                          |   |        | <b>29,999</b>   | -                                 |
| <b>Via Separations, LLC</b>  |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 6937206        | AGREEMENT DATED 9-1-2017 | Robust Nanofiltration Membranes to Replace Heat Based Industrial Separations  | 47.041 | 2,696           | -                                 |
| <b>Total for Virginia Polytechnic Institute &amp; State University</b> |                |                          |   |        | <b>42,157</b>   | -                                 |
| <b>NEROC</b>   |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 6926730        | AGS-1229036              | MRI: Development of RAPID - Radio Array of Portable   Interferometric Detectors   | 47.050 | 258,517         | -                                 |
| NATIONAL SCIENCE FOUNDATION  | 6934751        | AGS-1626041              | MRI: Development of a redeployable spread spectrum MIMO meteor radar  | 47.050 | 123,235         | -                                 |
| NATIONAL SCIENCE FOUNDATION  | 6937109        | AGS-1726377              | MRI Collaborative: Development of Monitors for Alaskan and Canadian Auroral Weather in Space (MACAWS)   | 47.050 | 273,625         | -                                 |
| NATIONAL SCIENCE FOUNDATION  | 6932071        | AST-1126433              | MRI: Development of an ALMA Beamformer for Ultra High Resolution VLBI and High Frequency Phased Array Science                                       | 47.049 | 12,646          | -                                 |
| <b>Total for NEROC</b>   |                |                          |   |        | <b>668,023</b>  | -                                 |
| <b>Yale University</b>   |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 6932587        | C16D12238 (D02172)       | EFRI 2-DARE: Few-layer and Thin-film Black Phosphorus for Photonic Applications   | 47.041 | 20,164          | -                                 |
| <b>Total for Yale University</b>                                       |                |                          |   |        | <b>20,164</b>   | -                                 |
| <b>New York University</b>   |                |                          |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION  | 6937547        | F0394-03                 | Science And Integrated Language Plus Computational Thinking and Modeling with English Learners (SAIL +CTM with ELs)                                 | 47.076 | 158,186         | -                                 |



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|---|----------------|--------------------------------------|---|--------|-----------------|-----------------------------------|
| <b>University of Chicago</b>                |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 6928942        | FP055660                             | Scaling directed self-assembly of block copolymers for sub 10-nm manufacturing  | 47.049 | 111,923         | -                                 |
| <b>Montana State University</b>             |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 6929216        | G111-14-W4576                        | Engineering Synthetic Symbiosis between Plant and Bacteria to Deliver Nitrogen to Crops   | 47.074 | 7,793           | -                                 |
| <b>New York University Medical Center</b>   |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 6938890        | PO #M160000461 - #14-A0-00-003420-01 | Interactions of Radiofrequency Electromagnetic Fields with Biological Tissue: New Tools to Address Challenges and Exploit Opportunities | 47.041 | 10,050          | -                                 |
| NATIONAL SCIENCE FOUNDATION                 | 6935763        | PO# M160000461 / 14-A0-00-003420-01  | Interactions of Radiofrequency Electromagnetic Fields with Biological Tissue: New Tools to Address Challenges and Exploit Opportunities | 47.041 | 29,139          | -                                 |
|   |                |                                      |   |        | <b>39,188</b>   | -                                 |
| <b>National Radio Astronomy Observatory</b> |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 6937959        | PO 359999                            | Enabling New Science with the ALMA Phasing System "Phase 2"   | 47.049 | 54,890          | -                                 |
| NATIONAL SCIENCE FOUNDATION                 | 6933699        | PO# 352511                           | ALMA Study Project: Extensions and Enhancements to the ALMA Phasing System  | 47.049 | 13,857          | -                                 |
| NATIONAL SCIENCE FOUNDATION                 | 6935136        | PO# 354952                           | ALMA Study Project: Diversifying the Scientific Applications of the ALMA Phasing System   | 47.049 | 137,029         | -                                 |
|   |                |                                      |   |        | <b>205,777</b>  | -                                 |
| <b>Dartmouth College</b>                    |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 6933152        | R807                                 | EFRI-BioFlex Preliminary Proposal: A Flexible Glucose Fuel Cell   | 47.041 | 35,853          | -                                 |
|   |                |                                      |   |        | <b>35,853</b>   | -                                 |
| <b>Georgia Institute of Technology</b>      |                |                                      |   |        |                 |                                   |
| NATIONAL SCIENCE FOUNDATION                 | 2746922        | RF481-G1                             | Research Experience for Undergraduates  | 47.041 | 56,665          | -                                 |
|   |                |                                      |   |        | <b>56,665</b>   | -                                 |

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|---|----------------|----------------------------|---|--------|------------------|-----------------------------------|
| <b>UNAVCO</b>                                       |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6929221        | S13-EAR1261833-S4          | GAGE Facility GPS Data Analysis and GAMIT/GLOBK Software Support  | 47.050 | 247,841          | -                                 |
| <b>Total for UNAVCO</b>                             |                |                            |   |        | <b>247,841</b>   | -                                 |
| <b>California Institute of Technology</b>           |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6929096        | S398063                    | Powering the Planet: A Chemical Bonding Center in the Direct Conversion of Sunlight into Chemical Fuel                              | 47.049 | 238,829          | -                                 |
| NATIONAL SCIENCE FOUNDATION                         | 6930229        | SUBAWARD NO. 75-1086390    | LIGO Operations   | 47.049 | 4,034,354        | -                                 |
| NATIONAL SCIENCE FOUNDATION                         | 6917535        | SUBAWARD NO. 75ADV-1085563 | Advanced LIGO   | 47.049 | 17,809           | -                                 |
| <b>Total for California Institute of Technology</b> |                |                            |   |        | <b>4,290,992</b> | -                                 |
| <b>Santa Fe Institute</b>                           |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6935014        | SF120161003                | INSPIRE: Thermodynamic tradeoffs in computation: the constraints confronting biochemical networks and post-Moore computers          | 47.049 | 42,088           | -                                 |
| <b>Total for Santa Fe Institute</b>                 |                |                            |   |        | <b>42,088</b>    | -                                 |
| <b>Princeton University</b>                         |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6933021        | SUB0000092                 | Hazards SEES: Risk Assessment and Risk Management: An Integrated Approach for Responding to Multiple Hazards from Tropical Cyclones | 47.050 | 189,944          | -                                 |
| NATIONAL SCIENCE FOUNDATION                         | 6936206        | SUB0000178                 | US CMS Software & Computing Subsystem (Year 2017)   | 47.049 | 683,186          | -                                 |
| NATIONAL SCIENCE FOUNDATION                         | 6926786        | SUBAWARD NO. 00002019      | U.S. CMS Operations at the LHC  | 47.049 | -140             | -                                 |
| <b>Total for Princeton University</b>               |                |                            |   |        | <b>872,989</b>   | -                                 |
| <b>University of Michigan</b>                       |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6934756        | SUBAWARD 3002943298        | EFRI-ODISSEI: Multi Scale Origami For Novel Photonics and Energy Conversion   | 47.041 | 104              | -                                 |
| <b>Total for University of Michigan</b>             |                |                            |   |        | <b>104</b>       | -                                 |
| <b>Research Foundation of CUNY</b>                  |                |                            |   |        |                  |                                   |
| NATIONAL SCIENCE FOUNDATION                         | 6933810        | SUBAWARD 40F23-A           | EFRI 2-DARE - EXCITONICS AND POLARITONICS BASED ON 2D MATERIALS (EXPO-2D)   | 47.041 | 386,206          | -                                 |
| <b>Total for Research Foundation of CUNY</b>        |                |                            |   |        | <b>386,206</b>   | -                                 |
| <b>Johns Hopkins University</b>                     |                |                            |   |        |                  |                                   |

**Appendix A3**  
**Massachusetts Institute of Technology**  
**Federal Research Support - Passthrough - On Campus**  
**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name  | Project WBS id | Passthrough Number                | WBS Project Name  | CFDA # | Amount Expended     | \$ Amount Passed to Subrecipients |
|---|----------------|-----------------------------------|---|--------|---------------------|-----------------------------------|
| NATIONAL SCIENCE FOUNDATION                                     | 6924816        | SUBAWARD AGMT. NO:2001325344      | EFRI-M3C: Robust Decoder-Compensator Architecture for Interactive Control of High-Speed and Loaded Movements            | 47.041 | -3,397              | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 2389143        | SUBAWARD NO. 2003129511           | LHC-TI Postdoctoral Fellowship Program  | 47.049 | 74,630              | -                                 |
| <b>Southwest Research Institute</b>                             |                |                                   | <b>Total for Johns Hopkins University</b>   |        | <b>71,233</b>       | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6937788        | SUBAWARD J99093LW                 | Titan from Many Angles: 3D Methane & Haze Distributions and Surface Spectra   | 47.049 | 53,894              | -                                 |
| <b>Michigan Technological University</b>                        |                |                                   | <b>Total for Southwest Research Institute</b>   |        | <b>53,894</b>       | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6928536        | SUBAWARD# 1211086Z1, PO# P0092165 | CNH: Managing Impacts of Global Transport of Atmosphere-Surface Exchangeable Pollutants in the Context of Global Change | 47.050 | 12,893              | -                                 |
| <b>Smithsonian Inst. - Astrophysical Observatory</b>            |                |                                   | <b>Total for Michigan Technological University</b>  |        | <b>12,893</b>       | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6933768        | SV6-86002                         | The Event Horizon Telescope Experiment  | 47.049 | 790,510             | -                                 |
| <b>Emory University</b>   |                |                                   | <b>Total for Smithsonian Inst. - Astrophysical Observatory</b>  |        | <b>790,510</b>      | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6935075        | T662139                           | CCI Center in Selective C-H Functionalization   | 47.049 | 44,081              | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6937352        | T847519                           | CCI Center in Selective C-H Functionalization   | 47.049 | 94,583              | -                                 |
| <b>University of Florida</b>                                    |                |                                   | <b>Total for Emory University</b>   |        | <b>138,664</b>      | -                                 |
| NATIONAL SCIENCE FOUNDATION                                     | 6930998        | UFDSP00010445                     | Role of Nucleoside Modifications in tRNA Surveillance in Prokaryotes  | 47.074 | -80                 | -                                 |
|   |                |                                   | <b>Total for University of Florida</b>  |        | <b>-80</b>          | -                                 |
| <b>TOTAL for National Science Foundation</b>                    |                |                                   |   |        | <b>15,094,462</b>   | -                                 |
| <b>TOTAL Federal Research Support - Passthrough - On Campus</b> |                |                                   |   |        | <b>\$94,034,492</b> | <b>\$891,799</b>                  |

**Appendix A4**  
**Massachusetts Institute of Technology**  
**Highway Planning and Construction Cluster - Passthrough**  
**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                                | Project WBS id | Passthrough Number | WBS Project Name   | CFDA # | Amount Expended | TOTAL \$<br>Amount Passed<br>to Subrecipients |
|---|----------------|--------------------|--|--------|-----------------|---|
| <b>U.S. Department of Transportation</b>          |                |                    |  |        |                 |   |
| <b>Massachusetts Department of Transportation</b> |                |                    |  |        |                 |   |
| U.S. Department of Transportation                 | 6938129        | CONTRACT #81074    | Kendall Square Value Pricing Pilot Project                           | 20.205 | 99,010          | -   |
|   |                |                    | <b>Total for Massachusetts Department of Transportation</b>          |        | <b>99,010</b>   | <b>-</b>                                      |
|   |                |                    | <b>TOTAL for U.S. Department of Transportation</b>                   |        | <b>99,010</b>   | <b>-</b>                                      |
| <hr/>   |                |                    |  |        |                 |   |
|   |                |                    | <b>TOTAL Highway Planning and Construction Cluster - Passthrough</b> |        | <b>\$99,010</b> | <b>-</b>                                      |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency               | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|------------------------------|----------------------------|---|--------|-----------------|-----------------------------------|
| <b>DEPARTMENT OF DEFENSE</b> |                            |   |        |                 |                                   |
| <b>Air Force</b>             |                            |   |        |                 |                                   |
| 12.800                       | FA9550-17-1-0289           | The compositionally problem in synthetic biology: New directions for control theory | 12.800 | 12,055          | -                                 |
|                              |                            | <i>Total for CFDA # 12.800</i>  |        | <b>12,055</b>   | -                                 |
|                              |                            | <b>Total for Air Force</b>  |        | <b>12,055</b>   | -                                 |
| <b>Army</b>                  |                            |   |        |                 |                                   |
| 12.431                       | W911NF-17-1-0227           | LIDS/IDSS Workshop on Smart Urban Infrastructures (SURI)                            | 12.431 | 4,610           | -                                 |
|                              |                            | <i>Total for CFDA # 12.431</i>  |        | <b>4,610</b>    | -                                 |
|                              |                            | <b>Total for Army</b>   |        | <b>4,610</b>    | -                                 |
| <b>Navy</b>                  |                            |   |        |                 |                                   |
| 12.300                       | N00014-18-1-2309           | Statistics and Data Science Conference 2018   | 12.300 | 9,536           | -                                 |
|                              |                            | <i>Total for CFDA # 12.300</i>  |        | <b>9,536</b>    | -                                 |
|                              |                            | <b>Total for Navy</b>   |        | <b>9,536</b>    | -                                 |
|                              |                            | <b>TOTAL for Department of Defense</b>  |        | <b>26,200</b>   | -                                 |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name                      | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| 11.417         |                            |  |        |                 |                                   |
| DOC            | NA17OAR4170038             | Knauss Fellowship 2017 - McClure         | 11.417 | 37,256          | -                                 |
| DOC            | NA17OAR4170243             | 2017 NMFS Grad Fellowship - Megan Winton | 11.417 | 9,446           | 9,446                             |
|                |                            | <i>Total for CFDA # 11.417</i>           |        | 46,702          | 9,446                             |
|                |                            | <b>Total for Department of Commerce</b>  |        | <b>46,702</b>   | <b>9,446</b>                      |
|                |                            | <b>TOTAL for Department of Commerce</b>  |        | <b>46,702</b>   | <b>9,446</b>                      |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name  | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------|-----------------|-----------------------------------|
| 81.049         |                            |  |        |                 |                                   |
| DOE            | DE-SC0009297               | DiaMonD: An Integrated Multifaceted Approach to Mathematics at the Interfaces of Data, Models, and Decisions | 81.049 | 700             | -                                 |
| DOE            | DE-SC0014478               | MIT Outreach for Plasma Science and Fusion   | 81.049 | 105,714         | -                                 |
| DOE            | DE-SC0017589               | Funding for the 2nd IAEA Technical Meeting on Fusion Data Processing, Validation and Analysis                | 81.049 | 13,932          | -                                 |
| DOE            | DE-SC0018354               | Convergence QL: NSF/DOE Quantum Science Summer School  | 81.049 | 6,288           | -                                 |
|                |                            | <i>Total for CFDA # 81.049</i>   |        | 126,634         | -                                 |
| 81.117         |                            |  |        |                 |                                   |
| DOE            | DE-EE0007152               | MIT Clean Energy Prize   | 81.117 | 72,554          | -                                 |
|                |                            | <i>Total for CFDA # 81.117</i>   |        | 72,554          | -                                 |
| 81.121         |                            |  |        |                 |                                   |
| DOE            | DE-NE0000102               | MIT Nuclear Energy University Fellowship Program   | 81.121 | 154,251         | -                                 |
|                |                            | <i>Total for CFDA # 81.121</i>   |        | 154,251         | -                                 |
|                |                            | <b>Total for Department of Energy</b>  |        | <b>353,439</b>  | -                                 |
|                |                            | <b>TOTAL for Department of Energy</b>  |        | <b>353,439</b>  | -                                 |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name                              | CFDA #                         | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|--|--------------------------------|-----------------|-----------------------------------|
| 97.U01         |                            |  |                                |                 |                                   |
| DHS            | LTR DATED MARCH 16, 2017   | Snowstorm recovery                               | 97.U01                         | 324,458         | -                                 |
|                |                            |  | <i>Total for CFDA # 97.U01</i> | 324,458         | -                                 |
|                |                            | <b>Total for Department of Homeland Security</b> |                                | <b>324,458</b>  | <b>-</b>                          |
|                |                            | <b>TOTAL for Department of Homeland Security</b> |                                | <b>324,458</b>  | <b>-</b>                          |

**DEPARTMENT OF HOMELAND SECURITY**



**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| 20.215         |                            |   |        |                 |                                   |
| DOT            | 693JJ31845005              | Dwight David Eisenhower Transportation Fellowship - Montgomery                            | 20.215 | 18,500          | -                                 |
| DOT            | 693JJ31845067              | Dwight David Eisenhower Transportation Fellowship Program Graduate Fellowship - Middleton | 20.215 | 3,838           | -                                 |
| DOT            | DTFH6416G00008             | Dwight David Eisenhower Transportation Fellowship - Montgomery                            | 20.215 | 11,000          | -                                 |
| DOT            | DTFH6416G00046             | Eisenhower Grad Fellow Joanna Moody   | 20.215 | 0               | -                                 |
|                |                            | <i>Total for CFDA # 20.215</i>  |        | 33,338          | -                                 |
|                |                            | <b>Total for Department of Transportation</b>   |        | <b>33,338</b>   | <b>-</b>                          |
|                |                            | <b>TOTAL for Department of Transportation</b>   |        | <b>33,338</b>   | <b>-</b>                          |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency                    | Government Contract Number | Master Project Name   | CFDA #  | Amount Expended  | \$ Amount Passed to Subrecipients |
|-----------------------------------|----------------------------|---|---------|------------------|-----------------------------------|
| <b>MISCELLANEOUS FEDERAL GOVT</b> |                            |   |         |                  |                                   |
| <b>Other Agencies</b>             |                            |   |         |                  |                                   |
| 19.021                            |                            |   |         |                  |                                   |
| Misc.                             | S-TS800-15-GR-033/PDPR 03  | MIT-AFRICA Educator Program: Life Sciences & Entrepreneurshi  | 19.021  | 153,620          | -                                 |
|                                   |                            | <i>Total for CFDA # 19.021</i>  |         | 153,620          | -                                 |
| 45.024                            |                            |   |         |                  |                                   |
| Misc.                             | 16-3400-7104               | NEA GAP FY2016 Art Works II Application   | 45.024  | 0                | -                                 |
| Misc.                             | 17-4200-7041               | Design: To Support a Workshop and Toolkit called 'Listening to the City   | 45.024  | 18,393           | -                                 |
|                                   |                            | <i>Total for CFDA # 45.024</i>  |         | 18,393           | -                                 |
| 45.149                            |                            |   |         |                  |                                   |
| Misc.                             | PW-253800-17               | History from Chicago's Former Steel Mill Neighborhoods: Digitizing and Providing Access to the Southeast Chicago Historical Museum Collection | 45.149  | 48,812           | -                                 |
|                                   |                            | <i>Total for CFDA # 45.149</i>  |         | 48,812           | -                                 |
| 77.008                            |                            |   |         |                  |                                   |
| Misc.                             | NRC-HQ-13-G-38-0043        | U.S. Nuclear Regulatory Commission Nuclear Education Faculty Development Program at MIT   | 77.008  | 17,349           | -                                 |
| Misc.                             | NRC-HQ-84-15-G-0045        | MIT Nuclear Education Faculty Development Program   | 77.008  | 147,387          | -                                 |
|                                   |                            | <i>Total for CFDA # 77.008</i>  |         | 164,736          | -                                 |
| 98.001                            |                            |   |         |                  |                                   |
| Misc.                             | AID-OAA-A-12-00095         | CITE and IDIN   | 98.001  | 764,877          | 130,604                           |
|                                   |                            | <i>Total for CFDA # 98.001</i>  |         | 764,877          | 130,604                           |
|                                   |                            | <b>Total for Other Agencies</b>   |         | <b>1,150,439</b> | <b>130,604</b>                    |
| <b>Department of Education</b>    |                            |   |         |                  |                                   |
| 84.047A                           |                            |   |         |                  |                                   |
| ED                                | P047A170618                | MIT/Wellesley Upward Bound Program  | 84.047A | 162,214          | -                                 |
|                                   |                            | <i>Total for CFDA # 84.047A</i>   |         | 162,214          | -                                 |
|                                   |                            | <b>Total for Department of Education</b>  |         | <b>162,214</b>   | <b>-</b>                          |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name                         | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|------------------|-----------------------------------|
|                |                            | <b>TOTAL for Miscellaneous Federal Govt</b> |        | <b>1,312,654</b> | <b>130,604</b>                    |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | TOTAL \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|---|
| 43.001         |                            |   |        |                 |   |
| NASA           | NNA13AA90A                 | Foundations of Complex Life: Evolution, Preservation & Detection on Earth & Beyond  | 43.001 | 10,657          | 7,660                                   |
| NASA           | NNX13AN67H                 | Climatic and geodynamic influences on ocean island landscape evolution - PD K. Huppert  | 43.001 | -1              | -                                       |
| NASA           | NNX14AK83H                 | The variability of chemical constituents in the tropical tropopause layer, their radiative impacts, and implications for tropical cyclones - PDF D. Gilford                               | 43.001 | 11,500          | -                                       |
| NASA           | NNX14AK84H                 | Understanding Atmospheric Particles Using Single Particle Mass Spectrometry - PDF M. Zawadowicz   | 43.001 | 5,532           | -                                       |
| NASA           | NNX16AN92H                 | Investigating VOC Speciation Measured from Space  | 43.001 | 41,743          | -                                       |
|                |                            | <i>Total for CFDA # 43.001</i>  |        | 69,431          | 7,660                                   |
| 43.003         |                            |   |        |                 |   |
| NASA           | NNX17AB13G                 | NASA Participation in MIT Innovation Lab  | 43.003 | 50,054          | -                                       |
|                |                            | <i>Total for CFDA # 43.003</i>  |        | 50,054          | -                                       |
| 43.007         |                            |   |        |                 |   |
| NASA           | 80NSSC17K0688              | Genomic and functional analysis of biofilm morphotypes of International Space Station isolated <i>Staphylococcus epidermidis</i> and their pathogenicity in <i>Caenorhabditis elegans</i> | 43.007 | 46,730          | -                                       |
|                |                            | <i>Total for CFDA # 43.007</i>  |        | 46,730          | -                                       |
| 43.008         |                            |   |        |                 |   |
| NASA           | NNX16AT26H                 | NASA AS&ASTAR Application for Cory Frontin on small Modeling for LES  | 43.008 | 49,007          | -                                       |
| NASA           | NNX17AB22H                 | Advanced Modeling and Control for Turbo-Electric and Hybrid Electric Propulsion - Fellowship for Aidan Dowdle   | 43.008 | 48,143          | -                                       |
|                |                            | <i>Total for CFDA # 43.008</i>  |        | 97,150          | -                                       |
| 43.009         |                            |   |        |                 |   |
| NASA           | NNX14AL47H                 | Hierarchical Composites with Nanostructured Reinforcement for Multifunctional Aerospace Structures - GF R. Li   | 43.009 | 58,323          | -                                       |
| NASA           | NNX14AL48H                 | Superconducting Nanowire Single Photon Detectors for High-Data-Rate Deep-Space Optical Communication  | 43.009 | 59,080          | -                                       |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency | Government Contract Number | Master Project Name   | CFDA # | Amount Expended | \$ Amount Passed to Subrecipients |
|----------------|----------------------------|---|--------|-----------------|-----------------------------------|
| NASA           | NNX14AL57H                 | Evaluating the Impact of Design-Driven Requirements Using SysML (Mark Chodas)   | 43.009 | 11,033          | -                                 |
| NASA           | NNX14AL61H                 | Two-Stage Approach to Path and Attitude Planning for Reconfigurable Spacecraft - GF K. Riesing                                | 43.009 | 68,353          | -                                 |
| NASA           | NNX14AL74H                 | Developing an Adaptive Robotic Assistant for Close-Proximity Human-Robot Interaction in Space Environments                    | 43.009 | 65,437          | -                                 |
| NASA           | NNX14AM40H                 | Topological Optimization and Automated Construction for Lightweight Structures - G.F. Benjamin Jenett                         | 43.009 | 70,548          | -                                 |
| NASA           | NNX14AM42H                 | Quantifying the Value of Resilience in Long-Duration Space Systems- G.F. A. Owens   | 43.009 | 37,543          | -                                 |
| NASA           | NNX14AM57H                 | The Micro-X X-ray Imaging Spectrometer - G.F. D. Goldfinger   | 43.009 | 58,568          | -                                 |
| 43.012         |                            | <i>Total for CFDA # 43.009</i>  |        | <i>428,885</i>  | -                                 |
| NASA           | 80NSSC17K0077              | Enhancing Docking and Manipulation Capability for Microgravity Robotic Free Flyers  | 43.012 | 52,990          | -                                 |
| NASA           | 80NSSC17K0081              | 2D Materials for Energy Harvesting and Sensing  | 43.012 | 42,762          | -                                 |
| NASA           | 80NSSC17K0082              | Additive Manufacturing of Low Work Function Oxides for Spaceborne Thermionic Emission Applications                            | 43.012 | 60,810          | -                                 |
| NASA           | 80NSSC17K0083              | A Ground-Based Analog for CNS Exposure to Space Radiation: A System for Integrating Microbeam Technology and Neuronal Culture | 43.012 | 57,366          | -                                 |
| NASA           | 80NSSC17K0090              | Modeling Oxygen Production on Mars and Extension to a Human-Scale Mission   | 43.012 | 50,842          | -                                 |
| NASA           | NNX15AP50H                 | Advanced Propellants for Scalable, Multipurpose Electro Spray Ion Thrusters   | 43.012 | 69,898          | -                                 |
| NASA           | NNX15AP51H                 | Dynamic Human-Centered Suit Design: A Computational and Experimental Method   | 43.012 | 56,564          | -                                 |
| NASA           | NNX16AM70H                 | Developing Quantum Dot Absorptive Filter Array based Miniaturized Spectrometer for Space Applications                         | 43.012 | 71,219          | -                                 |
| NASA           | NNX16AM71H                 | Human Performance Metrics for Spacesuit Evaluation  | 43.012 | 75,121          | -                                 |
| NASA           | NNX16AM72H                 | Development and Testing of Autonomous On-Orbit Assembly and Servicing Systems Using the SPHERES Testbed                       | 43.012 | 64,181          | -                                 |
| NASA           | NNX16AM73H                 | Intersatellite Calibration for Constellations of Remote Sensing CubeSats with Microwave Radiometers and Visible Imagers       | 43.012 | 58,497          | -                                 |
| NASA           | NNX16AM74H                 | Autonomous Fault Identification and Handling Algorithms for Spacecraft  | 43.012 | 72,110          | -                                 |
| NASA           | NNX16AM75H                 | Quantum Networking and Sensing using a Diamond Nanophotonic Circuit (Student: Eric Bersin)                                    | 43.012 | 62,465          | -                                 |

**Appendix B**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - On Campus**  
**FY 2018 Expenditures**

| Federal Agency  | Government Contract Number | Master Project Name  | CFDA # | Amount Expended  | \$ Amount Passed to Subrecipients |
|---|----------------------------|--|--------|------------------|-----------------------------------|
| NASA  | NNX16AM76H                 | Evolvable Habitation Architectures for Long-duration Human Exploration Systems | 43.012 | 14,885           | -                                 |
| 43.U11  |                            | <i>Total for CFDA # 43.012</i>   |        | 809,708          | -                                 |
| NASA  | NNX16AH49H                 | National Space Grant College and Fellowship Program (Space Grant)              | 43.U11 | 710,035          | -                                 |
|   |                            | <i>Total for CFDA # 43.U11</i>   |        | 710,035          | -                                 |
|   |                            | <b>Total for National Aeronautics and Space Administration</b>                 |        | <b>2,211,994</b> | <b>7,660</b>                      |
|   |                            | <b>TOTAL for National Aeronautics and Space Administration</b>                 |        | <b>2,211,994</b> | <b>7,660</b>                      |
| <b>TOTAL Federal Non-Research Support - On Campus</b> |                            |  |        | <b>4,308,785</b> | <b>147,710</b>                    |

**Appendix C**  
**Massachusetts Institute of Technology**  
**Federal Non-Research Support - Passthrough - On Campus**  
**FY 2018 Expenditures by Prime Sponsor and Sponsor**

| Prime Sponsor Name                                      | Project WBS id | Passthrough Number   | WBS Project Name                            | CFDA # | Amount Expended  | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|---|----------------|----------------------|---|--------|------------------|-----------------------------|--------------------------------------|
| <b>DEPARTMENT OF DEFENSE</b>                            |                |                      |   |        |                  |                             |                                      |
| <b>SUNY: AIM Photonics</b>                              |                |                      |   |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 2748344        | AGMT. DTD. 3/22/2016 | IP-IMI                                      | 12.800 | 45,479           | 45,479                      | -                                    |
| <b>Total for SUNY: AIM Photonics</b>                    |                |                      |   |        | <b>45,479</b>    |                             |                                      |
| <b>Lincoln Laboratory</b>                               |                |                      |   |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 2747918        | PO 7000384279        | Support of the MIT Security Studies Program | 12.U25 | 26,725           | 26,725                      | -                                    |
| <b>Total for Lincoln Laboratory</b>                     |                |                      |   |        | <b>26,725</b>    |                             |                                      |
| <b>American Society/Engineering Education</b>           |                |                      |   |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 2291100        | LETTER DATED 8/11/99 | NDSEG Fellowship Program                    | 12.300 | 2,400,604        | 2,400,604                   | -                                    |
| <b>Total for American Society/Engineering Education</b> |                |                      |   |        | <b>2,400,604</b> |                             |                                      |
| <b>Draper Laboratory Incorporated</b>                   |                |                      |   |        |                  |                             |                                      |
| DEPARTMENT OF DEFENSE                                   | 2748410        | DRAPER P.O. PARENT   | Draper Fellow Reporting Parent FY 18/19     | 12.U58 | 26,331           | 26,331                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747676        | P0001-0001042109     | Draper Fellow Reporting Parent FY 16/17     | 12.U16 | -10,297          | -10,297                     | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747669        | PO 0001 0001040149   | Draper Fellow Reporting Parent FY 16/17     | 12.U13 | -5,342           | -5,342                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747667        | PO 0001 0001041116   | Draper Fellow Reporting Parent FY 16/17     | 12.U11 | 2,635            | 2,635                       | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747661        | PO 001 0001039813    | Draper Fellow Reporting Parent FY 16/17     | 12.U08 | -24              | -24                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747668        | PO 001 0001039815    | Draper Fellow Reporting Parent FY 16/17     | 12.U12 | -22              | -22                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747663        | PO 001 0001039818    | Draper Fellow Reporting Parent FY 16/17     | 12.U09 | -24              | -24                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747670        | PO 001 0001039820    | Draper Fellow Reporting Parent FY 16/17     | 12.U14 | -22              | -22                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747657        | PO 001 0001039865    | Draper Fellow Reporting Parent FY 16/17     | 12.U05 | -22              | -22                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747653        | PO 001 0001039870    | Draper Fellow Reporting Parent FY 16/17     | 12.U02 | -24              | -24                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747660        | PO 001 0001039872    | Draper Fellow Reporting Parent FY 16/17     | 12.U07 | -22              | -22                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747666        | PO 001 0001040136    | Draper Fellow Reporting Parent FY 16/17     | 12.U10 | -1,249           | -1,249                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747658        | PO 001 0001040279    | Draper Fellow Reporting Parent FY 16/17     | 12.U06 | 0                | 0                           | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747656        | PO 001 0001040398    | Draper Fellow Reporting Parent FY 16/17     | 12.U04 | -24              | -24                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747655        | PO 0010001040145     | Draper Fellow Reporting Parent FY 16/17     | 12.U03 | -22              | -22                         | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747687        | PO 0010001045492     | Draper Fellow Reporting Parent FY 17/18     | 12.U18 | 58,824           | 58,824                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747689        | PO 0010001045504     | Draper Fellow Reporting Parent FY 17/18     | 12.U20 | 47,221           | 47,221                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747688        | PO 0010001045514     | Draper Fellow Reporting Parent FY 17/18     | 12.U19 | 64,095           | 64,095                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2747690        | PO 0010001045516     | Draper Fellow Reporting Parent FY 17/18     | 12.U21 | 57,753           | 57,753                      | -                                    |
| DEPARTMENT OF DEFENSE                                   | 2748059        | PO 0010001045547     | Draper Fellow Reporting Parent FY 17/18     | 12.U27 | 31,873           | 31,873                      | -                                    |

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| Prime Sponsor Name    | Project WBS id | Passthrough Number | WBS Project Name                        | CFDA # | Amount Expended | TOTAL \$<br>Amount Expended | \$ Amount Passed<br>to Subrecipients |
|-----------------------|----------------|--------------------|---|--------|-----------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE | 2748061        | PO 0010001045549   | Draper Fellow Reporting Parent FY 17/18 | 12.U29 | 60,300          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748058        | PO 0010001045550   | Draper Fellow Reporting Parent FY 17/18 | 12.U26 | 39,815          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2747691        | PO 0010001045551   | Draper Fellow Reporting Parent FY 17/18 | 12.U22 | 33,621          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2747692        | PO 0010001045552   | Draper Fellow Reporting Parent FY 17/18 | 12.U23 | 40,640          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748060        | PO 0010001045564   | Draper Fellow Reporting Parent FY 17/18 | 12.U28 | 41,101          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2747693        | PO 0010001045565   | Draper Fellow Reporting Parent FY 17/18 | 12.U24 | 1,475           | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748063        | PO 0010001045574   | Draper Fellow Reporting Parent FY 17/18 | 12.U31 | 51,760          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748062        | PO 0010001045603   | Draper Fellow Reporting Parent FY 17/18 | 12.U30 | 56,948          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748064        | PO 0010001045616   | Draper Fellow Reporting Parent FY 17/18 | 12.U32 | 60,749          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748065        | PO 0010001045623   | Draper Fellow Reporting Parent FY 17/18 | 12.U33 | 56,948          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748066        | PO 0010001045671   | Draper Fellow Reporting Parent FY 17/18 | 12.U34 | 40,771          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748068        | PO 0010001045693   | Draper Fellow Reporting Parent FY 17/18 | 12.U36 | 30,178          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748069        | PO 0010001045698   | Draper Fellow Reporting Parent FY 17/18 | 12.U37 | 39,815          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748067        | PO 0010001045699   | Draper Fellow Reporting Parent FY 17/18 | 12.U35 | 4,396           | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748073        | PO 0010001045714   | Draper Fellow Reporting Parent FY 17/18 | 12.U41 | 39,815          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748071        | PO 0010001045726   | Draper Fellow Reporting Parent FY 17/18 | 12.U39 | 66,865          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748070        | PO 0010001045728   | Draper Fellow Reporting Parent FY 17/18 | 12.U38 | 5,000           | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748072        | PO 0010001045771   | Draper Fellow Reporting Parent FY 17/18 | 12.U40 | 34,300          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748080        | PO 0010001045774   | Draper Fellow Reporting Parent FY 17/18 | 12.U48 | 39,589          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748075        | PO 0010001045788   | Draper Fellow Reporting Parent FY 17/18 | 12.U43 | 60,749          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748077        | PO 0010001045804   | Draper Fellow Reporting Parent FY 17/18 | 12.U45 | 63,856          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748074        | PO 0010001045816   | Draper Fellow Reporting Parent FY 17/18 | 12.U42 | 61,882          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748076        | PO 0010001045820   | Draper Fellow Reporting Parent FY 17/18 | 12.U44 | 39,576          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748078        | PO 0010001045821   | Draper Fellow Reporting Parent FY 17/18 | 12.U46 | 61,690          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748079        | PO 0010001045822   | Draper Fellow Reporting Parent FY 17/18 | 12.U47 | 61,690          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748182        | PO 0010001046262   | Draper Fellow Reporting Parent FY 17/18 | 12.U54 | 58,509          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748083        | PO 0010001046289   | Draper Fellow Reporting Parent FY 17/18 | 12.U51 | 25,021          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748081        | PO 0010001046290   | Draper Fellow Reporting Parent FY 17/18 | 12.U49 | 51,505          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748085        | PO 0010001046292   | Draper Fellow Reporting Parent FY 17/18 | 12.U53 | 27,090          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748084        | PO 0010001046299   | Draper Fellow Reporting Parent FY 17/18 | 12.U52 | 44,584          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748082        | PO 0010001046387   | Draper Fellow Reporting Parent FY 17/18 | 12.U50 | 48,038          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2748230        | PO 0010001046816   | Draper Fellow Reporting Parent FY 17/18 | 12.U56 | 57,842          | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2747672        | PO001-0001040054   | Draper Fellow Reporting Parent FY 16/17 | 12.U15 | -144            | -                           | -                                    |
| DEPARTMENT OF DEFENSE | 2747680        | PO001-0001042501   | Draper Fellow Reporting Parent FY 16/17 | 12.U17 | -13,193         | -                           | -                                    |



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|---|----------------|--------------------|---|--------|------------------|-----------------------------|--------------------------------------|
| DEPARTMENT OF DEFENSE                           | 2748422        | PO001-0001050042   | Draper Fellow Reporting Parent FY 18/19 | 12.U60 | 3,058            | 3,058                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748420        | PO001-0001050045   | Draper Fellow Reporting Parent FY 18/19 | 12.U59 | 3,346            | 3,346                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748424        | PO001-0001050047   | Draper Fellow Reporting Parent FY 18/19 | 12.U61 | 3,058            | 3,058                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748426        | PO001-0001050049   | Draper Fellow Reporting Parent FY 18/19 | 12.U62 | 3,346            | 3,346                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748428        | PO001-0001050051   | Draper Fellow Reporting Parent FY 18/19 | 12.U63 | 3,058            | 3,058                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748434        | PO001-0001050101   | Draper Fellow Reporting Parent FY 18/19 | 12.U64 | 3,346            | 3,346                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748438        | PO001-0001050104   | Draper Fellow Reporting Parent FY 18/19 | 12.U66 | 1,391            | 1,391                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748436        | PO001-0001050109   | Draper Fellow Reporting Parent FY 18/19 | 12.U65 | 3,346            | 3,346                       | -                                    |
| DEPARTMENT OF DEFENSE                           | 2748446        | PO001-0001050334   | Draper Fellow Reporting Parent FY 18/19 | 12.U67 | 438              | 438                         | -                                    |
| <b>Total for Draper Laboratory Incorporated</b> |                |                    |   |        | <b>1,688,807</b> | <b>1,688,807</b>            | <b>-</b>                             |
| <b>TOTAL for Department of Defense</b>          |                |                    |   |        | <b>4,161,615</b> | <b>4,161,615</b>            | <b>-</b>                             |

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|---|----------------|-------------------------------|---|--------|-----------------|---|
| <b>DEPARTMENT OF COMMERCE</b>   |                |                               |   |        |                 |   |
| <b>U Delaware: National Institute for Innovation in Manufacturing Biopharmaceuticals (NIIMBL)</b>               |                |                               |   |        |                 |   |
| DEPARTMENT OF COMMERCE  | 2748151        | AGREEMENT EFFECTIVE<br>5/4/17 | The National Institute for Innovation in Manufacturing<br>Biopharmaceuticals (NIIMBL) | 11.619 | 34,499          | -   |
| <b>Total for U Delaware: National Institute for Innovation in Manufacturing<br/>Biopharmaceuticals (NIIMBL)</b> |                |                               |   |        | <b>34,499</b>   | <b>-</b>                                      |
| <b>TOTAL for Department of Commerce</b>   |                |                               |   |        | <b>34,499</b>   | <b>-</b>                                      |

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|-----------------------------|----------------|------------------------------|---------------------------------------|--------|-----------------|---|
| <b>DEPARTMENT OF ENERGY</b> |                |                              |                                       |        |                 |   |
| <b>Krell Institute</b>      |                |                              |                                       |        |                 |   |
| DEPARTMENT OF ENERGY        | 2389147        | AGREEMENT EFF.<br>09/01/2016 | DOE NNSA SSGF fellowships             | 81.112 | 46,517          | -   |
| DEPARTMENT OF ENERGY        | 2225900        | FELLOWSHIP<br>COMMITMENT     | DOE-CSGF Krell Institute              | 81.049 | 22,530          | -   |
|                             |                |                              | <b>Total for Krell Institute</b>      |        | <b>69,047</b>   | <b>-</b>                                      |
|                             |                |                              | <b>TOTAL for Department of Energy</b> |        | <b>69,047</b>   | <b>-</b>                                      |

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|---|----------------|-----------------------|---|--------|-----------------|---|
| <b>DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b>            |                |                       |   |        |                 |   |
| <b>University of Massachusetts Medical Center</b>           |                |                       |   |        |                 |   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                       | 2747936        | WA00509205/OSP2017127 | Outbreak and Epidemic Prevention Through Human Resource Training System Development for Infection Control in Liberia                | 93.318 | 12,548          | -   |
| DEPARTMENT OF HEALTH & HUMAN SERVICES                       | 2747935        | WA00525117/OSP2017177 | Outbreak and Epidemic Prevention Through Human Resource Training System Development for Infection Control in Liberia (CarryForward) | 93.318 | 3,036           | -   |
| <b>Total for University of Massachusetts Medical Center</b> |                |                       |   |        | <b>15,584</b>   | <b>-</b>                                      |
| <b>TOTAL for Department of Health &amp; Human Services</b>  |                |                       |   |        | <b>15,584</b>   | <b>-</b>                                      |

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|--|----------------|----------------------|---|--------|-----------------|---|
| <b>MISCELLANEOUS FEDERAL GOVT</b>                              |                |                      |   |        |                 |   |
| <b>Commonwealth of Massachusetts - Miscellaneous</b>           |                |                      |   |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2747808        | 05260118             | Enhancing the MIT Museum's Allan Forbes Whaling and Captain Arthur H. Clark Collections: Creating online access for teaching and research | 15.925 | 5,302           | -   |
| <b>Total for Commonwealth of Massachusetts - Miscellaneous</b> |                |                      |   |        | <b>5,302</b>    | -   |
| <b>Institute of International Education, Inc.</b>              |                |                      |   |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2389131        | 3223_MIT_7.1.2016    | Hubert H Humphrey Fellowship Program (SPURS) 2016 -2017   | 19.010 | 9,154           | -   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2389277        | IIE0138_MIT_7.1.17   | Hubert H Humphrey Fellowship Program (SPURS) 2017 -2018   | 19.010 | 183,414         | -   |
| <b>Total for Institute of International Education, Inc.</b>    |                |                      |   |        | <b>192,567</b>  | -   |
| <b>The Center for Effective Public Policy</b>                  |                |                      |   |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2747773        | 378-00-MIT-451       | Enhancing Campus Sexual Assault Prevention Efforts through Situational Interventions  | 16.203 | 330             | -   |
| <b>Total for The Center for Effective Public Policy</b>        |                |                      |   |        | <b>330</b>      | -   |
| <b>Michigan State University</b>                               |                |                      |   |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2747409        | AWARD DATED 1/1/2016 | Avocado Press   | 98.001 | 1,745           | -   |
| <b>Total for Michigan State University</b>                     |                |                      |   |        | <b>1,745</b>    | -   |
| <b>Population Services International</b>                       |                |                      |   |        |                 |   |
| MISCELLANEOUS FEDERAL GOVT                                     | 2748269        | PO 10340-0-600       | Co-design Summit in Ethiopia  | 98.001 | 68,775          | -   |
| <b>Total for Population Services International</b>             |                |                      |   |        | <b>68,775</b>   | -   |
| <b>TOTAL for Miscellaneous Federal Govt</b>                    |                |                      |   |        | <b>268,719</b>  | -   |

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|--|----------------|--------------------------------------|---|--------|-----------------|-----------------------------------|
| <b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</b>           |                |                                      |   |        |                 |                                   |
| <b>University of Arizona</b>                                   |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2747876        | AGRMT DATED 11/13/16                 | REXIS - REgolith X-ray Imaging Spectrometer Phase E Operations  | 43.U12 | 39,113          | -                                 |
| <b>Total for University of Arizona</b>                         |                |                                      |   |        | <b>39,113</b>   | -                                 |
| <b>Space Telescope Science Institute</b>                       |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2388897        | HST-HF2-51343.001-A                  | Heart of Darkness: Weakly Accreting Black Holes and the Physics of Accretions and Ejection - PDF for J. Neilsen | 43.U04 | 9,933           | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2389016        | HST-HF2-51354.001-A                  | A Comprehensive View of the CGM - Hubble, Bordoloi  | 43.U06 | 68,295          | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2389125        | HST-HF2-51372.001-A                  | Characterizing Small Planets Around Bright Stars (Hubble Fellowship - Diana Dragomir)                           | 43.U08 | 99,159          | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2389135        | HST-HF2-51384.001-A                  | A Hybrid Approach to Simulating Galaxy Formation (Hubble Fellowship - Paul Torrey)                              | 43.U09 | 86,691          | -                                 |
| <b>Total for Space Telescope Science Institute</b>             |                |                                      |   |        | <b>264,078</b>  | -                                 |
| <b>Commonwealth of Massachusetts - Miscellaneous</b>           |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2732483        | MASSACHUSETTS SPACE GRANT CONSORTIUM | Massachusetts Space Grant Consortium  | 43.U10 | 546             | -                                 |
| <b>Total for Commonwealth of Massachusetts - Miscellaneous</b> |                |                                      |   |        | <b>546</b>      | -                                 |
| <b>Center for Advancement of Science in Space</b>              |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2748227        | OA-2017-241                          | Zero Robotics CASIS Support FY18  | 43.U14 | 142,692         | -                                 |
| <b>Total for Center for Advancement of Science in Space</b>    |                |                                      |   |        | <b>142,692</b>  | -                                 |
| <b>Smithsonian Inst. - Astrophysical Observatory</b>           |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2388982        | PF5-160144                           | Einstein Postdoctoral Fellowship for Dr. James Steiner, "The Nature of Black Holes"                             | 43.U05 | 95,467          | -                                 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2389123        | PF6-170156                           | Quest for the Elusive Intermediate-mass Black Holes (Einstein Fellow - Dheeraj Pasham - yr 1)                   | 43.U07 | 94,862          | -                                 |
| <b>Total for Smithsonian Inst. - Astrophysical Observatory</b> |                |                                      |   |        | <b>190,329</b>  | -                                 |
| <b>Baylor College of Medicine</b>                              |                |                                      |   |        |                 |                                   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                  | 2748341        | PO# 7000000554                       | Dean of Science Education   | 43.003 | 39,531          | -                                 |

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|---|----------------|--------------------|--|--------|-----------------|---|
| <b>CalTech - Jet Propulsion Lab</b>                                 |                |                    | <b>Total for Baylor College of Medicine</b>  |        | <b>39,531</b>   | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                       | 2748320        | RSA 1591537        | Lifecycle Product Development: Research Opportunities for the next Generation of Space Systems Engineers | 43.U16 | 11,758          | -   |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION                       | 2747924        | RSA NO. 1564538    | Space Systems Product Development: Educating the Next Generation of Space Systems Engineers              | 43.U13 | 9,173           | -   |
|   |                |                    | <b>Total for CalTech - Jet Propulsion Lab</b>  |        | <b>20,931</b>   | -   |
|   |                |                    | <b>TOTAL for National Aeronautics and Space Administration</b>   |        | <b>697,220</b>  | -   |
| <b>TOTAL Federal Non-Research Support - Passthrough - On Campus</b> |                |                    |  |        |                 | <b>\$5,246,684</b>                            |

## SECTION III

# REPORTS ON INTERNAL CONTROL AND COMPLIANCE AND SUMMARY OF AUDITORS' RESULTS



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## **Report of Independent Auditors on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards***

To the Members of the Corporation of the  
Massachusetts Institute of Technology:

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the consolidated financial statements of the Massachusetts Institute of Technology and its subsidiaries (the "Institute"), which comprise the consolidated statement of financial position as of June 30, 2018, and the related consolidated statements of activities and of cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 14, 2018.

### **Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Institute's internal control over financial reporting ("internal control") to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Institute's internal control. Accordingly, we do not express an opinion on the effectiveness of the Institute's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. We did identify a certain deficiency in internal control, described in the accompanying schedule of findings and questioned costs as item 2018-001 that we consider to be a significant deficiency.

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Institute's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The

results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **The Institute's Response to Findings**

The Institute's response to the finding identified in our audit is described in the accompanying management's views and corrective action plan. The Institute's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in cursive script that reads "PricewaterhouseCoopers LLP".

Boston, Massachusetts  
September 14, 2018



**Report of Independent Auditors on Compliance with Requirements  
That Could Have a Direct and Material Effect on Each Major Program and on Internal  
Control Over Compliance in Accordance with the Uniform Guidance**

To the Members of the Corporation of the  
Massachusetts Institute of Technology:

**Report on Compliance for Each Major Federal Program**

We have audited the Massachusetts Institute of Technology and its subsidiaries' (the "Institute") compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Institute's major federal programs for the year ended June 30, 2018. The Institute's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with federal statutes, regulations and the terms and conditions of its federal awards applicable to its federal programs.

***Auditors' Responsibility***

Our responsibility is to express an opinion on compliance for each of the Institute's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Institute's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Institute's compliance.

***Opinion on Each Major Federal Program***

In our opinion, the Massachusetts Institute of Technology complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2018.

**Report on Internal Control Over Compliance**

Management of the Institute is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Institute's internal control over compliance with the types of

requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Institute's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.



Boston, Massachusetts  
March 8, 2019

**Massachusetts Institute of Technology  
Schedule of Findings and Questioned Costs  
Year Ended June 30, 2018**

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**Section I Summary of Auditors' Results**

**Financial Statements**

|   |   |  |
|---|---|--|
| Type of auditors' report issued   | Unmodified                              |  |
| Internal control over financial reporting   |   |  |
| Material weakness(es) identified  | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| Significant deficiency (ies) identified that are not considered to be material weaknesses | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> None Reported |
| Noncompliance material to financial statements noted?                                     | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |

**Federal Awards**

|  |                              |   |
|--|------------------------------|---|
| Internal control over major programs   |                              |   |
| Material weakness (es) identified?   | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No            |
| Significant deficiency (ies) identified that are not considered to be material weaknesses?         | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> None Reported |
| Type of auditors' report issued on compliance for major programs                                   | Unmodified                   |   |
| Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No            |

Identification of major programs

|   |   |
|---|---|
| <b>CFDA Number</b>  | <b>Name of Federal Program or Cluster</b>                           |
| Various   | Research & Development Cluster                                      |
| Dollar threshold used to distinguish between Type A and Type B programs | \$4,523,171   |
| Auditee qualifies as a low-risk auditee?                                | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |

**Section II Financial Statement Findings**

**Finding 2018-001: Cash Flow Revision**

During 2018, MIT has revised the Consolidated Statement of Cash Flows for the year ended June 30, 2017, to correct the classification of \$254.8 million of cash receipts which are restricted for long-term investment from cash inflows from operating activities to cash inflows from financing activities in accordance with Accounting Standards Codification ("ASC") 230, Statement of Cash Flows. The cause of the revision was the incorrect application of this guidance when preparing the cash flow statement and the amount was primarily attributable to an endowed pledge payment of \$175.9 million from one donor. The revision had no impact on the amounts disclosed in the Institute's Statement of Activities or Statement of Financial position, or the net change in cash and cash balances shown in in the Consolidated Statement of Cash Flows, all of which were accurately stated. We recommend the Institute review the cash flow statement to ensure all cash flows are properly classified in accordance with ASC 230 and other industry specific accounting guidance and establish additional layers of review similar to the procedures already in place for the Statements of Financial Position and Activities. Management's Views and Corrective Action plan is included at the end of this report.

**Massachusetts Institute of Technology**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2018**

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**Section III Federal Award Findings and Questioned Costs**

There are no matters to report

**Massachusetts Institute of Technology  
Summary Schedule of Prior Audit Findings and Status  
Year Ended June 30, 2018**

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**Finding 2017-001**

**Compliance Requirement: Reporting (L)**

| <b>Federal Program Involved</b>                              | <b>CFDA Number</b> | <b>Award Number</b> | <b>Award Year</b> |
|--|--------------------|---------------------|-------------------|
| <b>Student Financial Assistance Cluster:</b>                 |                    |                     |                   |
| Federal Supplemental Educational Opportunity Grant ("FSEOG") | 84.007             | N/A                 | Fiscal 2017       |
| Federal Work Study ("FWS")                                   | 84.033             | N/A                 | Fiscal 2017       |
| Federal Perkins Loan   | 84.038             | N/A                 | Fiscal 2017       |

**Condition**

The initial submission of the Fiscal Operations Report and Application to Participate ("FISAP") contained incorrect amounts and the Institute did not plan to amend or review the amounts prior to the final filing deadline of December 15, 2017. PwC identified several balances that were not completely or accurately reported in the final submission to the Department of Education. In Part V, Section F, the number of students for whom jobs were located or developed (Line 22) and the total earnings of the students in Field 22 (Line 23) were reported as 89 students and \$264,860, respectively. These totals were incomplete and should have been reported as 93 students and \$277,020, respectively. In Part VI, Section A, the total FWS funds for less-than-full-time students (Line 25, column f) was incorrectly reported as \$6,836. This should have been reported as \$6,158. Also in Part VI, Section A, the totals for FWS recipients (Line 26, column e), funds (Line 26, column f), and unduplicated recipients (Line 26, column g) for total "automatic" zero EFC students were incorrectly reported as 5 recipients, \$5,143, and 127 unduplicated recipients, respectively. These should have been reported as 9 recipients, \$11,069, and 129 unduplicated recipients, respectively. PwC recommended implementing a formal reconciliation and review process prior to submitting the FISAP to the Department of Education to ensure that all reported information is complete and accurate.

**Current Year Update**

The Institute has implemented a formal reconciliation process, which includes a secondary review of the FISAP prior to submission.

**Finding 2017-002**

**Compliance Requirement: Reporting (L)**

| <b>Federal Program Involved</b>          | <b>CFDA Number</b> | <b>Award Number</b> | <b>Award Year</b>   |
|--|--------------------|---------------------|---------------------|
| <b>Research and Development Cluster:</b> |                    |                     |                     |
| National Institutes of Health ("NIH")    |                    |                     |                     |
| Common Fund Research Support             | 93.310             | 5-DP1-NS082101-05   | 9/30/2011-7/31/2016 |

**Condition**

For one report out of 25 selected for testing, the final submission of the SF-425 Federal Financial Report ("FFR") reported the total cumulative federal share of expenditures as equal to the total federal shares authorized of \$3,988,425. Total federal disbursements per the Institute's financial records and the Department of Health and Human Services' Payment Management System as of the period end date were \$3,982,032. PwC recommended revising the Institute's policies and procedures to ensure that FFRs are submitted timely with accurate information. The policy should highlight the deadlines for submission and emphasize that all outstanding items must be resolved prior to the submission of the final FFR within the deadlines set by the applicable Federal awarding agency.



**Massachusetts Institute of Technology**  
**Summary Schedule of Prior Audit Findings and Status**  
**Year Ended June 30, 2018**

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**Current Year Update**

The Institute has reviewed and revised its reporting policies to make clear that the total cumulative federal share of expenditures must agree to the Institute's financial records at the time the report is filed.



John Donnelly  
Associate Controller

Phone: 617-253-2734  
Email: jdonnelly@mit.edu

## Finding 2018-001

### Management's Views and Corrective Action Plan

MIT revised its Consolidated Statement of Cash Flows for the fiscal year that ended June 30, 2017 to correct the misclassifications noted in the finding and prepared its Consolidated Statement of Cash Flows for the fiscal year that ended June 30, 2018 in full accordance with Accounting Standards Codification (ASC) 230, Statement of Cash Flows. The Institute is taking the following steps to ensure continued compliance with ASC 230:

1. Establishing additional layers of review for the Consolidated Statement of Cash Flows, similar to procedures already employed for the Statement of Financial Position and the Statement of Activities.
2. Preparing a pro forma Consolidated Statement of Cash Flows for activity through the third quarter of the fiscal year ending June 30, 2019, which we will share with our external auditor to review during its interim audit in May, to review the appropriateness of our methodology.

Issue Coordinator: John Donnelly, Associate Controller

Completion date: May, 2019